

## **Appendix (Supplementary Information). Codebook for *If You're Against Them You're With Us: The Effect Of Expropriation On Autocratic Survival***

On pages 1 through 15 we document the measures of large scale expropriation employed in the paper, as well as our coding methods and sources.

On page 16 of this document is a table that documents the distribution of episodes of dictatorial survival for Latin American dictators between 1950 and 2002, the form of exit for each leader, as well as whether large-scale episodes of expropriation occurred.

On pages 17 through 19 we document the independent variables used in the regressions reported in the paper.

### **I. MEASURES OF EXPROPRIATION**

#### **1. LAND EXPROPRIATION**

For most years we found the exact quantity of land expropriated, and therefore the measure varies by year for those years. But in some cases we only knew how much was redistributed over a certain leader's tenure or for the span of a few years. Absent information about the program increasing or decreasing in intensity over time, we divided the full amount of redistribution over that leader's tenure. This was not an issue in constructing the dummy variable for large-scale land expropriation (>3% of cultivable land in a given leader year), since it was clear in all cases whether or not a given leader's land expropriation exceeded this threshold.

We also code a continuous version of this variable that is again coded as a "0" if the ratio of land expropriated is less than 3% of cultivable land—consistent with the reasoning articulated above—but takes on the observed values of the ratio of private landholdings expropriated to cultivable land above this threshold. This second measure therefore allows us to see if marginal increases in the magnitude of expropriation above and beyond the 3% threshold further reduce the odds of a dictator exiting power.

Based on the literature on land reform, for Ecuador we only coded land redistribution until 1990 because we are unsure about whether there is land redistribution after 1990. We coded zeroes for Bolivia, Brazil, Peru, Chile, Argentina, Colombia, Venezuela, and Uruguay from 1990 to 2000. These years may have witnessed negligible rates of land reform in some instances.

#### Data Sources

#### **Argentina**

Barraclough, Solon, ed. 1973. *Agrarian Structure in Latin America*. Lexington, Mass.: Lexington Books.

- Giberti, Horacio, Aldo Solari, Gino Germani, and Jorge A. Ochoa de Eguileor. 1965. *Sociedad, Economía y Reforma Agraria* [Society, economy and agrarian reform]. Buenos Aires: Ediciones Libera.
- Lattuada, Mario J. 1986. *La política agraria peronista (1943-1983)* [The Peronist agrarian politics (1943-1983)]. Vol. 2. Buenos Aires: Centro Editor de América Latina.
- Lattuada, Mario J. 1987. *Política agraria del liberalismo-conservador 1946-1985* [Agrarian politics of liberalismo-conservador 1946-1985]. Buenos Aires: Centro Editor de América Latina.
- María García, José. 1968. *El campo argentino y la reforma agraria* [Rural Argentina and agrarian reform]. Buenos Aires: Ediciones de Calicanto.
- María García, José. 1987. *Reforma agraria y liberación nacional* [Agrarian reform and national liberation]. Buenos Aires: Centro Editor de América Latina.

## Bolivia

- Consejo Nacional de Reforma Agraria. 1975. *El proceso de Reforma Agraria en cifras* [The process of agrarian reform in numbers]. La Paz: Departamento de Estadística.
- Consejo Nacional de Reforma Agraria. 1987. *Reorientación de la Reforma Agraria* [Reorientation of the agrarian reform]. La Paz: CNRA.
- Urioste, Miguel. 1987. *Segunda Reforma Agraria: Campesinos, Tierra y Educación Popular* [Second agrarian reform: peasants, land and popular education]. La Paz: CEDLA.
- Urioste, Miguel. 1992. *Fortalecer Las Comunidades: Una utopía subversiva, democrática...y posible* [To strengthen the communities: a utopia subverse, democratic...and possible].  
<http://www.ftierra.org/ftierra1104/publicaciones/002.pdf>. July 19, 2007.

## Brazil

- Cehelsky, Marta. 1979. *Land Reform in Brazil: The Management of Social Change*. Boulder: Westview Press.
- Comissão Pastoral da Terra. 1989. *Conflitos no Campo – Brasil 1988* [Conflicts in the field – Brazil 1988]. Goiânia: Secretariado Nacional da CPT.
- da Silva, José Gomes. 1971. *A Reforma Agrária no Brasil* [The agrarian reform in Brazil]. Rio de Janeiro: Zahar Editores.
- Laranjeira, Raymundo. 1983. *Colonização e Reforma Agrária no Brasil* [Colonization and agrarian reform in Brazil]. Rio de Janeiro: Editora Civilização Brasileira.
- Maybury-Lewis, Biorn. 1990. “The Debate over Agrarian Reform in Brazil.” *Papers on Latin America* 14. New York, NY: Institute of Latin American and Iberian Studies, Columbia University.
- Ministry Extraordinary for Land Policies. 1998. *The Agrarian Reform in the Government of Fernando Henrique Cardoso*. Brasília: Ministry Extraordinary for Land Policies.

- Pedro Stédile, João, ed. 1997. *A Reforma Agrária e a Luta do MST* [Agrarian reform and the struggle of the MST]. 2nd ed. Petrópolis: Editora Vozes.
- Secretaria de Comunicação Social. 1997. *Reforma Agrária: Compromisso de todos* [Agrarian reform: promise of all]. Brasília: Secretaria de Comunicação Social.

## Chile

- Castillo, Leonardo, and David Lehmann. 1982. *Agrarian Reform and Structural Change in Chile: 1965-79*. Geneva: International Labour Organisation.
- Garrido R., José, ed. 1988. *Historia de la Reforma Agraria en Chile* [History of the agrarian reform in Chile]. Santiago: Editorial Univeristaria.
- Smith, Clifford T., ed. 1974. *Studies in Latin-American Agrarian Reform*. Liverpool: Centre for Latin-American Studies.
- Thiesenhusen, William C. 1995. *Broken Promises: Agrarian Reform and the Latin American Campesino*. Boulder: Westview Press.
- Thiesenhusen, William C., ed. 1989. *Searching for Agrarian Reform in Latin America*. Boston: Unwin Hyman.

