

CHAPTER 4

QUANTUM MECHANICS IN THREE DIMENSIONS

4.1 SCHRÖDINGER EQUATION IN SPHERICAL COORDINATES

The generalization to three dimensions is straightforward. Schrödinger's equation says

$$i\hbar \frac{\partial \Psi}{\partial t} = H\Psi; \quad [4.1]$$

the Hamiltonian operator¹ H is obtained from the classical energy

$$\frac{1}{2}mv^2 + V = \frac{1}{2m}(p_x^2 + p_y^2 + p_z^2) + V$$

by the standard prescription (applied now to y and z , as well as x):

$$p_x \rightarrow \frac{\hbar}{i} \frac{\partial}{\partial x}, \quad p_y \rightarrow \frac{\hbar}{i} \frac{\partial}{\partial y}, \quad p_z \rightarrow \frac{\hbar}{i} \frac{\partial}{\partial z}, \quad [4.2]$$

¹Where confusion might otherwise occur I have been putting “hats” on operators, to distinguish them from the corresponding classical observables. I don't think there will be much occasion for ambiguity in this chapter, and the hats get to be cumbersome, so I am going to leave them off from now on.

or

$$\mathbf{p} \rightarrow \frac{\hbar}{i} \nabla, \quad [4.3]$$

for short. Thus

$$i\hbar \frac{\partial \Psi}{\partial t} = -\frac{\hbar^2}{2m} \nabla^2 \Psi + V\Psi, \quad [4.4]$$

where

$$\nabla^2 \equiv \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \quad [4.5]$$

is the **Laplacian**, in cartesian coordinates.

The potential energy V and the wave function Ψ are now functions of $\mathbf{r} = (x, y, z)$ and t . The probability of finding the particle in the infinitesimal volume $d^3\mathbf{r} = dx dy dz$ is $|\Psi(\mathbf{r}, t)|^2 d^3\mathbf{r}$, and the normalization condition reads

$$\int |\Psi|^2 d^3\mathbf{r} = 1, \quad [4.6]$$

with the integral taken over all space. If the potential is independent of time, there will be a complete set of stationary states,

$$\Psi_n(\mathbf{r}, t) = \psi_n(\mathbf{r}) e^{-i E_n t / \hbar}, \quad [4.7]$$

where the spatial wave function ψ_n satisfies the time-independent Schrödinger equation:

$$-\frac{\hbar^2}{2m} \nabla^2 \psi + V\psi = E\psi. \quad [4.8]$$

The general solution to the (time-dependent) Schrödinger equation is

$$\Psi(\mathbf{r}, t) = \sum c_n \psi_n(\mathbf{r}) e^{-i E_n t / \hbar}, \quad [4.9]$$

with the constants c_n determined by the initial wave function, $\Psi(\mathbf{r}, 0)$, in the usual way. (If the potential admits continuum states, then the sum in Equation 4.9 becomes an integral.)

*Problem 4.1

- (a) Work out all of the **canonical commutation relations** for components of the operators \mathbf{r} and \mathbf{p} : $[x, y]$, $[x, p_y]$, $[x, p_x]$, $[p_y, p_z]$, and so on. *Answer:*

$$[r_i, p_j] = -[p_i, r_j] = i\hbar\delta_{ij}, \quad [r_i, r_j] = [p_i, p_j] = 0, \quad [4.10]$$

where the indices stand for x , y , or z , and $r_x = x$, $r_y = y$, and $r_z = z$.

- (b) Confirm Ehrenfest's theorem for 3-dimensions:

$$\frac{d}{dt}\langle\mathbf{r}\rangle = \frac{1}{m}\langle\mathbf{p}\rangle, \quad \text{and} \quad \frac{d}{dt}\langle\mathbf{p}\rangle = \langle-\nabla V\rangle. \quad [4.11]$$

(Each of these, of course, stands for *three* equations—one for each component.) *Hint:* First check that Equation 3.71 is valid in three dimensions.

- (c) Formulate Heisenberg's uncertainty principle in three dimensions. *Answer:*

$$\sigma_x\sigma_{p_x} \geq \hbar/2, \quad \sigma_y\sigma_{p_y} \geq \hbar/2, \quad \sigma_z\sigma_{p_z} \geq \hbar/2, \quad [4.12]$$

but there is no restriction on, say, $\sigma_x\sigma_{p_y}$.

