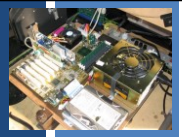
TCSS 422: OPERATING SYSTEMS



Memory Virtualization with Segments, Introduction to Paging

Wes J. Lloyd
School of Engineering and Technology
University of Washington - Tacoma

1

TCSS 422 – OFFICE HRS – SPRING 2025

- **Office Hours plan for Spring (by Zoom):**
- **Monday 11:30am - 12:30p GTA Xinghan**
- **Tuesday 11:30am - 12:30p GTA Xinghan**
- **Wednesday 11:00am - 12:00p Instructor Wes**
- **Friday 12:00pm - 1:00p Instructor Wes or GTA Xinghan**
  - **THIS FRIDAY: Xinghan**
- Instructor is available immediately after class today via Zoom for questions

2

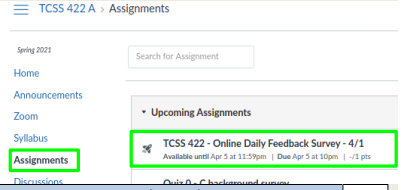
OBJECTIVES – 5/20

- **Questions from 5/15**
- Assignment 2 - June 5 AOE
- Quiz 3 – Class Activity-Synchronized Array - Today ~5pm
- Tutorial 2 – Pthread/locks/conditions tutorial-5/29 AOE
- Chapter 13: Address Spaces
- Chapter 14: The Memory API
- Chapter 15: Address Translation
- Chapter 16: Segmentation
- Chapter 17: Free Space Management
- Chapter 18: Introduction to Paging

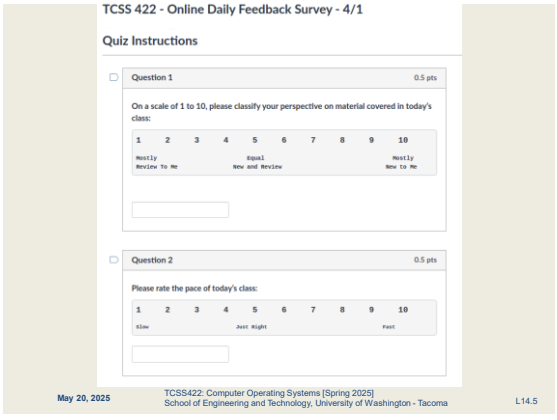
3

ONLINE DAILY FEEDBACK SURVEY

- Daily Feedback Quiz in Canvas – Available After Each Class
- Extra credit available for completing surveys ***ON TIME***
- Tuesday surveys: due by ~ Wed @ 11:59p
- Thursday surveys: due ~ Mon @ 11:59p



4



5

MATERIAL / PACE

- Please classify your perspective on material covered in today's class (45 of 63 respondents – 71.4%):
- 1-mostly review, 5-equal new/review, 10-mostly new
- **Average – 6.51 (↑ - previous 6.32)**
- Please rate the pace of today's class:
- 1-slow, 5-just right, 10-fast
- **Average – 5.00 (↓ - previous 5.08)**

6

FEEDBACK FROM 5/15

7

OBJECTIVES – 5/20

- Questions from 5/15
- **Assignment 2 - June 5 AOE**
- Quiz 3 – Activity-Synchronized Array - Today ~5pm
- Tutorial 2 – Pthread/locks/conditions tutorial-5/29 AOE
- Chapter 13: Address Spaces
- Chapter 14: The Memory API
- Chapter 15: Address Translation
- Chapter 16: Segmentation
- Chapter 17: Free Space Management
- Chapter 18: Introduction to Paging

8

OBJECTIVES – 5/20

- Questions from 5/15
- Assignment 2 - June 5 AOE
- **Quiz 3 – Activity-Synchronized Array - Today ~5pm**
- Tutorial 2 – Pthread/locks/conditions tutorial-5/29 AOE
- Chapter 13: Address Spaces
- Chapter 14: The Memory API
- Chapter 15: Address Translation
- Chapter 16: Segmentation
- Chapter 17: Free Space Management
- Chapter 18: Introduction to Paging

9

OBJECTIVES – 5/20

- Questions from 5/15
- Assignment 2 - June 5 AOE
- Quiz 3 – Activity-Synchronized Array - Today ~5pm
- **Tutorial 2 – Pthread/locks/conditions tutorial-5/29 AOE**
- Chapter 13: Address Spaces
- Chapter 14: The Memory API
- Chapter 15: Address Translation
- Chapter 16: Segmentation
- Chapter 17: Free Space Management
- Chapter 18: Introduction to Paging

10

REVIEW FROM 5/15

- **Review: Conditions for deadlock to occur**
- **Mutual Exclusion:** threads claim exclusive control of resources
  > use atomic operations for data updates to eliminate mutual exclusion – ***prevents deadlock***
- **Hold-and-Wait:** threads hold resources while waiting for others
  > use guard locks when multiple resources are required – ***prevents deadlock***
- **No preemption:** Lock requested, but threads holding the resources can't be forcibly made to release them
  > use non-blocking lock instructions – ***prevents deadlock***
- **Circular Wait:** circle acquisition of locks - one thread holds what another needs and vice-versa
  >providing total ordering of lock acquisition throughout the code (e.g. L1, L2, L3) – ***prevents deadlock***

11

CATCH UP FROM LECTURE 13

- Switch to Lecture 13 Slides
- Skip Slides 13.47 – 13.48
- Slides L13.49 to L13.59
  (Chapter 32 –Concurrency Problems)
  (Chapter 13 – Address Spaces – Introduction)

12

OBJECTIVES – 5/20

- Questions from 5/15
- Assignment 2 - June 5 AOE
- Quiz 3 – Activity-Synchronized Array - Today ~5pm
- Tutorial 2 – Pthread/locks/conditions tutorial-5/29 AOE
- **Chapter 13: Address Spaces**
- Chapter 14: The Memory API
- Chapter 15: Address Translation
- Chapter 16: Segmentation
- Chapter 17: Free Space Management
- Chapter 18: Introduction to Paging

