TCSS 422: OPERATING SYSTEMS

Limited Direct Execution II, Intro to Schedulers

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April 13, 2021 TCSS422: Operating Systems [Spring 2021]
School of Engineering and Technology, University of Washington - Tacoma

OBJECTIVES – 4/13

- Questions from 4/8
- Assignment 0
- C Tutorial - Pointers, Strings, Exec in C
- Chapter 6: Limited Direct Execution
  - Cooperative multi-tasking
  - Context switching and preemptive multi-tasking
- Chapter 7: Scheduling Introduction
  - Scheduling metrics
    - Turnaround time, Jain's Fairness Index, Response time
  - FIFO, SJF, STCF, RR schedulers
- Chapter 8: Multi-level Feedback Queue

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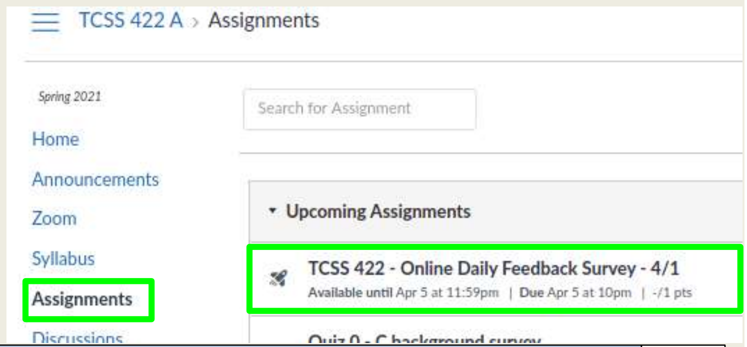
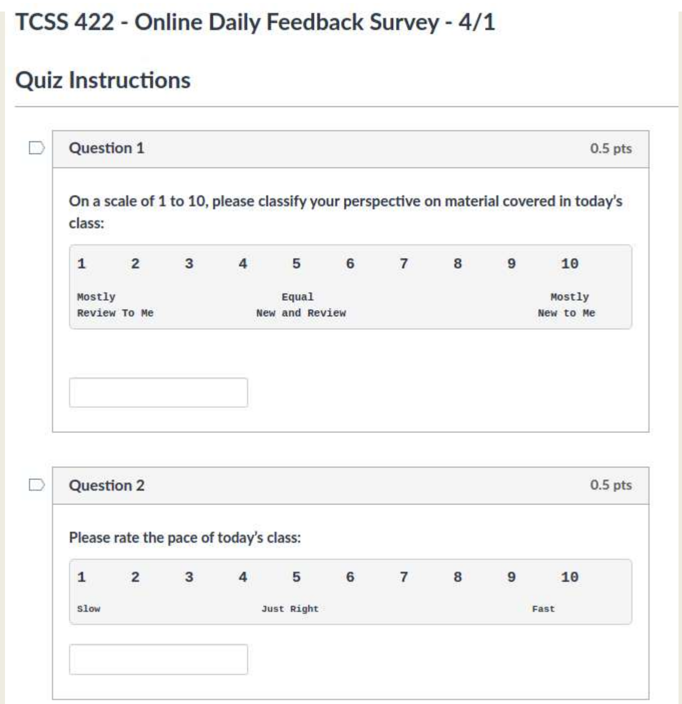
ONLINE DAILY FEEDBACK SURVEY

- Daily Feedback Quiz in Canvas – Available After Each Class
- Extra credit available for completing surveys ***ON TIME***
- Tuesday surveys: due by ~ Wed @ 11:59p
- Thursday surveys: due ~ Mon @ 11:59p





MATERIAL / PACE

- Please classify your perspective on material covered in today's class (56 respondents):
- 1-mostly review, 5-equal new/review, 10-mostly new
- Average – 6.91 (no change - previous 6.91)

- Please rate the pace of today's class:
- 1-slow, 5-just right, 10-fast
- Average – 5.65 (↓ - previous 5.67)

FEEDBACK

- ***Could you fork another child process from a child process to have a grandparent/grandchild process?***
  - YES

- ***Can the exec() function be thought of as opening another thread to a different program?***
  - NO, exec runs another program in the existing process
  - Control is transferred to another executable and is not returned

- ***Does exec transfer control back to the main program that called external program?***
  - No, the PID is transferred to the new executable and does not come back. If you want to preserve the original program the idea is to fork and run exec with a child process

FEEDBACK - 2

- ***What are the advantages of using lower-level APIs such as open() compared to the specialized versions with additional features like fopen()? Is this similar to the control tradeoff? Introducing unnecessary overhead and the like?***
  - fopen() and other functions like it are provided largely out of convenience for developers
  - Specialized wrappers such as fopen() abstract additional functionality to make it more easily accessible for programmers

- ***With the use of standard out and standard error when EXEC with file redirection, I'm still not sure about the steps from L4.30***

