


TCCS 422: OPERATING SYSTEMS

INTRODUCTION



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FEEDBACK 1/7

- What is the concept of abstraction, with respect to operating systems?
  - CPU
  - Memory
  - I/O (disk, network)
- What is the difference between memory virtualization in an operating system and “virtual memory” also known as “swap memory”?
- What is an operating system kernel?

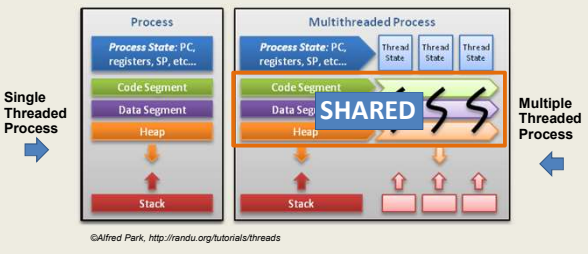
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OPERATING SYSTEM KERNEL

- Kernel is the central component of most computer operating systems
- The OS kernel provides a bridge to manage the communication between user applications and the hardware (CPU, memory, I/O devices)
- When a user process makes a request of the kernel, the request is called a “system call”.
- Various kernel designs differ in how they manage calls and resources
- Kernel location in Linux
  - /boot

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FEEDBACK - 3: PROCESS VS THREADS



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FEEDBACK – 4

- What is the difference between a thread and a process?
  - Every program runs as a process
  - Programs may have 0 to many threads
- For several threads within a process, what memory elements are shared?
- For two distinct processes:  
*consider two instances A and B of the simpleloop.c sample program*  
What memory elements are shared?

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FEEDBACK - 5

- In multi-threaded programs, how do we synchronize access to global (shared) variables?
  - Chapters 26 – 32

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FEEDBACK - 6

- The slides do not open. I tried to download the lecture to my iPad and it doesn't work.
- What are the requirements needed to do well in TCSS 422?

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OBJECTIVES


- **Chapter 2** - Introduction to operating systems
  - **THREE EASY PIECES:**
    - Virtualizing the CPU
    - Virtualizing Memory
    - Virtualizing I/O
  - Operating system design goals
- Chapter 4 – Processes
- Chapter 5 – Process API
- Chapter 6 – Limited Direct Execution

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INTRODUCTION TO OPERATING SYSTEMS



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VIRTUAL MACHINE SURVEY

- Please complete the Virtual Machine Survey to request a "School of Engineering and Technology" remote hosted Ubuntu VM
- <https://goo.gl/forms/SC8GzWAgIUfHZ0g33>

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OBJECTIVES

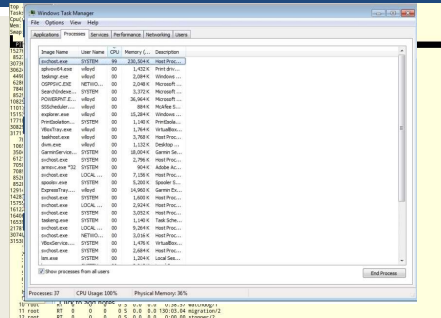
- **Chapter 2: Operating Systems – Three Easy Pieces**
  - Introduction to operating systems
  - Management of resources
  - Concepts of virtualization/abstraction
  - **THREE EASY PIECES:**
    - Virtualizing the CPU: simpleloop.c example  
pthread.c example
    - Virtualizing Memory: mem.c example
    - Virtualizing I/O
  - Operating system design goals

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CONCURRENCY



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CONCURRENCY

- Linux: 654 tasks
- Windows: 37 processes
- The OS appears to run many programs at once, juggling them
- Modern multi-threaded programs feature concurrent threads and processes
- What is a key difference between a process and a thread?

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CONCURRENCY - 2

```
1  #include <stdio.h>
2  #include <stdlib.h>
3  #include "common.h"
4
5  volatile int counter = 0;
6  int loops;
7
8  void
9
10
11
12
13 }
14
15 ...
```

Not the same as Java volatile:  
Provides a compiler hint that an object may change value unexpectedly (in this case by a separate thread) so aggressive optimization must be avoided.

thread.c

Listing continues ...