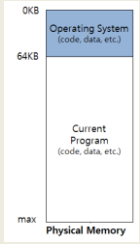
13

CHAPTER 13: ADDRESS SPACES

14

EARLY MEMORY MANAGEMENT

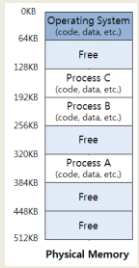
- Load one process at a time into memory
- Poor memory utilization
- Little abstraction



15

MULTIPROGRAMMING WITH SHARED MEMORY

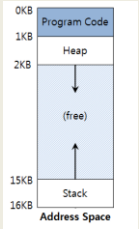
- Later machines supported running multiple processes
- Swap out processes during I/O waits to increase system utilization and efficiency
- Swap entire memory of a process to disk for context switch
- Too slow, especially for large processes
- Solution→
  - Leave processes in memory
- Need to protect from errant memory accesses in a multiprocessing environment



16

ADDRESS SPACE

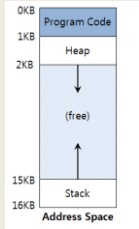
- Easy-to-use abstraction of physical memory for a process
- Main elements:
  - Program code
  - Stack
  - Heap
- Example: 16KB address space



17

ADDRESS SPACE - 2

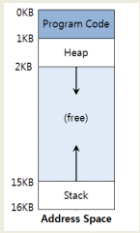
- Code
  - Program code
- Stack
  - Program counter (PC)
  - Local variables
  - Parameter variables
  - Return values (for functions)
- Heap
  - Dynamic storage
  - Malloc() new()



18

ADDRESS SPACE - 3

- Program code
  - Static size
- Heap and stack
  - Dynamic size
  - Grow and shrink during program execution
  - Placed at opposite ends
- Addresses are virtual
  - They must be physically mapped by the OS



19

VIRTUAL ADDRESSING

- Every address is virtual
  - OS translates virtual to physical addresses

```
#include <stdio.h>
#include <stdlib.h>

int main(int argc, char *argv[]){

    printf("location of code  : %p\n", (void *) main);
    printf("location of heap  : %p\n", (void *) malloc(1));
    int x = 3;
    printf("location of stack : %p\n", (void *) &x);

    return x;
}
```

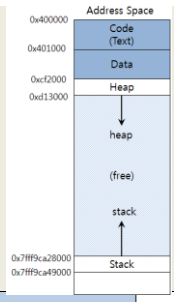
  - EXAMPLE: virtual.c

20

VIRTUAL ADDRESSING - 2

- Output from 64-bit Linux:

```
location of code: 0x400686
location of heap: 0x1129420
location of stack: 0x7ffe040d77e4
```



21

GOALS OF OS MEMORY VIRTUALIZATION

- Transparency
  - Memory shouldn't appear virtualized to the program
  - OS multiplexes memory among different jobs behind the scenes
- Protection
  - Isolation among processes
  - OS itself must be isolated
  - One program should not be able to affect another (or the OS)

22

GOALS - 2

- Efficiency
  - Time
    - Performance: virtualization must be fast
  - Space
    - Virtualization must not waste space
    - Consider data structures for organizing memory
    - Hardware support TLB: Translation Lookaside Buffer
- *Goals considered when evaluating memory virtualization schemes*

23

OBJECTIVES – 5/20

- Questions from 5/15
- Assignment 2 - June 5 AOE
- Quiz 3 – Activity-Synchronized Array - Today ~5pm
- Tutorial 2 – Pthread/locks/conditions tutorial-5/29 AOE
- Chapter 13: Address Spaces
- **Chapter 14: The Memory API**
- Chapter 15: Address Translation
- Chapter 16: Segmentation
- Chapter 17: Free Space Management
- Chapter 18: Introduction to Paging

24

CHAPTER 14: THE MEMORY API

25

OBJECTIVES – 5/18

- **Chapter 13: Introduction to memory virtualization**
  - The address space
  - Goals of OS memory virtualization
- **Chapter 14: Memory API**
  - Common memory errors

26

MALLOC

```
#include <stdlib.h>

void* malloc(size_t size)
```

- Allocates memory on the heap
- size_t unsigned integer (must be +)
- size size of memory allocation in bytes

- Returns
- SUCCESS: A void * to a memory address
- FAIL: NULL

- sizeof() often used to ask the system how large a given datatype or struct is

27

SIZEOF()

- Not safe to assume data type sizes using different compilers, systems
- Dynamic array of 10 ints
- Static array of 10 ints

```
int *x = malloc(10 * sizeof(int));
printf("%d\n", sizeof(x));
```

```
4
```

```
int x[10];
printf("%d\n", sizeof(x));
```

```
40
```


28

FREE()

```
#include <stdlib.h>

void free(void* ptr)
```

- Free memory allocated with malloc()
- Provide: (void *) ptr to malloc'd memory

- Returns: nothing

29

```
#include<stdio.h>

int * set_magic_number_a()
{
  int a =53247;
  return &a;
}

void set_magic_number_b()
{
  int b = 11111;
}

int main()
{
  int * x = NULL;
  x = set_magic_number_a();
  printf("The magic number is=%d\n",*x);
  set_magic_number_b();
  printf("The magic number is=%d\n",*x);
  return 0;
}
```

What will this code do?

30

```
#include<stdio.h>

int * set_magic_number_a()
{
  int a =53247;
  return &a;
}

void set_magic_number_b()
{
  int b = 11111;
}

int main()
{
  int * x = NULL;
  x = set_magic_number_a();
  printf("The magic number is=%d\n",*x);
  set_magic_number_b();
  printf("The magic number is=%d\n",*x);
  return 0;
}
```

What will this code do?

Output:

```
$ ./pointer_error
The magic number is=53247
The magic number is=11111
```

We have not changed *x but the value has changed!!

Why?

31

31

DANGLING POINTER (1/2)

- Dangling pointers arise when a variable referred (a) goes "out of scope", and it's memory is destroyed/overwritten (by b) without modifying the value of the pointer (*x).
- The pointer still points to the original memory location of the deallocated memory (a), which has now been reclaimed for (b).

