



# Plasmonics using Metal Nanoparticles

Tammy K. Lee and Parama Pal  
ECE 580 – Nano-Electro-Opto-Bio

April 1, 2007

# Motivation

- Why study plasmonics?
  - Miniaturization of optics and photonics to subwavelength scales
  - Applications: fully integrated electro-opto circuits, high resolution microscopy, effective biosensors
- What is plasmonics?
  - Exploitation of the optical properties of surface plasmons (SP) in metals for local field enhancements and radiation confinement
  - Metal nanoparticles to create simple and novel structures (thin films, colloids, wires, shells, stars etc.)

# Surface Plasmons (SP)

- Observed since the late 17<sup>th</sup> century (e.g. Lycurgus cup)
  - Addition of gold powder to glass to color it red
  - Scattering → looks green
  - Absorption → transmitted light looks red



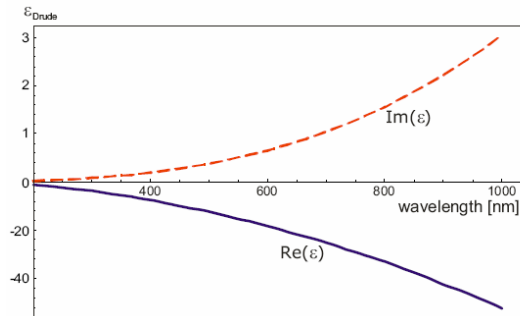
- What is a SP?
  - EM wave propagates along surface of metal-dielectric interface coupled to collection of oscillating free conduction electrons

# Optical Properties of Metals

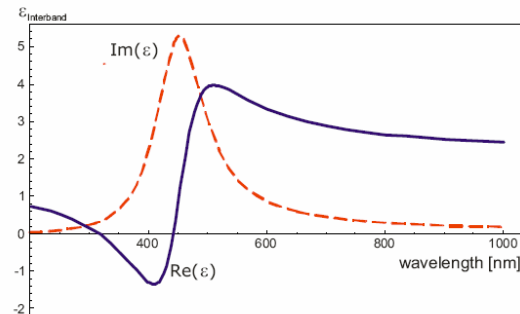
- To model the complex dielectric function of metals, need to consider:
  - (i) motion of free conduction electrons
  - (ii) interband transitions of bound electrons to conduction band given a excitation photon with sufficient energy

Calculated Dielectric Functions

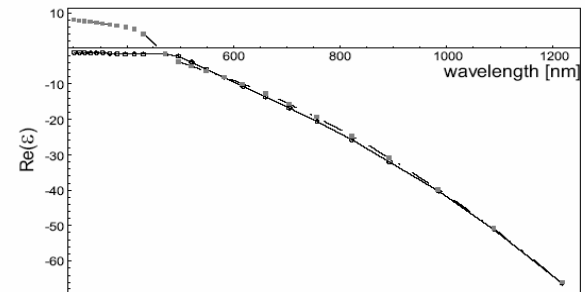
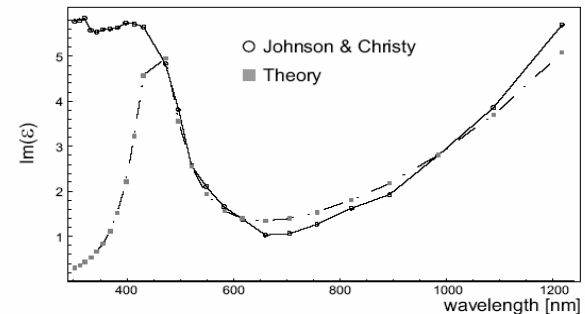
Drude-Sommerfeld Model



Interband Transitions

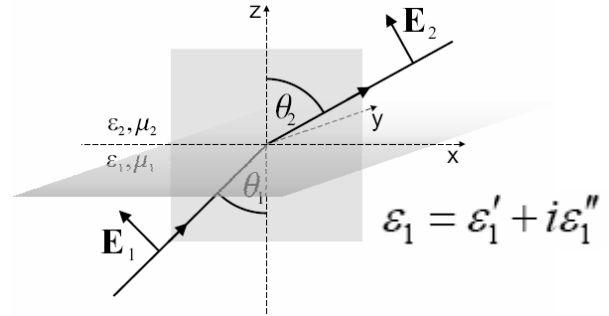


Theoretical vs. Experimental Results



# SP at Metal-Dielectric Interface

- $\epsilon_1(\omega)$  – complex dielectric function (e.g. metal),  $\epsilon_2$  – real dielectric function (e.g. air)



- p-polarized wave satisfies wave equation:

$$\nabla \times \nabla \times \vec{E}_i(\omega) - \frac{\omega^2}{c^2} \epsilon_i(\omega) \vec{E}_i(\omega) = 0$$

- Solving wave equation with boundary conditions, get:

$$E_{1,x} - E_{2,x} = 0$$

$$\epsilon_1 E_{1,z} + \epsilon_2 E_{2,z} = 0$$

- Assuming no sources:

$$k_x E_{i,x} + k_{i,z} E_{i,z} = 0$$

- Solving these equation yields:

– Normal component:  $k_{i,z}^2 = \frac{\epsilon_i^2}{\epsilon_1 + \epsilon_2} k^2$

– Dispersion relation:  $k_x^2 = \frac{\epsilon_1 \epsilon_2}{\epsilon_1 + \epsilon_2} k^2 = \frac{\epsilon_1 \epsilon_2}{\epsilon_1 + \epsilon_2} \frac{\omega^2}{c^2}$

$$\begin{aligned} \epsilon_1 + \epsilon_2 &< 0 \\ \epsilon_1 \cdot \epsilon_2 &< 0 \end{aligned}$$