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CONCURRENCY - 3

```
16 int
17 main(int argc, char *argv[])
18 {
19     if (argc != 2) {
20         fprintf(stderr, "usage: threads <value>\n");
21         exit(1);
22     }
23     loops = atoi(argv[1]);
24     pthread_t p1, p2;
25     printf("Initial value : %d\n", counter);
26
27     pthread_create(&p1, NULL, worker, NULL);
28     pthread_create(&p2, NULL, worker, NULL);
29     pthread_join(p1, NULL);
30     pthread_join(p2, NULL);
31     printf("Final value : %d\n", counter);
32     return 0;
33 }
```

- Program creates two threads
- Check documentation: "man pthread\_create"
- worker() method counts from 0 to argv[1] (loop)

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Linux "man" page example

PTHREAD\_CREATE(3)Linux Programmer's ManualPTHREAD\_CREATE(3)

NAME  
pthread\_create - create a new thread

SYNOPSIS  
#include <pthread.h>  
int pthread\_create(pthread\_t \*thread, const pthread\_attr\_t \*attr, void \*(\*start\_routine)(void \*), void \*arg);  
Compile and link with -pthread.

DESCRIPTION  
The pthread\_create() function starts a new thread in the calling process. The new thread starts execution by invoking start\_routine(). arg is passed as the sole argument of start\_routine().  
The new thread terminates in one of the following ways:  
\* It calls pthread\_exit(), specifying an exit status value that is available to another thread in the same process that calls pthread\_join().  
\* It returns from start\_routine(). This is equivalent to calling pthread\_exit() with the value supplied in the return statement.  
\* It is canceled (see pthread\_cancel()).  
\* Any of the threads in the process calls exit(), or the main thread performs a return from main(). This causes the termination of all threads in the process.  
The attr argument points to a pthread\_attr\_t structure whose contents are used at thread creation time to determine attributes for the new thread; this structure is initialized using pthread\_attr\_t and related functions. If attr is NULL, then the thread is created with default attributes.

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CONCURRENCY - 4

- Command line parameter argv[1] provides loop length
- Defines number of times the shared counter is incremented
- Loops: 1000

```
prompt> gcc -o thread thread.c -Wall -pthread
prompt> ./thread 1000
Initial value : 0
Final value : 2000
```

- Loops 100000

```
prompt> ./thread 100000
Initial value : 0
Final value : 149012 // huh??
prompt> ./thread 100000
Initial value : 0
Final value : 137298 // what the??
```



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CONCURRENCY - 5

- When loop value is large why do we not achieve 200000 ?
- C code is translated to (3) assembly code operations
  - Load counter variable into register
  - Increment it
  - Store the register value back in memory
- These instructions happen concurrently and VERY FAST
- (P1 || P2) write incremented register values back to memory, While (P1 || P2) read same memory
- Memory access here is unsynchronized (non-atomic)
- Some of the increments are lost

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## W To perform parallel work, a single process may:

Launch multiple threads to execute code in parallel while sharing global data in memory

Launch multiple processes to execute code in parallel without sharing global data in memory

Both A and B

None of the above

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## PARALLEL PROGRAMMING

- To perform parallel work, a single process may:
- A. Launch multiple threads to execute code in parallel while sharing global data in memory
- B. Launch multiple processes to execute code in parallel without sharing global data in memory
- C. Both A and B
- D. None of the above

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## VIRTUALIZING I/O: PERSISTENCE

- **DRAM: Dynamic Random Access Memory: DIMMs/SIMMs**
  - Stores data while power is present
  - When power is lost, data is lost (*volatile*)
- Operating System helps "persist" data more **permanently**
  - I/O device(s): hard disk drive (HDD), solid state drive (SSD)
  - File system(s): "catalog" data for storage and retrieval

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## VIRTUALIZING I/O: PERSISTENCE - 2

```

1  #include <stdio.h>
2  #include <unistd.h>
3  #include <assert.h>
4  #include <fcntl.h>
5  #include <sys/types.h>
6
7  int
8  main(int argc, char *argv[])
9  {
10     int fd = open("/tmp/file", O_WRONLY | O_CREAT
11                  | O_TRUNC, S_IRWXU);
12     assert(fd > -1);
13     int rc = write(fd, "hello world\n", 13);
14     assert(rc == 13);
15     close(fd);
16     return 0;
17 }
```

- `open()`, `write()`, `close()`: OS system calls for device I/O
- Note: man page for `open()`, `write()` require page number: "man 2 open", "man 2 write", "man 2 close"

