

The Variational Method: Solved Problems

1. Compute the variational upper bounds for the ground state energy of a particle in the linear potential

$$V(x) = \alpha |x|$$

and in the quartic potential

$$V(x) = \alpha x^4$$

using the Gaussian trial wavefunction

$$\psi(x) = A e^{-bx^2}.$$

- (a) Calculate the normalization constant A . You should find $A = (2b/\pi)^{\frac{1}{4}}$.
- (b) Calculate the expectation value of the kinetic energy $\langle T \rangle$ for the Gaussian trial wavefunction. Explain why this calculation is the same for the linear and quartic potentials. You should find $\langle T \rangle = (\hbar^2 b/2m)$.
- (c) Calculate the expectation value of the potential energy $\langle V \rangle$ for the Gaussian trial wavefunction in the linear potential. You should find $\langle V \rangle = (\alpha/\sqrt{2\pi b})$.
- (d) Calculate the expectation value of the total energy $\langle H \rangle$ for the Gaussian trial wavefunction in the linear potential by adding the expectation values of the kinetic and potential energy $\langle H \rangle = \langle T \rangle + \langle V \rangle$. Then find the value of b that minimizes the expectation value of the total energy. You should find $b = (m\alpha/\sqrt{2\pi\hbar^2})^{\frac{2}{3}}$.
- (e) Calculate the minimum of the expectation value of the total energy $\langle H \rangle$ for the Gaussian trial wavefunction in the linear potential. You should find $\langle H \rangle_{min} = \frac{3}{2}(\alpha^2\hbar^2/2\pi m)^{\frac{1}{3}}$.
- (f) Calculate the expectation value of the potential energy $\langle V \rangle$ for the Gaussian trial wavefunction in the quartic potential. You should find $\langle V \rangle = (3\alpha/16b^2)$.
- (g) Calculate the expectation value of the total energy $\langle H \rangle$ for the Gaussian trial wavefunction in the quartic potential by adding the expectation values of the kinetic and potential energy $\langle H \rangle = \langle T \rangle + \langle V \rangle$. Then find the value of b that minimizes the expectation value of the total energy. You should find $b = (3\alpha m/4\hbar^2)^{\frac{1}{3}}$.
- (h) Calculate the minimum of the expectation value of the total energy $\langle H \rangle$ for the Gaussian trial wavefunction in the quartic potential. You should find $\langle H \rangle_{min} = \frac{3}{4}(3\alpha\hbar^4/4m^2)^{\frac{1}{3}}$.

This problem involves the linear and quartic potentials and the Gaussian trial wavefunction:

$$V(x) = \alpha|x|, \quad V(x) = \alpha x^4 \quad \text{and} \quad \psi(x) = Ae^{-bx^2}.$$

1.(a) First, calculate the normalization constant:

$$\langle \psi | \psi \rangle = 1 = \int_{-\infty}^{\infty} (Ae^{-bx^2})^* Ae^{-bx^2} dx = |A|^2 \int_{-\infty}^{\infty} e^{-2bx^2} dx = |A|^2 \sqrt{\frac{\pi}{2b}}$$

$$\Rightarrow A = \left(\frac{2b}{\pi}\right)^{1/4}.$$

1.(b) Next, calculate the expectation value of the kinetic energy. Note that this will be exactly the same for both potentials, so we only need to do it once:

$$\langle T \rangle = \langle \psi | T | \psi \rangle = \int_{-\infty}^{\infty} (Ae^{-bx^2})^* \left(-\frac{\hbar^2}{2m} \frac{d^2}{dx^2}\right) Ae^{-bx^2} dx = -|A|^2 \frac{\hbar^2}{2m} \int_{-\infty}^{\infty} e^{-bx^2} \frac{d^2}{dx^2} e^{-bx^2} dx.$$

We need the second derivative, so calculating we find

$$\frac{d}{dx} e^{-bx^2} = -2bx e^{-bx^2}$$

$$\Rightarrow \frac{d^2}{dx^2} e^{-bx^2} = \frac{d}{dx} (-2bx e^{-bx^2}) = -2b \left(e^{-bx^2} + x(-2bx) e^{-bx^2} \right) = -2b e^{-bx^2} + 4b^2 x^2 e^{-bx^2}.$$

So, the expectation value of the kinetic energy becomes

$$\begin{aligned} \langle T \rangle &= -|A|^2 \frac{\hbar^2}{2m} \int_{-\infty}^{\infty} e^{-bx^2} \left(-2b e^{-bx^2} + 4b^2 x^2 e^{-bx^2}\right) dx \\ &= -|A|^2 \frac{\hbar^2}{2m} \left[-2b \int_{-\infty}^{\infty} e^{-2bx^2} dx + 4b^2 \int_{-\infty}^{\infty} x^2 e^{-bx^2} dx \right] \\ &= -\left(\frac{2b}{\pi}\right)^{1/2} \frac{\hbar^2}{2m} \left[-2b \sqrt{\frac{\pi}{2b}} + 4b^2 \frac{1}{2(2b)} \sqrt{\frac{\pi}{2b}} \right] \\ &= \frac{\hbar^2}{2m} \left[2b \sqrt{\frac{2b}{\pi}} \sqrt{\frac{\pi}{2b}} - b \sqrt{\frac{2b}{\pi}} \sqrt{\frac{\pi}{2b}} \right] = \frac{\hbar^2}{2m} [2b - b] \end{aligned}$$