32

DANGLING POINTER (2/2)

- Fortunately in the case, a compiler warning is generated:

```
$ g++ -o pointer_error -std=c++0x pointer_error.cpp

pointer_error.cpp: In function 'int*
set_magic_number_a()':
pointer_error.cpp:6:7: warning: address of local
variable 'a' returned [enabled by default]
```

- This is a common mistake - - - accidentally referring to addresses that have gone "out of scope"

33

CALLOC()

```
#include <stdlib.h>

void *calloc(size_t num, size_t size)
```

- Allocate "C"lear memory on the heap
- Calloc wipes memory in advance of use…
- `size_t num` : number of blocks to allocate
- `size_t size` : size of each block(in bytes)
- Calloc() prevents…

```
char *dest = malloc(20);
printf("dest string=%s\n", dest);

dest string=☐☐F
```


34

REALLOC()

```
#include <stdlib.h>

void *realloc(void *ptr, size_t size)
```

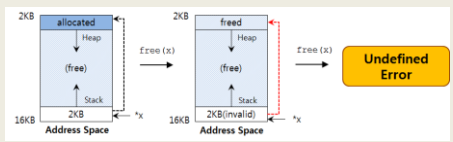
- Resize an existing memory allocation
- Returned pointer may be same address, or a new address
  - New if memory allocation must move
- `void *ptr`: Pointer to memory block allocated with malloc, calloc, or realloc
- `size_t size`: New size for the memory block(in bytes)
- EXAMPLE: realloc.c
- EXAMPLE: nom.c

35

DOUBLE FREE

```
int *x = (int *)malloc(sizeof(int)); // allocated
free(x); // free memory
free(x); // free repeatedly
```

- Can't deallocate twice
- Second call core dumps



36

SYSTEM CALLS

- brk(), sbrk()
- Used to change data segment size (the end of the heap)
- Don't use these
- mmap(), munmap()
- Can be used to create an extra independent "heap" of memory for a user program
- See man page

37

WE WILL RETURN AT 4:49PM

38

OBJECTIVES – 5/20

- Questions from 5/15
- Assignment 2 - June 5 AOE
- Quiz 3 – Activity-Synchronized Array - Today ~5pm
- Tutorial 2 – Pthread/locks/conditions tutorial-5/29 AOE
- Chapter 13: Address Spaces
- Chapter 14: The Memory API
- **Chapter 15: Address Translation**
- Chapter 16: Segmentation
- Chapter 17: Free Space Management
- Chapter 18: Introduction to Paging

39

CHAPTER 15: ADDRESS TRANSLATION

40

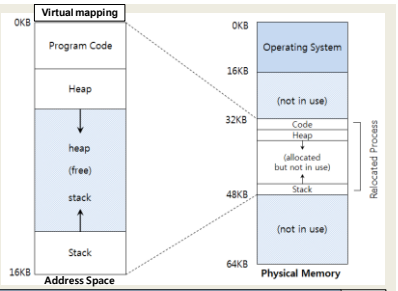
OBJECTIVES – 5/18

- **Chapter 15: Address translation**
  - Base and bounds
  - HW and OS Support

41

ADDRESS TRANSLATION

- 64KB Address space example
- Translation: mapping virtual to physical



42

BASE AND BOUNDS

- Dynamic relocation
- Two registers base & bounds: **on the CPU**
- OS places program in memory
- Sets base register

$$physical\ address = virtual\ address + base$$

- Bounds register
  - Stores size of program address space (16KB)
- OS verifies that every address:

$$0 \leq virtual\ address < bounds$$

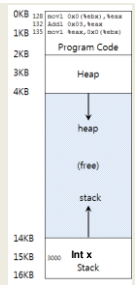
43

INSTRUCTION EXAMPLE

```
128 : movl 0x0(%ebx), %eax
```

- Base = 32768
- Bounds =16384
- Fetch instruction at 128 (virt addr) ↑
  - Phy addr = virt addr + base reg
  - 32896 = 128 + 32768 (base)
- Execute instruction
  - Load from address (var x is @ 15kb=15360)
  - 48128 = 15360 + 32768 (base) -- found x...
- Bounds register: terminate process if
  - ACCESS VIOLATION: Virtual address > bounds reg

$$physical\ address = virtual\ address + base$$



44

MEMORY MANAGEMENT UNIT

- MMU
  - Portion of the CPU dedicated to address translation
  - Contains base & bounds registers
- Base & Bounds Example:
  - Consider address translation
  - 4 KB (4096 bytes) address space, loaded at 16 KB physical location

	Virtual Address	Physical Address
	0	16384
	1024	17408
	3000	19384
FAULT	4400	20784 (out of bounds)

45

DYNAMIC RELOCATION OF PROGRAMS

- Hardware requirements:

Requirements	HW support
Privileged mode	CPU modes: kernel, user
Base / bounds registers	Registers to support address translation
Translate virtual addr; check if in bounds	Translation circuitry, check limits
Privileged instruction(s) to update base / bounds regs	Instructions for modifying base/bound registers
Privileged instruction(s) to register exception handlers	Set code pointers to OS code to handle faults
Ability to raise exceptions	For out-of-bounds memory access, or attempts to access privileged instr.

