ACL Is Not an Al Conference

Emily M. Bender Bangkok, Thailand August 14, 2024

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- I am not using "AI" as a synonym for "ML".
- Machine learning (including deep learning) provides many techniques that are useful for language technology and computational linguistics
 - (Though both of those terms are problematic.)
- The problems of CL/NLP can also be illuminating for questions about ML

The issues that I am concerned with arise when the focus shifts to "AI"

Compling/NLP asks questions such as

- How are languages similar/different?
- How is information represented in languages?
- How can we build technology that assists with: transcription, translation, summarization, information access ... in different languages?
- How can we evaluate such technology?
- What kinds of intermediate representations are useful for such technology?
- How well do different ML techniques work for different tasks?
- How do language technologies interact with existing systems of power and oppression?

Al as a research & commercial field

Asks questions like:

- How do we build "thinking machines" that can do "human-like" reasoning?
- How do we build "thinking machines" that can "surpass" humans in cognitive work?
 - o (and cure cancer, solve the climate crisis, make end-of-life decisions, etc)
- How do we automate the scientific method?
- How do we automate away such creative work as painting and writing?
 - Or: How do we steal artwork at scale and try to convince people this is "for the common good"?

Al as a research & commercial field

And makes assertions like:

- Humanity's destiny is to merge with machines and become "transhuman"
- The singularity is coming: "AGI" is inevitable and will outstrip people in all ways that matter
- "AI" (really synthetic text extruding machines) is a suitable replacement for the services we owe each other (education, healthcare, legal representation)
- All of this is inevitable and refusal is futile

Al as a research & commercial field

Suffers from multiple scourges:

- Intense (though maybe waning?) interest from venture capital
- Intense (and not waning) interest from billionaires
- The racist history and present of the notion of "intelligence"/IQ
- Intense interest from proponents of TESCREAL ideologies (<u>Gebru & Torres 2024</u>)

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Language processing is a prerequisite for "Al", but that doesn't mean that "Al" is the only goal of CL/NLP.

The "AI" questions lead to bad research practices

- Misappropriation of benchmarks (<u>Raji et al 2021</u>)
- Demands to evaluate against "SOTA" closed models (Rogers 2023)
- Unmanageably large data sets (<u>Bender, Gebru et al 2021</u>)
 - => Lack of held-out data
- Exploitative research & development practices (<u>Luccioni et al 2024</u>, <u>Hao & Seetharaman 2023</u>; see also <u>Fort et al 2011</u>, <u>Strubell et al 2019</u>)

If your question is "How do I prove my machine is intelligent?" this distorts research practices.

We ask:

- How well does this technique work for this purpose?
- What can we learn about human language/linguistic behavior with this model?

We answer with:

- Well-scoped evaluations (contrast "everything machines" per <u>Gebru & Torres 2024</u>)
- Intrinsic & extrinsic evaluations
 - Extrinsic ideally reflecting situated use cases
- Solid baselines
- Held-out test data
- Detailed error analysis
 - o Ideally including consideration of impacts of different error types

Grounded in understanding of our data:

- Knowledge of how languages work (i.e. linguistics)
 - Shameless plug of "100 things" books: <u>Bender 2013</u>, <u>Bender & Lascarides 2019</u>
- Dataset documentation (<u>Bender & Friedman 2018</u>)
 - Including <u>naming the language(s)</u> studied
 - See also <u>Gebru et al 2018</u>, <u>2021</u>; <u>McMillan-Major et al 2021</u>; <u>Bender et al 2021</u>
 inter alia

Efforts towards replicability and reproducibility

- Not a new problem! (See <u>Fokkens et al 2013</u>, <u>Fokkens 2017</u>)
- But much, much worse with closed, commercial models
 - Claims of emergence are ascientific without access to training data (<u>Rogers</u>
 2024, <u>Rogers & Luccioni</u> 2024)
 - Note that open-weights is not sufficient (and should not be called "open source"; <u>Solaiman 2023</u>, <u>Lisenfield & Dingemanse 2024</u>)
- We know that science is about building on previous research, not just climbing over each other to get to the top of the SOTA pile
 - Building on previous research requires open science

With an eye towards societal impacts

- Ethics and NLP research goes back (at least) to Fort et al 2011
 - Journée ATALA in 2014
 - EACL workshop 2017
- Keeping the people in the frame
 - "The L in NLP is language, language means people" (Schnoebelen 2017)
 - Understanding the languages and their communities beyond the datasets
 (Bird & Yibarbuk 2024)
 - Who will the technology be used by/for/on, and who might be harmed, by being excluded -- or included? (<u>Bender & Grissom II 2024</u>)

"AI" focus leads to poor reviewing practices

Papers dismissed as uninteresting if they:

- Don't use LLMs
- Don't provide results from LLM with SOTA size
- Involve careful, detailed work on a specific language
- Give only carefully scoped claims

ACL 2024 papers that have nothing to do with "AI"

- The Thai Discourse Treebank: Annotating and Classifying Thai Discourse Connectives (TACL)
- <u>Feriji: A French-Zarma Parallel Corpus, Glossary & Translator</u> (SRW)
- <u>Fine-Tuning ASR models for Very Low-Resource Languages: A Study on Mvskoke</u> (SRW)
- Wav2Gloss: Generating Interlinear Glossed Text from Speech (Main)
- DIALECTBENCH: An NLP Benchmark for Dialects, Varieties, and Closely-Related Languages (Main)
- <u>PyFoma: a Python finite-state compiler module</u> (Demo)
- Z-coref: Thai Coreference and Zero Pronoun Resolution (SRW)
- Automatic Derivation of Semantic Representations for Thai Serial Verb Constructions: A Grammar-Based Approach (SRW)

ACL is historically and should remain:

- A venue for people who care about the language in language technology
- A community that fosters interdisciplinarity
- A research field that cares about language communities
- ... and, as a result, a space where we can reason about societal impacts of our research and technology

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