


**TCCS 422: OPERATING SYSTEMS**

**Memory Virtualization,  
Segmentation,  
Memory Paging**



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**FEEDBACK FROM 11/14**

- How to kill all child threads with a `pthread_cond_broadcast()` ?
- At end of the program, some threads (producers or consumers) may be asleep waiting on a signal.
- For consumers, there are no more matrices being produced, so there is no signal for "consumption"
- Need some way to shutdown/end the program
- Can leverage when producer threads finish their work
- Producers last "signal" can be a "broadcast" to awaken all consumers to evaluate special "end of program" state variable.

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**OBJECTIVES**

- Program 2
- Program 3
- **Memory Virtualization**
- Chapter 14 – The Memory API
- Chapter 15 – Address Translation
- **Segments**
- Chapter 16 – Segmentation
- Chapter 17 – Free Space Management
- **Paging**
- Chapter 18 – Introduction to Paging
- Chapter 19 – Translation Lookaside Buffer

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## CHAPTER 14: THE MEMORY API

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## MALLOC

```
#include <stdlib.h>
void* malloc(size_t size)
```

- Allocates memory on the heap
- size\_t unsigned integer (must be +)
- size size of memory allocation in bytes
- Returns
  - SUCCESS: A void \* to a memory address
  - FAIL: NULL
- sizeof() often used to ask the system how large a given datatype or struct is

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## sizeof()

- Not safe to assume data type sizes using different compilers, systems
- Dynamic array of 10 ints
- Static array of 10 ints

```
int *x = malloc(10 * sizeof(int));
printf("%d\n", sizeof(x));
```

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```
int x[10];
printf("%d\n", sizeof(x));
```

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## FREE()

```
#include <stdlib.h>
void free(void* ptr)
```

- Free memory allocated with malloc()
- Provide: (void \*) ptr to malloc'd memory
  
- Returns: nothing

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```
#include<stdio.h>

int * set_magic_number_a()
{
  int a = 53247;
  return &a;
}

void set_magic_number_b()
{
  int b = 11111;
}

int main()
{
  int * x = NULL;
  x = set_magic_number_a();
  printf("The magic number is=%d\n",*x);
  set_magic_number_b();
  printf("The magic number is=%d\n",*x);
  return 0;
}
```

What will this code do?

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```
#include<stdio.h>

int * set_magic_number_a()
{
  int a = 53247;
  return &a;
}

void set_magic_number_b()
{
  int b = 11111;
}

int main()
{
  int * x = NULL;
  x = set_magic_number_a();
  printf("The magic number is=%d\n",*x);
  set_magic_number_b();
  printf("The magic number is=%d\n",*x);
  return 0;
}
```

What will this code do?

**Output:**  
\$ ./pointer\_error  
The magic number is=53247  
The magic number is=11111

**We have not changed \*x but  
the value has changed!!  
Why?**

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### DANGLING POINTER (1/2)

- Dangling pointers arise when a variable referred (a) goes “out of scope”, and it’s memory is destroyed/overwritten (by b) without modifying the value of the pointer (\*x).
  
- The pointer still points to the original memory location of the deallocated memory (a), which has now been reclaimed for (b).

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### DANGLING POINTER (2/2)

- Fortunately in the case, a compiler warning is generated:

```
$ g++ -o pointer_error -std=c++0x pointer_error.cpp  
  
pointer_error.cpp: In function 'int*  
set_magic_number_a()':  
pointer_error.cpp:6:7: warning: address of local  
variable 'a' returned [enabled by default]
```

- This is a common mistake - - - accidentally referring to addresses that have gone “out of scope”

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### CALLOC()

```
#include <stdlib.h>  
  
void *calloc(size_t num, size_t size)
```

- Allocate “C”lear memory on the heap
- Calloc wipes memory in advance of use...
- `size_t num` : number of blocks to allocate
- `size_t size` : size of each block(in bytes)
  
- Calloc() prevents...

```
char *dest = malloc(20);  
printf("dest string=%s\n", dest);  
  
dest string=◆◆◆F
```

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## REALLOC()

```
#include <stdlib.h>
void *realloc(void *ptr, size_t size)
```

- Resize an existing memory allocation
- Returned pointer may be same address, or a new address
  - New if memory allocation must move
- `void *ptr`: Pointer to memory block allocated with `malloc`, `calloc`, or `realloc`
- `size_t size`: New size for the memory block(in bytes)

■ EXAMPLE: `realloc.c`  
 ■ EXAMPLE: `nom.c`

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## DOUBLE FREE

