

TCSS 422: OPERATING SYSTEMS

Condition Variables, Producer/Consumer

Wes J. Lloyd
School of Engineering and Technology
University of Washington - Tacoma

February 25, 2019

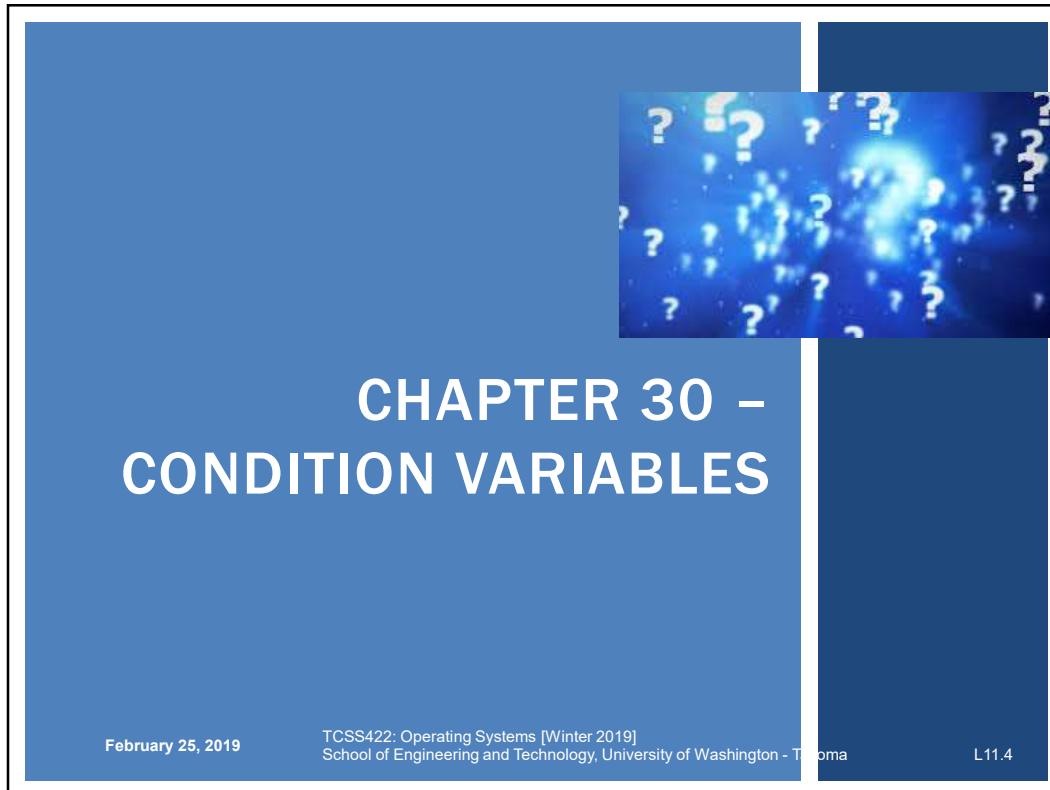
TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma



OBJECTIVES

- Assignment 1
- Assignment 2
- Midterm
- Parallel programming with P-threads cont'd
- Chapter 30 – Condition Variables
- Chapter 32 – Concurrency Problems
- Memory Virtualization
- Chapter 13 – Address Spaces
- Chapter 14 – Memory API

February 25, 2019	TCSS422: Operating Systems [Winter 2019] School of Engineering and Technology, University of Washington - Tacoma	L11.2
-------------------	---	-------