**METALS!!!!**

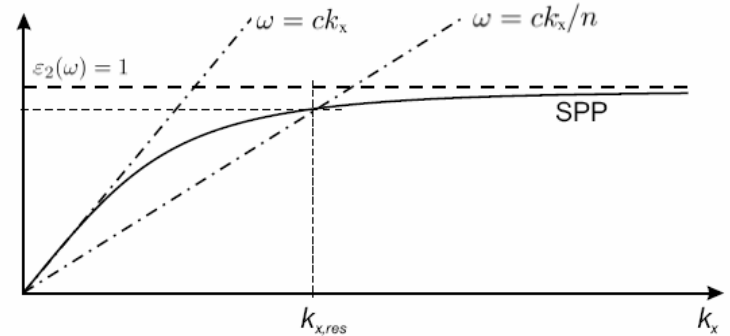
# Properties of SPP

- SPP Wavevector:  $k'_x \approx \sqrt{\frac{\epsilon'_1 \epsilon_2}{\epsilon'_1 + \epsilon_2}} \frac{\omega}{c}$      $k''_x \approx \sqrt{\frac{\epsilon'_1 \epsilon_2}{\epsilon'_1 + \epsilon_2}} \frac{\epsilon''_1 \epsilon_2}{2\epsilon'_1(\epsilon'_1 + \epsilon_2)} \frac{\omega}{c}$
- Wavelength of SP:  $\lambda_{SPP} = \frac{2\pi}{k'_x} \approx \sqrt{\frac{\epsilon'_1 \epsilon_2}{\epsilon'_1 + \epsilon_2}} \lambda$
- Propagation Length:  $1/k''_x$
- Sample numbers for  $\lambda=630$  nm,  $\epsilon_2=1$

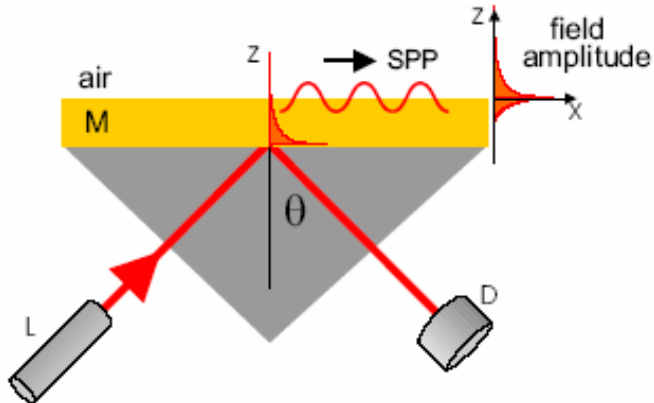
	Silver	Gold
Propagation Length	60 $\mu\text{m}$	10 $\mu\text{m}$
Penetration depth into metal	23 nm	28 nm
Penetration depth into dielectric	421 nm	328 nm

# SP Excitation

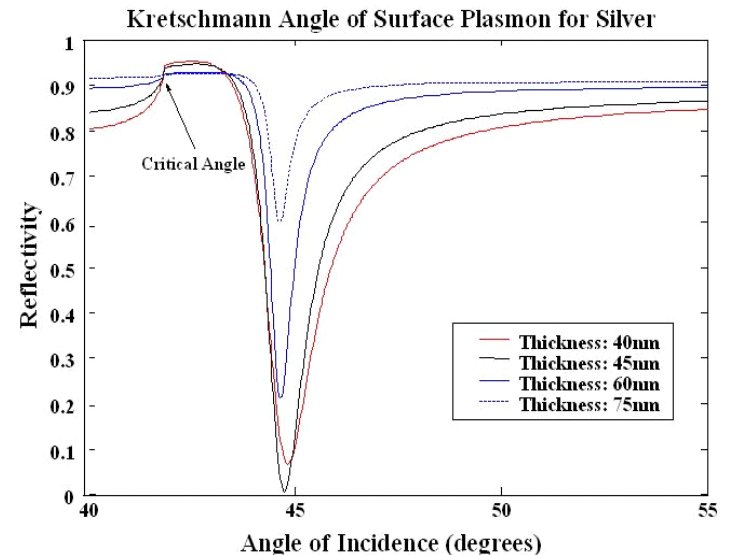
- Dispersion relation for SP
  - Momentum of SP larger than free space photon
  - Need dielectric material with  $n < 1$  to tilt light line



- Kretschmann configuration
  - Glass prism
  - Optimal angle of incidence,  $\theta_K$