46

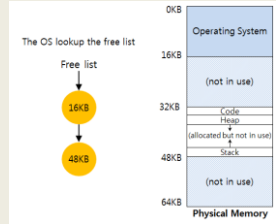
OS SUPPORT FOR MEMORY VIRTUALIZATION

- For base and bounds OS support required
  - When process starts running
    - Allocate address space in physical memory
  - When a process is terminated
    - Reclaiming memory for use
  - When context switch occurs
    - Saving and storing the base-bounds pair
  - Exception handlers
    - Function pointers set at OS boot time

47

OS: WHEN PROCESS STARTS RUNNING

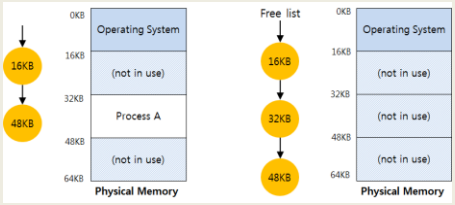
- OS searches for free space for new process
  - Free list: data structure that tracks available memory slots



48

OS: WHEN PROCESS IS TERMINATED

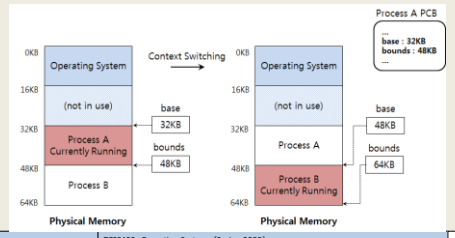
- OS places memory back on the free list



49

OS: WHEN CONTEXT SWITCH OCCURS

- OS must save base and bounds registers
  - Saved to the Process Control Block PCB (task_struct in Linux)



50

DYNAMIC RELOCATION

- OS can move process data when not running

1. OS un-schedules process from scheduler
2. OS copies address space from current to new location
3. OS updates PCB (base and bounds registers)
4. OS reschedules process

- When process runs new base register is restored to CPU
- **Process doesn't know it was even moved!**

51

Consider a 64KB computer the loads a program. The BASE register is set to 32768, and the BOUNDS register is set to 4096. What is the physical memory address translation for a virtual address of 6000 ?

34768

38768

32769

36864

Out of bounds

Start the presentation to see live content. For screen share software, share the entire screen. Get help at pollev.com/app

52

OBJECTIVES – 5/20

- Questions from 5/15
- Assignment 2 - June 5 AOE
- Quiz 3 – Activity-Synchronized Array - Today ~5pm
- Tutorial 2 – Pthread/locks/conditions tutorial-5/29 AOE
- Chapter 13: Address Spaces
- Chapter 14: The Memory API
- Chapter 15: Address Translation
- **Chapter 16: Segmentation**
- Chapter 17: Free Space Management
- Chapter 18: Introduction to Paging

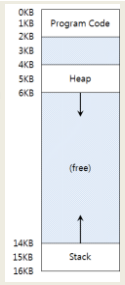
53

CHAPTER 16: SEGMENTATION

54

BASE AND BOUNDS INEFFICIENCIES

- Address space
  - Contains significant unused memory
  - Is relatively large
  - Preallocates space to handle stack/heap growth
- Large address spaces
  - Hard to fit in memory
- How can these issues be addressed?



55

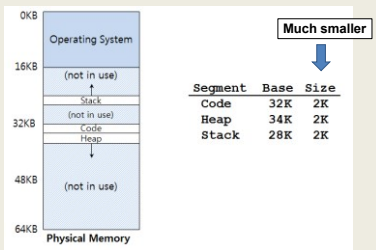
MULTIPLE SEGMENTS

- Memory segmentation
- Manage the address space as (3) separate segments
  - Each is a contiguous address space
  - Provides logically separate segments for: code, stack, heap
- Each segment can placed separately
- Track base and bounds for each segment (registers)

56

SEGMENTS IN MEMORY

- Consider 3 segments:

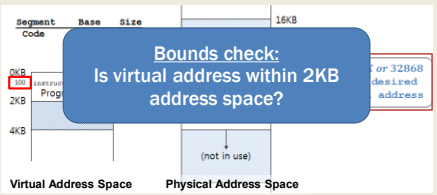


57

ADDRESS TRANSLATION: CODE SEGMENT

$$physical\ address = offset + base$$

- Code segment - physically starts at 32KB (base)
- Starts at "0" in virtual address space

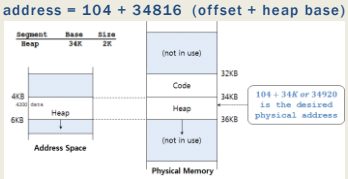


58

ADDRESS TRANSLATION: HEAP

Virtual address + base is not the correct physical address.

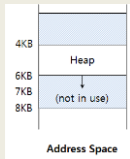
- Heap starts at virtual address 4096
- The data is at 4200
- Offset= 4200 – 4096 = 104 (virt addr – virt heap start)
- Physical address = 104 + 34816 (offset + heap base)



59

SEGMENTATION FAULT

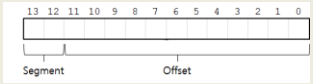
- Access beyond the address space
- Heap starts at virtual address: 4096
- Data pointer is to 7KB (7168)
- Is data pointer valid?
- Heap starts at 4096 + 2 KB seg size = 6144
- Offset= 7168 > 4096 + 2048 (6144)



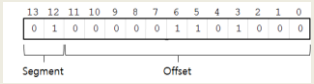
60

SEGMENT REGISTERS

- Used to dereference memory during translation



- First two bits identify segment type
- Remaining bits identify memory offset
- Example: virtual heap address 4200 (01000001101000)



Segment	bits
Code	00
Heap	01
Stack	10
-	11

61

SEGMENTATION DEREFERENCE

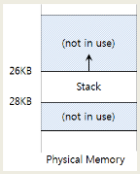
```
1  // get top 2 bits of 14-bit VA
2  Segment = (VirtualAddress & SEG_MASK) >> SEG_SHIFT
3  // now get offset
4  Offset = VirtualAddress & OFFSET_MASK
5  if (Offset >= Bounds[Segment])
6       RaiseException(PROTECTION_FAULT)
7  else
8       PhysAddr = Base[Segment] + Offset
9       Register = AccessMemory(PhysAddr)
```

- VIRTUAL ADDRESS = 01000001101000 (on heap)
- SEG_MASK = 0x3000 (11000000000000)
- SEG_SHIFT = 01 → ***heap*** (mask gives us segment code)
- OFFSET_MASK = 0xFFF (00111111111111)
- OFFSET = 000001101000 = 104 (isolates segment offset)
- OFFSET < BOUNDS : 104 < 2048

62

STACK SEGMENT

- Stack grows backwards (FILO)
- Requires hardware support:
- Direction bit: tracks direction segment grows



Segment Register(with Negative-Growth Support)

Segment	Base	Size	Grows	Positive?
Code	32K	2K	1	
Heap	34K	2K	1	
Stack	28K	2K	0	

63

SHARED CODE SEGMENTS

- Code sharing: enabled with HW support
- Supports storing shared libraries in memory only once
- DLL: dynamic linked library
- .so (linux): shared object in Linux (under /usr/lib)
- Many programs can access them
- Protection bits: track permissions to segment

Segment Register Values(with Protection)

Segment	Base	Size	Grows	Positive?	Protection
Code	32K	2K	1		Read-Execute
Heap	34K	2K	1		Read-Write
Stack	28K	2K	0		Read-Write

64

Consider a program with 2KB of code, a 1 KB stack, and a 2 KB heap. This program runs on a 64 KB computer that manages memory with 4 kb segments. If the computer is empty and segments were allocated as: code, stack, heap, how large can the heap grow to?