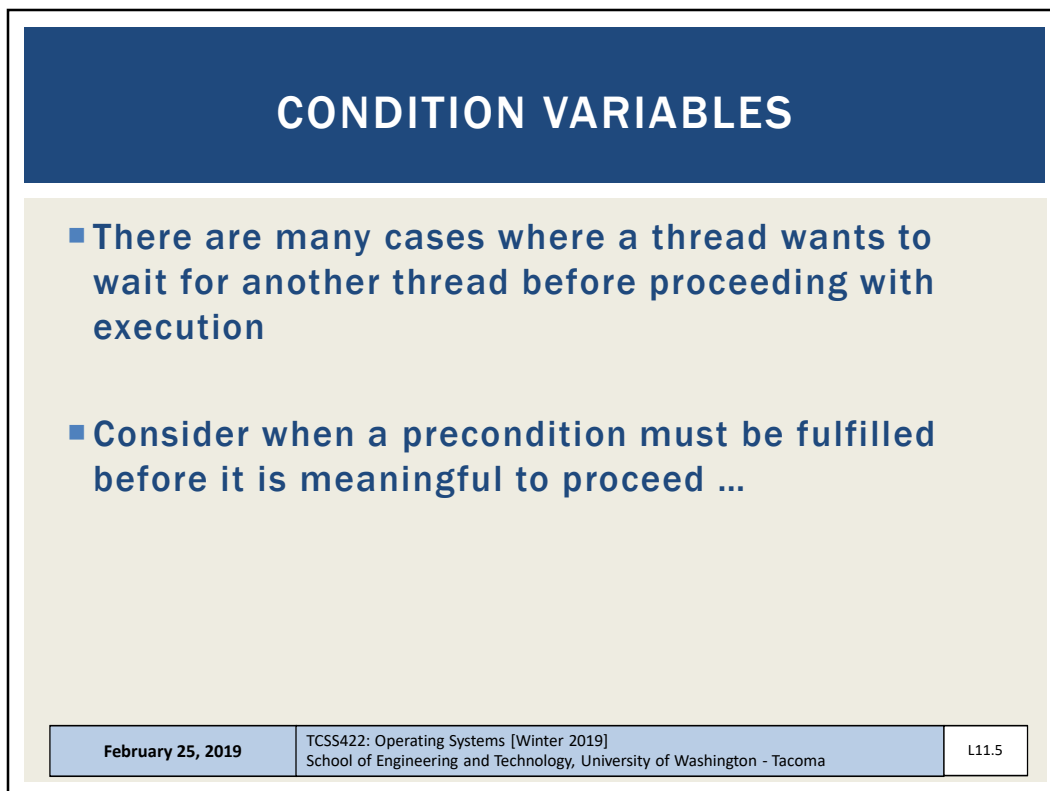


CHAPTER 30 – CONDITION VARIABLES

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.4



CONDITION VARIABLES

- There are many cases where a thread wants to wait for another thread before proceeding with execution
- Consider when a precondition must be fulfilled before it is meaningful to proceed ...

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.5

CONDITION VARIABLES - 2



- Support a signaling mechanism to alert threads when preconditions have been satisfied
- Eliminate busy waiting
- Alert one or more threads to “consume” a result, or respond to state changes in the application
- Threads are placed on an **explicit queue** (FIFO) to wait for signals
- **Signal**: wakes one thread
broadcast wakes all (ordering by the OS)

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.6

CONDITION VARIABLES - 3

■ Condition variable

```
pthread_cond_t c;
```

- Requires initialization

■ Condition API calls

```
pthread_cond_wait(pthread_cond_t *c, pthread_mutex_t *m); // wait()
pthread_cond_signal(pthread_cond_t *c); // signal()
```

- **wait()** accepts a mutex parameter
 - Releases lock, puts thread to sleep
- **signal()**
 - Wakes up thread, awakening thread acquires lock

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.7

CONDITION VARIABLES - QUESTIONS

- Why would we want to put waiting threads on a queue... why not use a stack?
 - Queue (FIFO), Stack (LIFO)
 - Using condition variables eliminates busy waiting by putting threads to “sleep” and yielding the CPU.
- Why do we want to not busily wait for the lock to become available?
- A program has 10-threads, where 9 threads are waiting. The working thread finishes and broadcasts that the lock is available. What happens next?

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.8

MATRIX GENERATOR

Matrix generation example

Chapter 30
signal.c

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.9

MATRIX GENERATOR

- The main thread, and worker thread (generates matrices) share a single matrix pointer.
- What would happen if we don't use a condition variable to coordinate exchange of the lock?
- Let's try "nosignal.c"

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.10

SUBTLE RACE CONDITION: WITHOUT A WHILE

```
1  void thr_exit() {
2      done = 1;
3      pthread_cond_signal(&c);
4  }
5
6  void thr_join() {
7      if (done == 0)
8          pthread_cond_wait(&c);
9  }
```

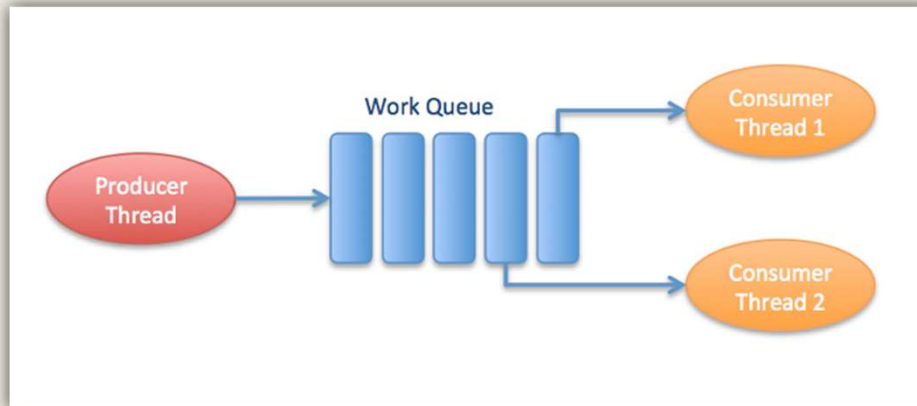
- Parent thread calls thr_join() and executes the comparison
- The context switches to the child
- The child runs thr_exit() and signals the parent, but the parent is not waiting yet.
- The signal is lost
- The parent deadlocks

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.11

PRODUCER / CONSUMER



February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.12

PRODUCER / CONSUMER

■ Producer

- Produces items – consider the child matrix maker
- Places them in a buffer
 - Example: the buffer is only 1 element (single array pointer)

■ Consumer

- Grabs data out of the buffer
- Our example: parent thread receives dynamically generated matrices and performs an operation on them
 - Example: calculates average value of every element (integer)

■ Multithreaded web server example

- Http requests placed into work queue; threads process

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.13

PRODUCER / CONSUMER - 2

- Producer / Consumer is also known as **Bounded Buffer**
- Bounded buffer
 - Similar to piping output from one Linux process to another
 - `grep pthread signal.c | wc -l`
 - Synchronized access:
sends output from `grep` → `wc` as it is produced
 - File stream

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.14

PUT/GET ROUTINES

- Buffer is a one element shared data structure (int)
- Producer “puts” data
- Consumer “gets” data
- Shared data structure requires synchronization

```
1  int buffer;  
2  int count = 0;  // initially, empty  
3  
4  void put(int value) {  
5      assert(count == 0);  
6      count = 1;  
7      buffer = value;  
8  }  
9  
10 int get() {  
11     assert(count == 1);  
12     count = 0;  
13     return buffer;  
14 }
```

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.15

PRODUCER / CONSUMER - 3

- Producer adds data
- Consumer removes data (busy waiting)
- Will this code work (spin locks) with 2-threads?