$$\Rightarrow \langle T \rangle = \frac{\hbar^2 b}{2m}.$$

Note that the second integral can be evaluated using form 15.3.1.26 on page 249 of *Handbook of Mathematical Formulas and Integrals* by Jeffrey,

$$\int_0^{\infty} x^{2n} e^{-px^2} dx = \frac{(2n-1) \cdots 5 \cdot 3 \cdot 1}{2(2p)^n} \sqrt{\frac{\pi}{p}} \quad \text{for } p > 0, \quad \text{and } n = 0, 1, 2, 3, \dots$$

For our integral, we have $n = 1$ and $p = 2b$.

1.(c) Now consider the expectation value of the potential energy for $V(x) = \alpha|x|$. We must calculate

$$\langle V \rangle = \langle \psi | V | \psi \rangle = \int_{-\infty}^{\infty} (Ae^{-bx^2})^* (\alpha|x|) Ae^{-bx^2} dx = \alpha A^2 \int_{-\infty}^{\infty} |x| e^{-2bx^2} dx = 2\alpha A^2 \int_0^{\infty} x e^{-2bx^2} dx.$$

Form 15.3.1.27 on page 249 of *Handbook of Mathematical Formulas and Integrals* by Jeffrey, is

$$\int_0^{\infty} x^{2n+1} e^{-px^2} dx = \frac{n!}{2p^{n+1}} \quad \text{for } p > 0, \quad \text{and } n = 0, 1, 2, 3, \dots,$$

which has the form of our $\langle V \rangle$ integral with $n = 0$ and $p = 2b$. So, we obtain

$$2\alpha A^2 \int_0^{\infty} x e^{-2bx^2} dx = \frac{2\alpha A^2 (0!)}{2(2b)} = \frac{2\alpha}{4b} \sqrt{\frac{2b}{\pi}}$$

$$\Rightarrow \langle V \rangle = \frac{\alpha}{\sqrt{2\pi b}}.$$

1.(d) The expectation value of the total energy is the sum of the expectation values of the kinetic energy and the potential energy, so we have

$$\langle H \rangle = \langle T \rangle + \langle V \rangle = \frac{\hbar^2 b}{2m} + \frac{\alpha}{\sqrt{2\pi b}}.$$

The variational parameter in the trial wavefunction is b . We will find the value of b which minimizes the total energy by taking the partial derivative with respect to b , and then solving for the value of b which makes the derivative equal to zero:

$$\frac{\partial}{\partial b} \left(\frac{\hbar^2}{2m} b + \frac{\alpha}{\sqrt{2\pi}} b^{-1/2} \right) = \frac{\hbar^2}{2m} - \frac{1}{2} \frac{\alpha}{\sqrt{2\pi}} \frac{1}{b^{3/2}} = 0$$

$$\Rightarrow b_0^{3/2} = \frac{\alpha}{2\sqrt{2\pi}} \frac{2m}{\hbar^2} = \frac{\alpha m}{\sqrt{2\pi} \hbar^2}$$

$$\Rightarrow b_0 = \left(\frac{\alpha m}{\sqrt{2\pi} \hbar^2} \right)^{2/3}.$$

Here b_0 denotes the value of b which minimizes the total energy.

1.(e) Now that we have b_0 , we can substitute it into the total energy expression to obtain the minimum energy $\langle H \rangle$:

$$\begin{aligned}\langle H \rangle &= \langle T \rangle + \langle V \rangle = \frac{\hbar^2 b_0}{2m} + \frac{\alpha}{\sqrt{2\pi b_0}} \\ &= \frac{\hbar^2}{2m} \left(\frac{\alpha m}{\sqrt{2\pi \hbar^2}} \right)^{2/3} + \frac{\alpha}{\left[2\pi \left(\frac{\alpha m}{\sqrt{2\pi \hbar^2}} \right)^{2/3} \right]^{1/2}} \\ &= \frac{\hbar^2 \alpha^{2/3} m^{2/3}}{m 2^{4/3} \pi^{1/3} \hbar^{4/3}} + \frac{\alpha 2^{1/6} \pi^{1/6} \hbar^{2/3}}{2^{1/2} \pi^{1/2} \alpha^{1/3} m^{1/3}} \\ &= \frac{1}{2} \frac{\hbar^{2/3} \alpha^{2/3}}{2^{1/3} \pi^{1/3} m^{1/3}} + \frac{\hbar^{2/3} \alpha^{2/3}}{2^{1/3} \pi^{1/3} m^{1/3}}\end{aligned}$$

$$\Rightarrow \langle H \rangle_{\min} = \frac{3}{2} \left(\frac{\hbar^2 \alpha^2}{2\pi m} \right)^{1/3} .$$