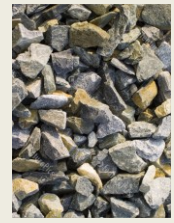
32 KB
56 KB
24 KB
4 KB
0 KB

Start the presentation to see live content. For screen share software, share the entire screen. Get help at pollev.com/app

65

SEGMENTATION GRANULARITY

- Coarse-grained
- Manage memory as large purpose based segments:
  - Code segment
  - Heap segment
  - Stack segment



66

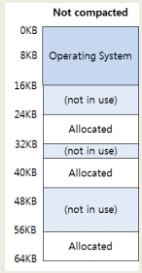
SEGMENTATION GRANULARITY - 2

- Fine-grained
- Manage memory as list of segments
- Code, heap, stack segments composed of multiple smaller segments
- Segment table
  - On early systems
  - Stored in memory
  - Tracked large number of segments

67

MEMORY FRAGMENTATION

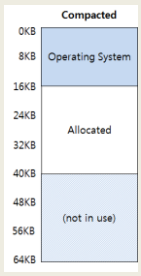
- Consider how much free space?
- We'll say about 24 KB
- Request arrives to allocate a 20 KB heap segment
- Can we fulfil the request for 20 KB of contiguous memory?



68

COMPACTION

- Supports rearranging memory
- Can we fulfil the request for 20 KB of contiguous memory?
- **Drawback:** Compaction is slow
  - Rearranging memory is time consuming
  - 64KB is fast
  - 4GB+ … slow
- Algorithms:
  - Best fit: keep list of free spaces, allocate the most snug segment for the request
  - Others: worst fit, first fit… (in future chapters)

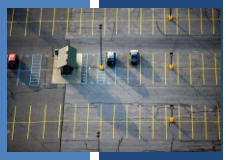


69

OBJECTIVES – 5/20

- Questions from 5/15
- Assignment 2 - June 5 AOE
- Quiz 3 – Activity-Synchronized Array - Today ~5pm
- Tutorial 2 – Pthread/locks/conditions tutorial-5/29 AOE
- Chapter 13: Address Spaces
- Chapter 14: The Memory API
- Chapter 15: Address Translation
- Chapter 16: Segmentation
- **Chapter 17: Free Space Management**
- Chapter 18: Introduction to Paging

70



CHAPTER 17: FREE SPACE MANAGEMENT

71

OBJECTIVES – 5/18

- **Chapter 17: Free Space Management**
  - Fragmentation, Splitting, coalescing
  - The Free List
  - Memory Allocation Strategies

72

FREE SPACE MANAGEMENT

- How should free space be managed, when satisfying variable-sized requests?
- What strategies can be used to minimize fragmentation?
- What are the time and space overheads of alternate approaches?

73

FREE SPACE MANAGEMENT

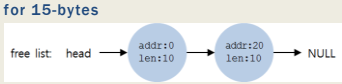
- Management of memory using
- Only fixed-sized units
  - Easy: keep a list
  - Memory request → return first free entry
    - Simple search
- With variable sized units
  - More challenging
  - Results from variable sized malloc requests
  - Leads to fragmentation

74

FRAGMENTATION

- Consider a 30-byte heap

- Request for 15-bytes



- Free space: 20 bytes
- No available contiguous chunk → return NULL

75

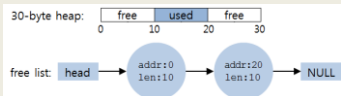
FRAGMENTATION - 2

- **External:** *OS can compact*
  - Example: Client asks for 100 bytes: malloc(100)
  - OS: No 100 byte contiguous chunk is available: returns NULL
  - Memory is externally fragmented - - Compaction can fix!
- **Internal:** *lost space – OS can't compact*
  - OS returns memory units that are too large
  - Example: Client asks for 100 bytes: malloc(100)
  - OS: Returns 125 byte chunk
  - Fragmentation is *in* the allocated chunk
  - Memory is lost, and unaccounted for – can't compact

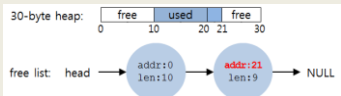
76

ALLOCATION STRATEGY: SPLITTING

- Request for 1 byte of memory: malloc(1)



- OS locates a free chunk to satisfy request
- Splits chunk into two, returns first chunk



77

ALLOCATION STRATEGY: COALESCING

- Consider 30-byte heap
- Free() frees all 10 bytes segments *(list of 3-free 10-byte chunks)*

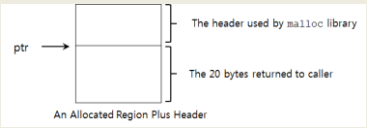
- Request arrives: malloc(30)
- ***SPLIT DOES NOT WORK*** - no contiguous 30-byte chunk exists!
- Coalescing regroups chunks into contiguous chunk

- Allocation can now proceed
- Coalescing is defragmentation of the free space list

78

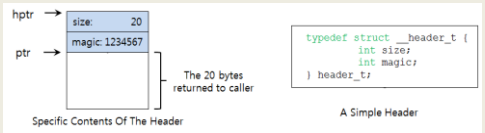
MEMORY HEADERS

- free(void *ptr): Does not require a size parameter
- *How does the OS know how much memory to free?*
- Header block
  - Small descriptive block of memory at start of chunk