1. Producer 2. Consumer

```

1  void *producer(void *arg) {
2      int i;
3      int loops = (int) arg;
4      for (i = 0; i < loops; i++) {
5          put(i);
6      }
7  }
8
9  void *consumer(void *arg) {
10     int i;
11     while (1) {
12         int tmp = get();
13         printf("%d\n", tmp);
14     }
15 }
```

February 25, 2019

TCS422: Operating Systems [Winter 2019]
 School of Engineering and Technology, University of Washington - Tacoma

L11.16

PRODUCER / CONSUMER - 3

- The shared data structure needs synchronization!

```

1  cond_t cond;
2  mutex_t mutex;
3
4  void *producer(void *arg) {
5      int i;
6      for (i = 0; i < loops; i++) {
7          pthread_mutex_lock(&mutex);
8          if (count == 1)
9              pthread_cond_wait(&cond, &mutex);
10         put(i);
11         pthread_cond_signal(&cond);
12         pthread_mutex_unlock(&mutex);
13     }
14 }
15
16 void *consumer(void *arg) {
17     int i;
18     for (i = 0; i < loops; i++) {
19         pthread_mutex_lock(&mutex);
20     }
21 }
```

Producer

// p1
 // p2
 // p3
 // p4
 // p5
 // p6

// c1

February 25, 2019

TCS422: Operating Systems [Winter 2019]
 School of Engineering and Technology, University of Washington - Tacoma

L11.17

PRODUCER/CONSUMER - 4

```
20         if (count == 0)                // c2
21             Pthread_cond_wait(&cond, &mutex);    // c3
22         int tmp = get();                // c4
23         Pthread_cond_signal(&cond);        // c5
24         Pthread_mutex_unlock(&mutex);    // c6
25         printf("%d\n", tmp);
26     }
27 }
```

Consumer

■ This code as-is works with just:

(1) Producer

(1) Consumer

■ If we scale to (2+) consumer's it fails

■ How can it be fixed ?

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.18

EXECUTION TRACE:
NO WHILE, 1 PRODUCER, 2 CONSUMERS

■ Two threads

Legend

c1/p1- lock
c2/p2- check var
c3/p3- wait
c4- put()
p4- get()
c5/p5- signal
c6/p6- unlock

	T _{c1}	State	T _{c2}	State	T _p	State	Count	Comment
	c1	Running		Ready		Ready	0	
	c2	Running		Ready		Ready	0	
	c3	Sleep		Ready		Ready	0	Nothing to get
		Sleep		Ready	p1	Running	0	
		Sleep		Ready	p2	Running	0	
		Sleep		Ready	p4	Running	1	Buffer now full
		Ready		Ready	p5	Running	1	T _{c1} awoken
		Ready		Ready	p6	Running	1	
		Ready		Ready	p1	Running	1	
		Ready		Ready	p2	Running	1	
		Ready		Ready	p3	Sleep	1	Buffer full; sleep
		Ready	c1	Running		Sleep	1	T _{c2} sneaks in ...
		Ready	c2	Running		Sleep	1	
		Ready	c4	Running		Sleep	0	... and grabs data
		Ready	c5	Running		Ready	0	T _p awoken
		Ready	c6	Running		Ready	0	
	c4	Running		Ready		Ready	0	Oh oh! No data

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.19

PRODUCER/CONSUMER
SYNCHRONIZATION

- When producer threads awake, they do not check if there is any data in the buffer...
 - Need while, not if
- What if T_p puts a value, wakes T_{c1} whom consumes the value
- Then T_p has a value to put, but T_{c1} 's signal on `&cond` wakes T_{c2}
- There is nothing for T_{c2} consume, so T_{c2} sleeps
- T_{c1} , T_{c2} , and T_p all sleep forever
- T_{c1} needs to wake T_p to T_{c2}

February 25, 2019

TCCS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.20

EXECUTION TRACE:
WHILE, 1 CONDITION, 1 PRODUCER, 2 CONSUMERS

Legend
c1/p1- lock
c2/p2- check var
c3/p3- wait
c4- put()
p4- get()
c5/p5- signal
c6/p6- unlock

→

→

→

T_{c1}	State	T_{c2}	State	T_p	State	Count	Comment
c1	Running		Ready		Ready	0	
c2	Running		Ready		Ready	0	
c3	Sleep		Ready		Ready	0	Nothing to get
	Sleep	c1	Running		Ready	0	
	Sleep	c2	Running		Ready	0	
	Sleep	c3	Sleep		Ready	0	Nothing to get
	Sleep		Sleep	p1	Running	0	
	Sleep		Sleep	p2	Running	0	
	Sleep		Sleep	p4	Running	1	Buffer now full
	Ready		Sleep	p5	Running	1	T_{c1} awoken
	Ready		Sleep	p6	Running	1	
	Ready		Sleep	p1	Running	1	
	Ready		Sleep	p2	Running	1	
	Ready		Sleep	p3	Sleep	1	Must sleep (full)
c2	Running		Sleep		Sleep	1	Recheck condition
c4	Running		Sleep		Sleep	0	T_{c1} grabs data
c5	Running		Ready		Sleep	0	Oops! Woke T_{c2}

February 25, 2019

TCCS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.21

EXECUTION TRACE – 2
WHILE, 1 CONDITION, 1 PRODUCER, 2 CONSUMERS

T_{c2} runs, no data to consume

Legend

c1/p1- lock
c2/p2- check var
c3/p3- wait
c4- put()
p4- get()
c5/p5- signal
c6/p6- unlock

T _{c1}	State	T _{c2}	State	T _p	State	Count	Comment
...	(cont.)
c6	Running		Ready		Sleep	0	
c1	Running		Ready		Sleep	0	
c2	Running		Ready		Sleep	0	
c3	Sleep		Ready		Sleep	0	Nothing to get
	Sleep	→ c2	Running		Sleep	0	
	Sleep	→ c3	Sleep		Sleep	0	Everyone asleep ...