EXEC WITH FILE REDIRECTION (OUTPUT)

```
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>
#include <string.h>
#include <fcntl.h>
#include <sys/wait.h>

int
main(int argc, char *argv[]){
    int rc = fork();
    if (rc < 0) {          // fork failed; exit
        fprintf(stderr, "fork failed\n");
        exit(1);
    } else if (rc == 0) { // child: redirect standard output to a file
        close(STDOUT_FILENO);
        open("./p4.output", O_CREAT|O_WRONLY|O_TRUNC, S_IRWXU);
        …
```

FILE MODE BITS

```
S_IRWXU
read, write, execute/search by owner
S_IRUSR
read permission, owner
S_IWUSR
write permission, owner
S_IXUSR
execute/search permission, owner
S_IRWXG
read, write, execute/search by group
S_IRGRP
read permission, group
S_IWGRP
write permission, group
S_IXGRP
execute/search permission, group
S_IRWXO
read, write, execute/search by others
S_IROTH
read permission, others
S_IWOTH
write permission, others
```


EXEC W/ FILE REDIRECTION (OUTPUT) - 2

```
        …
        // now exec "wc"...
        char *myargs[3];
        myargs[0] = strdup("wc");          // program: "wc" (word count)
        myargs[1] = strdup("p4.c");        // argument: file to count
        myargs[2] = NULL;                  // marks end of array
        execvp(myargs[0], myargs);         // runs word count
    } else {                       // parent goes down this path (main)
        int wc = wait(NULL);
    }
    return 0;
}
```

```
prompt> ./p4
prompt> cat p4.output
32 109 846 p4.c
prompt>
```

FEEDBACK - 3

- ***Do system calls and traps execute with limited direct execution?***
  - These are privileged operations that are executed in the kernel, with direct execution.
- ***In layman operating systems like Windows and iOS, what processes are already trusted? How difficult is it to make other processes trusted for the purposes of LDE?“***
  - User processes by default are not trusted
  - They run with Limited Direct Execution
  - Only operating system kernel code is trusted
    - In Linux this can be the kernel itself of kernel modules

FEEDBACK - 4

- ***What is kernel? Is it the same thing as OS?***
  - Yes, the kernel in the binary executable that runs with the computer boots
  - In Linux this is the “/boot/vmlinuz” executable
  - Identify file properties of your kernel with the command:

```
sudo file /boot/vmlinuz-$(uname -r)
```

- ***Can you please explain the differences between the kernel, hardware, and the program?***
- The Linux kernel is the binary file /boot/vmlinuz… that is largely written in C & Assembly that implements the Linux OS
- The hardware is the laptop, desktop, or virtual machine
- The program is a user program that you write such as the examples we’ve reviewed in class (i.e. fork.c)

FEEDBACK - 5

- ***What are trap tables and trap handlers?"***
  - **TRAP TABLE:**
    The x86 processor uses a table known as the interrupt descriptor table (IDT) to determine how to transfer control when a trap occurs. The x86 allows up to 256 different interrupt or exception entry points into the kernel, each with a different interrupt vector.
  - **TRAP HANDLERS:**
    Trap handlers are OS kernel functions that are pointed to by the trap table. These are "event handlers" that respond to various traps.
- ***What is the difference between system APIs and system calls? Are Fork(), wait(), exec() both system APIs and system calls?***
  - A system call is the action of actually calling the system API
  - A system API is the function as defined in the OS

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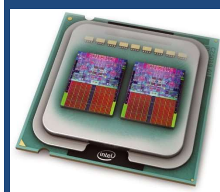
CH. 6: LIMITED DIRECT EXECUTION



MULTITASKING

- How/when should the OS regain control of the CPU to switch between processes?
- Cooperative multitasking (mostly pre 32-bit)
  - < Windows 95, Mac OSX
  - Opportunistic: running programs must give up control
    - User programs must call a special **yield** system call
    - When performing I/O
    - Illegal operations
  - (POLLEV)
    What problems could you for see with this approach?

MULTITASKING

- How/when should the OS regain control of the CPU to switch between processes?
- Cooperative multitasking (mostly pre 32-bit)
  - < W
  - Op l
    - When performing I/O
    - Illegal operations
  - (POLLEV)
    What problems could you for see with this approach?

A process gets stuck in an infinite loop.
→ **Reboot the machine**

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W What problems exist for regaining the control of the CPU with cooperative multitasking OSes?

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Total Results

QUESTION: MULTITASKING

- What problems exist for regaining the control of the CPU with cooperative multitasking OSes?

MULTITASKING - 2

- Preemptive multitasking (32 & 64 bit OSes)
- >= Mac OSX, Windows 95+

- Timer interrupt
  - Raised at some regular interval (in ms)
  - Interrupt handling
    1. Current program is halted
    2. Program states are saved
    3. OS Interrupt handler is run (kernel mode)

- (PollEV) What is a good interval for the timer interrupt?