4.1.1 Separation of Variables

Typically, the potential is a function only of the distance from the origin. In that case it is natural to adopt **spherical coordinates**, (r, θ, ϕ) (see Figure 4.1). In spherical coordinates the Laplacian takes the form²

$$\nabla^2 = \frac{1}{r^2} \frac{\partial}{\partial r} \left(r^2 \frac{\partial}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \left(\frac{\partial^2}{\partial \phi^2} \right). \quad [4.13]$$

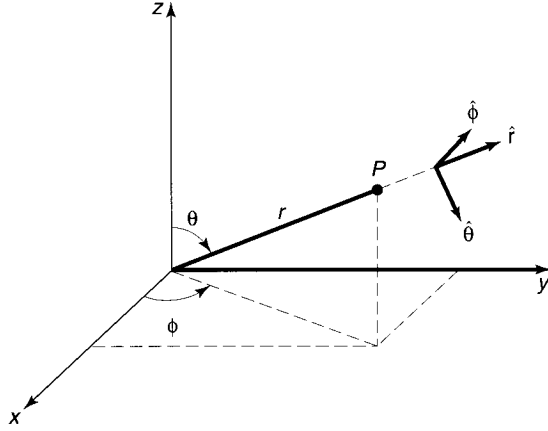
In spherical coordinates, then, the time-independent Schrödinger equation reads

$$-\frac{\hbar^2}{2m} \left[\frac{1}{r^2} \frac{\partial}{\partial r} \left(r^2 \frac{\partial \psi}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial \psi}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \left(\frac{\partial^2 \psi}{\partial \phi^2} \right) \right] + V\psi = E\psi. \quad [4.14]$$

We begin by looking for solutions that are separable into products:

$$\psi(r, \theta, \phi) = R(r)Y(\theta, \phi). \quad [4.15]$$

²In principle, this can be obtained by change of variables from the cartesian expression (Equation 4.5). However, there are much more efficient ways of getting it; see, for instance, M. Boas, *Mathematical Methods in the Physical Sciences*, 2nd ed., (Wiley, New York, 1983), Chapter 10, Section 9.

FIGURE 4.1: Spherical coordinates: radius r , polar angle θ , and azimuthal angle ϕ .

Putting this into Equation 4.14, we have

$$-\frac{\hbar^2}{2m} \left[\frac{Y}{r^2} \frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) + \frac{R}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial Y}{\partial \theta} \right) + \frac{R}{r^2 \sin^2 \theta} \frac{\partial^2 Y}{\partial \phi^2} \right] + VRY = ERY.$$

Dividing by RY and multiplying by $-2mr^2/\hbar^2$:

$$\left\{ \frac{1}{R} \frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) - \frac{2mr^2}{\hbar^2} [V(r) - E] \right\} + \frac{1}{Y} \left\{ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial Y}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2 Y}{\partial \phi^2} \right\} = 0.$$

The term in the first curly bracket depends only on r , whereas the remainder depends only on θ and ϕ ; accordingly, each must be a constant. For reasons that will appear in due course,³ I will write this “separation constant” in the form $l(l+1)$:

$$\frac{1}{R} \frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) - \frac{2mr^2}{\hbar^2} [V(r) - E] = l(l+1); \quad [4.16]$$

$$\frac{1}{Y} \left\{ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial Y}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2 Y}{\partial \phi^2} \right\} = -l(l+1). \quad [4.17]$$

³Note that there is no loss of generality here—at this stage l could be any complex number. Later on we’ll discover that l must in fact be an *integer*, and it is in anticipation of that result that I express the separation constant in a way that looks peculiar now.