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.22

TWO CONDITIONS

Use two condition variables: empty & full

One condition handles the producer

the other the consumer

1 cond t empty, full;

2 mutex_t mutex;

3

4 void *producer(void *arg) {

5 int i;

6 for (i = 0; i < loops; i++) {

7 pthread_mutex_lock(&mutex);

8 while (count == 1)

9 pthread_cond_wait(&empty, &mutex);

10 put(i);

11 pthread_cond_signal(&full);

12 pthread_mutex_unlock(&mutex);

13 }

14 }

15 }

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.23

FINAL PRODUCER/CONSUMER

- Change buffer from int, to int buffer[MAX]
- Add indexing variables

```

1  int buffer[MAX];
2  int fill = 0;
3  int use = 0;
4  int count = 0;
5
6  void put(int value) {
7      buffer[fill] = value;
8      fill = (fill + 1) % MAX;
9      count++;
10 }
11
12 int get() {
13     int tmp = buffer[use];
14     use = (use + 1) % MAX;
15     count--;
16     return tmp;
17 }

```

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.24

FINAL P/C - 2

```

1  → cond_t empty, full
2  mutex_t mutex;
3
4  void *producer(void *arg) {
5      int i;
6      for (i = 0; i < loops; i++) {
7          pthread_mutex_lock(&mutex);           // p1
8          while (count == MAX)                  // p2
9              pthread_cond_wait(&empty, &mutex); // p3
10         put(i);                                // p4
11         pthread_cond_signal (&full);           // p5
12         pthread_mutex_unlock(&mutex);          // p6
13     }
14 }
15
16 void *consumer(void *arg) {
17     int i;
18     for (i = 0; i < loops; i++) {
19         pthread_mutex_lock(&mutex);           // c1
20         while (count == 0)                    // c2
21             pthread_cond_wait(&full, &mutex); // c3
22         int tmp = get();                      // c4

```

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.25

FINAL P/C - 3

```
(Cont.)
23      pthread_cond_signal(&empty);           // c5
24      pthread_mutex_unlock(&mutex);          // c6
25      printf("%d\n", tmp);
26      }
27      }
```

- Producer: only sleeps when buffer is full
- Consumer: only sleeps if buffers are empty

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.26

COVERING CONDITIONS

- A condition that covers all cases (conditions):
 - Excellent use case for `pthread_cond_broadcast`
 - Consider memory allocation:
 - When a program deals with huge memory allocation/deallocation on the heap
 - Access to the heap must be managed when memory is scarce
- PREVENT: Out of memory:**
- queue requests until memory is free
- Which thread should be woken up?

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.27

COVERING CONDITIONS - 2

```
1 // how many bytes of the heap are free?
2 int bytesLeft = MAX_HEAP_SIZE;
3
4 // need lock and condition too
5 cond_t c;
6 mutex_t m;
7
8 void *
9 allocate(int size) {
10     pthread_mutex_lock(&m);
11     while (bytesLeft < size)
12         pthread_cond_wait(&c, &m);
13     void *ptr = ...; // get mem from heap
14     bytesLeft -= size;
15     pthread_mutex_unlock(&m);
16     return ptr;
17 }
18
19 void free(void *ptr, int size) {
20     pthread_mutex_lock(&m);
21     bytesLeft += size;
22     pthread_cond_signal(&c); // Broadcast
23     pthread_mutex_unlock(&m);
24 }
```

Check available memory

Broadcast

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.28


COVER CONDITIONS - 3

- Broadcast awakens all blocked threads requesting memory
- Each thread evaluates if there's enough memory: (bytesLeft < size)
 - Reject: requests that cannot be fulfilled- go back to sleep
 - *Insufficient memory*
 - Run: requests which can be fulfilled
 - with newly available memory!
- Overhead
 - Many threads may be awoken which can't execute

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.29



CHAPTER 32 – CONCURRENCY PROBLEMS

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.30

OBJECTIVES

- Chapter 32:
 - Non-deadlock concurrency bugs
 - Deadlock causes
 - Deadlock prevention

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.31

CONCURRENCY BUGS IN OPEN SOURCE SOFTWARE

- “Learning from Mistakes – A Comprehensive Study on Real World Concurrency Bug Characteristics”
 - Shan Lu et al.
 - Architectural Support For Programming Languages and Operating Systems (ASPLOS 2008), Seattle WA

Application	What it does	Non-Deadlock	Deadlock
MySQL	Database Server	14	9
Apache	Web Server	13	4
Mozilla	Web Browser	41	16
Open Office	Office Suite	6	2
Total		74	31

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.32

NON-DEADLOCK BUGS

- Majority of concurrency bugs
- Most common:
 - Atomicity violation: forget to use locks
 - Order violation: failure to initialize lock/condition before use