MULTITASKING - 2

- Preemptive multitasking (32 & 64 bit OSes)
- >= Mac OSX, Windows 95+
- Timer
  - Rais
  - Inter
    1. Current program is halted
    2. Program states are saved
    3. OS Interrupt handler is run (kernel mode)

A **timer interrupt** gives OS the ability to run again on a CPU.

- (PollEV) What is a good interval for the timer interrupt?

W

For an OS that uses a system timer to force arbitrary context switches to share the CPU, what is a good value (in seconds) for the timer interrupt?

QUESTION: TIME SLICE

- For an OS that uses a system timer to force arbitrary context switches to share the CPU, what is a good value (in seconds) for the timer interrupt?

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CONTEXT SWITCH

- Preemptive multitasking initiates "trap" into the OS code to determine:

- Whether to continue running the **current process**, or switch to a **different one.**

- If the decision is made to switch, the OS performs a context switch swapping out the current process for a new one.

CONTEXT SWITCH - 2

1. Save register values of the current process to its kernel stack
   - General purpose registers
   - PC: program counter (instruction pointer)
   - kernel stack pointer

2. Restore soon-to-be-executing process from its kernel stack
3. Switch to the kernel stack for the soon-to-be-executing process

OS @ boot (kernel mode)	Hardware	
initialize trap table		
	remember address of … syscall handler timer handler	
start interrupt timer		
	start timer interrupt CPU in X ms	

OS @ run (kernel mode)	Hardware	Program (user mode)
		Process A …
	timer interrupt save regs(A) to k-stack(A) move to kernel mode jump to trap handler	
Handle the trap Call switch() routine save regs(A) to proc-struct(A) restore regs(B) from proc-struct(B) switch to k-stack(B) **return-from-trap (into B)**		
	restore regs(B) from k-stack(B) move to user mode jump to B's PC	
		Process B …

OS @ boot (kernel mode)	Hardware	
initialize trap table		
	remember address of … syscall handler timer handler	
start interrupt timer		
	start timer interrupt CPU in X ms	

OS @ run	Hardware	Program

Context Switch

Call switch() routine save regs(A) to proc-struct(A) restore regs(B) from proc-struct(B) switch to k-stack(B) **return-from-trap (into B)**		
	restore regs(B) from k-stack(B) move to user mode jump to B's PC	
		Process B …

INTERRUPTED INTERRUPTS

- What happens if during an interrupt (trap to kernel mode), another interrupt occurs?
- Linux
  - < 2.6 kernel: non-preemptive kernel
  - >= 2.6 kernel: preemptive kernel

PREEMPTIVE KERNEL

- Use “locks” as markers of regions of non-preemptibility (non-maskable interrupt)
- Preemption counter (`preempt_count`)
  - begins at zero
  - increments for each lock acquired (not safe to preempt)
  - decrements when locks are released
- Interrupt can be interrupted when `preempt_count=0`
  - It is safe to preempt (maskable interrupt)
  - the interrupt is more important

WE WILL RETURN AT 5:10PM

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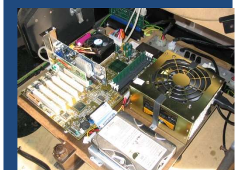
CHAPTER 7- SCHEDULING: INTRODUCTION



SCHEDULING METRICS

- **Metrics**: A standard measure to quantify to what degree a system possesses some property. Metrics provide ***repeatable*** techniques to quantify and compare systems.
- **Measurements** are the numbers derived from the application of metrics

- Scheduling Metric #1: **Turnaround time**
- The time at which the job completes minus the time at which the job arrived in the system

$$T_{turnaround} = T_{completion} - T_{arrival}$$

- How is turnaround time different than execution time?

SCHEDULING METRICS - 2

- Scheduling Metric #2: **Fairness**
  - Jain's fairness index
  - Quantifies if jobs receive a fair share of system resources

$$\mathcal{J}(x_1, x_2, \ldots, x_n) = \frac{(\sum_{i=1}^{n} x_i)^2}{n \cdot \sum_{i=1}^{n} x_i^2}$$

- n processes
- x_i is time share of each process
- worst case = 1/n
- best case = 1

- Consider n=3, worst case = .333, best case=1
- With n=3 and x_1=.2, x_2=.7, x_3=.1, fairness=.62
- With n=3 and x_1=.33, x_2=.33, x_3=.33, fairness=1

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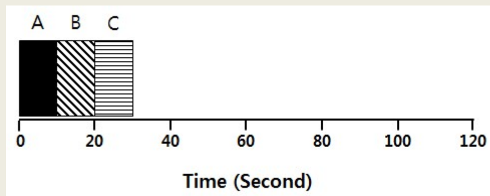
SCHEDULERS