***Problem 4.2** Use separation of variables in *cartesian* coordinates to solve the infinite *cubical* well (or “particle in a box”):

$$V(x, y, z) = \begin{cases} 0, & \text{if } x, y, z \text{ are all between } 0 \text{ and } a; \\ \infty, & \text{otherwise.} \end{cases}$$

- (a) Find the stationary states, and the corresponding energies.
- (b) Call the distinct energies E_1, E_2, E_3, \dots , in order of increasing energy. Find E_1, E_2, E_3, E_4, E_5 , and E_6 . Determine their degeneracies (that is, the number of different states that share the same energy). *Comment:* In *one* dimension degenerate bound states do not occur (see Problem 2.45), but in three dimensions they are very common.
- (c) What is the degeneracy of E_{14} , and why is this case interesting?

4.1.2 The Angular Equation

Equation 4.17 determines the dependence of ψ on θ and ϕ ; multiplying by $Y \sin^2 \theta$, it becomes:

$$\sin \theta \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial Y}{\partial \theta} \right) + \frac{\partial^2 Y}{\partial \phi^2} = -l(l+1) \sin^2 \theta Y. \quad [4.18]$$

You might recognize this equation—it occurs in the solution to Laplace’s equation in classical electrodynamics. As always, we try separation of variables:

$$Y(\theta, \phi) = \Theta(\theta)\Phi(\phi). \quad [4.19]$$

Plugging this in, and dividing by $\Theta\Phi$, we find:

$$\left\{ \frac{1}{\Theta} \left[\sin \theta \frac{d}{d\theta} \left(\sin \theta \frac{d\Theta}{d\theta} \right) \right] + l(l+1) \sin^2 \theta \right\} + \frac{1}{\Phi} \frac{d^2 \Phi}{d\phi^2} = 0.$$

The first term is a function only of θ , and the second is a function only of ϕ , so each must be a constant. This time⁴ I’ll call the separation constant m^2 :

$$\frac{1}{\Theta} \left[\sin \theta \frac{d}{d\theta} \left(\sin \theta \frac{d\Theta}{d\theta} \right) \right] + l(l+1) \sin^2 \theta = m^2; \quad [4.20]$$

$$\frac{1}{\Phi} \frac{d^2 \Phi}{d\phi^2} = -m^2. \quad [4.21]$$

⁴Again, there is no loss of generality here, since at this stage m could be any complex number; in a moment, though, we will discover that m must in fact be an *integer*. *Beware:* The letter m is now doing double duty, as *mass* and as a separation constant. There is no graceful way to avoid this confusion, since both uses are standard. Some authors now switch to M or μ for mass, but I hate to change notation in mid-stream, and I don’t think confusion will arise, as long as you are aware of the problem.

The ϕ equation is easy:

$$\frac{d^2\Phi}{d\phi^2} = -m^2\Phi \Rightarrow \Phi(\phi) = e^{im\phi}. \quad [4.22]$$

[Actually, there are *two* solutions: $\exp(im\phi)$ and $\exp(-im\phi)$, but we'll cover the latter by allowing m to run negative. There could also be a constant factor in front, but we might as well absorb that into Θ . Incidentally, in electrodynamics we would write the azimuthal function (Φ) in terms of sines and cosines, instead of exponentials, because electric potentials must be *real*. In quantum mechanics there is no such constraint, and the exponentials are a lot easier to work with.] Now, when ϕ advances by 2π , we return to the same point in space (see Figure 4.1), so it is natural to require that⁵

$$\Phi(\phi + 2\pi) = \Phi(\phi). \quad [4.23]$$

In other words, $\exp[im(\phi + 2\pi)] = \exp(im\phi)$, or $\exp(2\pi im) = 1$. From this it follows that m must be an *integer*:

$$m = 0, \pm 1, \pm 2, \dots \quad [4.24]$$

The θ equation,

$$\sin\theta \frac{d}{d\theta} \left(\sin\theta \frac{d\Theta}{d\theta} \right) + [l(l+1)\sin^2\theta - m^2]\Theta = 0, \quad [4.25]$$

is not so simple. The solution is

$$\Theta(\theta) = AP_l^m(\cos\theta), \quad [4.26]$$

where P_l^m is the **associated Legendre function**, defined by⁶

$$P_l^m(x) \equiv (1-x^2)^{|m|/2} \left(\frac{d}{dx} \right)^{|m|} P_l(x), \quad [4.27]$$