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.33

ATOMICITY VIOLATION - MYSQL

- Two threads access the `proc_info` field in `struct thd`
- `NULL` is 0 in C
- Serialized access to shared memory among separate threads is not enforced (e.g. non-atomic)
- Simple example:

Programmer intended
variable to be accessed
atomically...



```
1  Thread1::
2  if(thd->proc_info){
3      ...
4      fputs(thd->proc_info , ...);
5      ...
6  }
7
8  Thread2::
9  thd->proc_info = NULL;
```

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.34

ATOMICITY VIOLATION - SOLUTION

- Add locks for all uses of: `thd->proc_info`

```
1  pthread_mutex_t lock = PTHREAD_MUTEX_INITIALIZER;
2
3  Thread1::
4  pthread_mutex_lock(&lock);
5  if(thd->proc_info){
6      ...
7      fputs(thd->proc_info , ...);
8      ...
9  }
10 pthread_mutex_unlock(&lock);
11
12 Thread2::
13 pthread_mutex_lock(&lock);
14 thd->proc_info = NULL;
15 pthread_mutex_unlock(&lock);
```

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.35

ORDER VIOLATION BUGS

- Desired order between memory accesses is flipped
- E.g. something is checked before it is set
- Example:

```
1  Thread1::  
2  void init(){  
3      mThread = PR_CreateThread(mMain, ...);  
4  }  
5  
6  Thread2::  
7  void mMain(...){  
8      mState = mThread->State  
9  }
```

- What if mThread is not initialized?

February 25, 2019

TCCS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.36

ORDER VIOLATION - SOLUTION

- Use condition variable to enforce order

```
1  pthread_mutex_t mtLock = PTHREAD_MUTEX_INITIALIZER;  
2  pthread_cond_t mtCond = PTHREAD_COND_INITIALIZER;  
3  int mtInit = 0;  
4  
5  Thread 1::  
6  void init(){  
7      ...  
8      mThread = PR_CreateThread(mMain, ...);  
9  
10     // signal that the thread has been created.  
11     pthread_mutex_lock(&mtLock);  
12     mtInit = 1;  
13     pthread_cond_signal(&mtCond);  
14     pthread_mutex_unlock(&mtLock);  
15     ...  
16 }  
17  
18 Thread2::  
19 void mMain(...){  
20     ...
```

February 25, 2019

TCCS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.37

ORDER VIOLATION – SOLUTION 2

```
21 // wait for the thread to be initialized ...
22 pthread_mutex_lock(&mtLock);
23 while(mtInit == 0)
24     pthread_cond_wait(&mtCond, &mtLock);
25 pthread_mutex_unlock(&mtLock);
26
27 mState = mThread->State;
28 ...
29 }
```

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.38

NON-DEADLOCK BUGS - 1

- 97% of Non-Deadlock Bugs were
 - Atomicity
 - Order violations
- Consider what is involved in “spotting” these bugs in code
- Desire for automated tool support (IDE)

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.39

NON-DEADLOCK BUGS - 2

■ Atomicity

- How can we tell if a given variable is shared?
 - Can search the code for uses
- How do we know if all instances of its use are shared?
 - Can some non-synchronized (non-atomic) uses be legal?
 - Before threads are created, after threads exit
 - Must verify the scope

■ Order violation

- Must consider all variable accesses
- Must know desired order

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.40

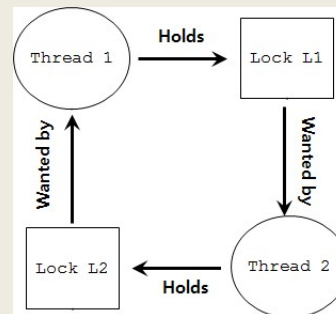
DEADLOCK BUGS



- Presence of a cycle in code
- Thread 1 acquires lock L1, waits for lock L2
- Thread 2 acquires lock L2, waits for lock L1

Thread 1:	Thread 2:
<code>lock (L1);</code>	<code>lock (L2);</code>
<code>lock (L2);</code>	<code>lock (L1);</code>

- Both threads can block, unless one manages to acquire both locks



February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.41

REASONS FOR DEADLOCKS

- **Complex code**
 - Must avoid circular dependencies – can be hard to find...
- **Encapsulation hides potential locking conflicts**
 - Easy-to-use APIs embed locks inside
 - Programmer doesn't know they are there
 - Consider the Java Vector class:

```
1 Vector v1,v2;  
2 v1.AddAll(v2);
```

- Vector is thread safe (synchronized) by design
- If there is a v2.AddAll(v1); call at nearly the same time deadlock could result

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.42

CONDITIONS FOR DEADLOCK

- Four conditions are required for dead lock to occur

Condition	Description
➡ Mutual Exclusion	Threads claim exclusive control of resources that they require.
Hold-and-wait	Threads hold resources allocated to them while waiting for additional resources
No preemption	Resources cannot be forcibly removed from threads that are holding them.
Circular wait	There exists a circular chain of threads such that each thread holds one more resources that are being requested by the next thread in the chain

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.43

PREVENTION – MUTUAL EXCLUSION

- Build wait-free data structures
 - Eliminate locks altogether
 - Build structures using CompareAndSwap atomic CPU (HW) instruction
- C pseudo code for CompareAndSwap
- Hardware executes this code atomically

```
1  int CompareAndSwap(int *address, int expected, int new){
2      if(*address == expected){
3          *address = new;
4          return 1; // success
5      }
6      return 0;
7  }
```