```
int *x = (int *)malloc(sizeof(int)); // allocated
free(x); // free memory
free(x); // free repeatedly
```

- Can't deallocate twice
- Second call core dumps

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## SYSTEM CALLS

- `brk()`, `sbrk()`
  - Used to change data segment size (the end of the heap)
  - Don't use these
- `Mmap()`, `munmap()`
  - Can be used to create an extra independent "heap" of memory for a user program
- See man page

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# CHAPTER 15: ADDRESS TRANSLATION



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## OBJECTIVES

- Address translation
- Base and bounds
- HW and OS Support
- Memory segments
- Memory fragmentation

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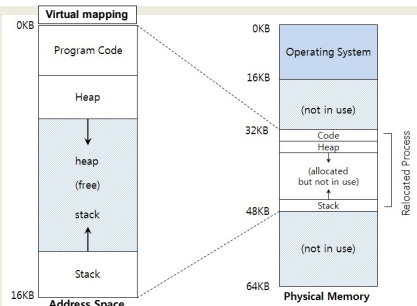
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## ADDRESS TRANSLATION

- 64KB Address space example
- Translation: mapping virtual to physical



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## BASE AND BOUNDS

- Dynamic relocation
- Two registers base & bounds: **on the CPU**
- OS places program in memory
- Sets base register

$physical\ address = virtual\ address + base$

- Bounds register
  - Stores size of program address space (16KB)
- OS verifies that every address:

$0 \leq virtual\ address < bounds$

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## INSTRUCTION EXAMPLE

**128 : movl 0x0(%ebx), %eax**

- Base = 32768
- Bounds = 16384
- Fetch instruction at 128 (virt addr) ↑
  - Phy addr = virt addr + base reg
  - 32896 = 128 + 32768 (base)
- Execute instruction
  - Load from address (var x is @ 15kb=15360)
  - 48128 = 15360 + 32768 (base) -- found x...
- Bounds register: terminate process if
  - **ACCESS VIOLATION:** Virtual address > bounds reg

$physical\ address = virtual\ address + base$

0KB  
1KB  
2KB  
3KB  
4KB  
  
  
  
  
14KB  
15KB  
16KB

128  
132  
136  
140  
144  
148  
152  
156  
160  
164  
168  
172  
176  
180  
184  
188  
192  
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944  
948  
952  
956  
960  
964  
968  
972  
976  
980  
984  
988  
992  
996  
1000
 

Program Code  
Heap  
↓  
heap  
(free)  
↑  
stack  
Int x Stack  
3000

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## MEMORY MANAGEMENT UNIT

- MMU
  - Portion of the CPU dedicated to address translation
  - Contains base & bounds registers
- Base & Bounds Example:
  - Consider address translation
  - 4 KB (4096 bytes) address space, loaded at 16 KB physical location

Virtual Address	Physical Address
0	16384
1024	17408
3000	19384
<b>FAULT</b> 4400	<b>20784 (out of bounds)</b>

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## DYNAMIC RELOCATION OF PROGRAMS

- Hardware requirements:

Requirements	HW support
Privileged mode	CPU modes: kernel, user
Base / bounds registers	Registers to support address translation
Translate virtual addr; check if in bounds	Translation circuitry, check limits
Privileged instruction(s) to update base / bounds regs	Instructions for modifying base/bound registers
Privileged instruction(s) to register exception handlers	Set code pointers to OS code to handle faults
Ability to raise exceptions	For out-of-bounds memory access, or attempts to access privileged instr.

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## OS SUPPORT FOR MEMORY VIRTUALIZATION

- For base and bounds OS support required
  - When process starts running
    - Allocate address space in physical memory
  - When a process is terminated
    - Reclaiming memory for use
  - When context switch occurs
    - Saving and storing the base-bounds pair
  - Exception handlers
    - Function pointers set at OS boot time

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## OS: WHEN PROCESS STARTS RUNNING

- OS searches for free space for new process
  - Free list: data structure that tracks available memory slots

The OS lookup the free list

The diagram illustrates the OS's search for free space. On the left, a 'Free list' contains two yellow circles representing available memory slots of 16KB and 48KB. On the right, a vertical bar represents 'Physical Memory' from 0KB to 64KB. The memory is divided into several regions: 'Operating System' (0-16KB), '(not in use)' (16-32KB), 'Code' (32-36KB), 'Heap' (36-40KB), '(allocated but not in use)' (40-44KB), 'Stack' (44-48KB), and '(not in use)' (48-64KB). Arrows indicate the OS looking up the free list to find available space.

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# CHAPTER 16: SEGMENTATION



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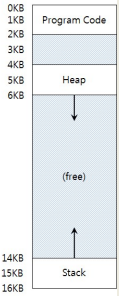
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## BASE AND BOUNDS INEFFICIENCIES

- Address space
  - Contains significant unused memory
  - Is relatively large
  - Preallocates space to handle stack/heap growth
- Large address spaces
  - Hard to fit in memory
- How can these issues be addressed?



0KB  
1KB  
2KB  
3KB  
4KB  
5KB  
6KB  
14KB  
15KB  
16KB

Program Code  
Heap  
(free)  
Stack

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## MULTIPLE SEGMENTS

- Memory segmentation
- Address space has (3) segments
  - Contiguous portions of address space
  - Logically separate segments for: code, stack, heap
- Each segment can be placed separately
- Track base and bounds for each segment (registers)

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## SEGMENTATION FAULT

- Access beyond the address space
- Heap starts at virtual address: 4096
- Data pointer is to 7KB (7168)
- Is data pointer valid?
  
- Heap starts at  $4096 + 2 \text{ KB seg size} = 6144$
- Offset =  $7168 > 4096 + 2048 (6144)$

Address Space

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## SEGMENT REGISTERS

- Used to dereference memory during translation

- First two bits identify segment type
- Remaining bits identify memory offset
- Example: virtual heap address 4200 (01000001101000)

Segment	bits
code	00
Heap	01
Stack	10
-	11

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## SEGMENTATION DEREFERENCE

