TCSS 422: OPERATING SYSTEMS

Lock-based data structures, Midterm review

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OBJECTIVES – 5/4

- Questions from 5/4
- Midterm Results
- Assignment 1 – May 11
- Quiz 3 – Synchronized Array
- Chapter 29: Lock Based Data Structures
  - Concurrent Structures: Linked List, Queue, Hash Table
- Chapter 30: Condition Variables
  - Producer/Consumer
  - Covering Conditions
- Chapter 32: Concurrency Problems
  - Non-deadlock concurrency bugs
  - Deadlock causes
  - Deadlock prevention

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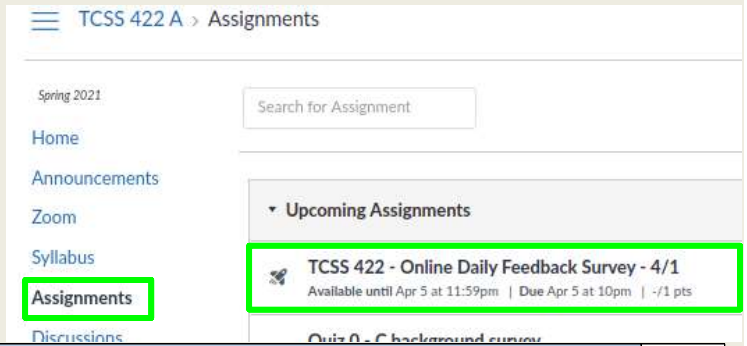
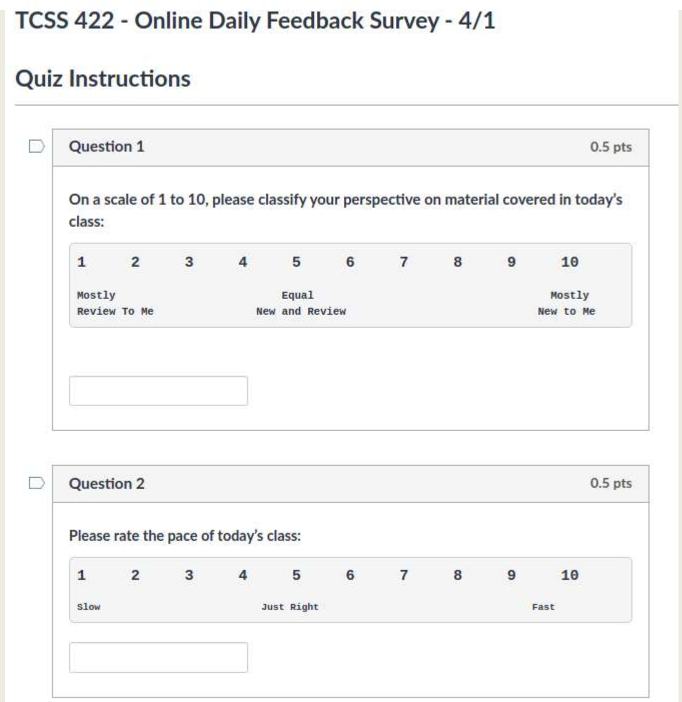
ONLINE DAILY FEEDBACK SURVEY

- Daily Feedback Quiz in Canvas – Available After Each Class
- Extra credit available for completing surveys ***ON TIME***
- Tuesday surveys: due by ~ Wed @ 11:59p
- Thursday surveys: due ~ Mon @ 11:59p





MATERIAL / PACE

- Please classify your perspective on material covered in today's class (57 respondents):
- 1-mostly review, 5-equal new/review, 10-mostly new
- Average – 5.99 (↓ - previous 6.89)

- Please rate the pace of today's class:
- 1-slow, 5-just right, 10-fast
- Average – 5.36 (↓ - previous 5.85)

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FEEDBACK

- ***Least clear points: blocking and non-blocking functions***

- **Blocking API**: stops thread/process execution, waits for an event (resource to become available such as the lock, etc.)
- Blocking APIs are C Linux kernel calls

- **Non-blocking API**: ordinary APIs that do not block process/thread execution to wait for a resource
- May not be a C Linux kernel call

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FEEDBACK - 2

- SLOPPY COUNTER REVIEW
- ***What is the way to find reasonable (or stable) sloppy threshold (S)?***
- ***Should we just select a number in the middle between the highest and lowest sloppy threshold (S)?***

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CHAPTER 29 – LOCK BASED DATA STRUCTURES

CONCURRENT LINKED LIST - 1

- Simplification - only basic list operations shown
- Structs and initialization:

```
// basic node structure
typedef struct __node_t {
        int key;
        struct __node_t *next;
} node_t;

// basic list structure (one used per list)
typedef struct __list_t {
        node_t *head;
        pthread_mutex_t lock;
} list_t;

void List_Init(list_t *L) {
        L->head = NULL;
        pthread_mutex_init(&L->lock, NULL);
}

(Cont.)
```