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.44

PREVENTION – MUTUAL EXCLUSION - 2

- Recall atomic increment

```
1  void AtomicIncrement(int *value, int amount){
2      do{
3          int old = *value;
4      }while( CompareAndSwap(value, old, old+amount)!=0);
5  }
```

- Compare and Swap tries over and over until successful
- CompareAndSwap is guaranteed to be atomic
- When it runs it is **ALWAYS** atomic (at HW level)

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.45

MUTUAL EXCLUSION: LIST INSERTION

■ Consider list insertion

```
1 void insert(int value){
2     node_t * n = malloc(sizeof(node_t));
3     assert( n != NULL );
4     n->value = value ;
5     n->next = head;
6     head    = n;
7 }
```

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.46

MUTUAL EXCLUSION – LIST INSERTION - 2

■ Lock based implementation

```
1 void insert(int value){
2     node_t * n = malloc(sizeof(node_t));
3     assert( n != NULL );
4     n->value = value ;
5     lock(listlock); // begin critical section
6     n->next = head;
7     head    = n;
8     unlock(listlock); //end critical section
9 }
```

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.47

MUTUAL EXCLUSION – LIST INSERTION - 3

■ Wait free (no lock) implementation

```

1 void insert(int value) {
2     node_t *n = malloc(sizeof(node_t));
3     assert(n != NULL);
4     n->value = value;
5     do {
6         n->next = head;
7     } while (!CompareAndSwap(&head, n->next, n));
8 }

```

■ Assign &head to n (new node ptr)

■ Only when head = n->next

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.48

CONDITIONS FOR DEADLOCK

■ Four conditions are required for dead lock to occur

Condition	Description
Mutual Exclusion	Threads claim exclusive control of resources that they require.
Hold-and-wait	Threads hold resources allocated to them while waiting for additional resources
No preemption	Resources cannot be forcibly removed from threads that are holding them.
Circular wait	There exists a circular chain of threads such that each thread holds one more resources that are being requested by the next thread in the chain

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.49

PREVENTION LOCK – HOLD AND WAIT

- Problem: acquire all locks atomically
- Solution: use a “lock” “lock”... (like a guard lock)

```
1 lock(prevention);
2 lock(L1);
3 lock(L2);
4 ...
5 unlock(prevention);
```

- Effective solution – guarantees no race conditions while acquiring L1, L2, etc.
- Order doesn't matter for L1, L2
- Prevention (GLOBAL) lock decreases concurrency of code
 - Acts Lowers lock granularity
- Encapsulation: consider the Java Vector class...

February 25, 2019

TCS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.50

CONDITIONS FOR DEADLOCK

- Four conditions are required for dead lock to occur

Condition	Description
Mutual Exclusion	Threads claim exclusive control of resources that they require.
Hold-and-wait	Threads hold resources allocated to them while waiting for additional resources
→ No preemption	Resources cannot be forcibly removed from threads that are holding them.
Circular wait	There exists a circular chain of threads such that each thread holds one more resources that are being requested by the next thread in the chain

February 25, 2019

TCS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.51

PREVENTION – NO PREEMPTION

- When acquiring locks, don't BLOCK forever if unavailable...
- `pthread_mutex_trylock()` - try once
- `pthread_mutex_timedlock()` - try and wait awhile

```
1 top:
2   lock(L1);
3   if( tryLock(L2) == -1 ){
4       unlock(L1);
5       goto top;
6   }
```



- Eliminates deadlocks

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.52

NO PREEMPTION – LIVELOCKS PROBLEM

- Can lead to livelock

```
1 top:
2   lock(L1);
3   if( tryLock(L2) == -1 ){
4       unlock(L1);
5       goto top;
6   }
```

- Two threads execute code in parallel → always fail to obtain both locks
- Fix: add random delay
 - Allows one thread to win the livelock race!



February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.53

CONDITIONS FOR DEADLOCK

- Four conditions are required for dead lock to occur

Condition	Description
Mutual Exclusion	Threads claim exclusive control of resources that they require.
Hold-and-wait	Threads hold resources allocated to them while waiting for additional resources
No preemption	Resources cannot be forcibly removed from threads that are holding them.
Circular wait	There exists a circular chain of threads such that each thread holds one more resources that are being requested by the next thread in the chain

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.54

PREVENTION – CIRCULAR WAIT

- Provide total ordering of lock acquisition throughout code
 - Always acquire locks in same order
 - L1, L2, L3, ...
 - Never mix: L2, L1, L3; L2, L3, L1; L3, L1, L2....
- Must carry out same ordering through entire program

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.55

DEADLOCK AVOIDANCE VIA INTELLIGENT SCHEDULING

- Consider a smart scheduler
 - Scheduler knows which locks threads use
- Consider this scenario:
 - 4 Threads (T1, T2, T3, T4)
 - 2 Locks (L1, L2)
- Lock requirements of threads:

	T1	T2	T3	T4
L1	yes	yes	no	no
L2	yes	yes	yes	no

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.56

INTELLIGENT SCHEDULING - 2

- Scheduler produces schedule:

CPU 1	T3	T4
CPU 2	T1	T2

- No deadlock can occur
- Consider:

	T1	T2	T3	T4
L1	yes	yes	yes	no
L2	yes	yes	yes	no

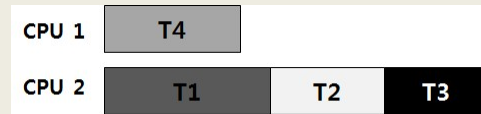
February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.57

INTELLIGENT SCHEDULING - 3

- Scheduler produces schedule



- Scheduler must be conservative and not take risks
- Slows down execution – many threads
- There has been limited use of these approaches given the difficulty having intimate lock knowledge about every thread

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.58

DETECT AND RECOVER


- Allow deadlock to occasionally occur and then take some action.
 - Example: When OS freezes, reboot...
- How often is this acceptable?
 - Once per year
 - Once per month
 - Once per day
 - *Consider the effort tradeoff of finding every deadlock bug*
- Many database systems employ deadlock detection and recovery techniques.

