

## PLATO'S SECOND PUZZLE: MEANING AND THE EVOLUTION OF SIGNALING

Conor Mayo-Wilson

Munich Center for Mathematical Philosophy

Women's Summer School in Mathematical Philosophy  
July 31st, 2014

## REVIEW

### Review

- Two Platonic Puzzles: Justice and Meaning
- ABMs vs. population models
- First Puzzle: ABMs of evolution of cooperation

**Today:** Plato's second puzzle concerning meaning

We'll follow the same method we did yesterday.

## ABMS OF CULTURAL EVOLUTION

**Step 1:** Build an ABM in which agents repeatedly play a game.

## ABMS CULTURAL EVOLUTION

**Step 2:** Identify meaningful communication with a **strategic profile** in a game.

## ABMS CULTURAL EVOLUTION

**Step 3:** We'll argue that **If**

- Players repeatedly play certain games in certain environments
- Learn to interact with one another over time in certain ways,

**Then** the strategic profile corresponding to meaningful communication will become prevalent.

## WHAT STEPS MUST BE ALTERED?

To put it another way, consider what steps of yesterday's method must be altered in order to build an ABM of the evolution of language.

- Agents with properties = Preferences in some game
- Environment = Social Network
- Initial Conditions = Randomized
- Interaction rules = Imitation and Reinforcement Learning

## WHAT STEPS MUST BE ALTERED?

To put it another way, consider what steps of yesterday's method must be altered in order to build an ABM of the evolution of language.

- Agents with properties = Preferences in some game
- Environment = Social Network
- Initial Conditions = Randomized
- Interaction rules = Imitation and Reinforcement Learning

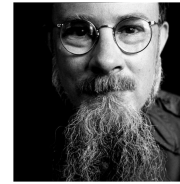
**Idea:** We can alter the game in the first step and leave the remaining parts of the ABM construction procedure untouched.

## MEANING AND SIGNALING GAMES

**Central thesis:** Many have argued that a particular strategic profile in a Lewis signaling game – called a “signaling system” – represents meaningful exchange of information

Just as cooperation, trust, etc. are represented by particular strategic profiles in prisoners’ dilemmas, stag hunts, etc.

## MEANING AND SIGNALING GAMES



- Lewis [2008]’s definitions of **convention** and **signaling system** are an attempt to characterize, in game theoretic terms, when individuals’ signals have acquired meaning.
- In Chapter 3, Skyrms [2010] gives an information-theoretic account of when signals convey information and **how much**.
- Millikan [2005] argues that these formal accounts are not sufficient, but need to be supplemented by a historical/evolutionary story about how the signals came to have **causal** properties.

## OUTLINE

- 1 REVIEW AND PREVIEW
- 2 SIGNALING GAMES
- 3 ASPECTS OF THE EVOLUTION OF SIGNALING
- 4 NETLOGO
- 5 REFERENCES

## SIGNALING GAMES

**Example:** Vervet monkey signals



## SIGNALING GAMES

### Example: Vervet signal

- Sender: A vervet monkey who sees a predator. He or she can
  - “Cough”
  - “Chutter”, or
  - “Bark”
- Receiver: Another vervet monkey, who has not seen the predator yet.
- They both want each other to evade the predator, but ...
- Clearly, vervets did not schedule a meeting in which they decided that “cough” means that an eagle is approaching.

## SIGNALING GAMES

Signaling games also have two players: sender and receiver.

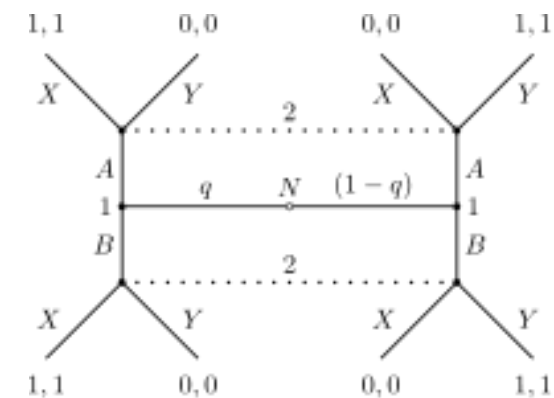
- Sender observes some state of the world (e.g., snake, eagle, or leopard).
- She then sends a signal to receiver (e.g., cough, chutter, or bark).
- The receiver then chooses an action (e.g. stand tall and back away, take cover in underbrush, scale a tree)
- The payoff that both receive depends upon the world and the receiver’s action, e.g.,
  - Snakes are evaded by standing tall and backing away
  - Leopards are evaded by climbing trees, and
  - Eagles are evaded by hiding in the underbrush

## SIGNALING GAMES

Formally, in cooperative signaling games:

- There are finite sets of states of the world  $W$ , a finite number of **signals**  $S$ , and finitely many actions  $A$ .
- Nature’s “Actions”: Probability distributions over worlds  $W$
- Sender’s actions: A function from worlds  $W$  to signals  $S$ .
- Receiver’s actions: Functions from signals to acts.
- The payoffs to sender and receiver are the same, and they are determined by the state of the world and the action taken by the receiver.