- FIFO: first in, first out
  - Very simple, easy to implement
- Consider
  - 3 x 10sec jobs, arrival: A B C, duration 10 sec each



$$Average\ turnaround\ time = \frac{10 + 20 + 30}{3} = 20\ sec$$

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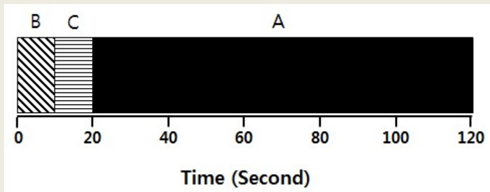
SJF: SHORTEST JOB FIRST

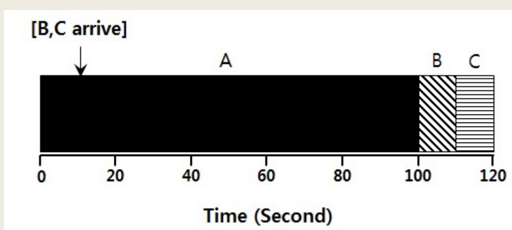
- Given that we know execution times in advance:
  - Run in order of duration, shortest to longest
  - Non preemptive scheduler
  - This is not realistic
  - Arrival: A B C, duration a=100 sec, b/c=10sec



$$Average\ turnaround\ time = \frac{10 + 20 + 120}{3} = 50\ sec$$

SJF: WITH RANDOM ARRIVAL

- If jobs arrive at any time: duration a=100s, b/c=10s
- A @ t=0sec, B @ t=10sec, C @ t=10sec



$$Average\ turnaround\ time = \frac{100 + (110 - 10) + (120 - 10)}{3} = 103.33\ sec$$

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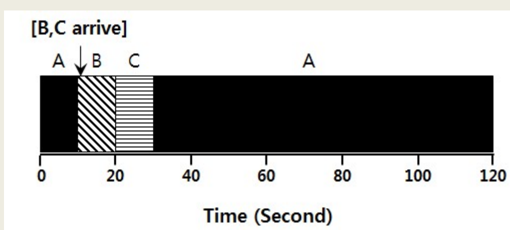
SCTF:
SHORTEST TIME TO COMPLETION FIRST

- Consider: duration a=100sec, b/c=10sec
  - A_{len}=100 $A_{arrival}$=0
  - B_{len}=10, $B_{arrival}$=10, C_{len}=10, $C_{arrival}$=10



$$\textit{Average turnaround time} = \frac{(120-0)+(20-10)+(30-10)}{3} = 50\ sec$$

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SCHEDULING METRICS - 3

- Scheduling Metric #3: **Response Time**
- Time from when job arrives until it starts execution

$$T_{response} = T_{firstrun} - T_{arrival}$$

- STCF, SJF, FIFO
  - can perform poorly with respect to response time

What scheduling algorithm(s) can help minimize response time?

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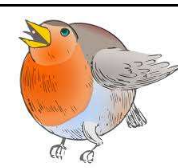
RR: ROUND ROBIN



- Run each job awhile, then switch to another distributing the CPU evenly (fairly)
- Scheduling Quantum is called a time slice
- Time ... a mu... time... period.

Process	Burst Time
P1	12

RR is fair, but performs poorly on metrics such as turnaround time

Round Robin scheduling algorithm Gantt chart

Scheduling Quantum = 5 seconds

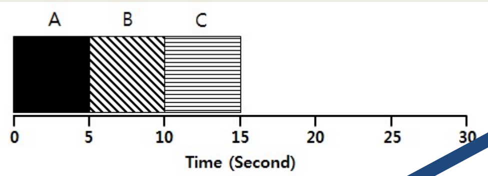
P1	P2	P3	P4	P5	P1	P2	P4	P1

0 5 10 14 19 24 29 32 37 39

RR EXAMPLE

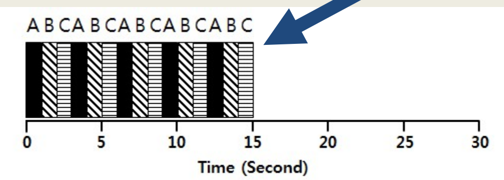
- ABC arrive at time=0, each run for 5 seconds

OVERHEAD not considered



SJF (Bad for Response Time)

$$T_{average\ response} = \frac{0+5+10}{3} = 5sec$$



RR with a time-slice of 1sec (Good for Response Time)

$$T_{average\ response} = \frac{0+1+2}{3} = 1sec$$

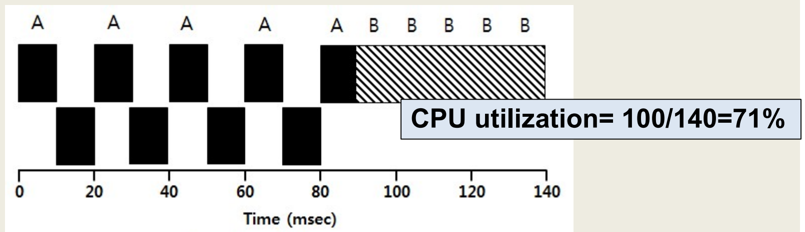
ROUND ROBIN: TRADEOFFS

Short Time Slice		**Long Time Slice**
Fast Response Time	⟷	**Slow Response Time**
High overhead from context switching		**Low overhead from context switching**