## Colombia

- Machado Cartagena, Absalón, ed. 1994. *Transformaciones en la Estructura Agraria* [Transformations in the agrarian structure]. Bogotá: TM Editores.
- Machado Cartagena, Absalón. 1998. *La cuestión agraria en Colombia a fines del milenio* [The agrarian question in Colombia at the end of the millennium]. Bogotá: El Áncora Editores.
- Smith, T. Lynn. 1967. *Colombia: Social Structure and the Process of Development*. Gainesville: University of Florida Press.

## Costa Rica

- Barahona Riera, Francisco. 1980. *Reforma Agraria y Poder Político* [Agrarian reform and political power]. San José: Editorial Universidad de Costa Rica.
- Román Vega, Isabel, and Rob Rivera Araya. 1990. *Tierra con fronteras: (Trenta año de política de distribución de tierras en Costa Rica)* [Land with borders: (thirty years of land distribution politics in Costa Rica)]. San José: Centro de Estudios para la Acción Social.
- Rowles, James P. 1985. *Law and Agrarian Reform in Costa Rica*. Boulder: Westview Press.
- Seligson, Mitchell A. 1980. *Peasants of Costa Rica and the Development of Agrarian Capitalism*. Madison: The University of Wisconsin Press.
- Seligson, Mitchell A. 1984. "Implementing Land Reform: The Case of Costa Rica." *Managing International Development* 1 (2): 29-46.
- Villarreal M., Beatriz. 1992. *Precarismo, Campesinado y Democracia* [Precarismo, peasantry and democracy]. San José: Facultad Latinoamericana de Ciencias Sociales.

## Cuba

- Alvarez, José. 2004. *Cuba's Agricultural Sector*. Gainesville: University Press of Florida.
- Menjivar, Rafael. 1969. *Reforma Agraria: Guatemala, Bolivia, Cuba*. San Salvador: Editorial Universitaria de El Salvador.
- Valdes Garcia, Orlando. 1990. *La Socializacion de la tierra en Cuba* [The socialization of land in Cuba]. La Habana: Editorial de Ciencias Sociales.
- Valdés Paz, Juan. 1997. *Procesos Agrarios en Cuba, 1959-1995* [Agrarian processes in Cuba, 1959-1995]. La Habana: Editorial de Ciencias Sociales.

## Dominican Republic

- González Casanova, Pablo, ed. 1985. *Historia Política de los Campesinos Latinoamericanos* [Political history of Latin American peasants]. Vol. 1. Mexico: Siglo Veintiuno Editores.
- Tejo J., Pedro. 1983. *Avances de La Reforma Agraria y del Desarrollo Rural en La Republica Dominicana* [Advances of the agrarian reform and rural development in the Dominican Republic]. Rome: Food and Agriculture Organization of the United Nations.
- Vargas-Lundius, Rosemary. 1991. *Peasants in Distress: Poverty and Unemployment in the Dominican Republic*. Boulder: Westview Press.

## Ecuador

- Barsky, Osvaldo. 1984. *La Reforma Agraria Ecuatoriana* [The Ecuadorean agrarian reform]. Quito: Corporacion Editora Nacional.
- Bretón, Victor. 1997. *Capitalismo, Reforma Agraria y Organización Comunal en los Andes: Una introducción al caso ecuatoriano* [Capitalism, agrarian reform, and communal organization in the Andes: an introduction to the Ecuadorean case]. Lleida: INO Reproducciones.
- Guerrero Carrión, Trotsky. 1992. *Modernización Agraria y Pobreza Rural en El Ecuador* [Agrarian modernization and rural poverty in Ecuador]. Loja: Editorial Universitaria.
- Haney, Jr. , Emil B., And Wava G. Haney. 1987. "Transformation of the Agrarian Structure in Ecuador with Specific Reference to the Province of Chimborazo." *Land Tenure Center Research Paper* 86: 1-130.
- Mangiamarchi T., Sara. 1984. *Progresos en Reforma Agraria y Desarrollo Rural en Ecuador* [Progress in agrarian reform and rural development in Ecuador]. Rome: Food and Agriculture Organization of the United Nations.
- Redclift, M. R. 1978. *Agrarian Reform and Peasant Organization on the Ecuadorian Coast*. London: Athlone Press.
- Thiesenhusen, William C., ed. 1989. *Searching for Agrarian Reform in Latin America*. Boston: Unwin Hyman.

## El Salvador

- Ministerio de Agricultura y Ganadería. 1990. *Evaluación del Proceso de Reforma Agraria* [Evaluation of the process of agrarian reform]. Vol. 9. San Salvador: MAG.
- Schneider, Pablo R., Hugo Maul, y Luis Mauricio Membreño, eds. 1989. *El Mito de la Reforma Agraria: 40 Años de Experimentación en Guatemala* [The myth of agrarian reform: 40 years of experimentation in Guatemala]. Guatemala: Centro de Investigaciones Económicas Nacionales.
- Strasma, John, Peter Gore, Jeffrey Nash, and Refugio I. Rochin. 1983. *Agrarian Reform in El Salvador*. Washington, DC: Checchi and Company.
- Thiesenhusen, William C., ed. 1989. *Searching for Agrarian Reform in Latin America*. Boston: Unwin Hyman.
- Thiesenhusen, William C. 1995. *Broken Promises: Agrarian Reform and the Latin American Campesino*. Boulder: Westview Press.
- UITA, ATC, and CIPRES. 2001. *Reforma Agraria y Soberanía Alimentaria: (Nicaragua y El Salvador)* [Agrarian reform and food independence: (Nicaragua and El Salvador)]. Managua: CIPRES.