```

1 // get top 2 bits of 14-bit VA
2 Segment = (VirtualAddress & SEG_MASK) >> SEG_SHIFT
3 // now get offset
4 Offset = VirtualAddress & OFFSET_MASK
5 if (Offset >= Bounds[Segment])
6   RaiseException(PROTECTION_FAULT)
7 else
8   PhysAddr = Base[Segment] + Offset
9   Register = AccessMemory(PhysAddr)
    
```

- VIRTUAL ADDRESS = 01000001101000 (on heap)
- SEG\_MASK = 0x3000 (11000000000000)
- SEG\_SHIFT = 01 → **heap** (mask gives us segment code)
- OFFSET\_MASK = 0xFFF (00111111111111)
- OFFSET = 000001101000 = 104 (isolates segment offset)
- OFFSET < BOUNDS : 104 < 2048

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## SEGMENTATION GRANULARITY - 2

- Fine-grained
- Manage memory as list of segments
- Code, heap, stack segments composed of multiple smaller segments
- Segment table
  - On early systems
  - Stored in memory
  - Tracked large number of segments



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## MEMORY FRAGMENTATION

- Consider how much free space?
- We'll say about 24 KB
- Request arrives to allocate a 20 KB heap segment
- Can we fulfil the request for 20 KB of contiguous memory?

Not compacted	
0KB	
8KB	Operating System
16KB	(not in use)
24KB	Allocated
32KB	(not in use)
40KB	Allocated
48KB	(not in use)
56KB	Allocated
64KB	

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## COMPACTION

- Supports rearranging memory
- Can we fulfil the request for 20 KB of contiguous memory?
- **Drawback:** Compaction is slow
  - Rearranging memory is time consuming
  - 64KB is fast
  - 4GB+ ... slow
- Algorithms:
  - Best fit: keep list of free spaces, allocate the most snug segment for the request
  - Others: worst fit, first fit... (in future chapters)

Compacted	
0KB	
8KB	Operating System
16KB	
24KB	Allocated
32KB	
40KB	
48KB	(not in use)
56KB	
64KB	

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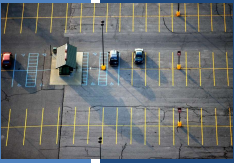
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## CHAPTER 17: FREE SPACE MANAGEMENT

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## FREE SPACE MANAGEMENT

- Management of memory using
  - Only fixed-sized units
    - Easy: keep a list
    - Memory request → return first free entry
      - Simple search
  - With variable sized units
    - More challenging
    - Results from variable sized malloc requests
    - Leads to fragmentation

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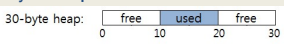

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## FRAGMENTATION

- Consider a 30-byte heap  

- Request for 15-bytes  

- Free space: 20 bytes
- No available contiguous chunk → return NULL

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## FRAGMENTATION - 2

- **External:** OS can compact
  - Example: Client asks for 100 bytes: malloc(100)
  - OS: No 100 byte contiguous chunk is available: returns NULL
  - Memory is externally fragmented -- Compaction can fix!
- **Internal:** lost space – OS can't compact
  - OS returns memory units that are too large
  - Example: Client asks for 100 bytes: malloc(100)
  - OS: Returns 125 byte chunk
  - Fragmentation is \*in\* the allocated chunk
  - Memory is lost, and unaccounted for – can't compact

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## ALLOCATION STRATEGY: SPLITTING

- Request for 1 byte of memory: malloc(1)

30-byte heap: 

free	used	free	
0	10	20	30

free list: head → addr:0  
len:10 → addr:20  
len:10 → NULL

- OS locates a free chunk to satisfy request
- Splits chunk into two, returns first chunk

30-byte heap: 

free	used	free	
0	10	21	30

free list: head → addr:0  
len:10 → addr:21  
len:9 → NULL

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## ALLOCATION STRATEGY: COALESCING

- Consider 30-byte heap
- Free() frees all 10 bytes segments (list of 3-free 10-byte chunks)

head → addr:10  
len:10 → addr:0  
len:10 → addr:20  
len:10 → NULL

- Request arrives: malloc(30)
- **SPLIT DOES NOT WORK** - no contiguous 30-byte chunk exists!
- Coalescing regroups chunks into contiguous chunk

head → addr:0  
len:30 → NULL

- Allocation can now proceed
- Coalescing is defragmentation of the free space list

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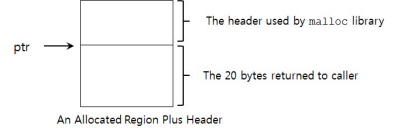
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### MEMORY HEADERS

- `free(void *ptr)`: Does not require a size parameter
- *How does the OS know how much memory to free?*
- **Header block**
  - Small descriptive block of memory at start of chunk



An Allocated Region Plus Header

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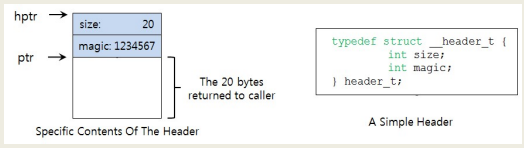
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### MEMORY HEADERS - 2



