

How "big" can a set be?

September 30, 2010

The cardinality of sets

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Observation

In this section we shall develop the tools that will enable us to compare sets. We will also prove that there are many non computable functions.

Definition

Let A and B be sets. A **function** f from A to B is an assignment of exactly one element of B to elements of A . Notation: $f : A \rightarrow B$.

Alternatively, $f \subset A \times B$ such that
 $((a, b) \in f) \wedge ((a, c) \in f) \rightarrow b = c$.

In other words, a function $f : A \rightarrow B$ is a restricted binary relation between A and B .

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Definition

Let $f : A \rightarrow B, S \subset A, f(S) = \{b \in B \mid b = f(s) \text{ for some } s \in S\}$.

Examples

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1. f assigns to a bit string the number of 1s in the string.
Domain: $\{b \mid \text{All bit strings}\}$ Range = $\{0, 1, 2, \dots\} = \mathbb{N}$.

Example

2. f assigns to each positive integer the smallest prime greater or equal to this integer.

$(f(5) = 5, f(25) = 29, f(69) = 71 \dots$

Domain: \mathbb{Z}^+ , Range the set of prime numbers.

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Observation

The function $f(n) = 2n$ is a bijection between the integers and the even integers.

This means that there is a bijection between a set and "half" its size!

The inverse function

We need a few more definitions to be ready for our goal.

Definition

A set B is finite if there is a bijection between B and N_k .

Observation

If $f : A \rightarrow B$ is a bijection then we can define a new function $f^{-1} : B \rightarrow A$, the inverse of f , as follows: to find how f^{-1} maps the element $b \in B$ find the unique $a \in A$ such that: $f(a) = b$ and define $f^{-1}(b) = a$.

Example

$$f(x) = 3x + 1, \quad x \in \mathbb{R}.$$

$$f^{-1}(x) = ?$$

Definition

Let $g : A \rightarrow B$ and $f : B \rightarrow C$. The **composition** of the functions f and g , denoted by $f \circ g$ is a function $f \circ g : A \rightarrow C$ defined by $f \circ g(a) = f(g(a))$.

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If f is a function on the set A , then $f \circ I(a) = I \circ f(a) = f(a)$.

Example

1. Let $f(x) = \frac{x}{1+x}$ and $g(x) = \frac{x}{1+3x}$
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$f \circ g(x)$ and $g \circ f(x)$ can be distinct functions, or the composition is not commutative.

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Theorem

If f, g, h are bijections on the set A then $(f \circ g) \circ h = f \circ (g \circ h)$

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In particular, if $|A| \geq |B| \wedge |B| \geq |A|$ does it imply that $|A| = |B|$?

Countable sets

Countable sets play a central role in discrete mathematics.

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- If $A \subset \mathbb{N}$, $A \neq \emptyset$ then A has a smallest member.
- (The axiom of mathematical induction).
If $1 \in A$, $\wedge ((n \in A) \rightarrow n + 1 \in A)$ then $A = \mathbb{Z}^+$.

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Observation

There are other equivalent forms of the principle of mathematical induction:

1. $1 \in A$, $(\forall k < n, k \in A \rightarrow n \in A)$ then $A = \mathbb{Z}^+$.

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Theorem

If A_i , $i = 1, 2, \dots$ are countable sets then so is $\bigcup_{i=1}^{\infty} A_i$.

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Corollary

There are functions $f : N \rightarrow \{0, 1\}$ (decision problems) that are not programmable.

Theorem (4)

If $|A| \leq |B|$ and $|B| \leq |A|$ then $|A| = |B|$

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Proof (Sketch of a proof for theorem 1)

*We will prove that there is no onto function $f : A \rightarrow P(A)$.
Indeed given any function $f : A \rightarrow P(A)$. Let
 $S = \{a \in A \mid a \notin f(a)\}$. (Recall that $f(a) \subset A$, or $f(a) \in P(A)$).*

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Assume that $S = f(s)$ for some $s \in A$.
Whether $s \in f(s)$ or $s \notin f(s)$ we reach a contradiction.*

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Fill in the details.

Conclusion: since there is an injection $g : A \rightarrow P(A)$ and there is no onto function $f : A \rightarrow P(A)$ we conclude that $|A| < |P(A)|$.

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Proof (Sketch of a proof for theorem 2)

For every countable set $A \subset \{x \mid 0 < x < 1, x \in \mathbb{R}\}$ we shall find a real number $y \notin A$.

Let $\{x_1, x_2, \dots, x_n, \dots\}$ be a countable set of real numbers. Let $x_n = 0.x_{n,1}x_{n,2} \dots x_{n,n}x_{n,n+1} \dots$ be the decimal expansion of x_n .

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Let $y = 0.y_1y_2 \dots y_n \dots$ be defined as follows:

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Remark

This proof technique is called the Diagonal Method. It is used on many occasions. For instance Theorem 1 is an abstract form of this method.

Here we go again.

Proof (Theorem 3, proof sketch)

It is enough to show that there is a bijection between the set of functions: $\{f : N \rightarrow \{0, 1\}\}$ and $P(N)$.

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Show that this is a bijection between $P(n)$ and the functions.

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Show that this is a bijection between $P(\mathbb{N})$ and the functions.

Proof (of the corollary)

Each program that implements a decision problem is stored in memory as a finite binary sequence. There are only countably many finite binary sequences. Hence there are non computable functions.

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Verify: Each chain is one of the following four types:

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The mapping $F(a) = b$ where $a \rightarrow b$, if a belongs to chains in (1), (2) or (3) and $F(a) = b$ where $b \rightarrow a$ if a is in a chain of (4) is a bijection between A and B .

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Verify this assertion.

Remark

There is a surprising consequence of this famous lemma. If you take two sets of points A and B in the plane, and if each set contains a disk, then each set can be dissected into two sets A_1, A_2, B_1, B_2 such that A_i and B_i are similar.

Surprise

Remark

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For example: these two sets can be dissected into a pair of similar sets!