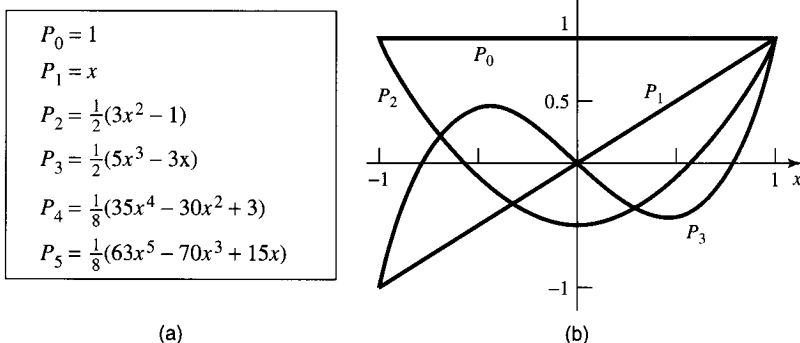
and $P_l(x)$ is the l th **Legendre polynomial**, defined by the **Rodrigues formula**:

$$P_l(x) \equiv \frac{1}{2^l l!} \left(\frac{d}{dx} \right)^l (x^2 - 1)^l. \quad [4.28]$$

⁵This is more slippery than it looks. After all, the *probability* density ($|\Phi|^2$) is single-valued *regardless* of m . In Section 4.3 we'll obtain the condition on m by an entirely different—and more compelling—argument.

⁶Notice that $P_l^{-m} = P_l^m$. Some authors adopt a different sign convention for negative values of m ; see Boas (footnote 2), p. 505.

TABLE 4.1: The first few Legendre polynomials, $P_l(x)$: (a) functional form, (b) graphs.



For example,

$$P_0(x) = 1, \quad P_1(x) = \frac{1}{2} \frac{d}{dx} (x^2 - 1) = x,$$

$$P_2(x) = \frac{1}{4 \cdot 2} \left(\frac{d}{dx} \right)^2 (x^2 - 1)^2 = \frac{1}{2} (3x^2 - 1),$$

and so on. The first few Legendre polynomials are listed in Table 4.1. As the name suggests, $P_l(x)$ is a polynomial (of degree l) in x , and is even or odd according to the parity of l . But $P_l^m(x)$ is not, in general, a polynomial—if m is odd it carries a factor of $\sqrt{1 - x^2}$:

$$P_2^0(x) = \frac{1}{2} (3x^2 - 1), \quad P_2^1(x) = (1 - x^2)^{1/2} \frac{d}{dx} \left[\frac{1}{2} (3x^2 - 1) \right] = 3x \sqrt{1 - x^2},$$

$$P_2^2(x) = (1 - x^2) \left(\frac{d}{dx} \right)^2 \left[\frac{1}{2} (3x^2 - 1) \right] = 3(1 - x^2),$$

etc. (On the other hand, what we need is $P_l^m(\cos \theta)$, and $\sqrt{1 - \cos^2 \theta} = \sin \theta$, so $P_l^m(\cos \theta)$ is always a polynomial in $\cos \theta$, multiplied—if m is odd—by $\sin \theta$. Some associated Legendre functions of $\cos \theta$ are listed in Table 4.2.)

Notice that l must be a nonnegative integer, for the Rodrigues formula to make any sense; moreover, if $|m| > l$, then Equation 4.27 says $P_l^m = 0$. For any given l , then, there are $(2l + 1)$ possible values of m :

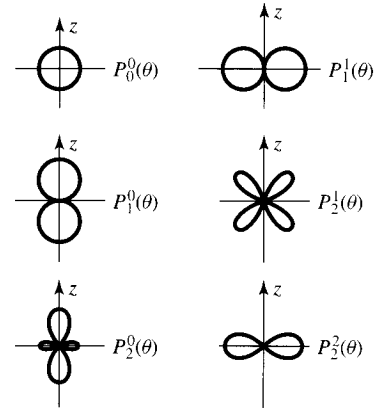
$$l = 0, 1, 2, \dots; \quad m = -l, -l + 1, \dots, -1, 0, 1, \dots, l - 1, l. \quad [4.29]$$

But wait! Equation 4.25 is a second-order differential equation: It should have *two* linearly independent solutions, for *any* old values of l and m . Where are all the

TABLE 4.2: Some associated Legendre functions, $P_l^m(\cos \theta)$: (a) functional form, (b) graphs of $r = P_l^m(\cos \theta)$ (in these plots r tells you the magnitude of the function in the direction θ ; each figure should be rotated about the z -axis).

