

# Counting-Basics

Ngày 27 tháng 10 năm 2011

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### Rule (The Sum Rule)

*If a task can be performed either in  $m$  distinct ways or in  $k$  other distinct ways and both ways are mutually disjoint then there are  $m + k$  distinct ways to perform the task.*

## Rule (The Product rule)

*Suppose that a task has to be performed in two steps, where the first step can be performed in  $m$  different ways and the second step in  $k$  different ways, then there are  $m \times k$  different ways to perform the task.*

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*A motorbike license plate has the following format:  $x-Ay n$  where  $x$  is a two digit number,  $A$  is a letter followed by a single digit number  $y$ , and  $n$  is a four digit number. How many distinct license plates can be formed?*

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## Answer

*This task has 3 steps. The first step can be performed in 100 ways (assuming that 00 is O.K.). The second step can be performed in 260 ways (assuming 26 letters are available) and the third step can be performed in 10,000. So the total is 26,000,000.*



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## More product rule examples

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*How many distinct functions  $f : \{1, 2, 3, 4, 5, 6, 7, 8, 9, 0\} \rightarrow \{1, 2, 3, 4\}$  are there?*

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**Answer:** Each function requires 3 steps: select a value for  $f(a)$  then  $f(b)$  and  $f(c)$ .  $f(a)$  can be chosen in 10 different ways,  $f(b)$  in 9 and  $f(c)$  in 8. So the total number of functions is 720.



# The Inclusion-Exclusion Principle

## Rule

*If a task can be performed either in  $m$  distinct ways or in  $k$  other distinct ways and there are  $n$  ways common to both then there are  $m + k - n$  distinct ways to perform the task.*

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*There are  $2^9$  bit strings that begin with a 1. There are  $2^8$  bit strings that end with 10. There are  $2^7$  bit strings that start with 1 and end with 10. Therefore the number of bitstrings of length 10 that start with a 1 or end with 10 is  $2^9 + 2^8 - 2^7$ .*

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- 6  $|A_{1729}| = 1728 - \frac{1729}{7} - \frac{1729}{13} - \frac{1729}{19} + \frac{1729}{7 \cdot 13} + \frac{1729}{7 \cdot 19} + \frac{1729}{13 \cdot 19} = 1296$ .

# The Inclusion-Exclusion General Principle

## Theorem

For a finite family of finite sets  $\{A_1, A_2, \dots, A_n\}$  we have:

$$|\cup_{i=1}^n A_i| = \sum_{\emptyset \neq I \subseteq \{1, 2, \dots, n\}} (-1)^{|I|-1} |\cap_{i \in I} A_i|.$$

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- 3 Since  $x$  belongs to every set  $A_{j_i}$ , it contributes:

$$\sum_{\emptyset \neq I \subset \{1, 2, \dots, k\}} (-1)^{|I|-1} |\cap_{j \in I} A_{j_i}| = \sum_{j=1}^k (-1)^{j-1} \binom{k}{j} = 1$$



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$$\prod_{i=1}^n (1 + x_i) = \sum_{A \subseteq \{1, 2, \dots, n\}} \left( \prod_{i \in A} x_i \right)$$

## Two counting problems "saved" by the inclusion-exclusion principle

Problem 1.  $n$  persons check their coats before entering the theatre. At the end of the play, each selects randomly a coat. In how many ways can the selection be done so that no person gets his coat.



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An alternative formulation using "Tiếng Mathematics:" how many  $1 - 1$  functions  $f : \{1, 2, \dots, n\} \rightarrow \{1, 2, \dots, n\}$  are such that  $f(i) \neq i$ .

Also known as *derangements*.

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We shall count the number of permutations for which  $f(i) = i$  for some  $i$ .

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So the number of derangements is:

$$D_n = n! - \sum_{j=1}^n (-1)^{j-1} \cdot \frac{n!}{j!} = n! \cdot \sum_{j=0}^n (-1)^j \frac{1}{j!}$$

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Euler's function is very important in many applications, in particular in computer security applications.

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Our goal is to calculate  $\phi(n)$ .

# Calculating $\phi(n)$

## Theorem

$$\text{For } n = p_1^{f_1} \cdot p_2^{f_2} \dots p_k^{f_k} \quad \phi(n) = n \left(1 - \frac{1}{p_1}\right) \left(1 - \frac{1}{p_2}\right) \dots \left(1 - \frac{1}{p_k}\right)$$

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## Chứng minh.