## **Guatemala**

- Cambranes, Julio Castellanos. 1992. *500 años de lucha por la tierra : estudios sobre propiedad rural y reforma agraria en Guatemala* [500 years of fighting for land: studies on rural property and agrarian reform in Guatemala]. Guatemala : Facultad Latinoamericana de Ciencias Sociales.
- García Añoveros, Jesús. 1987. *La Reforma Agraria de Arbenz en Guatemala* [The agrarian reform of Arbenz in Guatemala]. Madrid: Instituto de Cooperación Iberoamericana.
- Melville, Thomas, and Marjorie Melville. 1971. *Guatemala: The Politics of Land Ownership*. New York: The Free Press.
- Paz Cárcamo, Guillermo. 1986. *Guatemala: Reforma Agraria* [Guatemala: agrarian reform]. Ciudad Universitaria Rodrigo Facio: Editorial Universitaria Centroamericana.
- Schneider, Pablo R., Hugo Maul, and Luis Mauricio Membreño, eds. 1989. *El Mito de la Reforma Agraria: 40 Años de Experimentación en Guatemala* [The myth of agrarian reform: 40 years of experimentation in Guatemala]. Guatemala: Centro de Investigaciones Económicas Nacionales.

## **Honduras**

- Mejía Turcios, Mario. 1996. *Reforma Agraria del Gobierno Liberal 1982-86 y Reforma Agraria del Gobierno Militar 1972-75 (Análisis Comparativo)* [Agrarian reform of the liberal government 1982-86 and military government 1972-75 (comparative analysis)]. Tegucigalpa: Editorial Universitaria.
- Thiesenhusen, William C., ed. 1989. *Searching for Agrarian Reform in Latin America*. Boston: Unwin Hyman.
- Thorpe, Andy. 1991. *America Central no puede tener democracia con hambre: Las políticas de la reforma agraria en Honduras antes de 1982* [Central American

cannot have democracy with hunger: the politics of agrarian reform in Honduras before 1982]. Tegucigalpa: POSCAE-UNAH.

## Mexico

- Instituto Nacional de Estadística, Geografía e Informática. 1985. *Estadísticas Históricas de México* [Historical statistics of Mexico]. México, D.F.: Instituto Nacional de Estadística, Geografía e Informática.
- Magaloni, Beatriz, Barry Weingast, and Alberto Diaz-Cayeros. 2006. "Why Authoritarian Regimes Sabotage Economic Growth: Land Reform in Mexico." Ms., Stanford University.
- Sanderson, Susan R. Walsh. 1984. *Land Reform in Mexico: 1910-1980*. Orlando, FL: Academic Press.
- Varo Berra, Rosario. 2002. *La reforma agraria en México desde 1853: Sus tres ciclos legales* [The agrarian reform in Mexico since 1853: its three legal cycles]. Guadalajara: Universidad de Guadalajara.

## Nicaragua

- Campos Meléndez, Silvio. 1972. *Somoza Ante La Historia 1967-1972* [Somoza and his history 1967-1972]. Managua: Tall. de Editorial y Litografía San Jose.
- El Centro de Investigaciones y Estudios de la Reforma Agraria. 1990. *Reforma Agraria en Nicaragua 1979-1989* [Agrarian reform in Nicaragua 1979-1989]. Managua: Centro de Investigación y Estudios de la Reforma Agraria.
- Enríquez, Laura J. 1997. *Agrarian Reform and Class Consciousness in Nicaragua*. Gainesville: University Press of Florida.
- Instituto Nacional de Estadísticas y Censos. 1987. *Anuario Estadístico de Nicaragua* [Statistical yearbook of Nicaragua]. Managua: Instituto Nacional de Estadísticas y Censos.
- Instituto Nacional de Estadísticas y Censos. 1995. *Nicaragua en Cifras, 1990-1994* [Nicaragua in numbers, 1990-1994]. Managua: Instituto Nacional de Estadísticas y Censos.
- Thiesenhusen, William C., ed. 1989. *Searching for Agrarian Reform in Latin America*. Boston: Unwin Hyman.
- Thiesenhusen, William C. 1995. *Broken Promises: Agrarian Reform and the Latin American Campesino*. Boulder, CO: Westview Press.
- UITA, ATC, and CIPRES. 2001. *Reforma Agraria y Soberanía Alimentaria: (Nicaragua y El Salvador)* [Agrarian reform and food independence: (Nicaragua and El Salvador)]. Managua: CIPRES.
- Walter, Knut. 1993. *The Regime of Anastasio Somoza, 1936-1956*. Chapel Hill: The University of North Carolina Press.

## Panama

- González Casanova, Pablo, ed. 1985. *Historia Política de los Campesinos Latinoamericanos* [Political history of Latin American peasants]. Vol. 2. Mexico: Siglo Veintiuno Editores.

- Ministerio de Desarrollo Agropecuario. 1986. *Plan Quinquenal de Desarrollo Agropecuario (1987-1991): Segundo Documento para Discusión* [Five-year plan of agricultural development (1987-1991): second document for discussion]. Panamá: Dirección Nacional de Planificación Sectorial.
- Ropp, Steve C. 1972. "Military Reformism in Panama: New Directions or Old Inclinations." *Journal of Caribbean Studies* 12 (3): 45-63.