```
typedef struct __header_t {
    int size;
    int magic;
} header_t;
```

- Contains size
- Pointers: for faster memory access
- Magic number: integrity checking

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### MEMORY HEADERS - 3

- Size of memory chunk is:
  - Header size + user malloc size
  - N bytes + sizeof(header)
- Easy to determine address of header

```
void free(void *ptr) {
    header_t *hptr = (void *)ptr - sizeof(header_t);
}
```

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## THE FREE LIST

- Simple free list struct

```

typedef struct _node_t {
    int size;
    struct _node_t *next;
} node_t;
```

- Use mmap to create free list
- 4kb heap, 4 byte header, one contiguous free chunk

```

// mmap() returns a pointer to a chunk of free space
node_t *head = mmap(NULL, 4096, PROT_READ|PROT_WRITE,
    MAP_ANON|MAP_PRIVATE, -1, 0);
head->size = 4096 - sizeof(node_t);
head->next = NULL;
```

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## FREE LIST - 2

- Create and initialize free-list "heap"

```

// mmap() returns a pointer to a chunk of free space
node_t *head = mmap(NULL, 4096, PROT_READ|PROT_WRITE,
    MAP_ANON|MAP_PRIVATE, -1, 0);
head->size = 4096 - sizeof(node_t);
head->next = NULL;
```

- Heap layout:

head →

size:	4088
next:	0

(virtual address: 16KB)  
header: size field

header: next field(NULL is 0)

... the rest of the 4KB chunk

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## FREE LIST: MALLOC() CALL

- Consider a request for a 100 bytes: malloc(100)
- Header block requires 8 bytes
  - 4 bytes for size, 4 bytes for magic number
- Split the heap – **header goes with each block**

A 4KB Heap With One Free Chunk

size:	4088
next:	0

the rest of the 4KB chunk

A Heap : After One Allocation

size:	100
magic:	1234567
First block is used	
size:	3980
next:	0

ptr → the 100 bytes now allocated

head → the free 3980 byte chunk

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### GROWING THE HEAP

- Start with small sized heap
- Request more memory when full
- sbrk(), brk()

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### MEMORY ALLOCATION STRATEGIES

- **Best fit**
  - Traverse free list
  - Identify all candidate free chunks
  - Note which is smallest (has best fit)
  - When splitting, “leftover” pieces are small (and potentially less useful – fragmented)
- **Worst fit**
  - Traverse free list
  - Identify largest free chunk
  - Split largest free chunk, leaving a still large free chunk

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### EXAMPLES

- Allocation request for 15 bytes