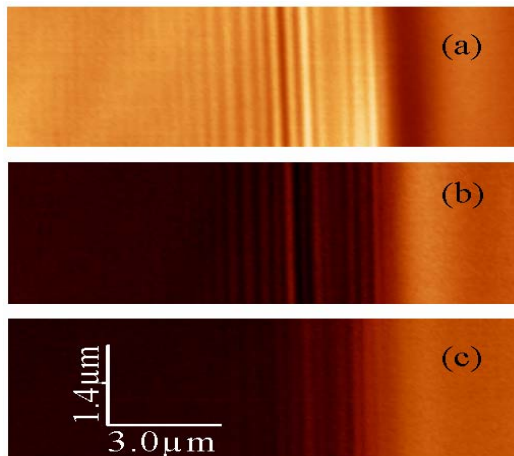
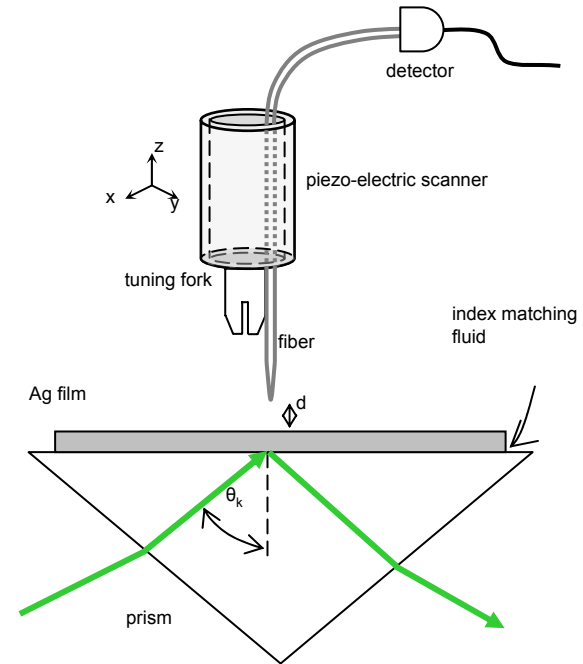


## Reflectivity ( $\theta$ , film thickness)



# Near-Field Microscopy

- Photon Scanning Tunneling Microscopy (PSTM)
  - Kretschmann configuration
  - Evanescent field couples into propagating mode of fiber
  - Topography & field intensity measurements



- Typical results
  - (a) SPP standing wave
  - (b) tuned away from  $\theta_K$ ,
  - (c) s-polarized light

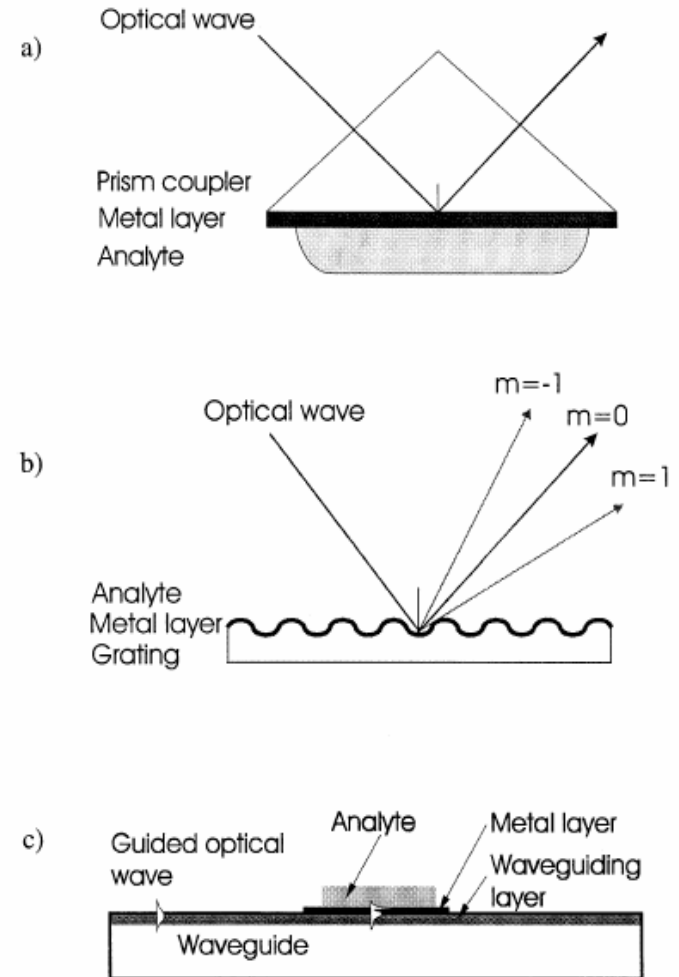


# Sensors

- Surface Plasmon Resonance (SPR) sensor for gas detection and biosensors
- Components:
  - optical system (light excitation, metal structure)
  - transducing material (whose properties being sensed)
  - detection system
- Sensor performance:
  - sensitivity, resolution, and operation range

# Types of Sensors

- Types of sensors:
  - prism-based
  - grating-based
  - Waveguide-based
- Materials & Fabrication:
  - prism-based: glass or plastic
  - grating-based: holographic technique in plastic
  - waveguide-based: CVD for semiconductor, ion exchange for glasses



# Sensor Examples

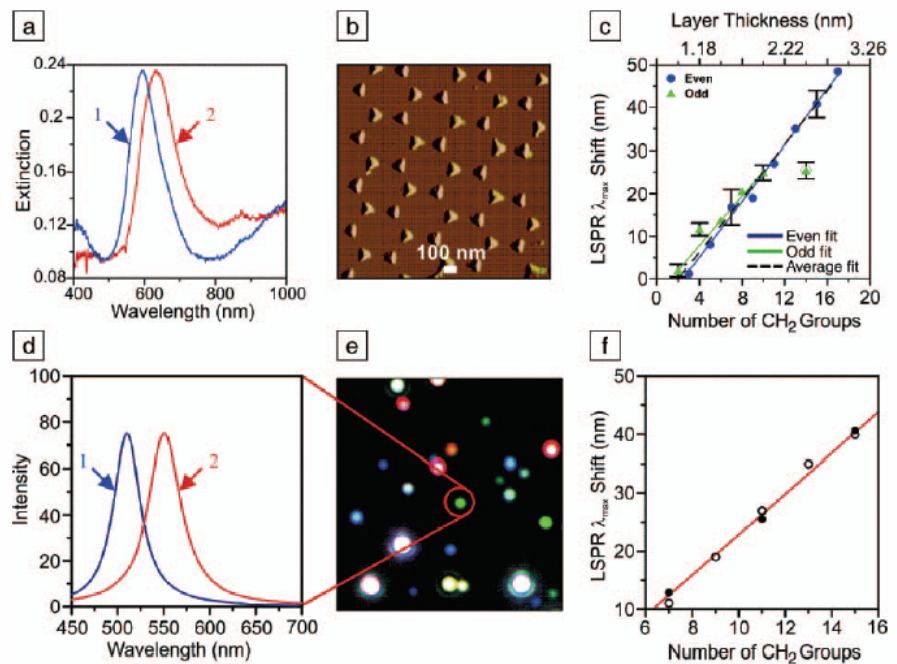
- To measure chemisorption of 1 monolayer of hexadecanethiol using Ag nanoparticles