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## VIRTUALIZING I/O: PERSISTENCE - 3

- To write to disk, OS must:
  - Determine where on disk data should reside
  - Perform sys calls to perform I/O:
    - Read/write to file system (*inode record*)
    - Read/write data to file
- Provide fault tolerance for system crashes
  - Journaling: Record disk operations in a journal for replay
  - Copy-on-write - replicating shared data - see *ZFS*
  - Carefully order writes on disk

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## SUMMARY: OPERATING SYSTEM DESIGN GOALS


- **ABSTRACTING THE HARDWARE**
  - Makes programming code easier to write
  - Automate sharing resources – save programmer burden
- **PROVIDE HIGH PERFORMANCE**
  - Minimize overhead from OS abstraction (Virtualization of CPU, RAM, I/O)
  - Share resources fairly
  - Attempt to tradeoff performance vs. fairness → consider priority
- **PROVIDE ISOLATION**
  - User programs can't interfere with each other's virtual machines, the underlying OS, or the sharing of resources

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## SUMMARY: OPERATING SYSTEM DESIGN GOALS - 2

- **RELIABILITY**
  - OS must not crash, 24/7 Up-time
  - Poor user programs must not bring down the system:

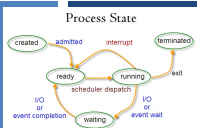

Blue Screen



- Other Issues:
  - Energy-efficiency
  - Security (of data)
  - Cloud: Virtual Machines

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## CHAPTER 4: PROCESSES

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## CPU VIRTUALIZING

- How should the CPU be shared?
- Time Sharing:  
Run one process, pause it, run another
- How do we SWAP processes in and out of the CPU efficiently?
  - Goal is to minimize **overhead** of the swap

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## PROCESS

A process is a running program.

- Process comprises of:
  - Memory
    - Instructions ("the code")
    - Data (heap)
  - Registers
    - PC: Program counter
    - Stack pointer

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## PROCESS API

- Modern OSes provide a Process API for process support
- Create
  - Create a new process
- Destroy
  - Terminate a process (ctrl-c)
- Wait
  - Wait for a process to complete/stop
- Miscellaneous Control
  - Suspend process (ctrl-z)
  - Resume process (fg, bg)
- Status
  - Obtain process statistics: (top)

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## PROCESS API: CREATE

1. Load program code (and static data) into memory
  - Program executable code (binary): loaded from disk
  - Static data: also loaded/created in address space
  - **Eager loading:** Load entire program before running
  - **Lazy loading:** Only load what is immediately needed
    - Modern OSes: Supports paging & swapping
2. Run-time stack creation
  - Stack: local variables, function params, return address(es)

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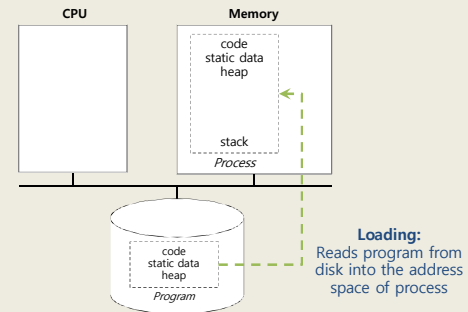
## PROCESS API: CREATE

3. Create program's heap memory
  - For dynamically allocated data
4. Other initialization
  - I/O Setup
    - Each process has three open file descriptors: Standard Input, Standard Output, Standard Error
5. Start program running at the entry point: `main()`
  - OS transfers CPU control to the new process

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## PROCESS STATES

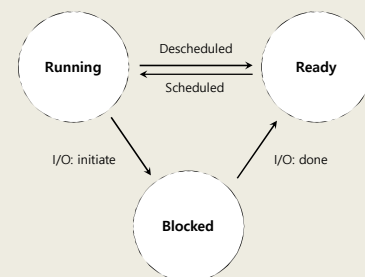
- **RUNNING**
  - Currently executing instructions
- **READY**
  - Process is ready to run, but has been preempted
  - CPU is presently allocated for other tasks
- **BLOCKED**
  - Process is **not** ready to run. It is waiting for another event to complete:
    - Process has already been initialized and run for awhile
    - Is now waiting on I/O from disk(s) or other devices

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## PROCESS STATE TRANSITIONS