79

MEMORY HEADERS - 2



- Contains size
- Pointers: for faster memory access
- Magic number: integrity checking

80

MEMORY HEADERS - 3

- Size of memory chunk is:
- Header size + user malloc size
- N bytes + sizeof(header)

- Easy to determine address of header

```
void free(void *ptr) {
        header_t *hptr = (void *)ptr - sizeof(header_t);
}
```


81

THE FREE LIST

- Simple free list struct

```
typedef struct __node_t {
        int size;
        struct __node_t *next;
} nodet_t;
```

- Use mmap to create free list
- 4kb heap, 4 byte header, one contiguous free chunk

```
// mmap() returns a pointer to a chunk of free space
node_t *head = mmap(NULL, 4096, PROT_READ|PROT_WRITE,
                    MAP_ANON|MAP_PRIVATE, -1, 0);
head->size = 4096 - sizeof(node_t);
head->next = NULL;
```

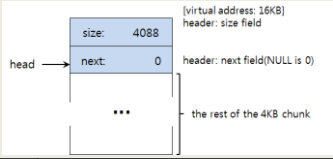

82

FREE LIST - 2

- Create and initialize free-list "heap"

```
// mmap() returns a pointer to a chunk of free space
node_t *head = mmap(NULL, 4096, PROT_READ|PROT_WRITE,
                    MAP_ANON|MAP_PRIVATE, -1, 0);
head->size = 4096 - sizeof(node_t);
head->next = NULL;
```

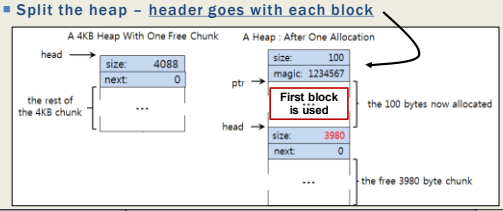
- Heap layout:



83

FREE LIST: MALLOC() CALL

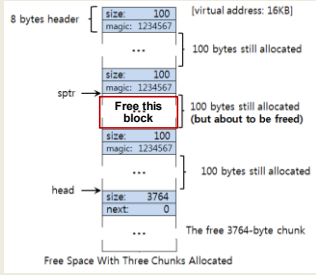
- Consider a request for a 100 bytes: malloc(100)
- Header block requires 8 bytes
  - 4 bytes for size, 4 bytes for magic number
- Split the heap – header goes with each block



84

FREE LIST: FREE() CALL

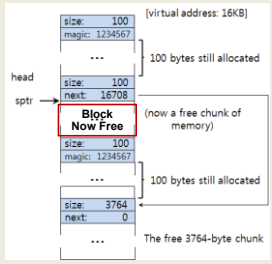
- Addresses of chunks
- Start=16384
  + 108 (end of 1st chunk)
  + 108 (end of 2nd chunk)
  + 108 (end of 3rd chunk)
  = 16708



85

FREE LIST: FREE() CHUNK #2

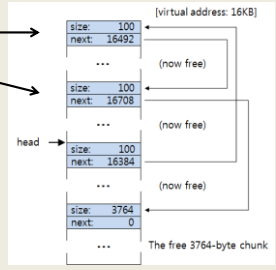
- Free(sptr)
- Our 3 chunks start at 16 KB (@ 16,384 bytes)
- Free chunk #2 - sptr
- Sptr = 16500
  - addr – sizeof(node_t)
- Actual start of chunk #2
  - 16492



86

FREE LIST- FREE ALL CHUNKS

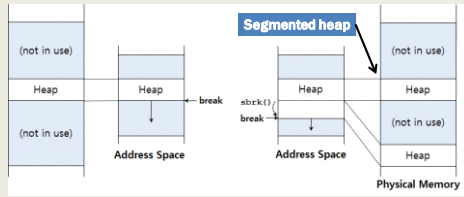
- Now free remaining chunks:
- Free(16392)
- Free(16608)
- Walk back 8 bytes for actual start of chunk
- External fragmentation
- Free chunk pointers out of order
- Coalescing of next pointers is needed



87

GROWING THE HEAP

- Start with small sized heap
- Request more memory when full
- sbrk(), brk()



88

MEMORY ALLOCATION STRATEGIES

- **Best fit**
  - Traverse free list
  - Identify all candidate free chunks
  - Note which is smallest (has best fit)
  - When splitting, "leftover" pieces are small (and potentially less useful – fragmented)
- **Worst fit**
  - Traverse free list
  - Identify largest free chunk
  - Split largest free chunk, leaving a still large free chunk

89

EXAMPLES

- Allocation request for 15 bytes

- Result of Best Fit

- Result of Worst Fit

90

MEMORY ALLOCATION STRATEGIES - 2

- **First fit**
  - Start search at beginning of free list
  - Find first chunk large enough for request
  - Split chunk, returning a "fit" chunk, saving the remainder
  - Avoids full free list traversal of best and worst fit
- **Next fit**
  - Similar to first fit, but start search at last search location
  - Maintain a pointer that "cycles" through the list
  - Helps balance chunk distribution vs. first fit
  - Find first chunk, that is large enough for the request, and split
  - Avoids full free list traversal

91

Which memory allocation strategy is more likely to distribute free chunks closer together which could help when coalescing the free space list?