- Methods

- Top Row: Nanosphere lithography
- Bottom row: single Ag particles

- Results:

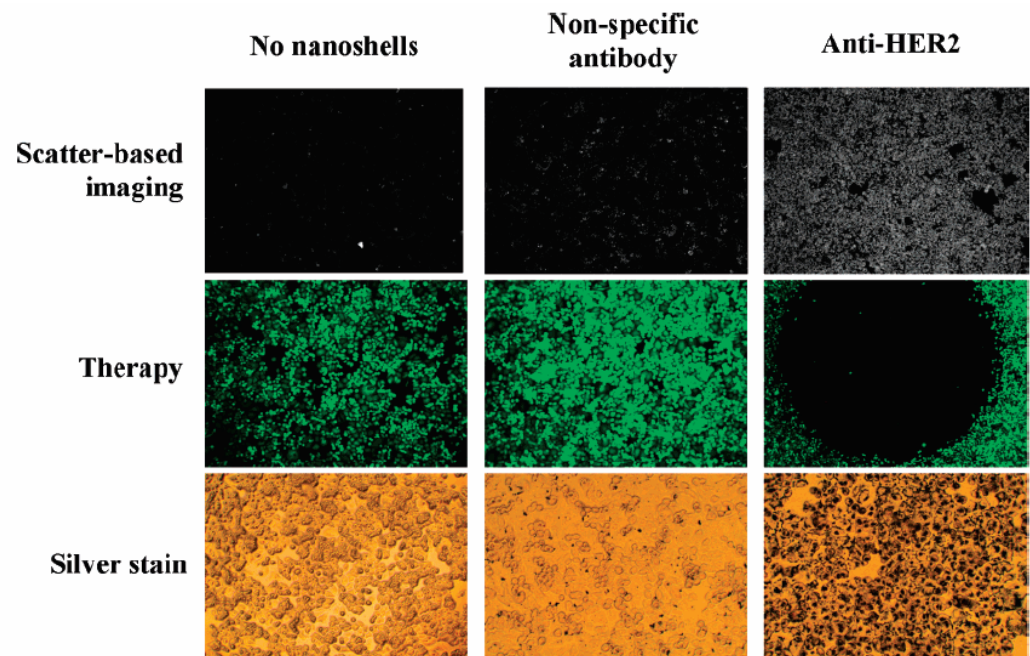
- Both:  $\sim 40$  nm shift in SPR from 1 monolayer



# Biophotonics

- Nanoshell with dielectric cores for imaging and therapy
  - silica cores with colloidal gold nanoparticles formed as shells
  - tuned to NIR for biological tissue to either scatter light for imaging or absorb light for therapy
- Results from Halas group: nanoshell particles tuned to both scatter and treat cancer cells by photothermally

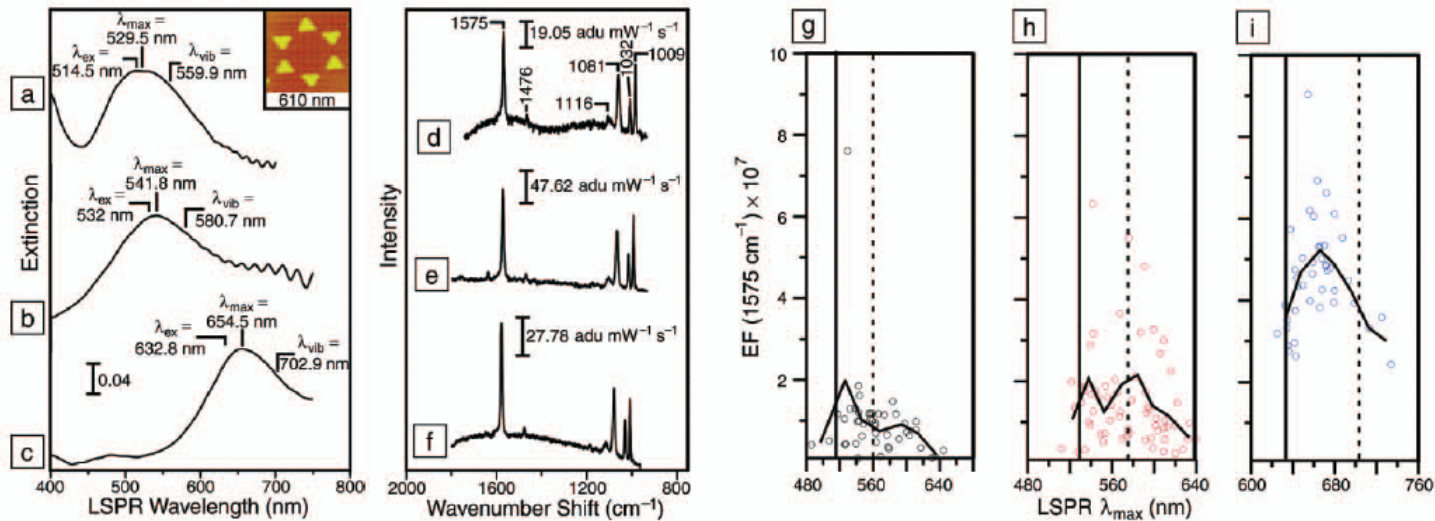
Nanoshells were antibody conjugated to target HER2 which are overexpressed by the type of cancer cells used