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## PROCESS DATA STRUCTURES

- OS provides data structures to track process information
  - Process list
    - Process Data
    - State of process: Ready, Blocked, Running
  - Register context
- PCB (Process Control Block)
  - A C-structure that contains information about each process

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## XV6 KERNEL DATA STRUCTURES

- xv6: pedagogical implementation of Linux
- Simplified structures

```
// the registers xv6 will save and restore
// to stop and subsequently restart a process
struct context {
    int eip; // Index pointer register
    int esp; // Stack pointer register
    int ebx; // Called the base register
    int ecx; // Called the counter register
    int edx; // Called the data register
    int esi; // Source index register
    int edi; // Destination index register
    int ebp; // Stack base pointer register
};

// the different states a process can be in
enum proc_state { UNUSED, EMBRYO, SLEEPING,
    RUNNABLE, RUNNING, ZOMBIE };
```

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XV6 KERNEL DATA STRUCTURES - 2

```
// the information xv6 tracks about each process
// including its register context and state
struct proc {
    char *mem;           // Start of process memory
    uint sz;             // Size of process memory
    char *kstack;        // Bottom of kernel stack
                        // for this process
    enum proc_state state; // Process state
    int pid;             // Process ID
    struct proc *parent;  // Parent process
    void *chan;          // If non-zero, sleeping on chan
    int killed;          // If non-zero, have been killed
    struct file *ofile[NOFILE]; // Open files
    struct inode *cwd;    // Current directory
    struct context context; // Switch here to run process
    struct trapframe *tf; // Trap frame for the
                        // current interrupt
};
```

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LINUX: STRUCTURES

- **struct task\_struct**, equivalent to struct proc
  - Provides process description
  - Large: 10,000+ bytes
  - /usr/src/linux-headers-[kernel version]/include/linux/sched.h
    - 1227 – 1587
- **struct thread\_info**, provides “context”
  - thread\_info.h is at:  
/usr/src/linux-headers-[kernel version]/arch/x86/include/asm/

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LINUX: THREAD\_INFO

```
struct thread_info {
    struct task_struct *task;           /* main task structure */
    struct exec_domain *exec_domain;   /* execution domain */
    __u32 flags;                        /* low level flags */
    __u32 status;                       /* thread asynchronous flags */
    __u32 cpu;                          /* current CPU */
    int preempt_count;                 /* 0 => preemptable,
                                        <0 => BUG */
    mm_segment_t addr_limit;           /* user/kernel virtual address limit */
    struct restart_block restart_block; /* restart block */
    void *user;                        /* user space pointer */
    #ifdef CONFIG_X86_32
    unsigned long previous_esp;        /* ESP of the previous stack in
                                        case of nested (IRQ) stacks */
    #endif
    __u8 supervisor_stack[0];          /* supervisor stack */
    #ifdef CONFIG_X86_32
    int uaccess_err;                  /* uaccess error */
    #endif
};
```

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LINUX STRUCTURES - 2

- List of Linux data structures:  
<http://www.tldp.org/LDP/tlk/ds/ds.html>
- Description of process data structures:  
<http://www.makelinux.net/books/lkd2/ch03lev1sec1>  
2<sup>nd</sup> edition is online (dated from 2005):  
Linux Kernel Development, 2<sup>nd</sup> edition  
Robert Love  
Sams Publishing

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When a process is in this state, it is advantageous for the Operating System to perform a CONTEXT SWITCH to perform other work

RUNNING

READY

BLOCKED

All of the above

None of the above

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QUESTION: WHEN TO CONTEXT SWITCH


- When a process is in this state, it is advantageous for the Operating System to perform a CONTEXT SWITCH to perform other work:
  - (a) RUNNING
  - (b) READY
  - (c) BLOCKED
  - (d) All of the above
  - (e) None of the above

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CHAPTER 5:  
C PROCESS API




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fork()

- Creates a new process - think of “a fork in the road”
- “Parent” process is the original
- Creates “child” process of the program from the **current execution point**
- Book says “pretty odd”
- Creates a **duplicate** program instance (these are **processes!**)
- Copy** of
  - Address space (memory)
  - Register
  - Program Counter (PC)
- Fork returns
  - child PID to parent
  - 0 to child