$P_0^0 = 1$	$P_2^0 = \frac{1}{2}(3 \cos^2 \theta - 1)$
$P_1^1 = \sin \theta$	$P_3^3 = 15 \sin \theta (1 - \cos^2 \theta)$
$P_1^0 = \cos \theta$	$P_3^2 = 15 \sin^2 \theta \cos \theta$
$P_2^2 = 3 \sin^2 \theta$	$P_3^1 = \frac{3}{2} \sin \theta (5 \cos^2 \theta - 1)$
$P_2^1 = 3 \sin \theta \cos \theta$	$P_3^0 = \frac{1}{2}(5 \cos^3 \theta - 3 \cos \theta)$

(a)



(b)

other solutions? *Answer:* They *exist*, of course, as mathematical solutions to the equation, but they are *physically* unacceptable, because they blow up at $\theta = 0$ and/or $\theta = \pi$ (see Problem 4.4).

Now, the volume element in spherical coordinates⁷ is

$$d^3\mathbf{r} = r^2 \sin \theta \, dr \, d\theta \, d\phi, \quad [4.30]$$

so the normalization condition (Equation 4.6) becomes

$$\int |\psi|^2 r^2 \sin \theta \, dr \, d\theta \, d\phi = \int |R|^2 r^2 \, dr \int |Y|^2 \sin \theta \, d\theta \, d\phi = 1.$$

It is convenient to normalize R and Y separately:

$$\int_0^\infty |R|^2 r^2 \, dr = 1 \quad \text{and} \quad \int_0^{2\pi} \int_0^\pi |Y|^2 \sin \theta \, d\theta \, d\phi = 1. \quad [4.31]$$

⁷See, for instance, Boas (footnote 2), Chapter 5, Section 4.

TABLE 4.3: The first few spherical harmonics, $Y_l^m(\theta, \phi)$.

$Y_0^0 = \left(\frac{1}{4\pi}\right)^{1/2}$	$Y_2^{\pm 2} = \left(\frac{15}{32\pi}\right)^{1/2} \sin^2 \theta e^{\pm 2i\phi}$
$Y_1^0 = \left(\frac{3}{4\pi}\right)^{1/2} \cos \theta$	$Y_3^0 = \left(\frac{7}{16\pi}\right)^{1/2} (5 \cos^3 \theta - 3 \cos \theta)$
$Y_1^{\pm 1} = \mp \left(\frac{3}{8\pi}\right)^{1/2} \sin \theta e^{\pm i\phi}$	$Y_3^{\pm 1} = \mp \left(\frac{21}{64\pi}\right)^{1/2} \sin \theta (5 \cos^2 \theta - 1) e^{\pm i\phi}$
$Y_2^0 = \left(\frac{5}{16\pi}\right)^{1/2} (3 \cos^2 \theta - 1)$	$Y_3^{\pm 2} = \left(\frac{105}{32\pi}\right)^{1/2} \sin^2 \theta \cos \theta e^{\pm 2i\phi}$
$Y_2^{\pm 1} = \mp \left(\frac{15}{8\pi}\right)^{1/2} \sin \theta \cos \theta e^{\pm i\phi}$	$Y_3^{\pm 3} = \mp \left(\frac{35}{64\pi}\right)^{1/2} \sin^3 \theta e^{\pm 3i\phi}$

The normalized angular wave functions⁸ are called **spherical harmonics**:

$$Y_l^m(\theta, \phi) = \epsilon \sqrt{\frac{(2l+1)}{4\pi} \frac{(l-|m|)!}{(l+|m|)!}} e^{im\phi} P_l^m(\cos \theta), \quad [4.32]$$

where $\epsilon = (-1)^m$ for $m \geq 0$ and $\epsilon = 1$ for $m \leq 0$. As we shall prove later on, they are automatically orthogonal, so

$$\int_0^{2\pi} \int_0^\pi [Y_l^m(\theta, \phi)]^* [Y_{l'}^{m'}(\theta, \phi)] \sin \theta d\theta d\phi = \delta_{ll'} \delta_{mm'}, \quad [4.33]$$

In Table 4.3 I have listed the first few spherical harmonics. For historical reasons, l is called the **azimuthal quantum number**, and m the **magnetic quantum number**.