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.59

CHAPTER 13: ADDRESS SPACES



February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.60

OBJECTIVES – MEMORY VIRTUALIATION

- Chapter 13
 - Introduction to memory virtualization
 - The address space
 - Goals of OS memory virtualization
- Chapter 14
 - Memory API
 - Common memory errors
- Chapter 15
 - Address translation
 - Base and bounds
 - HW and OS Support
- Chapter 16
 - Memory segments, fragmentation

February 25, 2019	TCSS422: Operating Systems [Winter 2019] School of Engineering and Technology, University of Washington - Tacoma	L11.61
-------------------	---	--------

MEMORY VIRTUALIZATION

- What is memory virtualization?
- This is not “virtual” memory,
 - Classic use of disk space as additional RAM
 - When available RAM was low
 - Less common recently

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.62

MEMORY VIRTUALIZATION - 2

- Presentation of system memory to each process
- Appears as if each process can access the entire machine's address space
- Each process's view of memory is isolated from others
- Everyone has their own sandbox

Process A



Process B



Process C



February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.63

MOTIVATION FOR
MEMORY VIRTUALIZATION

- Easier to program
 - Programs don't need to understand special memory models
- Abstraction enables sophisticated approaches to manage and share memory among processes
- Isolation
 - From other processes: easier to code
- Protection
 - From other processes
 - From programmer error (segmentation fault)

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.64

EARLY MEMORY MANAGEMENT

- Load one process at a time into memory
- Poor memory utilization
- Little abstraction

0KB
64KB
max
Physical Memory

Operating System
(code, data, etc.)

Current Program
(code, data, etc.)

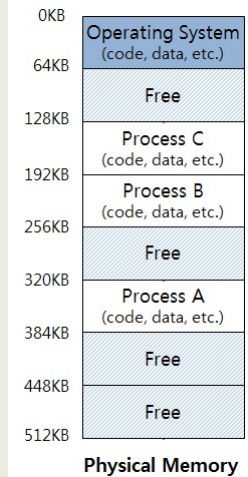
February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.65

MULTIPROGRAMMING WITH SHARED MEMORY

- Later machines supported running multiple processes
- Swap out processes during I/O waits to increase system utilization and efficiency
- Swap entire memory of a process to disk for context switch
- Too slow, especially for large processes
- Solution →
 - Leave processes in memory
- Need to protect from errant memory accesses in a multiprocessing environment



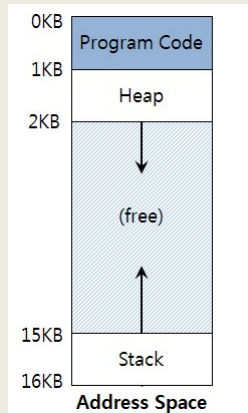
February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.66

ADDRESS SPACE

- Easy-to-use abstraction of physical memory for a process
- Main elements:
 - Program code
 - Stack
 - Heap
- Example: 16KB address space



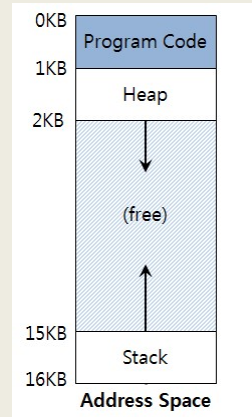
February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.67

ADDRESS SPACE - 2

- **Code**
 - Program code
- **Stack**
 - Program counter (PC)
 - Local variables
 - Parameter variables
 - Return values (for functions)
- **Heap**
 - Dynamic storage
 - Malloc() new()



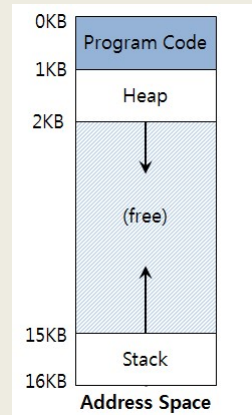
February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.68

ADDRESS SPACE - 3

- **Program code**
 - Static size
- **Heap and stack**
 - Dynamic size
 - Grow and shrink during program execution
 - Placed at opposite ends
- **Addresses are virtual**
 - They must be physically mapped by the OS



February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.69

VIRTUAL ADDRESSING

■ Every address is virtual

■ OS translates virtual to physical addresses

```
#include <stdio.h>
#include <stdlib.h>

int main(int argc, char *argv[]){

    printf("location of code : %p\n", (void *) main);
    printf("location of heap : %p\n", (void *) malloc(1));
    int x = 3;
    printf("location of stack : %p\n", (void *) &x);

    return x;
}
```

■ EXAMPLE: virtual.c

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.70

VIRTUAL ADDRESSING - 2

■ Output from 64-bit Linux:

location of code: 0x400686
location of heap: 0x1129420
location of stack: 0x7ffe040d77e4

Address Space

0x400000

Code
(Text)

0x401000

Data

0xcf2000

Heap

0xd13000

↓
heap

(free)