CONCURRENT LINKED LIST - 2

- Insert – adds item to list
- Everything is critical!
  - There are two unlocks

```
(Cont.)
int List_Insert(list_t *L, int key) {
        pthread_mutex_lock(&L->lock);
        node_t *new = malloc(sizeof(node_t));
        if (new == NULL) {
                perror("malloc");
                pthread_mutex_unlock(&L->lock);
        return -1; // fail }
        new->key = key;
        new->next = L->head;
        L->head = new;
        pthread_mutex_unlock(&L->lock);
        return 0; // success
}
(Cont.)
```

CONCURRENT LINKED LIST - 3

- Lookup – checks list for existence of item with key
- Once again everything is critical
  - Note - there are also two unlocks

```
(Cont.)

int List_Lookup(list_t *L, int key) {
        pthread_mutex_lock(&L->lock);
        node_t *curr = L->head;
        while (curr) {
                if (curr->key == key) {
                        pthread_mutex_unlock(&L->lock);
                        return 0; // success
                }
                curr = curr->next;
        }
        pthread_mutex_unlock(&L->lock);
        return -1; // failure
}
```


CONCURRENT LINKED LIST

- First Implementation:
  - Lock everything inside Insert() and Lookup()
  - If malloc() fails lock must be released
    - Research has shown "***exception-based control flow***" to be error prone
    - 40% of Linux OS bugs occur in rarely taken code paths
    - Unlocking in an exception handler is considered a poor coding practice
    - There is nothing specifically wrong with this example however
- Second Implementation …

CCL – SECOND IMPLEMENTATION

- **Init and Insert**

```
void List_Init(list_t *L) {
        L->head = NULL;
        pthread_mutex_init(&L->lock, NULL);
}

void List_Insert(list_t *L, int key) {
        // synchronization not needed
        node_t *new = malloc(sizeof(node_t));
        if (new == NULL) {
                perror("malloc");
                return;
        }
        new->key = key;

        // just lock critical section
        pthread_mutex_lock(&L->lock);
        new->next = L->head;
        L->head = new;
        pthread_mutex_unlock(&L->lock);
}
```


CCL – SECOND IMPLEMENTATION - 2

- **Lookup**

```
(Cont.)
int List_Lookup(list_t *L, int key) {
        int rv = -1;
        pthread_mutex_lock(&L->lock);
        node_t *curr = L->head;
        while (curr) {
                if (curr->key == key) {
                        rv = 0;
                        break;
                }
                curr = curr->next;
        }
        pthread_mutex_unlock(&L->lock);
        return rv; // now both success and failure
}
```

CONCURRENT LINKED LIST PERFORMANCE

- Using a single lock for entire list is not very performant
- Users must "wait" in line for a single lock to access/modify any item
- Hand-over-hand-locking (lock coupling)
  - Introduce a lock for each node of a list
  - Traversal involves handing over previous node's lock, acquiring the next node's lock…
  - Improves lock granularity
  - Degrades traversal performance

- Consider hybrid approach
  - Fewer locks, but more than 1
  - Best lock-to-node distribution?

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MICHAEL AND SCOTT CONCURRENT QUEUES

- Improvement beyond a single master lock for a queue (FIFO)
- Two locks:
  - One for the **head** of the queue
  - One for the **tail**
- Synchronize enqueue and dequeue operations
- Add a dummy node
  - Allocated in the queue initialization routine
  - Supports separation of head and tail operations
- Items can be added and removed by separate threads at the same time

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CONCURRENT QUEUE

- Remove from queue

```
typedef struct __node_t {
        int value;
        struct __node_t *next;
} node_t;

typedef struct __queue_t {
        node_t *head;
        node_t *tail;
        pthread_mutex_t headLock;
        pthread_mutex_t tailLock;
} queue_t;

void Queue_Init(queue_t *q) {
        node_t *tmp = malloc(sizeof(node_t));
        tmp->next = NULL;
        q->head = q->tail = tmp;
        pthread_mutex_init(&q->headLock, NULL);
        pthread_mutex_init(&q->tailLock, NULL);
}

(Cont.)
```

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CONCURRENT QUEUE - 2

- Add to queue

```
(Cont.)
void Queue_Enqueue(queue_t *q, int value) {
        node_t *tmp = malloc(sizeof(node_t));
        assert(tmp != NULL);

        tmp->value = value;
        tmp->next = NULL;

        pthread_mutex_lock(&q->tailLock);
        q->tail->next = tmp;
        q->tail = tmp;
        pthread_mutex_unlock(&q->tailLock);
}
(Cont.)
```


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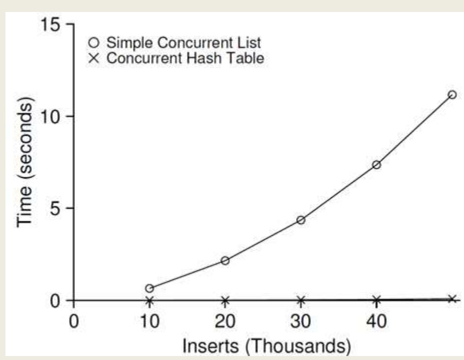
CONCURRENT HASH TABLE

- Consider a simple hash table
  - Fixed (static) size
  - Hash maps to a bucket
    - Bucket is implemented using a concurrent linked list
    - One lock per hash (bucket)
    - Hash bucket is a linked lists

INSERT PERFORMANCE – CONCURRENT HASH TABLE

- Four threads – 10,000 to 50,000 inserts
  - iMac with four-core Intel 2.7 GHz CPU



The simple concurrent hash table **scales magnificently**.