## Paraguay

- González Casanova, Pablo, ed. 1985. *Historia Política de los Campesinos Latinoamericanos* [Political history of Latin American peasants]. Vol. 4. Mexico: Siglo Veintiuno Editores.
- Instituto de Bienestar Rural. 1974. *La Reforma Agraria en el Paraguay: Pautas Políticas y Administrativas, 1963-1973* [The agrarian reform in Paraguay: political and administrative rules, 1963-1973]. Asunción: Instituto de Bienestar Rural.
- Manuel Frutos, Juan. 1977. *De la reforma agraria al bienestar rural* [From agrarian reform to rural well-being]. Asunción: Instituto de Bienestar Rural.
- Manuel Frutos, Juan. 1970. *El Instituto de Bienestar Rural y la ganadería nacional* [The Institute of Rural Well-Being and national cattle-raising]. Asunción: Asociacion Rural del Paraguay.
- Miranda, Carlos R. 1990. *The Stroessner Era: Authoritarian Rule in Paraguay*. Boulder: Westview Press.
- Nickson, R. Andrew. 1981. "Brazilian Colonization of the Eastern Border Region of Paraguay." *Journal of Latin American Studies* 13 (1): 111-31.

## Peru

- Alberts, Tom. 1983. *Agrarian Reform and Rural Poverty: A Case Study of Peru*. Boulder: Westview Press.
- Fernández, Angel, and Alberto Gonzales, eds. 1990. *La Reforma Agraria Peruana, 20 Años Despues* [The Peruvian agrarian reform, 20 years later]. Chiclayo: Centro de Estudios Sociales Solidaridad.
- Maletta, Hector, Michel Eresue, Vilma Gomez, and Rosario Gomez de Zea. 1984. *Peru: El Agro en Cifras* [Peru: agriculture in numbers]. Lima: Universidad del Pacifico y Banco Agrario del Peru.
- Manuel Mejía, José. 1990. *La neorreforma agraria: Cambios en la propiedad de la tierra 1980-1990* [The agrarian neo-reform: changes in land ownership 1980-1990]. Lima: Instituto de Investigaciones.
- Thiesenhusen, William C., ed. 1989. *Searching for Agrarian Reform in Latin America*. Boston: Unwin Hyman.

## Uruguay

- Frick Davie, Carlos. 1964. *¿Cuál Reforma Agraria? Reformas progresistas y regresivas* [Which agrarian reform? progressive and regressive reforms]. Montevideo: Barreiro y Ramos.

- González Casanova, Pablo, ed. 1985. *Historia Política de los Campesinos Latinoamericanos* [Political history of Latin American peasants]. Vol. 4. Mexico: Siglo Veintiuno Editores.
- Trías, Vivián. 1961. *Reforma agraria en el Uruguay* [Agrarian reform in Uruguay]. Montevideo: Ediciones "El Sol".
- Vassallo, Miguel. 1987. *Reforma Agraria: Una estrategia de desarrollo rural* [Agrarian reform: a strategy of rural development]. Montevideo: Ediciones de la Banda Oriental.
- Weinstein, Martin. 1975. *Uruguay: the politics of failure*. Westport: Greenwood Press.

### **Venezuela**

- Delahaye, Olivier. 2003. *La Privatización de la Tierra Agrícola en Venezuela, desde Cristóbal Colón: La Titulación (1492-2001)* [The privatization of agricultural land in Venezuela since Christopher Columbus: titling (1492-2001)]. Caracas: Fondo Editorial Tropykos.
- Instituto Agrario Nacional. 1966. *La reforma agraria y el bienestar rural, a los seis años de promulgada la ley de reforma agraria* [The agrarian reform and rural well-being, six years after the passage of the agrarian reform law]. Caracas: Editorial Arte.
- Smith, Clifford T., ed. 1974. *Studies in Latin-American Agrarian Reform*. Liverpool: Centre for Latin-American Studies.
- Soto Sánchez, Oscar David. 2003. *El Proceso Agro-Reformista y La Revolución Chavista* [The agricultural reform process and the Chavista revolution]. Maturín : Servicio Autónomo Imprenta del Estado Monagas.

Cases of Land Reform in Latin America, 1950-2002						
Country	Land reform years under democracy	Amount redistributed (ha)	Land reform years under autocracy	Amount redistributed (ha)	Cultivable land area (ha)	Notes
Argentina	1951	50,280	-	-	177 million	Most land transfers 1951-90 through markets
Bolivia	1979, 1982	635,842	1955-78, 1980-81	30,708,452	26 million	Most under Decree 3464 of 1953 (Paz Estenssoro)
Brazil	1979-90	8,959,637	1964-78	9,052,745	49 million	Significant colonization of state-owned land
Chile	1952, 1956, 1959-63, 1965-73	10,658,353	1973-81	2,965,638	5.1 million	Most under Law 16.640 of 1967 (Frei)
Colombia	1963, 1965-84	59,629	-	-	16.2 million	Most land transfers 1951-90 bought by or ceded to government rather than expropriated. Also titling of uncultivated lands.
Costa Rica	1963-66, 1968-90	170,207	-	-	3.4 million	Mostly colonized public land or purchased land 1951-90
Cuba	-	-	1959-63	8,066,507	6.7 million	Most under 1959 Agrarian Reform Law (Castro)
Dominican Republic	1966-90	294,657	1951-64	313,997	2.6 million	Most under Decree 6988 of 1961 (Balaguer) and 1972 Agrarian Laws (Balaguer)
Ecuador	1979-90	428,436	1964-78	467,836	10.5 million	Significant colonization of state-owned land
El Salvador	1984-90	15,884	1980-83	265,508	1 million	Most under 1980 Agrarian Reform Law (Duarte)
Guatemala	1953-4	603,615	1954-5	601,200	2.9 million	Law 900 of 1952 (Arbenz) reversed by Law 31 of 1954 (Castillo Armas)
Honduras	1962, 1971, 1982-90	112,021	1963-70, 1972-81	291,003	2.8 million	Most under Decree 8 of 1972 (López)
Mexico	-	-	1951-90	53,743,531	35 million	Greatest intensity under Díaz Ordaz (1964-70)
Nicaragua	1984-90	356,317	1979-83	936,015	1.3 million	Initial expropriations from Somoza and associates 63% of cultivable land.
Panama	1964-7	111,112	1968-90	386,277	1.7 million	
Paraguay	-	-	1963, 1965-6	28,251	24 million	Stroessner distributed some land to military also, but this was small. Massive titling program.
Peru	1964-68, 1980-1	761,829	1968-80	7,889,008	7.6 million	Early efforts by Belaúnde outpaced by 1969 Agrarian Reform Law (Velasco)
Uruguay	1951-56	94,896	-	-	4 million	
Venezuela	1961-83	429,831	-	-	11 million	Most land transferred 1951-90 purchased by state