- Time slice impact:
  - Turnaround time (for earlier example): ts(1,2,3,4,5)=14,14,13,14,10
  - Fairness: round robin is always fair, J=1

SCHEDULING WITH I/O

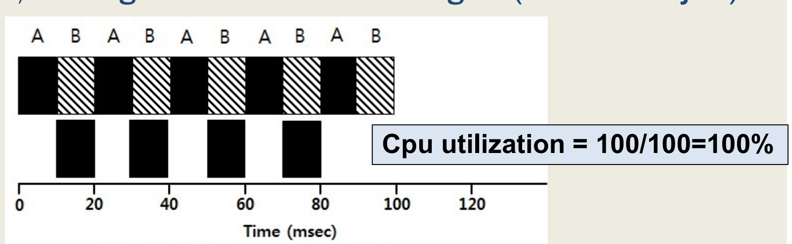
- STCF scheduler
  - A: CPU=50ms, I/O=40ms, 10ms intervals
  - B: CPU=50ms, I/O=0ms
  - Consider A as 10ms subjobs (CPU, then I/O)
- Without considering I/O:



Poor Use of Resources

SCHEDULING WITH I/O - 2

- When a job initiates an I/O request
  - A is blocked, waits for I/O to compute, frees CPU
  - STCF scheduler assigns B to CPU
- When I/O completes → raise interrupt
  - Unblock A, STCF goes back to executing A: (10ms sub-job)



Overlap Allows Better Use of Resources

Which scheduler, thus far, best address fairness and average response time of jobs?

Respond at **PollEv.com/wesleylloyd641**

Text **WESLEYLLOYD641** to **22333** once to join, then **1, 2, 3, 4, 5...**

- First In - First Out (FIFO) **1**
- Shortest Job First (SJF) **2**
- Shortest Time to Completion First (STCF) **3**
- Round Robin **4**
- None of the Above **5**
- All of the Above **6**

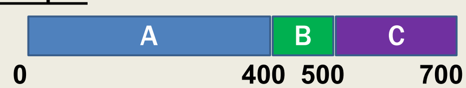
QUESTION: SCHEDULING FAIRNESS

- Which scheduler, this far, best addresses fairness and average response time of jobs?
- First In – First Out (FIFO)
- Shortest Job First (SJF)
- Shortest Time to Completion First (STCF)
- Round Robin (RR)
- None of the Above
- All of the Above

SCHEDULING METRICS

- Consider Three jobs (A, B, C) that require: $time_A$=400ms, $time_B$=100ms, and $time_C$=200ms
- All jobs arrive at time=0 in the sequence of A B C.
- Draw a scheduling graph to help compute the **average response time (ART)** and **average turnaround time (ATT)** scheduling metrics for the FIFO scheduler.

Example:



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What is the Average Response Time of the FIFO scheduler?

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What is the Average Turnaround Time of the FIFO scheduler?

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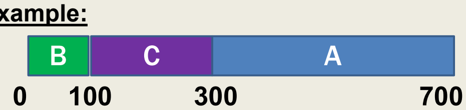
SCHEDULING METRICS

- Consider Three jobs (A, B, C) that require: $time_A$=400ms, $time_B$=100ms, and $time_C$=200ms
- All jobs arrive at time=0 in the sequence of A B C.
- Draw a scheduling graph to help compute the <u>average response time (ART)</u> and <u>average turnaround time (ATT)</u> scheduling metrics for the SJF scheduler.

Example:



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What is the Average Response Time of the Shortest Job First Scheduler?

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What is the Average Turnaround Time of the Shortest Job First Scheduler?

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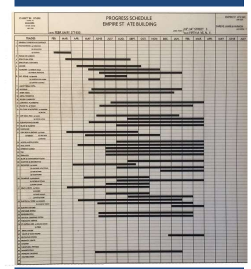


CHAPTER 8 – MULTI-LEVEL FEEDBACK QUEUE (MLFQ) SCHEDULER

OBJECTIVES

- Chapter 8: Multi-level Feedback Queue
  - MLFQ Scheduler
  - Job Starvation
  - Gaming the Scheduler
  - Examples