CONCURRENT HASH TABLE

```
#define BUCKETS (101)

typedef struct __hash_t {
        list_t lists[BUCKETS];
} hash_t;

void Hash_Init(hash_t *H) {
        int i;
        for (i = 0; i < BUCKETS; i++) {
                List_Init(&H->lists[i]);
        }
}

int Hash_Insert(hash_t *H, int key) {
        int bucket = key % BUCKETS;
        return List_Insert(&H->lists[bucket], key);
}

int Hash_Lookup(hash_t *H, int key) {
        int bucket = key % BUCKETS;
        return List_Lookup(&H->lists[bucket], key);
}
```


Which is a major advantage of using concurrent data structures in your programs?

Locks are encapsulated within data structure code ensuring thread safety.

Lock granularity tradeoff already optimized inside data structurew

Multiple threads can more easily share data

All of the above

None of the above

LOCK-FREE DATA STRUCTURES

- Lock-free data structures in Java
- Java.util.concurrent.atomic package
- Classes:
  - AtomicBoolean
  - AtomicInteger
  - AtomicIntegerArray
  - AtomicIntegerFieldUpdater
  - AtomicLong
  - AtomicLongArray
  - AtomicLongFieldUpdater
  - AtomicReference
- See: https://docs.oracle.com/en/java/javase/11/docs/api/java.base/java/util/concurrent/atomic/package-summary.html

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CHAPTER 30 – CONDITION VARIABLES

CONDITION VARIABLES

- There are many cases where a thread wants to wait for another thread before proceeding with execution
- Consider when a precondition must be fulfilled before it is meaningful to proceed …

CONDITION VARIABLES - 2

- Support a signaling mechanism to alert threads when preconditions have been satisfied
- Eliminate busy waiting
- Alert one or more threads to "consume" a result, or respond to state changes in the application
- Threads are placed on **(FIFO) queue** to ***WAIT*** for signals
- **Signal**: wakes one thread (thread waiting longest) **broadcast** wakes all threads (ordering by the OS)

CONDITION VARIABLES - 3

- Condition variable

```
pthread_cond_t c;
```

  - Requires initialization
- Condition API calls

```
pthread_cond_wait(pthread_cond_t *c, pthread_mutex_t *m);    // wait()
pthread_cond_signal(pthread_cond_t *c);                      // signal()
```

- wait() accepts a mutex parameter
  - Releases lock, puts thread to sleep, thread added to FIFO queue
- signal()
  - Wakes up thread, awakening thread acquires lock

CONDITION VARIABLES - QUESTIONS

- **Why would we want to put waiting threads on a queue? *why not use a stack?***
  - Queue (FIFO), Stack (LIFO)
- **Why do we want to not busily wait for the lock to become available?**
  - Using condition variables eliminates busy waiting by putting threads to "sleep" and yielding the CPU.
- A program has 10-threads, where 9 threads are waiting. The working thread finishes and broadcasts that the lock is available. **What happens next?**
  - All threads woken up in FIFO order - based on when started to wait

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MATRIX GENERATOR

Matrix generation example

Chapter 30
signal.c

MATRIX GENERATOR

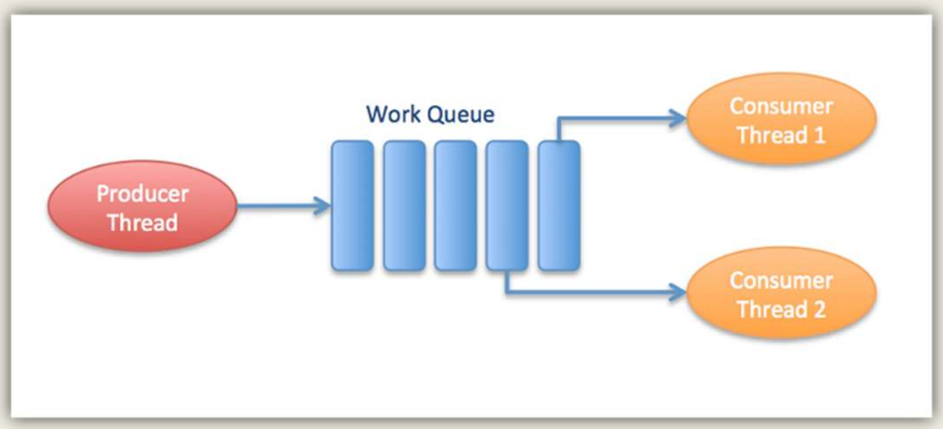
- The worker thread produces a matrix
  - Matrix stored using shared global pointer
- The main thread consumes the matrix
  - Calculates the average element
  - Display the matrix
- What would happen if we don't use a condition variable to coordinate exchange of the lock?
- Example program: "nosignal.c"