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FORK EXAMPLE

p1.c

```
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>

int main(int argc, char *argv[]){
    printf("hello world (pid:%d)\n", (int) getpid());
    int rc = fork();
    if (rc < 0) {
        // fork failed; exit
        fprintf(stderr, "fork failed\n");
        exit(1);
    } else if (rc == 0) { // child (new process)
        printf("hello, I am child (pid:%d)\n", (int) getpid());
    } else { // parent goes down this path (main)
        printf("hello, I am parent of %d (pid:%d)\n",
            rc, (int) getpid());
    }
    return 0;
}
```

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FORK EXAMPLE - 2

- Non deterministic ordering of execution

```
prompt> ./p1
hello world (pid:29146)
hello, I am parent of 29147 (pid:29146)
hello, I am child (pid:29147)
prompt>
```

or

```
prompt> ./p1
hello world (pid:29146)
hello, I am child (pid:29147)
hello, I am parent of 29147 (pid:29146)
prompt>
```

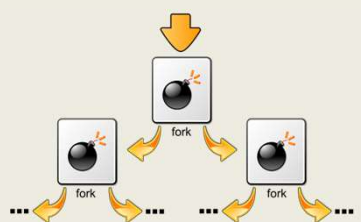
- CPU scheduler determines which to run first

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:(){:|:&};:




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wait()

- wait(), waitpid()
- Called by parent process
- Waits for a child process to finish executing
- Not a sleep() function
- Provides some ordering to multi-process execution



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FORK WITH WAIT

```
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>
#include <sys/wait.h>

int main(int argc, char *argv[]){
    printf("hello world (pid:%d)\n", (int) getpid());
    int rc = fork();
    if (rc < 0) { // fork failed; exit
        fprintf(stderr, "fork failed\n");
        exit(1);
    } else if (rc == 0) { // child (new process)
        printf("hello, I am child (pid:%d)\n", (int) getpid());
    } else { // parent goes down this path (main)
        int wc = wait(NULL);
        printf("hello, I am parent of %d (wc:%d) (pid:%d)\n",
            rc, wc, (int) getpid());
    }
    return 0;
}
```

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FORK WITH WAIT - 2

- Deterministic ordering of execution

```
prompt> ./p2
hello world (pid:29266)
hello, I am child (pid:29267)
hello, I am parent of 29267 (wc:29267) (pid:29266)
prompt>
```

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FORK EXAMPLE

- Linux example

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exec()

- Supports running an external program
- 6 types: `execl()`, `execlp()`, `execle()`, `execv()`, `execvp()`, `execvpe()`
- `execl()`, `execlp()`, `execle()`: `const char *arg`  
List of pointers (terminated by null pointer)  
to strings provided as arguments... (`arg0`, `arg1`, .. `argn`)
- `execv()`, `execvp()`, `execvpe()`  
Array of pointers to strings as arguments  
Strings are null-terminated  
First argument is name of file being executed

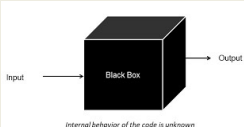
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EXEC() - 2

- Common use case:
- Write a new program which wraps a legacy one
- Provide a new interface to an old system: Web services
- Legacy program thought of as a "black box"
- We don't want to know what is inside... ☹️



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L2.53

EXEC EXAMPLE

```
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>
#include <string.h>
#include <sys/wait.h>

int main(int argc, char *argv[]){
    printf("hello world (pid:%d)\n", (int) getpid());
    int rc = fork();
    if (rc < 0) { // fork failed; exit
        fprintf(stderr, "fork failed\n");
        exit(1);
    } else if (rc == 0) { // child (new process)
        printf("hello, I am child (pid:%d)\n", (int) getpid());
        char *myargs[3];
        myargs[0] = strdup("wc"); // program: "wc" (word count)
        myargs[1] = strdup("p3.c"); // argument: file to count
        myargs[2] = NULL; // marks end of array
        ...
    }
}
```

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L2.54

EXEC EXAMPLE - 2

```
...
➔ execvp(myargs[0], myargs); // runs word count
printf("this shouldn't print out");
} else { // parent goes down this path (main)
    int wc = wait(NULL);
    printf("hello, I am parent of %d (wc:%d) (pid:%d)\n",
          rc, wc, (int) getpid());
}
return 0;
}
```

```
prompt> ./p3
hello world (pid:29383)
hello, I am child (pid:29384)
29 107 1030 p3.c
hello, I am parent of 29384 (wc:29384) (pid:29383)
prompt>
```