# SERS

- SERS

- enhancement of Raman signals which are typically very small
- Attachment of molecule to nanoparticles results in high scattering cross sections



# Surface Plasmon Subwavelength Optics

Issues:

- Scaling of interconnects
- Component dimensions – How to beat the diffraction limit ?

Solution → Surface Plasmons

- Recap: SPs are light waves that propagate along metal/dielectric interfaces on interaction with surface electrons
- Key aspect: different relative permittivities

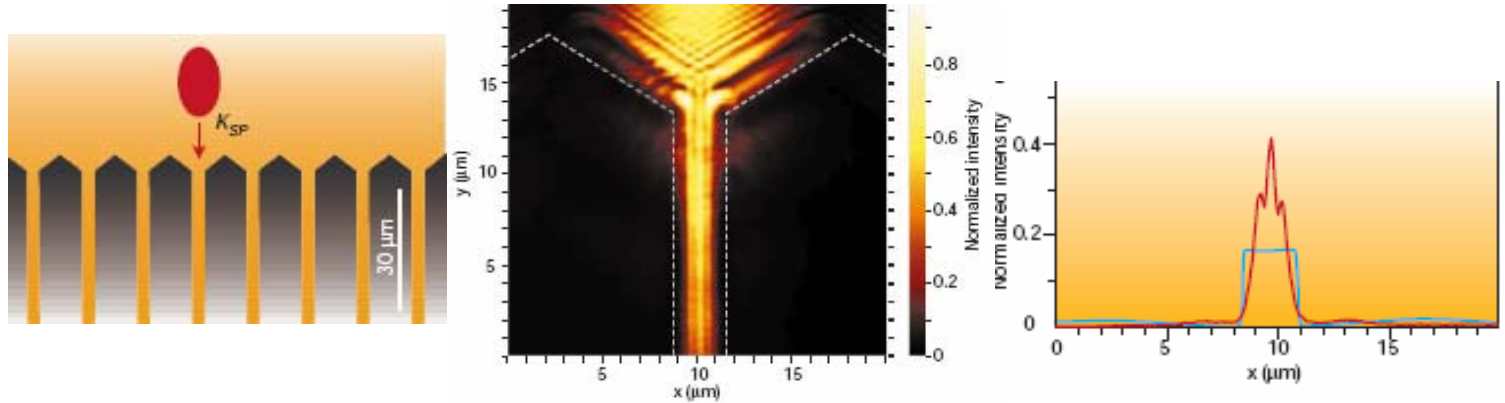
$$k_{SP} = k_0 \sqrt{\frac{\epsilon_d \epsilon_m}{\epsilon_d + \epsilon_m}}$$



- Propagation distances: 10-100  $\mu\text{m}$

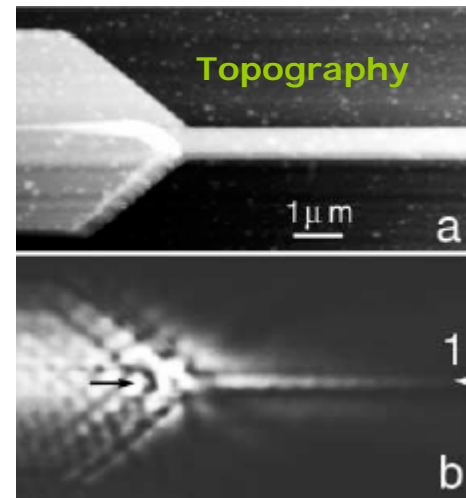
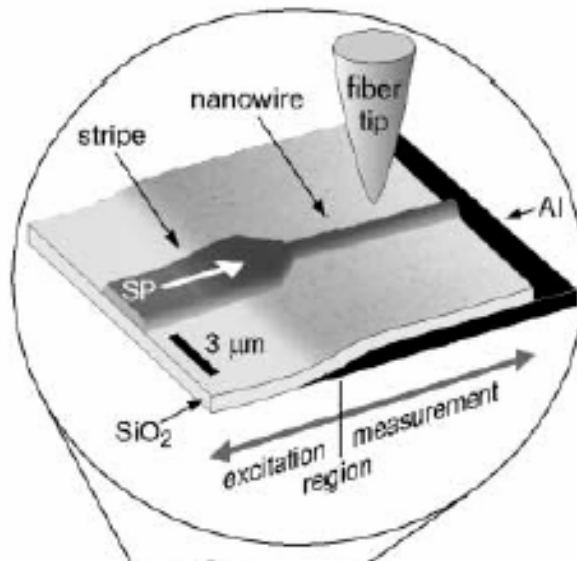
# Surface Plasmon Waveguides

- Ordered arrays
- Stripe Waveguides



- Nanowires

(Barnes et al, Nature 2003)