↑
stack

0x7fff9ca28000

Stack

0x7fff9ca49000

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.71

GOALS OF OS MEMORY VIRTUALIZATION

- **Transparency**
 - Memory shouldn't appear virtualized to the program
 - OS multiplexes memory among different jobs behind the scenes
- **Protection**
 - Isolation among processes
 - OS itself must be isolated
 - One program should not be able to affect another (or the OS)

February 25, 2019

TCCS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.72

GOALS - 2

- **Efficiency**
 - **Time**
 - Performance: virtualization must be fast
 - **Space**
 - Virtualization must not waste space
 - Consider data structures for organizing memory
 - Hardware support TLB: Translation Lookaside Buffer
- ***Goals considered when evaluating memory virtualization schemes***

February 25, 2019

TCCS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.73

CHAPTER 14: THE MEMORY API

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.74



MALLOC

```
#include <stdlib.h>

void* malloc(size_t size)
```

- Allocates memory on the heap
- `size_t` unsigned integer (must be +)
- `size` size of memory allocation in bytes
- Returns
 - SUCCESS: A void * to a memory address
 - FAIL: NULL
- `sizeof()` often used to ask the system how large a given datatype or struct is

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.75

sizeof()

- Not safe to assume data type sizes using different compilers, systems
- Dynamic array of 10 ints
- Static array of 10 ints

```
int *x = malloc(10 * sizeof(int));  
printf("%d\n", sizeof(x));
```

4

```
int x[10];  
printf("%d\n", sizeof(x));
```

40

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.76

FREE()

```
#include <stdlib.h>  
  
void free(void* ptr)
```

- Free memory allocated with malloc()
- Provide: (void *) ptr to malloc'd memory
- Returns: nothing

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.77

```
#include<stdio.h>
```

What will this code do?

```
int * set_magic_number_a()
{
    int a =53247;
    return &a;
}

void set_magic_number_b()
{
    int b = 11111;
}

int main()
{
    int * x = NULL;
    x = set_magic_number_a();
    printf("The magic number is=%d\n",*x);
    set_magic_number_b();
    printf("The magic number is=%d\n",*x);
    return 0;
}
```

78

```
#include<stdio.h>
```

What will this code do?

```
int * set_magic_number_a()
{
    int a =53247;
    return &a;
}

void set_magic_number_b()
{
    int b = 11111;
}

int main()
{
    int * x = NULL;
    x = set_magic_number_a();
    printf("The magic number is=%d\n",*x);
    set_magic_number_b();
    printf("The magic number is=%d\n",*x);
    return 0;
}
```

Output:

```
$ ./pointer_error
The magic number is=53247
The magic number is=11111
```

We have not changed *x but
the value has changed!!

Why?

79

DANGLING POINTER (1/2)

- Dangling pointers arise when a variable referred (a) goes “out of scope”, and it’s memory is destroyed/overwritten (by b) without modifying the value of the pointer (*x).
- The pointer still points to the original memory location of the deallocated memory (a), which has now been reclaimed for (b).

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.80

DANGLING POINTER (2/2)

- Fortunately in the case, a compiler warning is generated:

```
$ g++ -o pointer_error -std=c++0x pointer_error.cpp
```

```
pointer_error.cpp: In function ‘int*  
set_magic_number_a()’:  
pointer_error.cpp:6:7: warning: address of local  
variable ‘a’ returned [enabled by default]
```

- This is a common mistake - - -
accidentally referring to addresses that have gone “out of scope”

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.81

CALLOC()

```
#include <stdlib.h>

void *calloc(size_t num, size_t size)
```

- Allocate “C”lear memory on the heap
- Calloc wipes memory in advance of use...
- `size_t num` : number of blocks to allocate
- `size_t size` : size of each block(in bytes)
- Calloc() prevents...

```
char *dest = malloc(20);
printf("dest string=%s\n", dest);
```

dest string=??F

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.82

REALLOC()

```
#include <stdlib.h>

void *realloc(void *ptr, size_t size)
```

- Resize an existing memory allocation
- Returned pointer may be same address, or a new address
 - New if memory allocation must move
- `void *ptr`: Pointer to memory block allocated with malloc, calloc, or realloc
- `size_t size`: New size for the memory block(in bytes)
- EXAMPLE: realloc.c
- EXAMPLE: nom.c

February 25, 2019

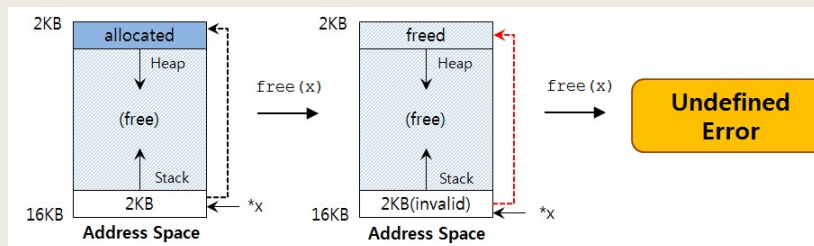
TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.83

DOUBLE FREE

```
int *x = (int *)malloc(sizeof(int)); // allocated
free(x); // free memory
free(x); // free repeatedly
```

- Can't deallocate twice
- Second call core dumps



February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.84

SYSTEM CALLS

- **brk(), sbrk()**
 - Used to change data segment size (the end of the heap)
 - Don't use these
- **Mmap(), munmap()**
 - Can be used to create an extra independent "heap" of memory for a user program
- See man page

February 25, 2019

TCSS422: Operating Systems [Winter 2019]
School of Engineering and Technology, University of Washington - Tacoma

L11.85