1.(f) Now we will go through the analogous procedure for the quartic potential. The expectation value of the potential energy $V(x) = \alpha x^4$, is given by

$$\begin{aligned}\langle V \rangle &= \langle \psi | V | \psi \rangle = \int_{-\infty}^{\infty} (Ae^{-bx^2})^* (\alpha x^4) Ae^{-bx^2} dx = \alpha A^2 \int_{-\infty}^{\infty} x^4 e^{-2bx^2} dx \\ &= 2\alpha A^2 \int_0^{\infty} x^4 e^{-2bx^2} dx .\end{aligned}$$

Form 15.3.1.26 on page 249 of Jeffrey is

$$\int_0^{\infty} x^{2n} e^{-px^2} dx = \frac{(2n-1) \cdots 5 \cdot 3 \cdot 1}{2(2p)^n} \sqrt{\frac{\pi}{p}} \quad \text{for } p > 0, \quad \text{and } n = 0, 1, 2, 3, \dots$$

This is the form of our $\langle V \rangle$ integral, with $n = 2$ and $p = 2b$. So our integral is given by

$$2\alpha A^2 \int_0^{\infty} x^4 e^{-2bx^2} dx = \frac{2\alpha A^2 3 \cdot 1}{2(2 \cdot 2b)^2} \sqrt{\frac{\pi}{2b}} = \frac{3\alpha}{16b^2} \sqrt{\frac{2b}{\pi}} \sqrt{\frac{\pi}{2b}}$$

$$\Rightarrow \langle V \rangle = \frac{3\alpha}{16b^2} .$$

1.(g) Now the expectation value of the total energy is given by

$$\langle H \rangle = \langle T \rangle + \langle V \rangle = \frac{\hbar^2 b}{2m} + \frac{3\alpha}{16b^2} .$$

Minimizing the total energy by taking the partial derivative with respect to b , and then finding the value of b that makes the derivative equal to zero, we find

$$\frac{d}{db} \left(\frac{\hbar^2}{2m} b + \frac{3\alpha}{16} b^{-2} \right) = \frac{\hbar^2}{2m} - 2 \frac{3\alpha}{16} \frac{1}{b^3} = 0$$

$$\Rightarrow b_0^3 = \frac{3\alpha}{8} \frac{2m}{\hbar^2}$$

$$\Rightarrow b_0 = \left(\frac{3\alpha m}{4\hbar^2} \right)^{1/3} .$$

1.(h) Now, using b_0 to obtain the minimum value of $\langle H \rangle$, we find

$$\begin{aligned} \langle H \rangle_{\min} &= \langle T \rangle + \langle V \rangle = \frac{\hbar^2 b_0}{2m} + \frac{3\alpha}{16 b_0^2} \\ &= \frac{\hbar^2}{2m} \left(\frac{3\alpha m}{4\hbar^2} \right)^{1/3} + \frac{3\alpha}{16} \left(\frac{4\hbar^2}{3\alpha m} \right)^{2/3} \\ &= \frac{\hbar^2}{2m} \frac{3^{1/3} \alpha^{1/3} m^{1/3}}{4^{1/3} \hbar^{2/3}} + \frac{3\alpha}{16} \frac{4^{2/3} \hbar^{4/3}}{3^{2/3} \alpha^{2/3} m^{2/3}} \\ &= \frac{1}{2} \frac{\hbar^{4/3} 3^{1/3} \alpha^{1/3}}{m^{2/3} 4^{1/3}} + \frac{1}{4} \frac{\hbar^{4/3} 3^{1/3} \alpha^{1/3}}{m^{2/3} 4^{1/3}} \end{aligned}$$

$$\Rightarrow \langle H \rangle_{\min} = \frac{3}{4} \left(\frac{3\alpha \hbar^4}{4m^2} \right)^{1/3} .$$

2. Compute the variational upper bound for the ground state energy of a particle in a harmonic oscillator using the trial wavefunction

$$\psi(x) = A [x^2 + b^2]^{-1}.$$

- (a) Calculate the normalization constant A . You should find $A = (2b^3/\pi)^{1/2}$.
- (b) Calculate the expectation value of the kinetic energy $\langle T \rangle$ for this trial wavefunction. You should find $\langle T \rangle = (\hbar^2/4mb^2)$.
- (c) Calculate the expectation value of the potential energy $\langle V \rangle$ for this trial wavefunction in the harmonic oscillator potential. You should find $\langle V \rangle = \frac{1}{2}m\omega^2b^2$.
- (d) Calculate the expectation value of the total energy $\langle H \rangle$ for this trial wavefunction in the harmonic oscillator potential by adding the expectation values of the kinetic and potential energy $\langle H \rangle = \langle T \rangle + \langle V \rangle$. Then find the value of b that minimizes the expectation value of the total energy. You should find $b^2 = (\hbar/\sqrt{2} m\omega)$.
- (e) Calculate the minimum of the expectation value of the total energy $\langle H \rangle$ for this wavefunction in the harmonic oscillator potential. You should find $\langle H \rangle_{min} = (\sqrt{2} \hbar\omega/2)$. Note that this upper bound is about 40 percent larger than the true ground state energy $\frac{1}{2}\hbar\omega$.