## **2. RESOURCE EXPROPRIATION**

For resource expropriations, we code whether expropriation acts occur. Therefore, after an initial expropriation, there can be more expropriations. There can either be privatization after initial nationalization only to be followed by re-nationalization again – as in Bolivia. Or, more likely, there is the expropriation of one firm and years later other firms follow. This is because we coded firm expropriations. That is, if Standard oil was expropriated in 1952, it gets a 1, and if Shell was expropriated in 1953, it gets coded as a 1 again. Finally if oil starts out state-owned, there can still be concessions to foreign firms to explore and to produce oil and they can later be expropriated, such as Argentina.

Unless otherwise indicated, the episodes of oil firm expropriations are from Korbin's multiple datasets on the expropriation of transnational corporations (several years).

To provide a historical perspective, below we also outline several expropriations before 1950, although they were not included in the data analysis.

### Sources for Oil Expropriation

For pre-1950 this is from the authors' own research and is listed below.

For 1960 to 2006, this is from Guriev, Sergei, Anton Kolotilin, and Constantin Sonin. 2009. Determinants of Nationalization in the Oil Sector.

### Sources for Mineral Expropriation

For the entire panel, this is from authors' own research and is listed below.

#### *Mining expropriations*

Dominican Republic

The government expropriates Bauxite in 1974 (Duncan 2006)

Mexico:

The government expropriates sulfur mining in 1967 (Del Duca 2003).

Brazil:

Goulart in 1962 Hanna Mines expropriated (Rossen 1971: 309)

Peru:

- Velasco Alvarado, 1973, expropriated Branch of Standard Oil of California; also Cerro de Pasco Copper company was expropriated in 1973 (See Sigmund 1980).
- Velasco Alvarado, 1975, expropriated both Gulf Oil Subsidiary and Marcona Iron Mines (see Sigmund 1980).

Bolivia:

- Paz Estenssoro in 1952 nationalizes the tin mines (Morales 2003: 145).
- Copper and Gold (Matilda) mines owned by US are nationalized in 1971 by Torres (see Baklanoff 1975: 3)

Chile:

- We attributed the first expropriation to Eduardo Frei in 1970 according to Lasaga 1981: 17-18.
- We attributed the second and complete expropriation to Salvador Allende according to Oppenheim 1993: 56.

### *Oil expropriations*

To provide a historical perspective, below we also outline several expropriations before 1950, although they were not included in the data analysis.

*Notes on pre-1950 expropriations:*

- Peru: violation of terms of contract (Imperial Oil Company) by Pardo in 1918
- Mexico: Full nationalization of oil under Cardenas in 1938
- Bolivia 1937: nationalization by David Toro of Standard Oil (Ingram 1974: 361).

### *Cases that do NOT count as expropriations*

Argentina creates a national oil company in 1910. But they do not nationalize any private oil producers in doing so because the government was the first entity to discover oil—there were no private firms operating at the time. Argentine states allow private companies to drill with no problem.

The same is true of Brazil, which creates a national oil company to explore and produce in 1953.

The end of an oil production concession to a private company that is not renewed by the state does not count as expropriation, so Colombia's decision to nationalize in 1951 does not count (see Wirth 1985).

Chile's oil nationalization in 1927 *preceded* any assets from being deployed by private companies. The companies lost expenditures related to exploration, but nothing else, so this does not therefore count as an expropriation (see Odell 1964).

### *Expropriations: adjudicating between leaders*

Argentina:

We attributed expropriation of standard oil assets to Illia in 1963 according to "La Fogata Digital": [http://www.lafogata.org/04arg/arg5/ar\\_recur1.htm](http://www.lafogata.org/04arg/arg5/ar_recur1.htm)

Bolivia:

- We attribute expropriation of tin mines to Paz Estenssoro in 1952 according to Morales 2003: 145.
- We attribute expropriation of oil to Obando Candia in 1969 according to Chang et al. 2009

Ecuador:

- We attribute oil expropriation to Rodriguez Lara in 1972 and not to Velasco Ibarra (Guillaume Fontaine. 2004. Petroleo y Desarollo Sostenible en Ecuador, p. 50).
- We attributed the oil expropriation of 1979 to Roldos Aguilera and not to Poveda Burbano because it was Poveda's first year in power and he was the first democratically elected leader after democratization, whereas Aguilera was the last leader of a military regime that was leftist and redistributionist.

Peru:

- We attribute oil expropriation to Velasco in 1968 and not Belaunde because Velasco and the left-wing military contingent that took power in that year did so with the express purpose of nationalizing several industries, first and foremost the oil sector.
- We attribute oil expropriation to Alan Garcia in 1982 and not to Belaunde because Garcia was a populist and Belaunde was conservative and pro-business.

### **3. BANK EXPROPRIATION**

#### **CUBA**

Three banks are expropriated by Castro in 1960 (Sigmund 1980: 36).