ATTEMPT TO USE CONDITION VARIABLE WITHOUT A WHILE STATEMENT

```
1    void thr_exit() {                    ← Child calls
2        done = 1;
3        Pthread_cond_signal(&c);
4    }
5
6    void thr_join() {                    ← Parent calls
7        if (done == 0)
8            Pthread_cond_wait(&c);
9    }
```

- Subtle race condition introduced
- Parent thread calls **thr_join()** and executes comparison (line 7)
- Context switches to the child
- The child runs **thr_exit()** and signals the parent, but the parent is not waiting yet. *(parent has not reached line 8)*
- **The signal is lost !**
- The parent deadlocks

PRODUCER / CONSUMER



PRODUCER / CONSUMER

- Producer
  - Produces items – e.g. child the makes matricies
  - Places them in a buffer
    - Example: the buffer size is only 1 element (single array pointer)
- Consumer
  - Grabs data out of the buffer
  - Our example: parent thread receives dynamically generated matrices and performs an operation on them
    - Example: calculates average value of every element (integer)
- Multithreaded web server example
  - Http requests placed into work queue; threads process

PRODUCER / CONSUMER - 2

- Producer / Consumer is also known as **Bounded Buffer**
- Bounded buffer
  - Similar to piping output from one Linux process to another
  - grep pthread signal.c | wc –l
  - Synchronized access:
    sends output from grep → wc as it is produced
  - File stream

WE WILL RETURN AT 4:50PM

PUT/GET ROUTINES

- Buffer is a one element shared data structure (int)
- Producer "puts" data, Consumer "gets" data
- "Bounded Buffer" shared data structure requires ***synchronization***

```c
int buffer;
int count = 0;    // initially, empty

void put(int value) {
        assert(count == 0);
        count = 1;
        buffer = value;
}

int get() {
        assert(count == 1);
        count = 0;
        return buffer;
}
```

PRODUCER / CONSUMER - 3

- Producer adds data
- Consumer removes data (busy waiting)
- Without synchronization:
  1. Producer Function 2. Consumer Function

```
void *producer(void *arg) {
        int i;
        int loops = (int) arg;
        for (i = 0; i < loops; i++) {
                put(i);
        }
}

void *consumer(void *arg) {
        int i;
        while (1) {
                int tmp = get();
                printf("%d\n", tmp);
        }
}
```


PRODUCER / CONSUMER - 3

- The shared data structure needs synchronization!

Producer

```
cond_t cond;
mutex_t mutex;

void *producer(void *arg) {
    int i;
    for (i = 0; i < loops; i++) {
        Pthread_mutex_lock(&mutex);              // p1
        if (count == 1)                          // p2
            Pthread_cond_wait(&cond, &mutex);    // p3
        put(i);                                  // p4
        Pthread_cond_signal(&cond);              // p5
        Pthread_mutex_unlock(&mutex);            // p6
    }
}

void *consumer(void *arg) {
    int i;
    for (i = 0; i < loops; i++) {
        Pthread_mutex_lock(&mutex);              // c1
```

PRODUCER/CONSUMER - 4

```
            if (count == 0)                                  // c2
               Pthread_cond_wait(&cond, &mutex);             // c3
            int tmp = get();                                 // c4
            Pthread_cond_signal(&cond);                      // c5
            Pthread_mutex_unlock(&mutex);                    // c6
            printf("%d\n", tmp);
        }
    }
```

Consumer

- This code as-is works with just:
  - (1) Producer
  - (1) Consumer
- PROBLEM: no while. If thread wakes up it MUST execute
- If we scale to (2+) consumer's it fails
  - How can it be fixed ?

EXECUTION TRACE: NO WHILE, 1 PRODUCER, 2 CONSUMERS

- Two threads

Legend
c1/p1- lock
c2/p2- check var
c3/p3- wait
c4- put()
p4- get()
c5/p5- signal
c6/p6- unlock

T_{c1}	State	T_{c2}	State	T_p	State	Count	Comment
c1	Running		Ready		Ready	0	
c2	Running		Ready		Ready	0	
c3	Sleep		Ready		Ready	0	Nothing to get
	Sleep		Ready	p1	Running	0	
	Sleep		Ready	p2	Running	0	
	Sleep		Ready	p4	Running	1	Buffer now full
	Ready		Ready	p5	Running	1	T_{c1} awoken
	Ready		Ready	p6	Running	1	
	Ready		Ready	p1	Running	1	
	Ready		Ready	p2	Running	1	
	Ready		Ready	p3	Sleep	1	Buffer full; sleep
	Ready	c1	Running		Sleep	1	T_{c2} sneaks in …
	Ready	c2	Running		Sleep	1	
	Ready	c4	Running		Sleep	0	… and grabs data
	Ready	c5	Running		Ready	0	T_p awoken
	Ready	c6	Running		Ready	0	
c4	Running		Ready		Ready	0	Oh oh! No data