***Problem 4.3** Use Equations 4.27, 4.28, and 4.32, to construct Y_0^0 and Y_2^1 . Check that they are normalized and orthogonal.

Problem 4.4 Show that

$$\Theta(\theta) = A \ln[\tan(\theta/2)]$$

⁸The normalization factor is derived in Problem 4.54; ϵ (which is always 1 or -1) is chosen for consistency with the notation we will be using in the theory of angular momentum; it is reasonably standard, though some older books use other conventions. Notice that

$$Y_l^{-m} = (-1)^m (Y_l^m)^*.$$

satisfies the θ equation (Equation 4.25), for $l = m = 0$. This is the unacceptable “second solution”—what’s *wrong* with it?

***Problem 4.5** Use Equation 4.32 to construct $Y_l^l(\theta, \phi)$ and $Y_3^2(\theta, \phi)$. (You can take P_3^2 from Table 4.2, but you’ll have to work out P_l^l from Equations 4.27 and 4.28.) Check that they satisfy the angular equation (Equation 4.18), for the appropriate values of l and m .

****Problem 4.6** Starting from the Rodrigues formula, derive the orthonormality condition for Legendre polynomials:

$$\int_{-1}^1 P_l(x) P_{l'}(x) dx = \left(\frac{2}{2l+1} \right) \delta_{ll'}. \quad [4.34]$$

Hint: Use integration by parts.

4.1.3 The Radial Equation

Notice that the angular part of the wave function, $Y(\theta, \phi)$, is the same for all spherically symmetric potentials; the actual *shape* of the potential, $V(r)$, affects only the *radial* part of the wave function, $R(r)$, which is determined by Equation 4.16:

$$\frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) - \frac{2mr^2}{\hbar^2} [V(r) - E] R = l(l+1)R. \quad [4.35]$$

This equation simplifies if we change variables: Let

$$u(r) \equiv rR(r), \quad [4.36]$$

so that $R = u/r$, $dR/dr = [r(du/dr) - u]/r^2$, $(d/dr)[r^2(dR/dr)] = r d^2u/dr^2$, and hence

$$\boxed{-\frac{\hbar^2}{2m} \frac{d^2u}{dr^2} + \left[V + \frac{\hbar^2}{2m} \frac{l(l+1)}{r^2} \right] u = Eu.} \quad [4.37]$$

This is called the **radial equation**;⁹ it is *identical in form* to the one-dimensional Schrödinger equation (Equation 2.5), except that the **effective potential**,

$$V_{\text{eff}} = V + \frac{\hbar^2}{2m} \frac{l(l+1)}{r^2}, \quad [4.38]$$

⁹Those m ’s are *masses*, of course—the separation constant m does not appear in the radial equation.

contains an extra piece, the so-called **centrifugal term**, $(\hbar^2/2m)[l(l+1)/r^2]$. It tends to throw the particle outward (away from the origin), just like the centrifugal (pseudo-)force in classical mechanics. Meanwhile, the normalization condition (Equation 4.31) becomes

$$\int_0^\infty |u|^2 dr = 1. \quad [4.39]$$

That's as far as we can go until a specific potential $V(r)$ is provided.

Example 4.1 Consider the **infinite spherical well**,

$$V(r) = \begin{cases} 0, & \text{if } r < a; \\ \infty, & \text{if } r > a. \end{cases} \quad [4.40]$$

Find the wave functions and the allowed energies.