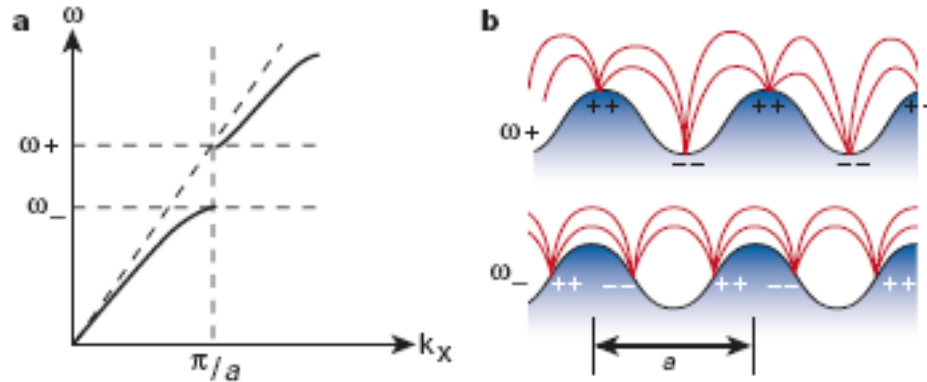


Optical near field intensity

(Krenn et al, Europhys. Letts. 2002)

# Surface Plasmon Photonic Bandgaps (SPPBG)

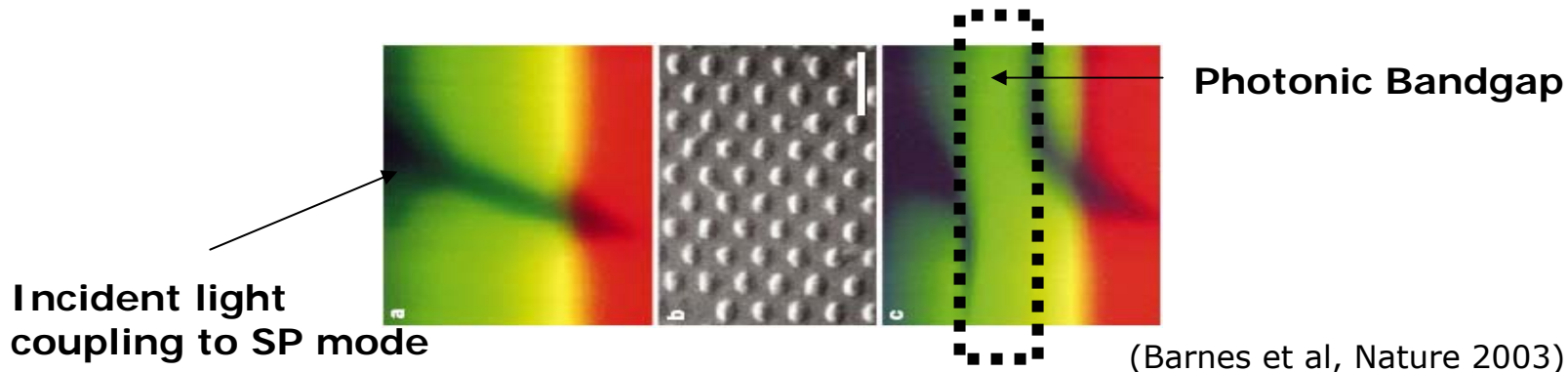
❖ Regions of periodic index modulations that form a 'stop' band



(Barnes et al, Nature 2003)

Bandgap Period:  $a = (1/2) \lambda_{SP}$

- High density of SP states at band edge
- Increase in field enhancement

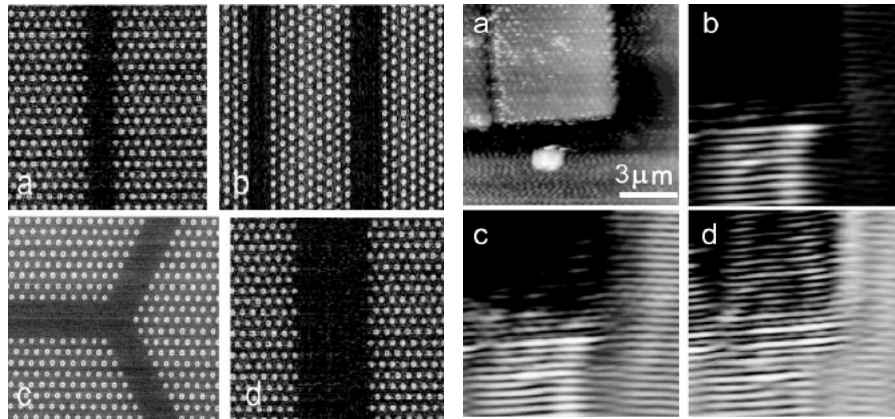


(Barnes et al, Nature 2003)