## SIGNALING GAMES



## SIGNALING SYSTEM

- Assumption: For each state of the world  $w$ , there is at least one action  $a_w$  that is optimal.
- A **signaling system** is a pair of strategies  $\langle f, g \rangle$  such that  $g(f(w)) = a_w$  for all worlds  $w$ .
- **Question:** Is a signaling system a Nash equilibrium?

**Discussion:** What aspects of human language are not captured by this game-theoretic analysis of meaning?

## LIMITATIONS OF SIMPLE SIGNALING GAMES

**Discussion:** What aspects of human language are not captured in this simple model?

Here are three that many notice:

- **Invention:** The set of signals and actions are fixed. But humans invent new words all the time.
- **Compositionality:** The signals are never combined to form larger meaningful utterances.
- **Conflict of Interest:** The interests of the sender and receiver are aligned. But meaning is conveyed even when there is conflict of interest.

## LIMITATIONS OF SIMPLE SIGNALING GAMES

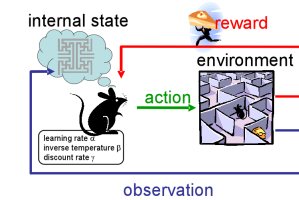
Luckily, modelers are already addressing these worries:

- **Invention:** [Alexander et al., 2012]
- **Compositionality:** [Barrett, 2013, 2014]
- **Conflict of Interest:** [Bergstrom and Lachmann, 1998]  
[Lachmann et al., 2001]

## OUTLINE

- 1 REVIEW AND PREVIEW
- 2 SIGNALING GAMES
- 3 ABMS OF THE EVOLUTION OF SIGNALING
- 4 NETLOGO
- 5 REFERENCES

## TWO TYPES OF LEARNING



### Learning Rules:

- We can also use imitation rules and reinforcement learning in repeated signaling games.
- However, an important distinction arises because in signaling games, players do not choose simultaneously.

## TWO TYPES OF LEARNING

Recall, a (receiver's) strategy in a signaling game is plan (i.e. function) consisting of conditionals of the form "If I see signal  $s$ , I will choose act  $a$ " for each possible state  $s$ .

## TWO TYPES OF LEARNING

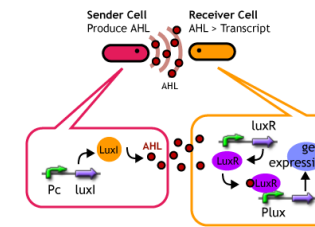
If I am unsuccessful when I chose action  $a$  after seeing signal  $s$ , I could change either

- **Learning Actions:** Only the part of my plan about how I should respond to signal  $s$ .
- **Learning Plans:** Several parts of my plan, including how I might respond to signals other than  $s$ .

## TWO TYPES OF LEARNING

The two ways of learning are plausible (or implausible) in different contexts.

## LEARNING ACTIONS



**Example:** Bacteria likely only change how they respond to chemicals one at a time. They learn actions.

## LEARNING ACTIONS

A second example in which learning strategies is implausible:  
Imitation rules.

- When agents played simultaneous games like a Prisoners' dilemma, imitating one's neighbor meant imitating "Cooperate" or "Defect." One could easily imitate an entire strategy.
- Consider now a signaling game on a network.
- Suppose I see you (my neighbor) play a signaling game successfully in which you respond to signal  $s$  with action  $a$ .
- It seems that I should only be able to imitate how you respond to signal  $s$ ; I cannot imitate your entire strategy because I may not have seen how you behave in other circumstances!

## LEARNING STRATEGIES

Nonetheless, there are circumstances in which humans clearly "learn plans."

- Suppose you learn that the correct answer to (the signal) "Is  $5 > 3$ ?" is (the act of asserting) "Yes."
- Then you'll likely update your disposition to answer (the signal) "Is  $5 < 3$ ?" with the answer "No."
- In this case, you've updated your response to one signal given your response to another different signal.
  - This seems related to compositionality, but I'm not sure why.

## PROGRAMMING CONCEPTS

Programming Concepts:

- Procedures
- Agent Commands

## REFERENCES I

- Alexander, J. M., Skyrms, B., and Zabel, S. L. (2012). Inventing new signals. *Dynamic games and applications*, 2(1):129–145.
- Barrett, J. A. (2013). On the coevolution of basic arithmetic language and knowledge. *Erkenntnis*, 78(5):1025–1036.
- Barrett, J. A. (2014). The evolution, appropriation, and composition of rules. *Synthese*, pages 1–14.
- Bergstrom, C. T. and Lachmann, M. (1998). Signaling among relatives. III. talk is cheap. *Proceedings of the National Academy of Sciences*, 95(9):5100—5105.
- Lachmann, M., Szmad, S., and Bergstrom, C. T. (2001). Cost and conflict in animal signals and human language. *Proceedings of the National Academy of Sciences*, 98(23):13189—13194.
- Lewis, D. (2008). *Convention: A philosophical study*. Wiley-Blackwell.
- Millikan, R. G. (2005). *Language: A biological model*. Oxford University Press on Demand.
- Skyrms, B. (2010). *Signals: Evolution, learning, & information*. Oxford University Press.