PRODUCER/CONSUMER SYNCHRONIZATION

- When producer threads awake, they do not check if there is any data in the buffer…
  - Need "while" statement, "if" statement is ***insufficient …***
- What if T_p puts a value, wakes T_{c1} whom consumes the value
- Then T_p has a value to put, but T_{c1}'s signal on &cond wakes T_{c2}
- There is nothing for T_{c2} consume, so T_{c2} sleeps
- T_{c1}, T_{c2}, and T_p all sleep forever
- T_{c1} needs to wake T_p to T_{c2}

EXECUTION TRACE:
WHILE, 1 CONDITION, 1 PRODUCER, 2 CONSUMERS

Legend
c1/p1- lock
c2/p2- check var
c3/p3- wait
c4- put()
p4- get()
c5/p5- signal
c6/p6- unlock

T_{c1}	State	T_{c2}	State	T_p	State	Count	Comment
c1	Running		Ready		Ready	0	
c2	Running		Ready		Ready	0	
c3	Sleep		Ready		Ready	0	Nothing to get
	Sleep	c1	Running		Ready	0	
	Sleep	c2	Running		Ready	0	
	Sleep	c3	Sleep		Ready	0	Nothing to get
	Sleep		Sleep	p1	Running	0	
	Sleep		Sleep	p2	Running	0	
	Sleep		Sleep	p4	Running	1	Buffer now full
	Ready		Sleep	p5	Running	1	T_{c1} awoken
	Ready		Sleep	p6	Running	1	
	Ready		Sleep	p1	Running	1	
	Ready		Sleep	p2	Running	1	
	Ready		Sleep	p3	Sleep	1	Must sleep (full)
c2	Running		Sleep		Sleep	1	Recheck condition
c4	Running		Sleep		Sleep	0	T_{c1} grabs data
c5	Running		Ready		Sleep	0	Oops! Woke T_{c2}

EXECUTION TRACE – 2

WHILE, 1 CONDITION, 1 PRODUCER, 2 CONSUMERS

- T_{c2} runs, no data to consume

Legend
c1/p1- lock
c2/p2- check var
c3/p3- wait
c4- put()
p4- get()
c5/p5- signal
c6/p6- unlock

T_{c1}	State	T_{c2}	State	T_p	State	Count	Comment
...	...	...	...	...	...	...	*(cont.)*
c6	Running		Ready		Sleep	0	
c1	Running		Ready		Sleep	0	
c2	Running		Ready		Sleep	0	
c3	Sleep		Ready		Sleep	0	Nothing to get
	Sleep	c2	Running		Sleep	0	
	Sleep	c3	Sleep		Sleep	0	Everyone asleep ...

TWO CONDITIONS

- Required w/ multiple producer and consumer threads
- Use two condition variables: <u>empty</u> & <u>full</u>
  - One condition handles the producer
  - the other the consumer

```
cond_t empty, full;
mutex_t mutex;

void *producer(void *arg) {
    int i;
    for (i = 0; i < loops; i++) {
        Pthread_mutex_lock(&mutex);
        while (count == 1)
            Pthread_cond_wait(&empty, &mutex);
        put(i);
        Pthread_cond_signal( &full);
        Pthread_mutex_unlock(&mutex);
    }
}

```

FINAL PRODUCER/CONSUMER

- Change buffer from int, to int buffer[MAX]
- Add indexing variables
- >> Becomes ***BOUNDED BUFFER***, can store multiple matricies

```
int buffer[MAX];
int fill = 0;
int use = 0;
int count = 0;

void put(int value) {
    buffer[fill] = value;
    fill = (fill + 1) % MAX;
    count++;
}

int get() {
    int tmp = buffer[use];
    use = (use + 1) % MAX;
    count--;
    return tmp;
}
```


FINAL P/C - 2

```
cond_t empty, full
mutex_t mutex;

void *producer(void *arg) {
    int i;
    for (i = 0; i < loops; i++) {
        Pthread_mutex_lock(&mutex);              // p1
        while (count == MAX)                     // p2
            Pthread_cond_wait(&empty, &mutex);   // p3
        put(i);                                  // p4
        Pthread_cond_signal (&full);             // p5
        Pthread_mutex_unlock(&mutex);            // p6
    }
}

void *consumer(void *arg) {
    int i;
    for (i = 0; i < loops; i++) {
        Pthread_mutex_lock(&mutex);              // c1
        while (count == 0)                       // c2
            Pthread_cond_wait( &full, &mutex);   // c3
        int tmp = get();                         // c4
```

FINAL P/C - 3

```
(Cont.)
        Pthread_cond_signal(&empty);          // c5
        Pthread_mutex_unlock(&mutex);         // c6
        printf("%d\n", tmp);
    }
}
```

- Producer: only sleeps when buffer is full
- Consumer: only sleeps if buffers are empty

Using one condition variable, and no while loop is sufficient to synchronize access to a bounded buffer shared by:

- 1 Producer, 1 Consumer Thread
- 2 Consumers, 1 Producer Thread
- 2+ Producers, 2+ Consumer Threads
- All of the above
- None of the above