*Who owned them?*

Castro expropriated both domestic and foreign banks, in separate acts, both in 1960:  
<http://lanic.utexas.edu/la/cb/cuba/asce/cuba4/shelton.html>

"After 1958, with the Castro regime, the commercial banking system of Cuba ceased to exist. The 1960 Bank Nationalization Law was issued by the regime. Promulgated on June 1, 1960, Law 851 authorized the executive branch to expropriate all business enterprises, including banks belonging to citizens of the United States. On September 17, 1960, the Cuban government confiscated all the branches of the National City Bank of New York, Chase National Bank and the Bank of Boston. This was followed on October 13 by Law 891 which nationalized all Cuban-owned banks on the island. The Bank of Nova Scotia and the Royal Bank of Canada were the only banks able to make special mutually acceptable compensatory arrangements with the Castro Government before their Cuban operations were closed in December 1960. "

## **MEXICO**

Several banks are expropriated in 1916. (Noel Maurer, the Power and the Money).

*Who owned them?*

A mix of foreign and domestic owners.

1982, Lopez Portillo expropriates (Haber et al. 2008)

*Who owned them?*

All of them were national banks (Haber et al. 2008)

## **EL SALVADOR**

Since 1980 the entire Salvadoran banking system has been owned and operated by the government. Some of the more important "banks" included the Investment and Savings Bank, the Credit and Savings Bank, the Commercial Farm Bank, and the Popular Credit Bank. The Salvadoran Coffee Company and the Salvadoran Cotton Cooperative also provided seasonal credit to their members. Their activities were not financed by deposits, but rather by loans from foreign banks (mostly United States institutions). See Country Studies, US State Department.

Expropriation by Duarte

*Who owned them?*

They were all domestic banks (Paige 1993: 10).

## **Nicaragua**

El 17 de septiembre de 1980, después de que habían pasado a ser propiedad del Estado los bancos, mediante Decreto No. 527 se promulgó la ley de Absorción de Instituciones Financieras por el Banco Nicaragüense. En el artículo primero de esta Ley se establece que el BANIC será sucesor legal sin solución de continuidad de todos los bienes, derechos adquiridos y obligaciones legalmente contraídas por las siguientes instituciones del Sistema Financiero Nacional.

*Who owned them?*

These were national banks (Sholk 1984).

Expropriation by Ortega

## **Costa Rica**

1953: Banking expropriation

*Ley Orgánica del Banco Central de Costa Rica y sus reformas, No. 1552, del 23 de abril de 1953.")*

Artículo 2º- Exprópianse por motivos de utilidad pública, las acciones del Banco de Costa Rica, del Banco Anglo Costarricense y del Crédito Agrícola de Cartago. El Estado, por medio del Ministerio de Economía, tomará posesión inmediatamente de esas instituciones bancarias. La forma y condiciones de pago de las acciones expropiadas serán reglamentadas por un decreto posterior.

*Who owned them?*

A mix of foreign and domestic banks.

## **Colombia**

Lopez Michelsen in 1976: According to Sigmund 1980: 39, Citibank is forced to sell 51% interest to the government.

### Colombian Nationalizations that we do not count:

Those that took place in the early 1980s after a severe recession in Colombia induced a share rise in loan defaults. These nationalizations were due to a financial crisis that rendered several banks insolvent

## **PERU**

Nationalization of "Banco Popular" on June 12 1970. It was owned by the Prados, an elite family that got undercut by Velasco after helping finance his coup. Gilbert (1977, 261). Also, according to Sigmund 1980: 37, Chase Manhattan Bank shares in a Peruvian Bank are expropriated.

*Who owned them?*

The expropriation was both domestic and foreign.

### Failed attempt at expropriation

(We do not code this as an expropriation, only note it.) In 1987 the García government attempted to nationalize Peru's banks, financial institutions, and insurance companies. Under the legislation, which Congress approved despite a judicial ruling against the government's proposals, the government was to hold 70 percent of shares of nationalized banks, with the remaining 30 percent offered for sale to the public. The legislation excluded foreign banks operating in Peru from the nationalization program but prohibited them from opening any new branches in Peru. This set of proposals stimulated

widespread public opposition and provoked a breakdown of cooperation between business leaders and the government. Private investment fell abruptly. García attempted to pursue the nationalization despite all the opposition, but adverse judicial rulings slowed implementation and finally killed the proposals.

### **Chile**

Allende, 1971: According to Sigmund 1980: 38, Bank of America & First Natl. Bank are expropriated (law number 13305).

*Who owned them?*

This is coded as both foreign and domestic expropriated.

### **BRAZIL**

In 1944 the state of Minas Gerais expropriated a French-owned bank (Rossen 1972: 857).

### **ARGENTINA**

In 1973 Isabella Peron expropriates 5 foreign banks, including Chase Manhattan. Law No. 20.522 (Rossen 1974)