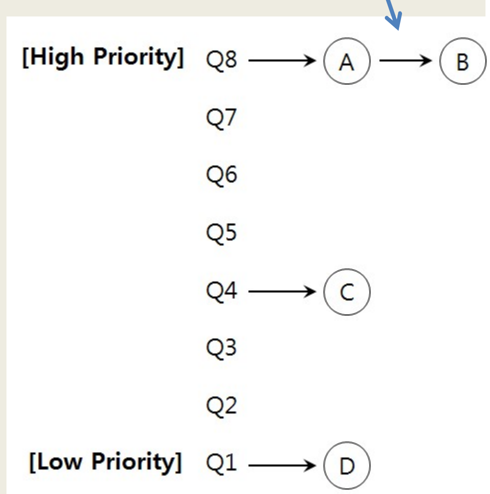
MULTI-LEVEL FEEDBACK QUEUE

- Objectives:
  - Improve turnaround time:
    Run shorter jobs first
  - Minimize response time:
    Important for interactive jobs (UI)
- Achieve without a priori knowledge of job length

MLFQ - 2

Round-Robin within a Queue

- Multiple job queues
- Adjust job priority based on observed behavior
- Interactive Jobs
  - Frequent I/O → keep priority high
  - Interactive jobs require fast response time (GUI/UI)
- Batch Jobs
  - Require long periods of CPU utilization
  - Keep priority low



MLFQ: DETERMINING JOB PRIORITY

- New arriving jobs are placed into highest priority queue
- If a job uses its entire time slice, priority is reduced (↓)
  - Jobs appears CPU-bound ("batch" job), not interactive (GUI/UI)
- If a job relinquishes the CPU for I/O priority stays the same

MLFQ approximates SJF

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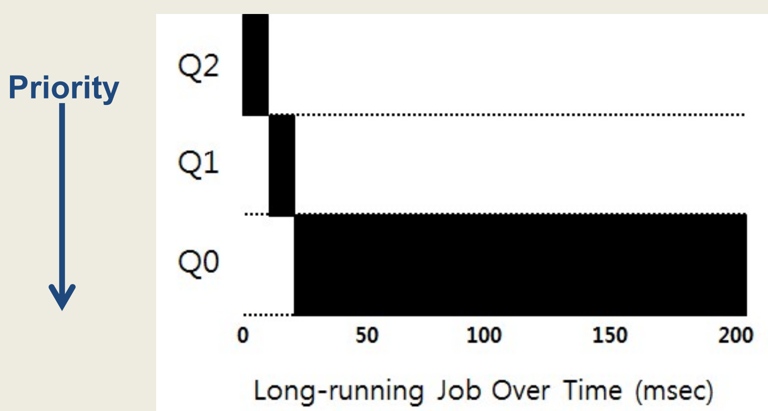
MLFQ: LONG RUNNING JOB

- Three-queue scheduler, time slice=10ms



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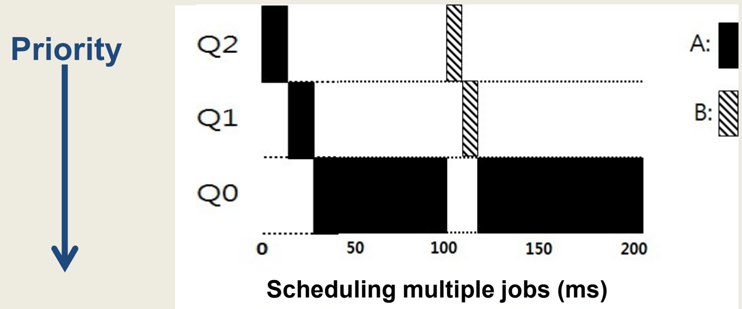
MLFQ: BATCH AND INTERACTIVE JOBS

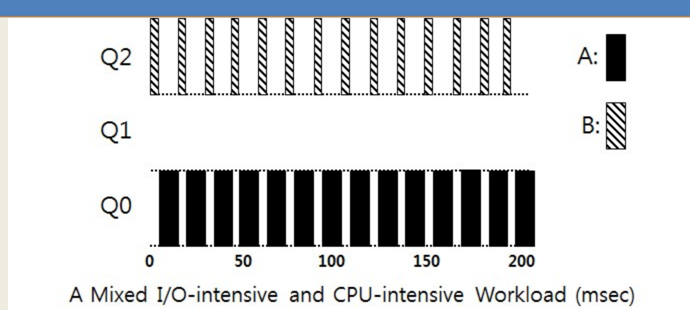
- $A_{arrival\_time}$ =0ms, A_{run_time}=200ms,
- B_{run_time} =20ms, $B_{arrival\_time}$ =100ms