Let  $A_i = \{s \mid 1 < s < n, p_i \mid s\}$ . Then:

$$1. |A_i| = \frac{n}{p_i}$$

# Calculating $\phi(n)$

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$$\text{For } n = p_1^{f_1} \cdot p_2^{f_2} \dots p_k^{f_k} \quad \phi(n) = n \left(1 - \frac{1}{p_1}\right) \left(1 - \frac{1}{p_2}\right) \dots \left(1 - \frac{1}{p_k}\right)$$

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Let  $A_i = \{s \mid 1 < s < n, p_i | s\}$ . Then:

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Recall that:

$$|\cup_{i=1}^k A_i| = \sum_{\substack{I \subset \{1,2,\dots,k\} \\ I \neq \emptyset}} (-1)^{|I|-1} |\cap_{i \in I} A_i|.$$

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$A_i \cap A_j$  is the set of all integers  $\leq n$  that are divisible by  $p_i$  and  $p_j$  that is divisible by  $p_i \cdot p_j$ . It follows that  $|A_i \cap A_j| = \frac{n}{p_i p_j}$ . □



continued.

Similarly,

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Hence:

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The last equality is an instance of the general useful identity that embodies the Sum-Product rule:

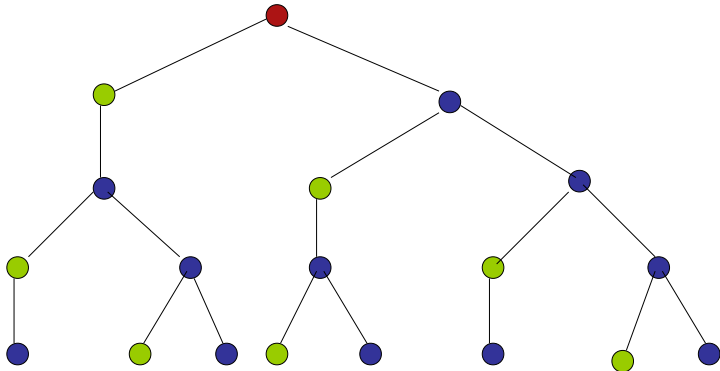
$$\prod_{i=1}^n (1 + x_i) = \sum_{A \subset \{1,2,\dots,n\}} (\prod_{i \in A} x_i)$$

# Tree Diagrams

How many bead strings of length four, composed of green and blue beads without two consecutive green beads can be constructed?

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*The four rules are simple, self explanatory and obvious, Yet they exhibit a surprising power to solve some intricate counting problems. We shall next visit some examples.*

## Example

*In a previous exercise you were asked to produce an integer  $n$  and find an integer  $k$  such that  $n \cdot k = 111 \dots 1$ .*

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## Theorem

*For any odd positive integer  $n$  that is relatively prime to 5 one can find an integer  $k$  such that  $n \cdot k = 11 \dots 1$ .*



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- 7 Since  $n$  is odd, and  $GCD(n, 5) = 1$  we conclude that  $1^{\{j-m\}}$  is a multiple of  $n$





# The Chinese Remainder theorem

## Theorem

*If  $a_1, a_2, \dots, a_k$  are relatively prime, and  $0 \leq m_i < a_i$  then there is a unique integer  $m < M = a_1 \cdot a_2 \cdot \dots \cdot a_k$  such that  $m \bmod a_i = m_i$ .*

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- 3 It remains to prove that  $s$  is unique.



CRT-continued.

To prove uniqueness we use the pigeonhole principle.



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- 5 Each hole contains a  $k$ -tuple. The number of  $k$ -tuples is equal to the number of holes.
- 6 Conclusion: each hole contains exactly one item, or the uniqueness is established.



## Two more examples

### Question (Example number 1)

*In the ASEAN Cầu lông championship held in Hanoi, Linh won first place. The championship lasted 21 days. Linh played 35 matches, playing at least one match every day. Prove that there is a span of consecutive days in which Linh played exactly 6 matches.*

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## Second example

### Question

*To commemorate Vua Le's defeat of the Chinese invaders, he decided to mint 11 commemorative gold coins. He gave a large amount of gold to a jeweler.*

*When the jeweler returned the coins, Vua Le suspected that the jeweler stole some gold and replaced it with cheaper metals. Vua Le, knew that the jeweler will not dare to tinker with more than one coin. The only way to identify the fake coin is to weigh coins on a balanced scale.*



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*It is your mission to help the adviser by designing the weighing scheme.*