**Table 1. Autocratic Leader Spells in Latin America and Large-scale Expropriation, 1950 to 2002**

COUNTRY	Leader	Year Took Power	Large-scale Expropriation	Length of Tenure	Reason for Exit
<b>Cuba</b>	Batista	1952	NO	7	COUP
	Castro	1959	YES	43	Still observed in 2002
<b>Dominican Republic</b>	Trujillo	1930	YES	30	ASSASINATED
	Balaguer	1961	YES	0	COUP
	Echavarria	1962	NO	0	COUP
	Filiberto Bonnelly	1962	NO	0	STEPS DOWN
	Bosch	1963	NO	0	COUP
	de los Santos	1963	NO	0	STEPS DOWN
	Cabral	1963	NO	2	COUP
	Molina Urena	1965	NO	0	COUP
	Bartolome Benoit	1965	NO	0	STEPS DOWN
	Berreras	1965	NO	0	STEPS DOWN
<b>Mexico</b>	Godoy	1965	NO	0	STEPS DOWN
	Aleman Valdes	1946	NO	6	STEPS DOWN
	Ruiz Cortines	1952	NO	6	STEPS DOWN
	Lopez Mateos	1958	YES	6	STEPS DOWN
	Diaz Ordaz	1964	YES	6	STEPS DOWN
	Echeverria Alvarez	1970	YES	6	STEPS DOWN
	Lopez Portillo	1976	YES	6	STEPS DOWN
	de La Madrid	1982	YES	6	STEPS DOWN
	Salinas	1988	NO	6	STEPS DOWN
	Zedillo	1994	NO	6	STEPS DOWN
<b>Guatemala</b>	Diaz	1954	NO	0	COUP
	Monzon	1954	NO	0	COUP
	Castillo Armas	1954	YES	3	COUP
	Gonzalez Lopez	1957	NO	0	COUP
	Mendoza Azurdia	1957	NO	0	COUP
	Flores Avendano	1957	NO	1	STEPS DOWN
	Peralta Azurdia	1963	NO	3	STEPS DOWN
	Rios Montt	1982	NO	1	COUP
	Mejia Victores	1983	NO	3	STEPS DOWN
	Galvez	1950	NO	4	STEPS DOWN
<b>Honduras</b>	Lozano Diaz	1954	NO	2	COUP
	Hector Caraccioli	1956	NO	1	STEPS DOWN
	Lopez Arellano	1963	NO	8	STEPS DOWN
	Lopez Arellano	1972	NO	3	COUP
	Castro	1975	NO	3	COUP
	Paz Garcia	1978	NO	4	STEPS DOWN
	Oscar Bolanos	1949	NO	1	STEPS DOWN
	Osorio	1950	NO	6	STEPS DOWN
	Lemus	1956	NO	4	COUP
	Castillo	1960	NO	1	COUP
<b>El Salvador</b>	Portillo	1961	NO	1	STEPS DOWN
	Rodolfo Cordon	1962	NO	0	STEPS DOWN
	Rivera	1962	NO	5	STEPS DOWN
	Sanchez Hernandez	1967	NO	5	STEPS DOWN
	Molina	1972	NO	5	STEPS DOWN
	Romero Mena	1977	NO	2	COUP
	Majano Ramos	1979	NO	1	COUP
	Duarte	1980	YES	2	STEPS DOWN
	Magana Borjo	1982	YES	2	STEPS DOWN
	Anastasio Somoza Garcia	1945	NO	9	COUP
<b>Nicaragua</b>	Luis Somoza Debayle	1956	NO	7	STEPS DOWN
	Shick Gutierrez	1963	NO	3	STEPS DOWN
	Guerrero Gutierrez	1966	NO	1	STEPS DOWN
	Anastasio Somoza Debayle	1967	NO	12	COUP
	Daniel Ortega	1979	YES	11	STEPS DOWN
	Arasomena	1951	NO	1	COUP
	Torrijos Herrera	1968	YES	13	Dies in accident
	Florez Aguilar	1981	NO	1	COUP
	Dario Paredes	1982	NO	1	STEPS DOWN
	Noriega	1983	NO	7	Dislodged by US invasion
<b>Colombia</b>	Ospina Perez	1946	NO	4	STEPS DOWN
	Laureanco Gomez	1950	NO	1	STEPS DOWN
	Roberto Urdaneta Arbelaez	1951	NO	2	STEPS DOWN
	Laureanco Gomez	1953	NO	0	COUP
	Rojas Pinilla	1953	NO	4	COUP
<b>Venezuela</b>	Paris	1957	NO	1	STEPS DOWN
	Delgado Chalbaud	1948	NO	2	COUP

Table 1. Cont.