MLFQ: BATCH AND INTERACTIVE - 2

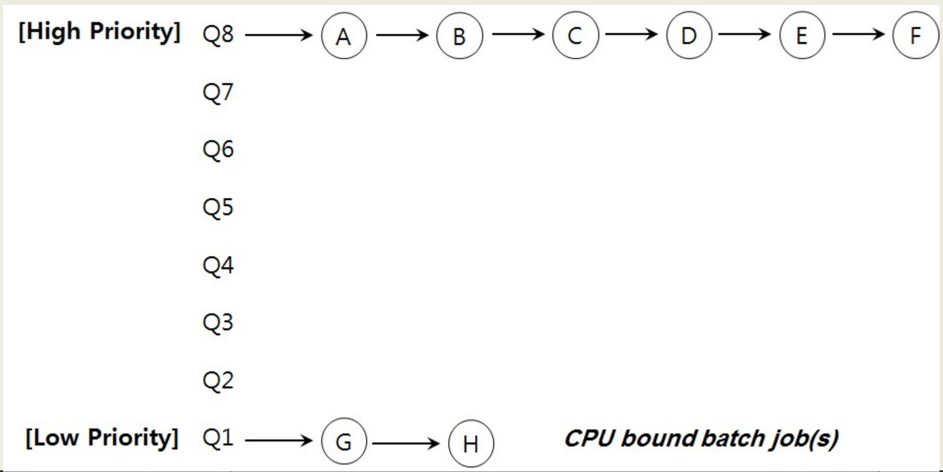
- Continuous interactive job (B) with long running batch job (A)
  - Low response time is good for B
  - A continues to make progress

The MLFQ approach keeps interactive job(s) at the highest priority



MLFQ: ISSUES

- Starvation



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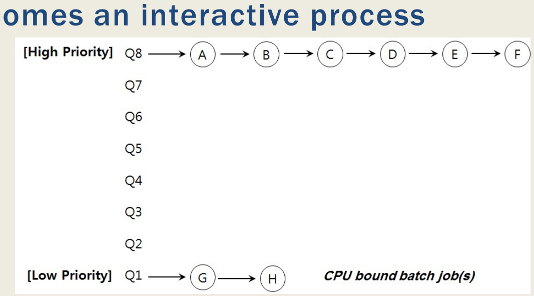
MLFQ: ISSUES - 2

- Gaming the scheduler
  - Issue I/O operation at 99% completion of the time slice
  - Keeps job priority fixed – never lowered
- Job behavioral change
  - CPU/batch process becomes an interactive process

Priority becomes stuck



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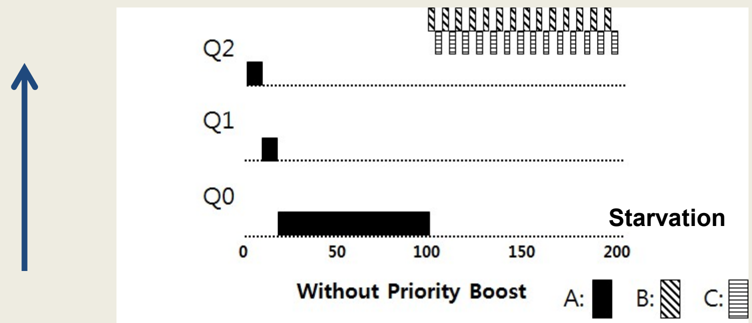
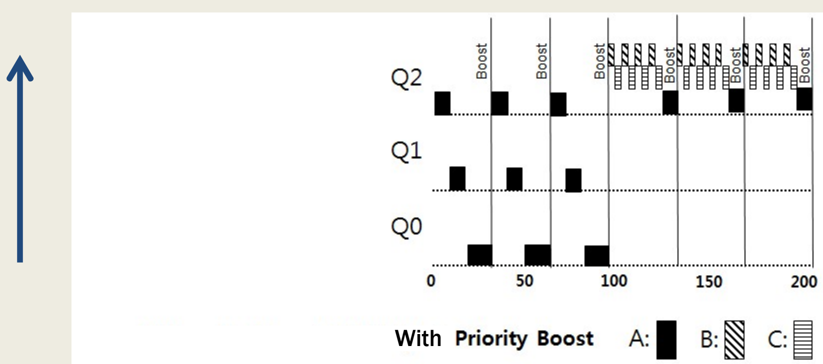
RESPONDING TO BEHAVIOR CHANGE



- Priority Boost
  - Reset all jobs to topmost queue after some time interval S

RESPONDING TO BEHAVIOR CHANGE - 2

- With priority boost
  - Prevents starvation

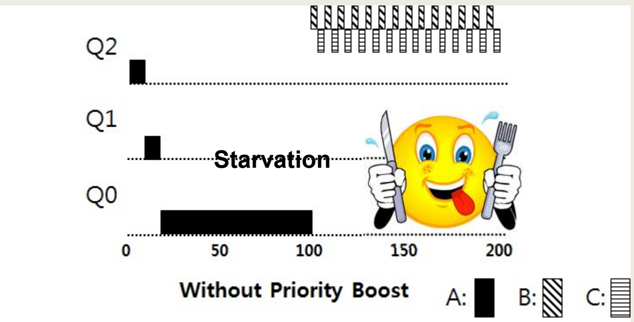


KEY TO UNDERSTANDING MLFQ – PB

- Without priority boost:
- **Rule 1:** If Priority(A) > Priority(B), A runs (B doesn't).
- **Rule 2:** If Priority(A) = Priority(B), A & B run in RR.
- KEY: If time quantum of a higher queue is filled, then we don't run any jobs in lower priority queues!!!