Solution: Outside the well, the wave function is zero; inside the well, the radial equation says

$$\frac{d^2u}{dr^2} = \left[\frac{l(l+1)}{r^2} - k^2 \right] u, \quad [4.41]$$

where

$$k \equiv \frac{\sqrt{2mE}}{\hbar}, \quad [4.42]$$

as usual. Our problem is to solve this equation, subject to the boundary condition $u(a) = 0$. The case $l = 0$ is easy:

$$\frac{d^2u}{dr^2} = -k^2u \Rightarrow u(r) = A \sin(kr) + B \cos(kr).$$

But remember, the actual radial wave function is $R(r) = u(r)/r$, and $[\cos(kr)]/r$ blows up as $r \rightarrow 0$. So¹⁰ we must choose $B = 0$. The boundary condition then requires $\sin(ka) = 0$, and hence $ka = n\pi$, for some integer n . The allowed energies are evidently

$$E_{n0} = \frac{n^2\pi^2\hbar^2}{2ma^2}, \quad (n = 1, 2, 3, \dots), \quad [4.43]$$

¹⁰Actually, all we require is that the wave function be *normalizable*, not that it be *finite*: $R(r) \sim 1/r$ at the origin *is* normalizable (because of the r^2 in Equation 4.31). For a more compelling proof that $B = 0$, see R. Shankar, *Principles of Quantum Mechanics* (Plenum, New York, 1980), p. 351.

the same as for the one-dimensional infinite square well (Equation 2.27). Normalizing $u(r)$ yields $A = \sqrt{2/a}$; tacking on the angular part (trivial, in this instance, since $Y_0^0(\theta, \phi) = 1/\sqrt{4\pi}$), we conclude that

$$\psi_{n00} = \frac{1}{\sqrt{2\pi a}} \frac{\sin(n\pi r/a)}{r}. \quad [4.44]$$

[Notice that the stationary states are labeled by *three quantum numbers*, n , l , and m : $\psi_{nlm}(r, \theta, \phi)$. The *energy*, however, depends only on n and l : E_{nl} .]

The general solution to Equation 4.41 (for an *arbitrary* integer l) is not so familiar:

$$u(r) = Ar j_l(kr) + Br n_l(kr), \quad [4.45]$$

where $j_l(x)$ is the **spherical Bessel function** of order l , and $n_l(x)$ is the **spherical Neumann function** of order l . They are defined as follows:

$$j_l(x) \equiv (-x)^l \left(\frac{1}{x} \frac{d}{dx} \right)^l \frac{\sin x}{x}; \quad n_l(x) \equiv -(-x)^l \left(\frac{1}{x} \frac{d}{dx} \right)^l \frac{\cos x}{x}. \quad [4.46]$$

For example,

$$\begin{aligned} j_0(x) &= \frac{\sin x}{x}; & n_0(x) &= -\frac{\cos x}{x}; \\ j_1(x) &= (-x) \frac{1}{x} \frac{d}{dx} \left(\frac{\sin x}{x} \right) = \frac{\sin x}{x^2} - \frac{\cos x}{x}; \\ j_2(x) &= (-x)^2 \left(\frac{1}{x} \frac{d}{dx} \right)^2 \frac{\sin x}{x} = x^2 \left(\frac{1}{x} \frac{d}{dx} \right) \frac{x \cos x - \sin x}{x^3} \\ &= \frac{3 \sin x - 3x \cos x - x^2 \sin x}{x^3}; \end{aligned}$$

and so on. The first few spherical Bessel and Neumann functions are listed in Table 4.4. For small x (where $\sin x \approx x - x^3/3! + x^5/5! - \dots$ and $\cos x \approx 1 - x^2/2 + x^4/4! - \dots$),

$$j_0(x) \approx 1; \quad n_0(x) \approx -\frac{1}{x}; \quad j_1(x) \approx \frac{x}{3}; \quad j_2(x) \approx \frac{x^2}{15};$$

etc. Notice that Bessel functions are *finite* at the origin, but *Neumann* functions *blow up* at the origin. Accordingly, we must have $B_l = 0$, and hence

$$R(r) = A j_l(kr). \quad [4.47]$$

TABLE 4.4: The first few spherical Bessel and Neumann functions, $j_l(x)$ and $n_l(x)$; asymptotic forms for small x .