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L2.55

EXEC WITH FILE REDIRECTION (OUTPUT)

```
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>
#include <string.h>
#include <fcntl.h>
#include <sys/wait.h>

int
main(int argc, char *argv[]){
    int rc = fork();
    if (rc < 0) { // fork failed; exit
        fprintf(stderr, "fork failed\n");
        exit(1);
    } else if (rc == 0) { // child: redirect standard output to a file
        close(STDOUT_FILENO);
        open("./p4.output", O_CREAT|O_WRONLY|O_TRUNC, S_IRWXU);
        ...
    }
}
```

```
prompt> ./p4
prompt> cat p4.output
32 109 846 p4.c
prompt>
```

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FILE MODE BITS

```
➔ S_IRWXU
read, write, execute/search by owner
S_IRUSR
read permission, owner
S_IWUSR
write permission, owner
S_IXUSR
execute/search permission, owner
S_IRWXG
read, write, execute/search by group
S_IRGRP
read permission, group
S_IWGRP
write permission, group
S_IXGRP
execute/search permission, group
S_IRWXO
read, write, execute/search by others
S_IROTH
read permission, others
S_IWOTH
write permission, others
```

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L2.57

EXEC W/ FILE REDIRECTION (OUTPUT) - 2

```
...
// now exec "wc"...
char *myargs[3];
myargs[0] = strdup("wc"); // program: "wc" (word count)
myargs[1] = strdup("p4.c"); // argument: file to count
myargs[2] = NULL; // marks end of array
execvp(myargs[0], myargs); // runs word count
} else { // parent goes down this path (main)
    int wc = wait(NULL);
}
return 0;
}
```

```
prompt> ./p4
prompt> cat p4.output
32 109 846 p4.c
prompt>
```

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L2.58

Which Process API call is used to launch a different program from the current program?

Fork()

Exec()

Wait()

None of the above

All of the above

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Total Result

QUESTION: PROCESS API

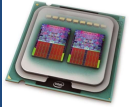
- Which Process API call is used to launch a different program from the current program?
- (a) Fork()
- (b) Exec()
- (c) Wait()
- (d) None of the above
- (e) All of the above

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L2.60

CH. 6:  
LIMITED DIRECT  
EXECUTION



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L2.61

VIRTUALIZING THE CPU

How does the CPU support running so many jobs simultaneously?

Time Sharing

Tradeoffs:

Performance

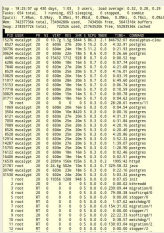
Excessive overhead

Control

Fairness

Security

Both HW and OS support is used



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L2.62

COMPUTER BOOT SEQUENCE:  
OS WITH DIRECT EXECUTION

What if programs could directly control the CPU / system?

OS	Program
1. Create entry for process list	
2. Allocate memory for program	
3. Load program into memory	
4. Set up stack with argc / argv	
5. Clear registers	
6. Execute call main ()	7. Run main ()
	8. Execute return from main ()
9. Free memory of process	
10. Remove from process list	

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L2.63

COMPUTER BOOT SEQUENCE:  
OS WITH DIRECT EXECUTION

What if programs could directly control the CPU / system?

OS	Program
1. Create entry for process list	
2. Allocate memory for	
	Without <i>limits</i> on running programs, the OS wouldn't be in control of anything and would "just be a library"
4. Set up stack with argc / argv	
5. Clear registers	
6. Execute call main ()	7. Run main ()
	8. Execute return from main ()
9. Free memory of process	
10. Remove from process list	

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DIRECT EXECUTION - 2

With direct execution:

How does the OS stop a program from running, and switch to another to support time sharing?

How do programs share disks and perform I/O if they are given direct control? Do they know about each other?

With direct execution, how can dynamic memory structures such as linked lists grow over time?