2.(a) First, calculate the normalization constant for the wave function

$$\psi(x) = |\psi(x)\rangle = A (x^2 + b^2)^{-1}$$

using $\langle \psi | \psi \rangle = 1$

$$\Rightarrow \int_{-\infty}^{\infty} \left(\frac{A}{(x^2 + b^2)} \right)^* \left(\frac{A}{(x^2 + b^2)} \right) dx = |A|^2 \int_{-\infty}^{\infty} \frac{dx}{(x^2 + b^2)^2} = 2|A|^2 \int_0^{\infty} \frac{dx}{(x^2 + b^2)^2} = 1.$$

Form 15.1.1.16 on page 244 of Jeffrey is

$$\int_0^{\infty} \frac{dx}{(x^2 + a^2)(x^2 + c^2)} = \frac{\pi}{2ac(a + c)}$$

which is our integral with $a = c = b$. Here b is the variational parameter in the trial wave function, and our integral is given by

$$2|A|^2 \int_0^{\infty} \frac{dx}{(x^2 + b^2)^2} = \frac{2|A|^2\pi}{2b \cdot b(b + b)} = \frac{|A|^2\pi}{2b^3} = 1 \Rightarrow |A|^2 = \frac{2b^3}{\pi}$$

$$\Rightarrow A = \left(\frac{2b^3}{\pi} \right)^{1/2}.$$

2.(b) Next, find the expectation value of the kinetic energy

$$\langle T \rangle = \langle \psi | T | \psi \rangle = \int_{-\infty}^{\infty} \left(\frac{A}{(x^2 + b^2)} \right) \left(-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \right) \left(\frac{A}{(x^2 + b^2)} \right) dx.$$

Calculate the second derivative

$$\begin{aligned} \frac{d}{dx} (x^2 + b^2)^{-1} &= -1 (x^2 + b^2)^{-2} 2x = -2x (x^2 + b^2)^{-2} \\ \frac{d^2}{dx^2} (x^2 + b^2)^{-1} &= \frac{d}{dx} \left(-2x (x^2 + b^2)^{-2} \right) = -2 (x^2 + b^2)^{-2} - 2x (x^2 + b^2)^{-3} (-2)(2x) \\ &\Rightarrow \frac{d^2}{dx^2} (x^2 + b^2)^{-1} = \frac{-2}{(x^2 + b^2)^2} + \frac{8x^2}{(x^2 + b^2)^3}. \end{aligned}$$

Then our integral becomes

$$\begin{aligned} \langle T \rangle &= -\frac{A^2 \hbar^2}{2m} \int_{-\infty}^{\infty} \left(\frac{1}{(x^2 + b^2)} \right) \left(\frac{-2}{(x^2 + b^2)^2} + \frac{8x^2}{(x^2 + b^2)^3} \right) dx \\ &= \frac{A^2 \hbar^2}{2m} \left[-8 \int_{-\infty}^{\infty} \frac{x^2 dx}{(x^2 + b^2)^4} + 2 \int_{-\infty}^{\infty} \frac{dx}{(x^2 + b^2)^3} \right] \\ &= \frac{A^2 \hbar^2}{2m} \left[-16 \int_0^{\infty} \frac{x^2 dx}{(x^2 + b^2)^4} + 4 \int_0^{\infty} \frac{dx}{(x^2 + b^2)^3} \right]. \end{aligned} \quad (1)$$

Form 3.241.4 on page 292 of *Table of Integrals, Series, and Products* by Gradshteyn and Ryzhik is

$$\int_0^{\infty} \frac{x^{\mu-1} dx}{(p + qx^\nu)^{n+1}} = \frac{1}{\nu p^{n+1}} \left(\frac{p}{q} \right)^{\mu/\nu} \frac{\Gamma(\frac{\mu}{\nu}) \Gamma(1 + n - \frac{\mu}{\nu})}{\Gamma(1 + n)} \quad \text{for } 0 < \frac{\mu}{\nu} < n + 1, p \neq 0, \text{ and } q \neq 0.$$

We can use this form to evaluate both of the integrals in equation (1). For the first integral, the parameters are $\mu = 3$, $\nu = 2$, $n = 3$, $q = 1$, and $p = b^2$, so we obtain

$$\begin{aligned} -16 \frac{A^2 \hbar^2}{2m} \int_0^{\infty} \frac{x^2 dx}{(x^2 + b^2)^4} &= -16 \frac{A^2 \hbar^2}{2m} \left[\frac{1}{2 \cdot b^{2(3+1)}} \left(\frac{b^2}{1} \right)^{3/2} \frac{\Gamma(\frac{3}{2}) \Gamma(1 + 3 - \frac{3}{2})}{\Gamma(1 + 3)} \right] \\ &= -4 \frac{A^2 \hbar^2}{m} \cdot \frac{b^3}{b^8} \cdot \frac{\Gamma(\frac{3}{2}) \Gamma(\frac{5}{2})}{\Gamma(4)} = -\frac{4A^2 \hbar^2}{mb^5} \cdot \frac{\Gamma(\frac{3}{2}) \Gamma(\frac{5}{2})}{\Gamma(4)}. \end{aligned}$$