Using one condition variable, with a while loop is sufficient to synchronize access to a bounded buffer shared by:

- 1 Producer, 1 Consumer Thread
- 2 Consumers, 1 Producer Thread
- 2+ Producers, 2+ Consumer Threads
- None of the above

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Using two condition variables, and a while loop is sufficient to synchronize access to a bounded buffer shared by:

- 1 Producer, 1 Consumer Thread
- 2 Consumers, 1 Producer Thread
- 2+ Producers, 2+ Consumer Threads
- All of the above
- None of the above

Start the presentation to see live content. For screen share software, share the entire screen. Get help at **pollev.com/app**

OBJECTIVES – 5/4

- Questions from 5/4
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COVERING CONDITIONS

- A condition that covers ***all*** cases (conditions):
- Excellent use case for **pthread_cond_broadcast**

- Consider memory allocation:
  - When a program deals with huge memory allocation/deallocation on the heap
  - Access to the heap must be managed when memory is scarce

    PREVENT: Out of memory:
    - queue requests until memory is free

  - Which thread should be woken up?

COVERING CONDITIONS - 2

```
// how many bytes of the heap are free?
int bytesLeft = MAX_HEAP_SIZE;

// need lock and condition too
cond_t c;
mutex_t m;

void *
allocate(int size) {
    Pthread_mutex_lock(&m);
    while (bytesLeft < size)
        Pthread_cond_wait(&c, &m);
    void *ptr = ...;              // get mem from heap
    bytesLeft -= size;
    Pthread_mutex_unlock(&m);
    return ptr;
}

void free(void *ptr, int size) {
    Pthread_mutex_lock(&m);
    bytesLeft += size;
    Pthread_cond_signal(&c);      //
    Pthread_mutex_unlock(&m);
}
```

Check available memory

Broadcast

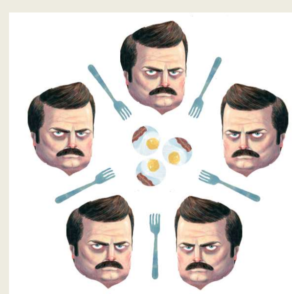
COVER CONDITIONS - 3

- Broadcast awakens all blocked threads requesting memory
- Each thread evaluates if there's enough memory: (bytesLeft < size)
  - Reject: requests that cannot be fulfilled- go back to sleep
    - *Insufficient memory*
  - Run: requests which <u>can</u> be fulfilled
    - with newly available memory!
- <u>Another use case</u>: coordinate a group of busy threads to gracefully end, to EXIT the program
- <u>Overhead</u>
  - Many threads may be awoken which can't execute

TCSS 422 WILL RETURN AT ~2:40PM

CHAPTER 31: SEMAPHORES

- Offers a combined C language construct that can assume the role of a lock or a condition variable depending on usage
  - Allows fewer concurrency related variables in your code
  - Potentially makes code more ambiguous
  - For this reason, with limited time in a 10-week quarter, we do not cover
- Ch. 31.6 – Dining Philosophers Problem
  - Classic computer science problem about sharing eating utensils
  - Each philosopher tries to obtain two forks in order to eat
  - Mimics deadlock as there are not enough forks
  - Solution is to have one left-handed philosopher that grabs forks in opposite order



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CHAPTER 32 – CONCURRENCY PROBLEMS

CONCURRENCY BUGS IN OPEN SOURCE SOFTWARE

- "Learning from Mistakes – A Comprehensive Study on Real World Concurrency Bug Characteristics"
  - Shan Lu et al.
  - Architectural Support For Programming Languages and Operating Systems (ASPLOS 2008), Seattle WA

Application	What it does	Non-Deadlock	Deadlock
MySQL	Database Server	14	9
Apache	Web Server	13	4
Mozilla	Web Browser	41	16
Open Office	Office Suite	6	2
Total		**74**	**31**

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NON-DEADLOCK BUGS

- Majority of concurrency bugs
- Most common:
  - Atomicity violation: forget to use locks
  - Order violation: failure to initialize lock/condition before use

ATOMICITY VIOLATION - MYSQL

- Two threads access the `proc_info` field in `struct thd`
- `NULL` is 0 in C
- Mutually exclusive access to shared memory among separate threads is not enforced (e.g. non-atomic)
- Simple example: ***proc_info deleted***

Programmer intended variable to be accessed atomically…

```
Thread1::
if(thd->proc_info){
   …
   fputs(thd->proc_info , …);
   …
}

Thread2::
thd->proc_info = NULL;
```

ATOMICITY VIOLATION - SOLUTION

- Add locks for all uses of: `thd->proc_info`

```
pthread_mutex_t lock = PTHREAD_MUTEX_INITIALIZER;

Thread1::
pthread_mutex_lock(&lock);
if(thd->proc_info){
    …
    fputs(thd->proc_info , …);
    …
}
pthread_mutex_unlock(&lock);

Thread2::
pthread_mutex_lock(&lock);
thd->proc_info = NULL;
pthread_mutex_unlock(&lock);
```


ORDER VIOLATION BUGS

- Desired order between memory accesses is flipped
- E.g. something is checked before it is set
- Example:

```
Thread1::
void init(){
    mThread = PR_CreateThread(mMain, …);
}

Thread2::
void mMain(…){
    mState = mThread->State
}
```

- What if mThread is not initialized?