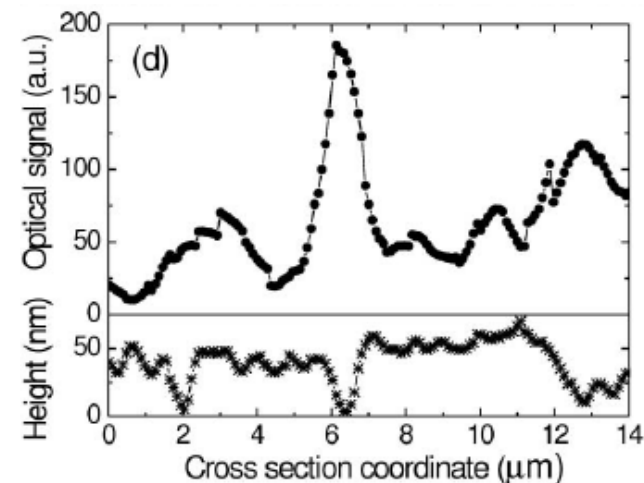


# Waveguiding with SPPBG

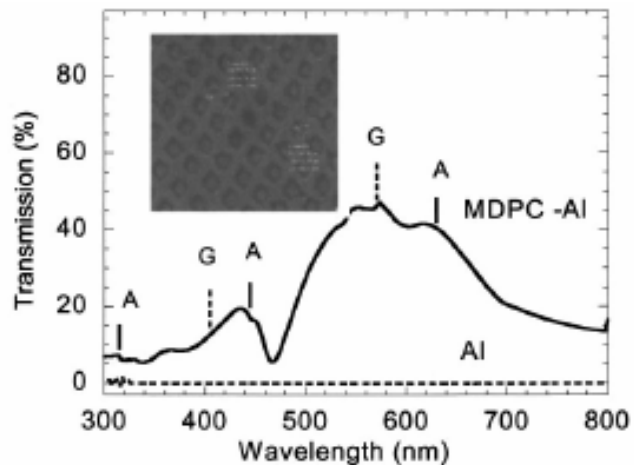
- SPP guided along  $\Gamma K$  line defect
- Triangular lattice with 400 nm period on a 45 nm gold film
- Decay length:  $L_{\text{SPP}} = 35 \mu\text{m}$



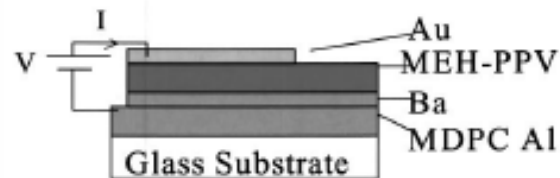
Reflectivity measurements conclusively prove the presence of an optical bandgap !