To evaluate the Γ functions, remember that

$$\Gamma\left(\frac{1}{2}\right) = \sqrt{\pi}, \quad \Gamma(1) = \Gamma(2) = 1, \quad \Gamma(n+1) = n!, \quad \text{and} \quad \Gamma\left(n + \frac{1}{2}\right) = \frac{(2n-1) \cdots 5 \cdot 3 \cdot 1}{2^n} \Gamma\left(\frac{1}{2}\right),$$

so we obtain

$$-\frac{4A^2 \hbar^2}{mb^5} \cdot \frac{\Gamma(\frac{3}{2}) \Gamma(\frac{5}{2})}{\Gamma(4)} = -\frac{4A^2 \hbar^2}{mb^5} \cdot \frac{\left(\frac{1 \cdot \sqrt{\pi}}{2}\right) \left(\frac{3 \cdot 1 \cdot \sqrt{\pi}}{4}\right)}{3 \cdot 2 \cdot 1} = -\frac{\pi A^2 \hbar^2}{4mb^5}. \quad (2)$$

We could also evaluate the second integral in equation (1) using the same form, but it is actually a simpler integral, and there is a simpler form in Gradshteyn and Ryzhik, namely form 3.249.1 on page 294, which is

$$\int_0^{\infty} \frac{dx}{(x^2 + a^2)^n} = \frac{(2n-3)!!}{2(2n-2)!!} \frac{\pi}{a^{2n-1}}.$$

Here the double factorial means the product of only the odd factors, so for example $(2n+1)!! = 1 \cdot 3 \cdot 5 \cdots (2n+1)$. Our second integral becomes

$$4 \frac{A^2 \hbar^2}{2m} \int_0^{\infty} \frac{dx}{(x^2 + b^2)^3} = \frac{2A^2 \hbar^2}{m} \frac{3 \cdot 1}{2(4 \cdot 2)} \cdot \frac{\pi}{b^5} = \frac{3\pi A^2 \hbar^2}{8mb^5}.$$

Adding these two integrals, we obtain the expectation value of the kinetic energy

$$\langle T \rangle = -\frac{\pi A^2 \hbar^2}{4mb^5} + \frac{3\pi A^2 \hbar^2}{8mb^5} = \frac{2b^3}{\pi} \left[-\frac{\pi \hbar^2}{4mb^5} + \frac{3\pi \hbar^2}{8mb^5} \right] = 2b^3 \hbar^2 \left[-\frac{2}{8mb^5} + \frac{3}{8mb^5} \right]$$

$$\Rightarrow \quad \langle T \rangle = \frac{\hbar^2}{4mb^2}.$$

2.(c) Now we must calculate the expectation value of the potential energy for $V(x) = \frac{1}{2}m\omega^2 x^2$, which is given by

$$\begin{aligned} \langle V \rangle &= \langle \psi | V | \psi \rangle = \int_{-\infty}^{\infty} \left(\frac{A}{(x^2 + b^2)} \right) \left(\frac{1}{2} m \omega^2 x^2 \right) \left(\frac{A}{(x^2 + b^2)} \right) dx \\ &= \frac{A^2 m \omega^2}{2} \int_{-\infty}^{\infty} \frac{x^2 dx}{(x^2 + b^2)^2} \\ &= A^2 m \omega^2 \int_0^{\infty} \frac{x^2 dx}{(x^2 + b^2)^2}. \end{aligned}$$

We can evaluate this integral using form 3.241.4 from Gradshteyn and Ryzhik, with the parameters $\mu = 3$, $\nu = 2$, $n = 1$, $q = 1$, and $p = b^2$. Then we obtain

$$\begin{aligned} A^2 m \omega^2 \int_0^{\infty} \frac{x^2 dx}{(x^2 + b^2)^2} &= \frac{A^2 m \omega^2}{2 \cdot b^{2(1+1)}} \left(\frac{b^2}{1} \right)^{3/2} \frac{\Gamma\left(\frac{3}{2}\right) \Gamma\left(1+1-\frac{3}{2}\right)}{\Gamma(1+1)} \\ &= m \omega^2 (A^2) \frac{b^3}{2 \cdot b^4} \frac{\Gamma\left(\frac{3}{2}\right) \Gamma\left(\frac{1}{2}\right)}{\Gamma(2)} \\ &= m \omega^2 \left(\frac{2b^3}{\pi} \right) \frac{b^3}{2 \cdot b^4} \frac{\Gamma\left(\frac{3}{2}\right) \Gamma\left(\frac{1}{2}\right)}{\Gamma(2)} \\ &= \frac{m \omega^2 b^2}{\pi} \frac{\frac{\sqrt{\pi}}{2} \sqrt{\pi}}{1} \end{aligned}$$