- Best Fit
- Worst Fit
- First Fit
- None of the above
- All of the above

Start the presentation to see live content. For screen share software, share the entire screen. Get help at pollev.com/app

92

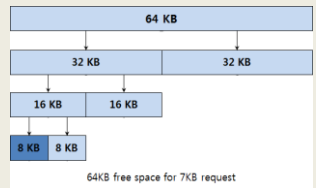
SEGREGATED LISTS

- For popular sized requests
  e.g. for kernel objects such as locks, inodes, etc.
- Manage as segregated free lists
- Provide object caches: stores pre-initialized objects
- How much memory should be dedicated for specialized requests (object caches)?
- If a given cache is low in memory, can request "*slabs*" of memory from the general allocator for caches.
- General allocator will reclaim slabs when not used

93

BUDDY ALLOCATION

- Binary buddy allocation
  - Divides free space by two to find a block that is big enough to accommodate the request; the next split is too small…
- Consider a 7KB request



94

BUDDY ALLOCATION - 2

- Buddy allocation: suffers from internal fragmentation
- Allocated fragments, typically too large
- Coalescing is simple
  - Two adjacent blocks are promoted up

95

A computer system manages program memory using three separate segments for code, stack, and the heap. The codesize of a program is 1KB but the minimal segment available is 16KB. This is an example of:

- External fragmentation
- Binary buddy allocation
- Internal fragmentation
- Coalescing
- Splitting

Start the presentation to see live content. For screen share software, share the entire screen. Get help at pollev.com/app

96

A request is made to store 1 byte. For this scenario, which memory allocation strategy will always locate memory the fastest?

- Best fit
- Worst fit
- Next fit
- None of the above
- All of the above

Start the presentation to see live content. For screen share software, share the entire screen. Get help at pollev.com/app

97

OBJECTIVES – 5/20

- Questions from 5/15
- Assignment 2 - June 5 AOE
- Quiz 3 – Activity-Synchronized Array - Today ~5pm
- Tutorial 2 – Pthread/locks/conditions tutorial-5/29 AOE
- Chapter 13: Address Spaces
- Chapter 14: The Memory API
- Chapter 15: Address Translation
- Chapter 16: Segmentation
- Chapter 17: Free Space Management
- **Chapter 18: Introduction to Paging**

98

CHAPTER 18: INTRODUCTION TO PAGING

99

PAGING

- Split up address space of process into *fixed sized pieces* called **pages**
- Alternative to *variable sized pieces* (Segmentation) which suffers from significant fragmentation
- Physical memory is split up into an array of fixed-size slots called **page frames**.
- Each process has a **page table** which translates virtual addresses to physical addresses

100

ADVANTAGES OF PAGING

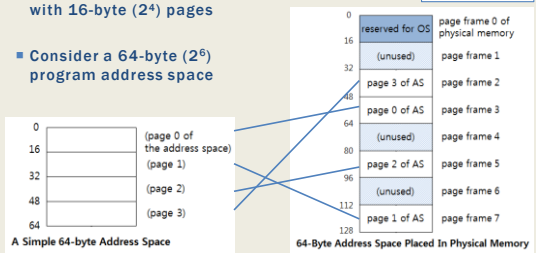
- Flexibility
  - Abstracts the process address space into pages
  - No need to track direction of HEAP / STACK growth
    - *Just add more pages…*
  - No need to store unused space
    - *As with segments…*
- Simplicity
  - Pages and page frames are the same size
  - Easy to allocate and keep a free list of pages

101

PAGING: EXAMPLE

Page Table:
VP0 → PF3
VP1 → PF7
VP2 → PF5
VP3 → PF2

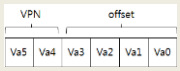
- Consider a 128 byte (2^7) address space with 16-byte (2^4) pages
- Consider a 64-byte (2^6) program address space



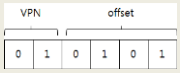
102

PAGING: ADDRESS TRANSLATION

- PAGE: Has two address components
  - VPN: Virtual Page Number (*serves as the page ID*)
  - Offset: Offset within a Page (*indexes any byte in the page*)



- Example:
  Page Size: 16-bytes (2^4),
  Program Address Space: 64-bytes (2^6)



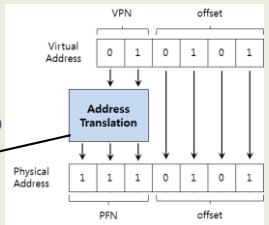
Here program can have just four pages…

103

EXAMPLE: PAGING ADDRESS TRANSLATION

- Consider a 64-byte (2^6) program address space (4 pages→2^2)
- Stored in 128-byte (2^7) physical memory (8 frames→2^3)
- Offset is preserved
  - 4 bits indexes any byte
  - Page size is 16 bytes (2^4)
- **Page table** translates a Virtual Page Number (VPN) to a Physical Frame Number (PFN)

Page Table:
VP0 → PF3
VP1 → PF7
VP2 → PF5
VP3 → PF2



104

PAGING DESIGN QUESTIONS

- (1) Where are page tables stored?
- (2) What are the typical contents of the page table?
- (3) How big are page tables?
- (4) Does paging make the system too slow?

105

(1) WHERE ARE PAGE TABLES STORED?

- Example:
  - Consider a 32-bit process address space (4GB=2^{32} bytes)
  - With 4 KB pages (4KB=2^{12} bytes)
  - 20 bits for VPN (2^{20} pages)
  - 12 bits for the page offset (2^{12} unique bytes in a page)
- Page tables for each process are stored in RAM
  - Support potential storage of 2^{20} translations = 1,048,576 pages per process
  - Each page has a page table entry size of 4 bytes

106

PAGE TABLE EXAMPLE

- With 2^{20} slots in our page table for a single process
- Each slot (i.e. entry) dereferences a VPN
- Each entry provides a physical frame number
- Each entry requires 4 bytes (32 bits)
  - 20 for the PFN on a 4GB system with 4KB pages
  - 12 for the offset which is preserved
  - (note we have no status bits, so this is unrealistically small)

VPN_0
VPN_1
VPN_2
…
…
$VPN_{1048576}$

- How much memory is required to store the page table for 1 process?
  - Hint: # of entries x space per entry
  - 4,194,304 bytes (or 4MB) to index one process

107

NOW FOR AN ENTIRE OS

- If 4 MB is required to store one process
- Consider how much memory is required for an entire OS?
  - With for example 100 processes…
- Page table memory requirement is now 4MB x 100 = 400MB
- If computer has 4GB memory (maximum for 32-bits), the page table consumes 10% of memory