	Perez Jimenez	1950	NO	8	COUP
	Larrazaabal	1958	NO	0	STEPS DOWN
	Sanabria	1958	NO	1	STEPS DOWN
<b>Ecuador</b>	Castro	1963	NO	3	COUP
	Yerovi Indaburu	1966	NO	0	STEPS DOWN
	Arosemena Otto	1966	NO	2	STEPS DOWN
	Velasco Ibarra	1968	YES	4	COUP
	Rodriguez Lara	1972	YES	4	COUP
	Poveda Burbano	1976	YES	3	STEPS DOWN
	Gustavo Noboa	2000	NO	2	Still observed in 2002
<b>Peru</b>	Odria	1948	NO	2	STEPS DOWN
	Noriega	1950	NO	0	STEPS DOWN
	Odria	1950	NO	6	STEPS DOWN
	Perez Godoy	1962	NO	1	COUP
	Lindley Lopez	1962	NO	0	STEPS DOWN
	Velasco Alvarado	1968	YES	7	COUP
	Morales Bermudez	1975	YES	5	STEPS DOWN
	Fujimori	1990	NO	10	STEPS DOWN
	Valentin Paniagua	2000	NO	1	STEPS DOWN
	Ranieri Mazzilli	1964	NO	0	STEPS DOWN
<b>Brazil</b>	Castello Branco	1964	NO	3	STEPS DOWN
	Costa de Silva	1967	NO	2	STEPS DOWN
	Military Junta	1969	NO	0	STEPS DOWN
	Medici	1969	NO	5	STEPS DOWN
	Geisel	1974	NO	5	STEPS DOWN
<b>Bolivia</b>	Uribalagoitia	1949	NO	2	COUP
	Ballivan Rojas	1951	NO	1	COUP
	Siles Zuazo	1952	NO	0	STEPS DOWN
	Paz Estenssoro	1952	YES	4	STEPS DOWN
	Siles Zuazo	1956	YES	4	STEPS DOWN
	Paz Estenssoro	1960	YES	4	COUP
	Barrientos Ortuna	1964	YES	2	STEPS DOWN
	Obando Candia	1966	YES	0	STEPS DOWN
	Barrientos Ortuna	1966	YES	3	Dies in accident
	Siles Salinas	1969	YES	0	COUP
	Obando Candia	1969	YES	1	COUP
	Torres	1970	YES	1	COUP
	Banzer Suarez	1971	YES	7	COUP
	Pereda Asbun	1978	NO	0	COUP
	Padilla Aranciba	1978	NO	1	COUP
	Guevara Arze	1979	NO	0	COUP
	Natusch Busch	1979	NO	0	COUP
	Garcia Meza Tejada	1980	NO	1	COUP
	Torrello Villa	1981	NO	1	COUP
	Vildoso Calderon	1982	NO	0	STEPS DOWN
<b>Paraguay</b>	Chavez	1949	NO	5	COUP
	Pareira	1954	NO	0	STEPS DOWN
	Stroessner	1954	NO	35	COUP
	Rodriguez Pedotti	1989	NO	4	STEPS DOWN
	Wasmoy Monti	1993	NO	5	STEPS DOWN
	Cubas Grau	1998	NO	1	STEPS DOWN
	Gonzalez Macchi	1999	NO	4	Still observed in 2002
<b>Chile</b>	Pinochet	1973	YES	17	STEPS DOWN
<b>Argentina</b>	Lonardi	1955	NO	0	COUP
	Aramburu	1955	NO	3	STEPS DOWN
	Guido	1962	NO	1	STEPS DOWN
	Ongania	1966	NO	4	COUP
	Lanusse	1970	NO	0	STEPS DOWN
	Levingston	1970	NO	1	COUP
	Lanusse	1971	NO	2	STEPS DOWN
	Videla	1976	NO	5	STEPS DOWN
	Viola	1981	NO	0	STEPS DOWN
	Liendo	1981	NO	0	COUP
	Galtieri	1981	NO	1	COUP
	Saint-Jean	1982	NO	0	STEPS DOWN
	Bignone	1982	NO	1	STEPS DOWN
<b>Uruguay</b>	Bordaberry	1973	NO	4	COUP
	Demicelli	1976	NO	0	COUP
	Mendez Manfredini	1976	NO	5	STEPS DOWN
	Alvarez Armalino	1981	NO	4	STEPS DOWN

**Notes:** "Length of tenure" is coded as a "0" for leaders that did not surpass the 1 year mark. "Steps down" denotes autocrats that step down after term limit specified in the constitution expires, or after calling elections, or after respecting an agreed upon transition process that involves elections at some point, or after appointing a successor, or if the autocrat resigns without arranging succession.

Leaders defined as autocrats based on Przeworski et al. (2000) electoral definition of democracy as coded by Cheibub and Ghandi (2004). Coups include both violent and bloodless coups.

Definition of large-scale expropriation is listed in the text.

**Sources:** Data on leader tenures is from Archigos (2006) and several country-specific sources. Data on large-scale expropriations is from several sources listed in a separate appendix.

## INDEPENDENT VARIABLES

### 1. Gross Domestic Product Per Capita

We measure real gross domestic product per capita on an annual basis for each country in our dataset in International Dollars in 2000 constant prices.

#### Sources and Procedures:

Our goal was to create consistent time series of real gross domestic product per capita with the greatest coverage possible for each country in our dataset. We drew on several sources in order to construct this measure:

- A. The Penn World Tables (Version 6.2), hereafter PWT.
- B. Angus Maddison, *The World Economy: Historical Statistics* (March 2009 version), hereafter Maddison.
- C. Robert Barro and Jose Ursua, “Macroeconomic Crises since 1870,” *Brookings Papers on Economic Activity*, Spring 2008. The dataset to this paper is available at: [http://www.economics.harvard.edu/faculty/barro/data\\_sets\\_barro](http://www.economics.harvard.edu/faculty/barro/data_sets_barro). Hereafter cited as Barro and Ursua.
- D. World Bank, World Development Indicators Online, hereafter cited as (WBDI).

The reason we pull data from multiple sources is that no one dataset was able to maximize coverage on its own. We used the source of GDP data according to the following rule: Choose the one source of GDP per capita data that maximizes coverage in first-differences for each country. If coverage is equal the preference order is: PWT, WBDI, Barro and Ursua, and finally Maddison.

### 2. Total Income from Resources Per Capita

We take this variable from Haber and Menaldo (2009), who develop a measure of Total Resource Income Per Capita that is composed of Total Fuel Income Per Capita plus Total Metals Income Per Capita, in 2007 dollars. This measure is based on a measure often used in resource curse research, the Hamilton and Clemens Mineral Depletion variable (see Kirk Hamilton and Michael Clemens, “Genuine Savings Rates in Developing Countries,” *World Bank Economic Review* (1999) 13: 333-56). Their measure differs from Hamilton and Clemens (as well as the researchers who use their measure) in three respects. First, they estimate our measures back to 1950, while the Hamilton and Clemens measure only goes back to 1971. Second, the Hamilton and Clemens measure includes non-metallic minerals (e.g. Gypsum), which we do not include because the rents from these minerals are quite small. Third, the Hamilton and Clemens measure subtracts out the imputed costs of production and the normal rate of return on capital.

### 3. Civil Wars

We code the incidence of civil war for each country-year as a dichotomous indicator variable that takes on the value 1 if a country is observed as having at least one intra-state conflict with at least 1,000 battle deaths in a given year and 0 otherwise.

Kristian Skrede Gleditsch, “A Revised List of Wars within and between States”, *International Interactions* 30-3 (2004), pp. 231-262 provides a list of intra-state wars from 1816-2005. We transformed the original datasets from a list of civil wars, participants, starting dates, and ending dates to a country-year panel by coding the participant undergoing intra-state war as the country experiencing civil war for each year between the starting date and ending date.