ORDER VIOLATION - SOLUTION

- Use condition & signal to enforce order

```
pthread_mutex_t mtLock = PTHREAD_MUTEX_INITIALIZER;
pthread_cond_t mtCond = PTHREAD_COND_INITIALIZER;
int mtInit = 0;

Thread 1::
void init(){
    …
    mThread = PR_CreateThread(mMain,…);

    // signal that the thread has been created.
    pthread_mutex_lock(&mtLock);
    mtInit = 1;
    pthread_cond_signal(&mtCond);
    pthread_mutex_unlock(&mtLock);
    …
}

Thread2::
void mMain(…){
    …
```

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ORDER VIOLATION – SOLUTION - 2

- Use condition & signal to enforce order

```
    // wait for the thread to be initialized …
    pthread_mutex_lock(&mtLock);
    while(mtInit == 0)
        pthread_cond_wait(&mtCond, &mtLock);
    pthread_mutex_unlock(&mtLock);

    mState = mThread->State;
    …
}
```

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NON-DEADLOCK BUGS - 1

- 97% of Non-Deadlock Bugs were
  - Atomicity
  - Order violations
- Consider what is involved in "spotting" these bugs in code
  - *>> no use of locking constructs to search for*
- Desire for automated tool support (IDE)

NON-DEADLOCK BUGS - 2

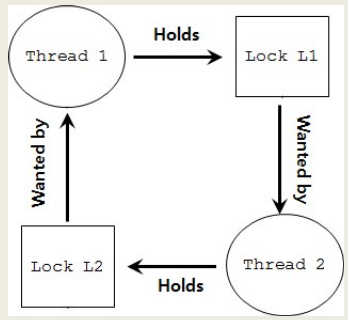
- Atomicity
  - How can we tell if a given variable is shared?
    - Can search the code for uses
  - How do we know if all instances of its use are shared?
    - Can some non-synchronized, non-atomic uses be legal?
      - Legal uses: before threads are created, after threads exit
    - Must verify the scope
- Order violation
  - Must consider all variable accesses
  - Must know desired order

DEADLOCK BUGS

- Presence of a cycle in code
- Thread 1 acquires lock L1, waits for lock L2
- Thread 2 acquires lock L2, waits for lock L1

```
Thread 1:        Thread 2:
lock(L1);        lock(L2);
lock(L2);        lock(L1);
```

- Both threads can block, unless one manages to acquire both locks



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REASONS FOR DEADLOCKS

- Complex code
  - Must avoid circular dependencies – can be hard to find...
- Encapsulation hides potential locking conflicts
  - Easy-to-use APIs embed locks inside
  - Programmer doesn't know they are there
  - Consider the Java Vector class:

```
1   Vector v1,v2;
2   v1.AddAll(v2);
```

  - Vector is thread safe (synchronized) by design
  - If there is a v2.AddAll(v1); call at nearly the same time deadlock could result

CONDITIONS FOR DEADLOCK

- Four conditions are required for dead lock to occur

Condition	Description
Mutual Exclusion	Threads claim exclusive control of resources that they require.
Hold-and-wait	Threads hold resources allocated to them while waiting for additional resources
No preemption	Resources cannot be forcibly removed from threads that are holding them.
Circular wait	There exists a circular chain of threads such that each thread holds one more resources that are being requested by the next thread in the chain

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PREVENTION – MUTUAL EXCLUSION

- Build wait-free data structures
  - Eliminate locks altogether
  - Build structures using CompareAndSwap atomic CPU (HW) instruction
- C pseudo code for CompareAndSwap
- Hardware executes this code atomically

```
int CompareAndSwap(int *address, int expected, int new){
    if(*address == expected){
            *address = new;
            return 1; // success
    }
    return 0;
}
```

PREVENTION – MUTUAL EXCLUSION - 2

- Recall atomic increment

```
void AtomicIncrement(int *value, int amount){
    do{
        int old = *value;
    }while( CompareAndSwap(value, old, old+amount)==0);
}
```

- Compare and Swap tries over and over until successful
- CompareAndSwap is guaranteed to be atomic
- When it runs it is **ALWAYS** atomic (at HW level)

MUTUAL EXCLUSION: LIST INSERTION

- Consider list insertion

```
void insert(int value){
    node_t * n = malloc(sizeof(node_t));
    assert( n != NULL );
    n->value = value ;
    n->next  = head;
    head     = n;
}
```

MUTUAL EXCLUSION – LIST INSERTION - 2

- Lock based implementation

```
void insert(int value){
     node_t * n = malloc(sizeof(node_t));
     assert( n != NULL );
     n->value = value ;
     lock(listlock); // begin critical section
     n->next  = head;
     head     = n;
     unlock(listlock) ;  //end critical section
}
```