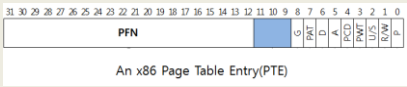
400 MB / 4000 GB

- **Is this efficient?**

108

(2) WHAT'S ACTUALLY IN THE PAGE TABLE

- Page table is data structure used to map virtual page numbers (VPN) to the physical address (Physical Frame Number PFN)
  - Linear page table → simple array
- Page-table entry
  - 32 bits for capturing state

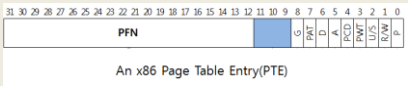


An x86 Page Table Entry(PTE)

109

PAGE TABLE ENTRY

- P: present
- R/W: read/write bit
- U/S: supervisor
- A: accessed bit
- D: dirty bit
- PFN: the page frame number



An x86 Page Table Entry(PTE)

110

PAGE TABLE ENTRY - 2

- Common flags:
- **Valid Bit:** Indicating whether the particular translation is valid.
- **Protection Bit:** Indicating whether the page could be read from, written to, or executed from
- **Present Bit:** Indicating whether this page is in physical memory or on disk(swapped out)
- **Dirty Bit:** Indicating whether the page has been modified since it was brought into memory
- **Reference Bit(Accessed Bit):** Indicating that a page has been accessed

111

(3) HOW BIG ARE PAGE TABLES?

- Page tables are too big to store on the CPU
- Page tables are stored using physical memory
- Paging supports efficiently storing a sparsely populated address space
  - Reduced memory requirement
    Compared to base and bounds, and segments

112

(4) DOES PAGING MAKE THE SYSTEM TOO SLOW?

- Translation
- **Issue #1:** Starting location of the page table is needed
  - HW Support: Page-table base register
    - stores active process
    - Facilitates translation

Stored in RAM →

Page Table:
VP0 → PF3
VP1 → PF7
VP2 → PF5
VP3 → PF2

- **Issue #2:** Each memory address translation for paging requires an extra memory reference
  - HW Support: TLBs (Chapter 19)

113

PAGING MEMORY ACCESS

```
1.    // Extract the VPN from the virtual address
2.    VPN = (VirtualAddress & VPN_MASK) >> SHIFT
3.
4.    // Form the address of the page-table entry (PTE)
5.    PTEAddr = PTBR + (VPN * sizeof(PTE))
6.
7.    // Fetch the PTE
8.    PTE = AccessMemory(PTEAddr)
9.
10.   // Check if process can access the page
11.   if (PTE.Valid == False)
12.           RaiseException(SEGMENTATION_FAULT)
13.   else if (CanAccess(PTE.ProtectBits) == False)
14.           RaiseException(PROTECTION_FAULT)
15.   else
16.           // Access is OK: form physical address and fetch it
17.           offset = VirtualAddress & OFFSET_MASK
18.           PhysAddr = (PTE.PFN << PFN_SHIFT) | offset
19.           Register = AccessMemory(PhysAddr)
```


114

COUNTING MEMORY ACCESSES

- Example: Use this Array initialization Code

```
int array[1000];
...
for (i = 0; i < 1000; i++)
        array[i] = 0;
```

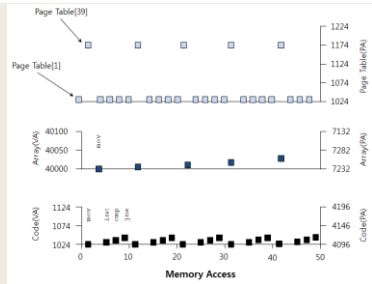
- Assembly equivalent:

```
0x1024 movl $0x0,(%edi,%eax,4)
0x1028 incl %eax
0x102c cmpl $0x03e8,%eax
0x1030 jne 0x1024
```


115

VISUALIZING MEMORY ACCESSES: FOR THE FIRST 5 LOOP ITERATIONS

- Locations:
  - Page table
  - Array
  - Code
- 50 accesses for 5 loop iterations



116

Consider a 4GB Computer with 4KB (4096 byte) pages. How many pages would fit into physical memory?

- 2^32 / 2^20 = 2^12 pages
- 2^32 / 2^12 = 2^20 pages
- 2^32 / 2^16 = 2^16 pages
- 2^32 / 2^8 = 2^24 pages
- None of the above

Start the presentation to see live content. For screen share software, share the entire screen. Get help at pollev.com/app

117

For the 4GB computer example, how many bits are required for the VPN?

- 24 VPN bits (indexes 2^24 locations)
- 16 VPN bits (indexes 2^16 locations)
- 20 VPN bits (indexes 2^20 locations)
- 12 VPN bits (indexes 2^12 locations)
- None of the above

118

For the 4GB computer example, how many bits are available for page status bits?

- 32 - 12 VPN bits = 20 status bits
- 32 - 24 VPN bits = 8 status bits
- 32 - 16 VPN bits = 16 status bits
- 32 - 20 VPN bits = 12 status bits
- None of the above

Start the presentation to see live content. For screen share software, share the entire screen. Get help at pollev.com/app

119

For the 4GB computer, how much space does this page table require? (number of page table entries x size of page table entry)

- 2^20 entries x 4b = 4 MB
- 2^12 entries x 4b = 16 KB
- 2^16 entries x 4b = 256 KB
- 2^24 entries x 4b = 64 MB
- None of the above

120

For the 4GB computer, how many page tables (for user processes) would fill the entire 4GB of memory?

- 4 GB / 16 KB = 65,536
- 4 GB / 64 MB = 256
- 4GB / 256 KB = 16,384
- 4GB / 4MB = 1,024
- None of the above

Start the presentation to see live content. For screen share software, share the entire screen. Get help at pollev.com/app

121

PAGING SYSTEM EXAMPLE

- Consider a 4GB Computer:
- With a 4096-byte page size (4KB)
- How many pages would fit in physical memory?

- Now consider a page table:
- For the page table entry, how many bits are required for the VPN?
- If we assume the use of 4-byte (32 bit) page table entries, how many bits are available for status bits?
- How much space does this page table require?
  # of page table entries x size of page table entry
- How many page tables (for user processes) would fill the entire 4GB of memory?

122

QUESTIONS

123