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L2.65

CONTROL TRADEOFF

Too little control:

No security

No time sharing

Too much control:

Too much OS overhead

Poor performance for compute & I/O

Complex APIs (system calls), difficult to use

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L2.66

CONTEXT SWITCHING OVERHEAD

Context Switching

Multitasking

vs. Multitasking with context switching

Sequential

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L2.67

LIMITED DIRECT EXECUTION

- OS implements LDE to support time/resource sharing
- Limited direct execution means “only limited” processes can execute DIRECTLY on the CPU in **trusted** mode
- TRUSTED means the process is trusted, and it can do anything... (e.g. it is a system / kernel level process)
- Enabled by **protected (safe) control transfer**
- CPU supported context switch
- Provides data isolation

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L2.68

CPU MODES

- Utilize CPU Privilege Rings (Intel x86)
  - rings 0 (kernel), 1 (VM kernel), 2 (unused), 3 (user)

access ← no access

- **User mode:**  
Application is running, but w/o direct I/O access
- **Kernel mode:**  
OS kernel is running performing restricted operations

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L2.69

CPU MODES

- **User mode: ring 3 - untrusted**
  - Some instructions and registers are disabled by the CPU
  - Exception registers
  - HALT instruction
  - MMU instructions
  - OS memory access
  - I/O device access
- **Kernel mode: ring 0 – trusted**
  - All instructions and registers enabled

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SYSTEM CALLS

- Implement restricted “OS” operations
- Kernel exposes key functions through an API:
  - Device I/O (e.g. file I/O)
  - Task swapping: context switching between processes
  - Memory management/allocation: malloc()
  - Creating/destroying processes

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L2.71

TRAPS:  
SYSTEM CALLS, EXCEPTIONS, INTERRUPTS

- Trap: any transfer to kernel mode
- Three kinds of traps
  - **System call:** (planned) user → kernel
    - SYSCALL for I/O, etc.
  - **Exception:** (error) user → kernel
    - Div by zero, page fault, page protection error
  - **Interrupt:** (event) user → kernel
    - Non-maskable vs. maskable
    - Keyboard event, network packet arrival, timer ticks
    - Memory parity error (ECC), hard drive failure

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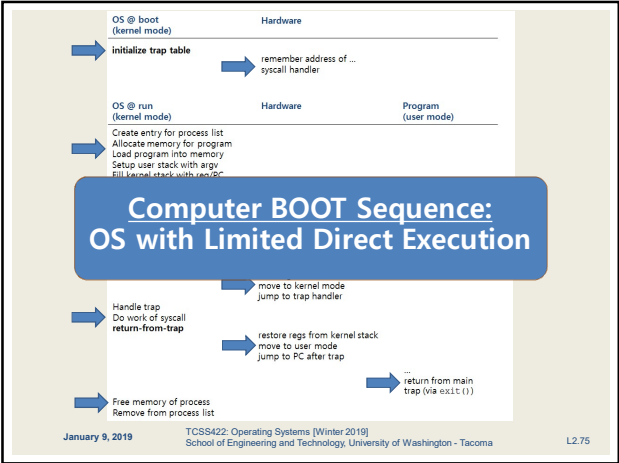
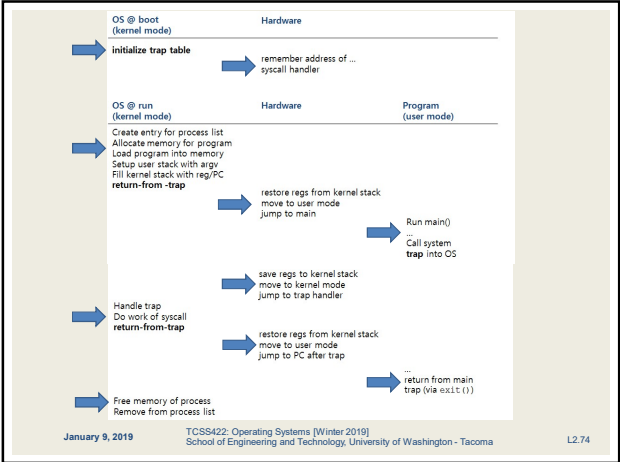
L2.72

EXCEPTION TYPES					
Exception type	Synchronous vs. asynchronous	User request vs. coerced	User maskable vs. nonmaskable	Within vs. between instructions	Resume vs. terminate
I/O device request	Asynchronous	Coerced	Nonmaskable	Between	Resume
Invoke operating system	Synchronous	User request	Nonmaskable	Between	Resume
Trapping instruction execution	Synchronous	User request	User maskable	Between	Resume
Breakpoint	Synchronous	User request	User maskable	Between	Resume
Integer arithmetic overflow	Synchronous	Coerced	User maskable	Within	Resume
Floating point arithmetic overflow or underflow	Synchronous	Coerced	User maskable	Within	Resume
Page fault	Synchronous	Coerced	Nonmaskable	Within	Resume
Misaligned memory access	Synchronous	Coerced	User maskable	Within	Resume
Memory protection violation	Synchronous	Coerced	Nonmaskable	Within	Resume
Using undefined instruction	Synchronous	Coerced	Nonmaskable	Within	Terminate
Hardware malfunction	Asynchronous	Coerced	Nonmaskable	Within	Terminate
Power failure	Asynchronous	Coerced	Nonmaskable	Within	Terminate