MUTUAL EXCLUSION – LIST INSERTION - 3

- Wait free (no lock) implementation

```
void insert(int value) {
     node_t *n = malloc(sizeof(node_t));
     assert(n != NULL);
     n->value = value;
     do {
             n->next = head;
     } while (CompareAndSwap(&head, n->next, n));
}
```

- Assign &head to n (new node ptr)
- Only when head = n->next

CONDITIONS FOR DEADLOCK

- Four conditions are required for dead lock to occur

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Mutual Exclusion	Threads claim exclusive control of resources that they require.
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PREVENTION LOCK – HOLD AND WAIT

- Problem: acquire all locks atomically
- Solution: use a "lock" "lock"… (*like a guard lock*)

```
lock(prevention);
lock(L1);
lock(L2);
…
unlock(prevention);
```

- Effective solution – guarantees no race conditions while acquiring L1, L2, etc.
- Order doesn't matter for L1, L2
- Prevention (GLOBAL) lock decreases concurrency of code
  - Acts Lowers lock granularity
- Encapsulation: consider the Java Vector class…

CONDITIONS FOR DEADLOCK

- **Four conditions** are required for dead lock to occur

Condition	Description
Mutual Exclusion	Threads claim exclusive control of resources that they require.
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PREVENTION – NO PREEMPTION

- When acquiring locks, don't BLOCK forever if unavailable…
- pthread_mutex_trylock() - try once
- pthread_mutex_timedlock() - try and wait awhile

```
top:
    lock(L1);
    if( tryLock(L2) == -1 ){
        unlock(L1);
        goto top;
    }
```

- Eliminates deadlocks

NO PREEMPTION – LIVELOCKS PROBLEM

- Can lead to livelock

```
top:
    lock(L1);
    if( tryLock(L2) == -1 ){
        unlock(L1);
        goto top;
    }
```

- Two threads execute code in parallel → always fail to obtain both locks

- Fix: add random delay
  - Allows one thread to win the livelock race!

CONDITIONS FOR DEADLOCK

- Four conditions are required for dead lock to occur

Condition	Description
Mutual Exclusion	Threads claim exclusive control of resources that they require.
Hold-and-wait	Threads hold resources allocated to them while waiting for additional resources
No preemption	Resources cannot be forcibly removed from threads that are holding them.
Circular wait	There exists a circular chain of threads such that each thread holds one more resources that are being requested by the next thread in the chain

PREVENTION – CIRCULAR WAIT

- Provide total ordering of lock acquisition throughout code
  - Always acquire locks in same order
  - L1, L2, L3, …
  - Never mix: L2, L1, L3; L2, L3, L1; L3, L1, L2….

- Must carry out same ordering through entire program

CONDITIONS FOR DEADLOCK

- If any of the following conditions DOES NOT EXSIST, describe why deadlock can not occur?

Condition	Description
Mutual Exclusion	Threads claim exclusive control of resources that they require.
Hold-and-wait	Threads hold resources allocated to them while waiting for additional resources
No preemption	Resources cannot be forcibly removed from threads that are holding them.
Circular wait	There exists a circular chain of threads such that each thread holds one more resources that are being requested by the next thread in the chain

The dining philosophers problem where 5 philosophers compete for 5 forks, and where a philosopher must hold two forks to eat involves which deadlock condition(s)?

Mutual Exclusion

Hold-and-wait

No preemption

Circular wait

All of the above

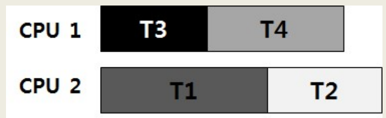
DEADLOCK AVOIDANCE VIA INTELLIGENT SCHEDULING

- Consider a smart scheduler
  - Scheduler knows which locks threads use
- Consider this scenario:
  - 4 Threads (T1, T2, T3, T4)
  - 2 Locks (L1, L2)
- Lock requirements of threads:

	T1	T2	T3	T4
L1	yes	yes	no	no
L2	yes	yes	yes	no

INTELLIGENT SCHEDULING - 2

- Scheduler produces schedule:

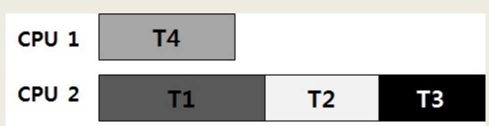


- No deadlock can occur
- Consider:

	T1	T2	T3	T4
L1	yes	yes	yes	no
L2	yes	yes	yes	no

INTELLIGENT SCHEDULING - 3

- Scheduler produces schedule



- Scheduler must be conservative and not take risks
- Slows down execution – many threads
- There has been limited use of these approaches given the difficulty having intimate lock knowledge about every thread

DETECT AND RECOVER

- Allow deadlock to occasionally occur and then take some action.
  - Example: When OS freezes, reboot…
- How often is this acceptable?
  - Once per year
  - Once per month
  - Once per day
  - *Consider the effort tradeoff of finding every deadlock bug*
- Many database systems employ deadlock detection and recovery techniques.

QUESTIONS