$$\Rightarrow \quad \langle V \rangle = \frac{1}{2} m \omega^2 b^2.$$

2.(d) Now find the value of b that minimizes the expectation value of the total energy:

$$\frac{\partial}{\partial b} \left(\frac{\hbar^2}{4mb^2} + \frac{1}{2}m\omega^2 b^2 \right) = -\frac{\hbar^2}{2mb^3} + m\omega^2 b = 0$$

$$\Rightarrow b_0^4 = \frac{\hbar^2}{2m^2\omega^2}$$

$$\Rightarrow b_0^2 = \frac{\hbar}{\sqrt{2}m\omega}.$$

2.(e) The minimum expectation value of the total energy is given by

$$\begin{aligned} \langle H \rangle_{\min} &= \frac{\hbar^2}{4mb_0^2} + \frac{1}{2}m\omega^2 b_0^2 \\ &= \frac{\hbar^2}{4m(\hbar/\sqrt{2}m\omega)} + \frac{1}{2}m\omega^2 (\hbar/\sqrt{2}m\omega) \\ &= \frac{\sqrt{2}\hbar\omega}{4} + \frac{\hbar\omega}{2\sqrt{2}} \\ &= \left(\frac{\sqrt{2}}{4} + \frac{\sqrt{2}}{4} \right) \hbar\omega = \frac{2\sqrt{2}}{4} \hbar\omega \end{aligned}$$

$$\Rightarrow \langle H \rangle_{\min} = \frac{\sqrt{2}}{2} \hbar\omega.$$

We know that the ground state energy of the SHO is $\hbar\omega/2 = 0.5 \hbar\omega$. From this problem, we see that the variational method only gives us an upper bound on the ground state energy, specifically in this case $\langle H \rangle_{\min} = 0.71 \hbar\omega$. Note, however, that if we used a Gaussian trial wave function, we would have obtained $\langle H \rangle_{\min} = 0.5 \hbar\omega$.

3. Generalize the ground state variational method to allow computation of variational upper bounds for the first excited state energy by using a trial wavefunction that is orthogonal to the ground state wavefunction.

- (a) Modify the proof for the ground state case. First, expand the trial wavefunction $|\psi\rangle$ in energy eigenstates

$$|\psi\rangle = \sum_{n=0}^{\infty} c_n |\psi_n\rangle.$$

Then use the fact that the trial wavefunction $|\psi\rangle$ is orthogonal to the exact ground state wavefunction $|\psi_0\rangle$, *i.e.*, that

$$\langle \psi | \psi_0 \rangle = 0,$$

to rewrite the energy sum omitting the $n = 0$ ground state term—*i.e.*, since $c_0 = 0$,

$$\langle H \rangle = \sum_{n=1}^{\infty} E_n c_n^2.$$

Finally, modify the derivation of the ground state variational principle.

- (b) Calculate the normalization constant A for the first excited state trial wavefunction

$$\psi(x) = A x e^{-bx^2}.$$

You should find $A = \left[4b \sqrt{(2b/\pi)}\right]^{1/2} = [32b^3/\pi]^{1/4}$.

- (c) Calculate the expectation value of the kinetic energy $\langle T \rangle$ for this trial wavefunction. You should find $\langle T \rangle = (3\hbar^2 b/2m)$.
- (d) Calculate the expectation value of the potential energy $\langle V \rangle$ for this trial wavefunction in the harmonic oscillator potential. You should find $\langle V \rangle = (3m\omega^2/8b)$.
- (e) Calculate the expectation value of the total energy $\langle H \rangle$ for this trial wavefunction in the harmonic oscillator potential by adding the expectation values of the kinetic and potential energy $\langle H \rangle = \langle T \rangle + \langle V \rangle$. Then find the value of b that minimizes the expectation value of the total energy. You should find $b = (m\omega/2\hbar)$.
- (f) Calculate the minimum of the expectation value of the total energy $\langle H \rangle$ for this wavefunction in the harmonic oscillator potential. You should find $\langle H \rangle_{min} = \frac{3}{2}\hbar\omega$. Note that you obtain the exact energy of the first excited state because you “guessed” the exact excited state wavefunction!

3.(a) Any wave function can be expressed as a linear combination of the energy eigenstates, *i.e.*,

$$|\psi\rangle = \sum_{n=0}^{\infty} c_n |\psi_n\rangle = c_0 |\psi_0\rangle + c_1 |\psi_1\rangle + c_2 |\psi_2\rangle + \dots$$

If we pick our trial wave function orthogonal to the ground state wave function, we have

$$\langle \psi | \psi_0 \rangle = 0, \quad \text{and} \quad c_0 = 0.$$

Because they are orthogonal, the projection is zero. To show this, assume that the trial wave function is normalized, then we have

$$1 = \langle \psi | \psi \rangle = \left(\left\langle \sum_{m=0}^{\infty} c_m \langle \psi_m | \right. \right) \left(\sum_{n=0}^{\infty} c_n |\psi_n \rangle \right) = \sum_{m=0}^{\infty} \sum_{n=0}^{\infty} c_m^* c_n \langle \psi_m | \psi_n \rangle.$$