$j_0 = \frac{\sin x}{x}$	$n_0 = -\frac{\cos x}{x}$
$j_1 = \frac{\sin x}{x^2} - \frac{\cos x}{x}$	$n_1 = -\frac{\cos x}{x^2} - \frac{\sin x}{x}$
$j_2 = \left(\frac{3}{x^3} - \frac{1}{x}\right) \sin x - \frac{3}{x^2} \cos x$	$n_2 = -\left(\frac{3}{x^3} - \frac{1}{x}\right) \cos x - \frac{3}{x^2} \sin x$
<hr/>	
$j_l \rightarrow \frac{2^l l!}{(2l+1)!} x^l,$	$n_l \rightarrow -\frac{(2l)!}{2^l l!} \frac{1}{x^{l+1}}, \text{ for } x \ll 1.$

There remains the boundary condition, $R(a) = 0$. Evidently k must be chosen such that

$$j_l(ka) = 0; \quad [4.48]$$

that is, (ka) is a zero of the l th-order spherical Bessel function. Now, the Bessel functions are oscillatory (see Figure 4.2); each one has an infinite number of zeros.

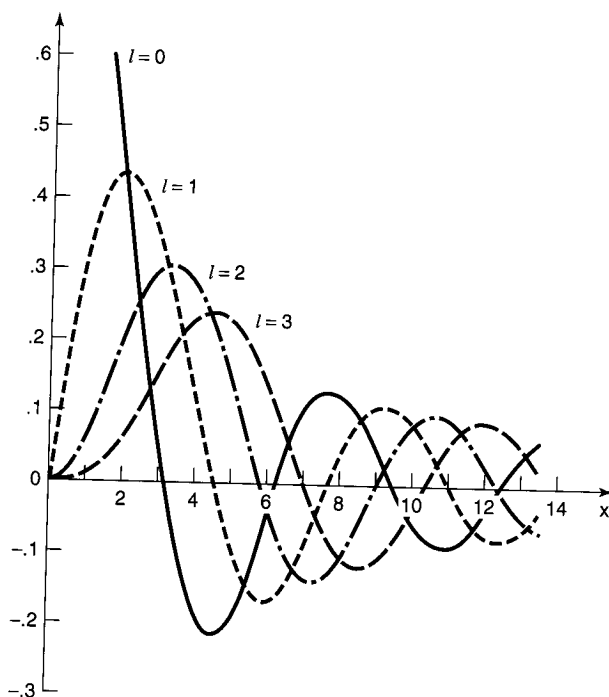


FIGURE 4.2: Graphs of the first four spherical Bessel functions.

But (unfortunately for us) they are not located at nice sensible points (such as n , or $n\pi$, or something); they have to be computed numerically.¹¹ At any rate, the boundary condition requires that

$$k = \frac{1}{a}\beta_{nl}, \quad [4.49]$$

where β_{nl} is the n th zero of the l th spherical Bessel function. The allowed energies, then, are given by

$$E_{nl} = \frac{\hbar^2}{2ma^2}\beta_{nl}^2, \quad [4.50]$$

and the wave functions are

$$\psi_{nlm}(r, \theta, \phi) = A_{nl} j_l(\beta_{nl}r/a) Y_l^m(\theta, \phi), \quad [4.51]$$

with the constant A_{nl} to be determined by normalization. Each energy level is $(2l + 1)$ -fold degenerate, since there are $(2l + 1)$ different values of m for each value of l (see Equation 4.29).

Problem 4.7

- From the definition (Equation 4.46), construct $n_1(x)$ and $n_2(x)$.
- Expand the sines and cosines to obtain approximate formulas for $n_1(x)$ and $n_2(x)$, valid when $x \ll 1$. Confirm that they blow up at the origin.

Problem 4.8

- Check that $Arj_1(kr)$ satisfies the radial equation with $V(r) = 0$ and $l = 1$.
- Determine graphically the allowed energies for the infinite spherical well, when $l = 1$. Show that for large n , $E_{n1} \approx (\hbar^2\pi^2/2ma^2)(n + 1/2)^2$. *Hint:* First show that $j_1(x) = 0 \Rightarrow x = \tan x$. Plot x and $\tan x$ on the same graph, and locate the points of intersection.

¹¹Abramowitz and Stegun, eds., *Handbook of Mathematical Functions*, (Dover, New York, 1965), Chapter 10, provides an extensive listing.