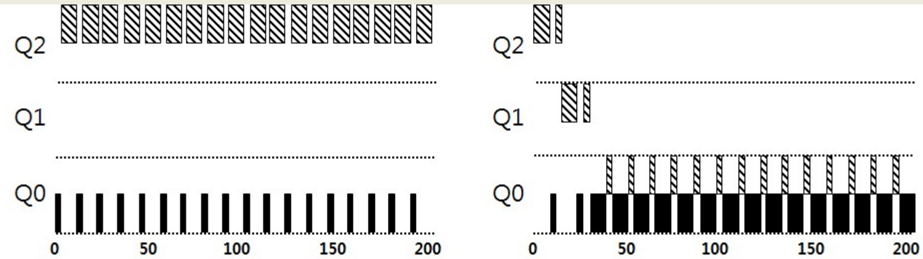
STARVATION EXAMPLE

- Consider 3 queues:
- Q2 – HIGH PRIORITY – Time Quantum 10ms
- Q1 – MEDIUM PRIORITY – Time Quantum 20 ms
- Q0 – LOW PRIORITY – Time Quantum 40 ms
- Job A: 200ms no I/O
- Job B: 5ms then I/O
- Job C: 5ms then I/O
- Q2 fills up, starves Q1 & Q0
- A makes no progress



PREVENTING GAMING

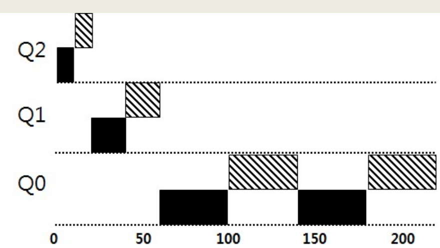
- Improved time accounting:
  - Track total job execution time in the queue
  - Each job receives a fixed time allotment
  - When allotment is exhausted, job priority is lowered



Without(Left) and With(Right) Gaming Tolerance

MLFQ: TUNING

- Consider the tradeoffs:
  - How many queues?
  - What is a good time slice?
  - How often should we "Boost" priority of jobs?
  - What about different time slices to different queues?



Example) 10ms for the highest queue, 20ms for the middle, 40ms for the lowest

PRACTICAL EXAMPLE

- Oracle Solaris MLFQ implementation
  - 60 Queues →
    w/ slowly increasing time slice (high to low priority)
  - Provides sys admins with set of editable table(s)
  - Supports adjusting time slices, boost intervals, priority changes, etc.
- Advice
  - Provide OS with hints about the process
  - Nice command → Linux

MLFQ RULE SUMMARY

- The refined set of MLFQ rules:
- **Rule 1:** If Priority(A) > Priority(B), A runs (B doesn't).
- **Rule 2:** If Priority(A) = Priority(B), A & B run in RR.
- **Rule 3:** When a job enters the system, it is placed at the highest priority.
- **Rule 4:** Once a job uses up its time allotment at a given level (regardless of how many times it has given up the CPU), its priority is reduced(i.e., it moves down on queue).
- **Rule 5:** After some time period S, move all the jobs in the system to the topmost queue.

Jackson deploys a 3-level MLFQ scheduler. The time slice is 1 for high priority jobs, 2 for medium priority, and 4 for low priority. This MLFQ scheduler performs a Priority Boost every 6 timer units. When the priority boost fires, the current job is preempted, and the next scheduled job is run in round-robin order.

Job	Arrival Time	Job Length
A	T=0	4
B	T=0	16
C	T=0	8

(11 points) Show a scheduling graph for the MLFQ scheduler for the jobs above.
Draw vertical lines for key events and be sure to label the X-axis times as in the example.
Please draw clearly. An unreadable graph will loose points.

HIGH |
MED |
LOW |
0

EXAMPLE

- Question:
- Given a system with a quantum length of 10 ms in its highest queue, how often would you have to boost jobs back to the highest priority level to guarantee that a single long-running (and potentially starving) job gets at least 5% of the CPU?
- Some combination of n short jobs runs for a total of 10 ms per cycle without relinquishing the CPU
  - E.g. 2 jobs = 5 ms ea; 3 jobs = 3.33 ms ea, 10 jobs = 1 ms ea
  - n jobs always uses full time quantum (10 ms)
  - Batch jobs starts, runs for full quantum of 10ms
  - All other jobs run and context switch totaling the quantum per cycle
  - If 10ms is 5% of the CPU, when must the priority boost be ???
  - ANSWER → ***Priority boost should occur every 200ms***

QUESTIONS