Next consider the inner product of the ground state and the first excited state trial wavefunction

$$\langle \psi | \psi_0 \rangle = 0 \quad \Rightarrow \quad \sum_{n=0}^{\infty} c_n \langle \psi_n | \psi_0 \rangle = 0.$$

Then, using the orthonormality, we find

$$\begin{aligned} \sum_{n=0}^{\infty} c_n^* c_0 \langle \psi_n | \psi_0 \rangle &= \sum_{n=0}^{\infty} c_n^* c_0 \delta_{n0} = c_0^* c_0 = |c_0|^2 = 0 \\ &\Rightarrow \quad c_0 = 0. \end{aligned}$$

This means that the general expansion of our trial wavefunction can be written as usual, except that the sum starts at $n = 1$ instead of $n = 0$. For example, the normalization equation becomes

$$\sum_{m=0}^{\infty} \sum_{n=0}^{\infty} c_m^* c_n \langle \psi_m | \psi_n \rangle = \sum_{m=1}^{\infty} \sum_{n=1}^{\infty} c_m^* c_n \langle \psi_m | \psi_n \rangle = \sum_{m=1}^{\infty} \sum_{n=1}^{\infty} c_m^* c_n \delta_{mn} = \sum_{n=1}^{\infty} |c_n|^2 = 1.$$

Now we are ready to derive the inequality for the first excited state energy. Write down the expectation value of the energy:

$$\begin{aligned} \langle H \rangle &= \langle \psi | H | \psi \rangle = \left(\sum_{m=1}^{\infty} c_m \langle \psi_m | \right) H \left(\sum_{n=1}^{\infty} c_n |\psi_n \rangle \right) \\ &= \sum_{m=1}^{\infty} \sum_{n=1}^{\infty} c_m^* c_n E_n \langle \psi_m | \psi_n \rangle = \sum_{m=1}^{\infty} \sum_{n=1}^{\infty} c_m^* c_n E_n \delta_{mn} \\ &= \sum_{n=1}^{\infty} |c_n|^2 E_n \geq E_1 \sum_{n=1}^{\infty} |c_n|^2 = E_1. \end{aligned}$$

$$\Rightarrow \quad \langle H \rangle \geq E_1.$$

3.(b) Calculate the normalization constant for the first excited state trial wave function

$$\langle \psi | \psi \rangle = 1 \Rightarrow \int_{-\infty}^{\infty} (Axe^{-bx^2})^* (Axe^{-bx^2}) dx = |A|^2 \int_{-\infty}^{\infty} x^2 e^{-2bx^2} dx = 2|A|^2 \int_0^{\infty} x^2 e^{-2bx^2} dx.$$

One easy way to evaluate this integral is using form 15.3.1.26 from page 249 of Jeffrey, which we have encountered previously in Problem 1. Then we have

$$\int_0^{\infty} x^{2n} e^{-px^2} dx = \frac{(2n-1) \cdots 5 \cdot 3 \cdot 1}{2(2p)^n} \sqrt{\frac{\pi}{p}} \quad \text{for } p > 0, \quad \text{and } n = 0, 1, 2, 3, \dots$$

For our integral, the parameters are $n = 1$ and $p = 2b$, so we obtain

$$2|A|^2 \int_0^{\infty} x^2 e^{-2bx^2} dx = 2|A|^2 \frac{(2(1)-1)!!}{2(2 \cdot 2b)^1} \sqrt{\frac{\pi}{2b}} = \frac{|A|^2}{4b} \sqrt{\frac{\pi}{2b}} = 1$$

$$\Rightarrow |A|^2 = 4b \sqrt{\frac{2b}{\pi}} = 4 \sqrt{\frac{2b^3}{\pi}} \quad \text{or} \quad A = 2 \left(\frac{2b^3}{\pi} \right)^{1/4}.$$

3.(c) Calculate the expectation value for the kinetic energy

$$\begin{aligned} \langle T \rangle = \langle \psi | T | \psi \rangle &= \int_{-\infty}^{\infty} (Axe^{-bx^2})^* \left(-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \right) (Axe^{-bx^2}) dx \\ &= -\frac{|A|^2 \hbar^2}{2m} \int_{-\infty}^{\infty} (xe^{-bx^2})^* \left(\frac{d^2}{dx^2} (xe^{-bx^2}) \right) dx. \end{aligned}$$

Again, we need the second derivative of the trial wave function. This is given by

$$\begin{aligned} \frac{d^2}{dx^2} (xe^{-bx^2}) &= \frac{d}{dx} \left(\frac{d}{dx} (xe^{-bx^2}) \right) = \frac{d}{dx} (e^{-bx^2} - 2bx^2 e^{-bx^2}) \\ &= -2bx e^{-bx^2} - 4bx e^{-bx^2} + 4b^2 x^3 e^{-bx^2} \\ &= -6bx e^{-bx^2} + 4b^2 x^3 e^{-bx^2}. \end{aligned}$$