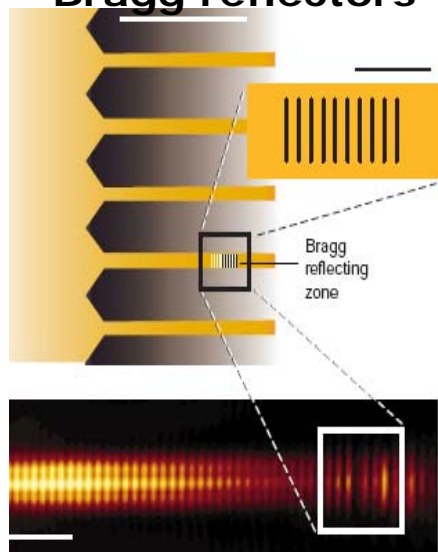
# Surface Plasmon Photonic Devices



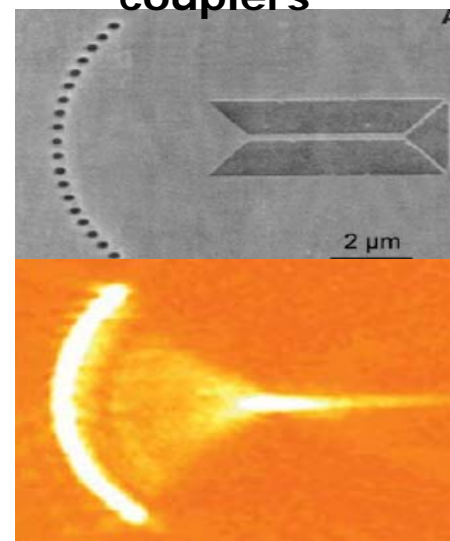
## •SP-based LEDs



## •SP-based Bragg reflectors

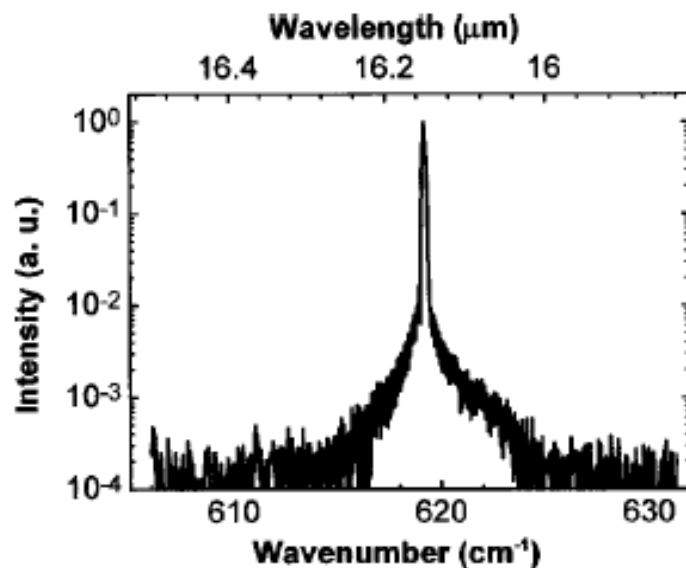


## •SP-based couplers



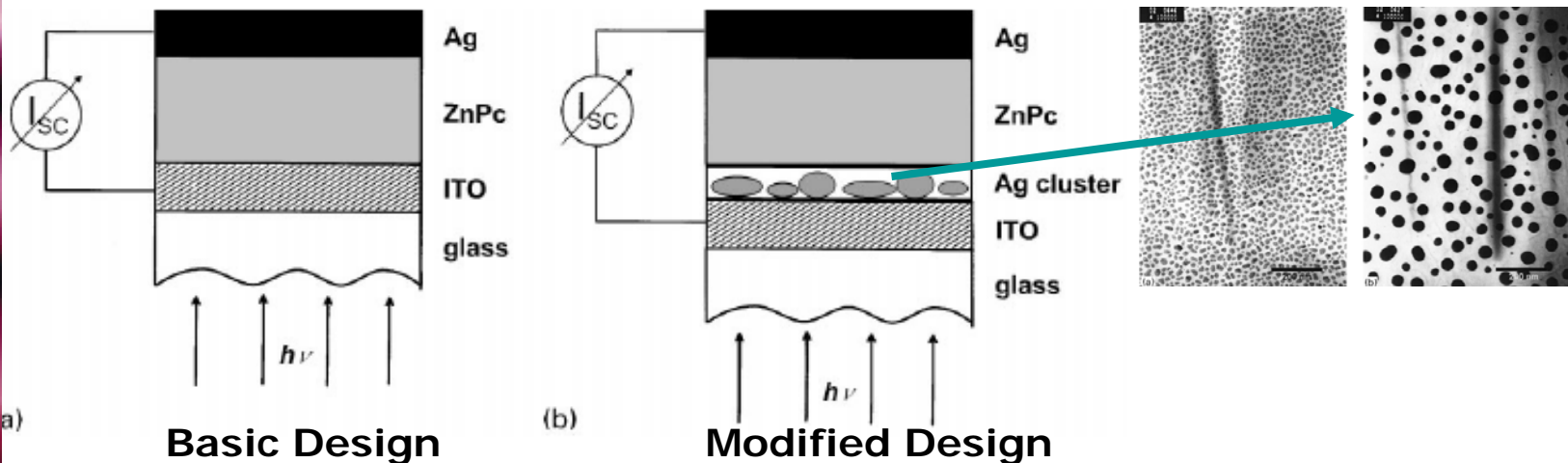
# Other Applications - Lasers

- Operate in the far-IR ( $>15 \mu\text{m}$ )
- Low loss
- Low lasing threshold
- Consist of 300 nm gold film deposited on quantum cascade active materials
- Total epitaxial thickness:  $4 \mu\text{m}$
- Dimensions of device:  $25 \mu\text{m}$  wide and  $800 \mu\text{m}$  long

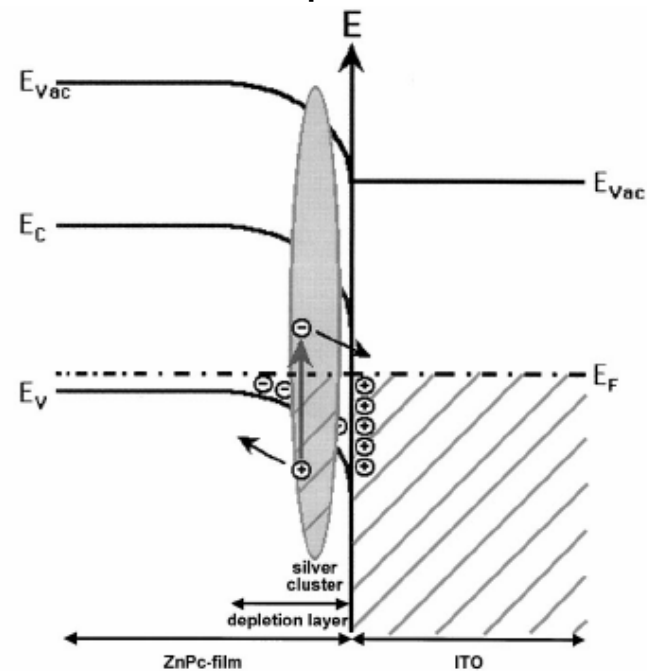
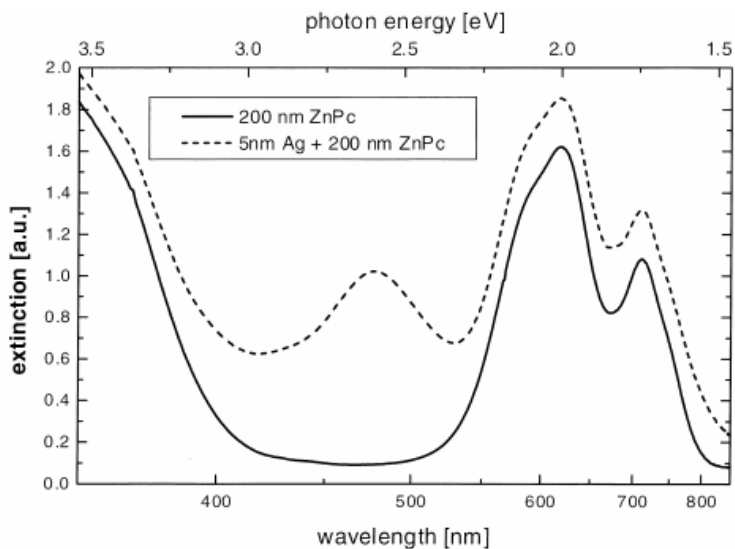


Spectrum of emission of 50 ns pulses at 84.2 kHz

# Other Applications – Solar cells



- Silver nanoclusters are deposited on ITO substrate
- Generated  $e^- - h^+$  pair in cluster contributes to photocurrent



# Future Directions

- Light generation: organic LEDs
- Components for photonic circuits
- SPR sensors
- Designing of SP-based devices
- Fabrication of SP-based devices