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L2.73



MULTITASKING

- How/when should the OS regain control of the CPU to switch between processes?
- Cooperative multitasking (mostly pre 32-bit)
  - < Windows 95, Mac OSX
  - Opportunistic: running programs must give up control
    - User programs must call a special **yield** system call
    - When performing I/O
    - Illegal operations
- (POLLEV)  
What problems could you for see with this approach?

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MULTITASKING

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L2.77

What problems exist for regaining the control of the CPU with cooperative multitasking OSes?

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Total Results

QUESTION: MULTITASKING

- What problems exist for regaining the control of the CPU with cooperative multitasking OSes?

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L2.79

MULTITASKING - 2

- Preemptive multitasking (32 & 64 bit OSes)
  - >= Mac OSX, Windows 95+
- Timer interrupt
  - Raised at some regular interval (in ms)
  - Interrupt handling
    - Current program is halted
    - Program states are saved
    - OS Interrupt handler is run (kernel mode)
- (PollEV) What is a good interval for the timer interrupt?

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L2.80

MULTITASKING - 2

- Preemptive multitasking (32 & 64 bit OSes)
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    - Current program is halted
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    - OS Interrupt handler is run (kernel mode)
- (PollEV) What is a good interval for the timer interrupt?

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L2.81

For an OS that uses a system timer to force arbitrary context switches to share the CPU, what is a good value (in seconds) for the timer interrupt?

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Total Re: L2.82

QUESTION: TIME SLICE

- For an OS that uses a system timer to force arbitrary context switches to share the CPU, what is a good value (in seconds) for the timer interrupt?

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L2.83

CONTEXT SWITCH

- Preemptive multitasking initiates "trap" into the OS code to determine:
  - Whether to continue running the **current process**, or switch to a **different one**.
  - If the decision is made to switch, the OS performs a context switch swapping out the current process for a new one.

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L2.84

CONTEXT SWITCH - 2

1. Save register values of the current process to its kernel stack

- General purpose registers
- PC: program counter (instruction pointer)
- kernel stack pointer

2. Restore soon-to-be-executing process from its kernel stack

3. Switch to the kernel stack for the soon-to-be-executing process

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L2.85

OS @ boot (kernel mode)

Hardware

Program (user mode)

Initialize trap table

start interrupt timer

timer interrupt

Handle the trap

Call switch() routine

return-from-trap (into B)

Process A

Process B

remember address of ... syscall handler timer handler

start timer interrupt CPU in X ms

save regs(A) to k-stack(A) move to kernel mode jump to trap handler

save regs(A) to proc-struct(A) restore regs(B) from proc-struct(B) switch to k-stack(B)

restore regs(B) from k-stack(B) move to user mode jump to B's PC

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L2.86

OS @ boot (kernel mode)

Hardware

Program (user mode)

Initialize trap table

start interrupt timer

Context Switch

Call switch() routine

return-from-trap (into B)

Process B

remember address of ... syscall handler timer handler

start timer interrupt CPU in X ms

save regs(A) to k-stack(A) move to kernel mode jump to trap handler

save regs(A) to proc-struct(A) restore regs(B) from proc-struct(B) switch to k-stack(B)

restore regs(B) from k-stack(B) move to user mode jump to B's PC

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L2.87

INTERRUPTED INTERRUPTS

What happens if during an interrupt (trap to kernel mode), another interrupt occurs?

Linux

- < 2.6 kernel: non-preemptive kernel
- >= 2.6 kernel: preemptive kernel

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PREEMPTIVE KERNEL

Use "locks" as markers of regions of non-preemptibility (non-maskable interrupt)

Preemption counter (`preempt_count`)

- begins at zero
- increments for each lock acquired (not safe to preempt)
- decrements when locks are released

Interrupt can be interrupted when `preempt_count=0`

- It is safe to preempt (maskable interrupt)
- the interrupt is more important

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QUESTIONS