So, the expectation value of kinetic energy is given by

$$\begin{aligned} \langle T \rangle &= -\frac{|A|^2 \hbar^2}{2m} \int_{-\infty}^{\infty} (xe^{-bx^2})^* (-6bx e^{-bx^2} + 4b^2 x^3 e^{-bx^2}) dx \\ &= \frac{|A|^2 \hbar^2}{2m} \left[6b \int_{-\infty}^{\infty} x^2 e^{-2bx^2} dx - 4b^2 \int_{-\infty}^{\infty} x^4 e^{-2bx^2} dx \right] \\ &= \frac{|A|^2 \hbar^2}{m} \left[6b \int_0^{\infty} x^2 e^{-2bx^2} dx - 4b^2 \int_0^{\infty} x^4 e^{-2bx^2} dx \right]. \end{aligned}$$

We can evaluate both integrals using the same general integral from Jeffrey used in part b. For the first integral, $n = 1$ and $p = 2b$, and for the second integral, $n = 2$ and $p = 2b$. Then the expectation value of the kinetic energy becomes

$$\begin{aligned}\langle T \rangle &= \frac{|A|^2 \hbar^2}{m} \left[6b \left(\frac{(2(1) - 1)!!}{2(2 \cdot 2b)^1} \sqrt{\frac{\pi}{2b}} \right) - 4b^2 \left(\frac{(2(2) - 1)!!}{2 \cdot (2 \cdot 2b)^2} \sqrt{\frac{\pi}{2b}} \right) \right] \\ &= \frac{\hbar^2}{m} (|A|^2) \left[6b \left(\frac{1}{8b} \sqrt{\frac{\pi}{2b}} \right) - 4b^2 \left(\frac{3 \cdot 1}{32b^2} \sqrt{\frac{\pi}{2b}} \right) \right] \\ &= \frac{\hbar^2}{m} \left(4b \sqrt{\frac{2b}{\pi}} \right) \sqrt{\frac{\pi}{2b}} \left[\frac{3}{4} - \frac{3}{8} \right]\end{aligned}$$

$$\Rightarrow \langle T \rangle = \frac{3\hbar^2 b}{2m} .$$

3.(d) Next, calculate the expectation value of the potential energy

$$\begin{aligned}\langle V \rangle = \langle \psi | V | \psi(x) \rangle &= \int_{-\infty}^{\infty} (Axe^{-bx^2})^* \left(\frac{1}{2} m \omega^2 x^2 \right) (Axe^{-bx^2}) dx \\ &= \frac{|A|^2 m \omega^2}{2} \int_{-\infty}^{\infty} x^4 e^{-2bx^2} dx \\ &= |A|^2 m \omega^2 \int_0^{\infty} x^4 e^{-2bx^2} dx \\ &= |A|^2 m \omega^2 \left(\frac{(2(2) - 1)!!}{2 \cdot (2 \cdot 2b)^2} \sqrt{\frac{\pi}{2b}} \right) \\ &= m \omega^2 \cdot 4b \sqrt{\frac{2b}{\pi}} \left(\frac{3 \cdot 1}{32b^2} \sqrt{\frac{\pi}{2b}} \right) = m \omega^2 \cdot \frac{12}{32b}\end{aligned}$$

$$\Rightarrow \langle V \rangle = \frac{3m\omega^2}{8b} .$$

3.(e) The expectation value of the total energy is the sum of the kinetic and potential energies, so

$$\langle H \rangle = \langle T \rangle + \langle V \rangle = \frac{3\hbar^2 b}{2m} + \frac{3m\omega^2}{8b} .$$

To find the value of b that minimizes the expectation value of total energy, we differentiate with respect to the variational parameter, set the resulting expression equal to zero, and solve for b_0 as before, *i.e.*,

$$\frac{\partial}{\partial b} \left(\frac{3\hbar^2}{2m} b + \frac{3m\omega^2}{8} b^{-1} \right) = \frac{3\hbar^2}{2m} - \frac{3m\omega^2}{8} b^{-2} = 0$$

$$\Rightarrow b_0^2 = \frac{3m\omega^2}{8} \frac{2m}{3\hbar^2} = \frac{m^2\omega^2}{4\hbar^2}$$

$$\Rightarrow b_0 = \frac{m\omega}{2\hbar} .$$

3.(f) The minimum value of the total energy is obtained by substituting b_0 into the equation for $\langle H \rangle$. We find

$$\begin{aligned} \langle H \rangle_{\min} &= \frac{3\hbar^2}{2m} b_0 + \frac{3m\omega^2}{8b_0} \\ &= \frac{3\hbar^2}{2m} \frac{m\omega}{2\hbar} + \frac{3m\omega^2}{8(m\omega/2\hbar)} = \frac{3}{4}\hbar\omega + \frac{3}{4}\hbar\omega \end{aligned}$$

$$\Rightarrow \langle H \rangle_{\min} = \frac{3}{2}\hbar\omega .$$

Note that this is the exact energy of the first excited state of the quantum mechanical SHO because we have successfully “guessed” the exact first excited state wavefunction.