```

    head → 10 → 30 → 20 → NULL
    
```

- Result of Best Fit

```

    head → 10 → 30 → 5 → NULL
    
```

- Result of Worst Fit

```

    head → 10 → 15 → 20 → NULL
    
```

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## MEMORY ALLOCATION STRATEGIES - 2

- **First fit**
  - Start search at beginning of free list
  - Find first chunk large enough for request
  - Split chunk, returning a "fit" chunk, saving the remainder
  - Avoids full free list traversal of best and worst fit
- **Next fit**
  - Similar to first fit, but start search at last search location
  - Maintain a pointer that "cycles" through the list
  - Helps balance chunk distribution vs. first fit
  - Find first chunk, that is large enough for the request, and split
  - Avoids full free list traversal

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## SEGREGATED LISTS

- For popular sized requests  
e.g. for kernel objects such as locks, inodes, etc.
- Manage as segregated free lists
- Provide object caches: stores pre-initialized objects
- How much memory should be dedicated for specialized requests (object caches)?
- If a given cache is low in memory, can request "slabs" of memory from the general allocator for caches.
- General allocator will reclaim slabs when not used

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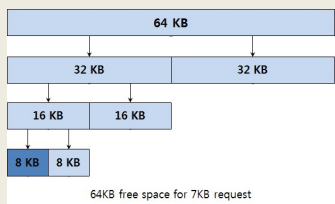
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## BUDDY ALLOCATION

- Binary buddy allocation
  - Divides free space by two to find a block that is big enough to accommodate the request; the next split is too small...
- Consider a 7KB request



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**BUDDY ALLOCATION - 2**

- Buddy allocation: suffers from internal fragmentation
- Allocated fragments, typically too large
- Coalescing is simple
  - Two adjacent blocks are promoted up

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**CHAPTER 18:  
INTRODUCTION TO  
PAGING**



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**PAGING**

- Split up address space of process into *fixed sized pieces* called **pages**
- Alternative to *variable sized pieces* (Segmentation) which suffers from significant fragmentation
- Physical memory is split up into an array of fixed-size slots called **page frames**.
- Each process has a **page table** which translates virtual addresses to physical addresses

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## ADVANTAGES OF PAGING

- Flexibility
  - Abstracts the process address space into pages
  - No need to track direction of HEAP / STACK growth
    - Just add more pages...
  - No need to store unused space
    - As with segments...
  
- Simplicity
  - Pages and page frames are the same size
  - Easy to allocate and keep a free list of pages

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## PAGING: EXAMPLE

- Consider a 128 byte address space with 16-byte pages
- Consider a 64-byte program address space

**Page Table:**  
 VP0 → PF3  
 VP1 → PF7  
 VP2 → PF5  
 VP3 → PF2

A Simple 64-byte Address Space

0		(page 0 of the address space)
16		(page 1)
32		(page 2)
48		(page 3)
64		

64-Byte Address Space Placed In Physical Memory

0	reserved for OS	page frame 0 of physical memory
16	(unused)	page frame 1
32	page 3 of AS	page frame 2
48	page 0 of AS	page frame 3
64	(unused)	page frame 4
80	page 2 of AS	page frame 5
96	(unused)	page frame 6
112	page 1 of AS	page frame 7
128		

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## PAGING: ADDRESS TRANSLATION

- PAGE: Has two address components
  - VPN: Virtual Page Number
  - Offset: Offset within a Page

VPN			offset		
Va5	Va4	Va3	Va2	Va1	Va0

- Example:  
 Page Size: 16-bytes, Address Space: 64-bytes

VPN			offset		
0	1	0	1	0	1

Here there are just four pages...

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### EXAMPLE: PAGING ADDRESS TRANSLATION

- Consider a 64-byte program address space (4 pages)
- Stored in 128-byte physical memory (8 frames)
- Offset is preserved
- VPN is looked up

**Page Table:**  
 VP0 → PF3  
 VP1 → PF7  
 VP2 → PF5  
 VP3 → PF2

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### PAGING DESIGN QUESTIONS

- (1) Where are page tables stored?
- (2) What are the typical contents of the page table?
- (3) How big are page tables?
- (4) Does paging make the system too slow?

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### (1) WHERE ARE PAGE TABLES STORED?

- Example:
  - Consider a 32-bit process address space (up to 4GB)
  - With 4 KB pages
  - 20 bits for VPN ( $2^{20}$  pages)
  - 12 bits for the page offset ( $2^{12}$  unique bytes in a page)
- Page tables for each process are stored in RAM
  - Support potential storage of  $2^{20}$  translations = 1,048,576 pages per process
  - Each page has a page table entry size of 4 bytes

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### PAGE TABLE EXAMPLE

- With  $2^{20}$  slots in our page table for a single process
- Each slot dereferences a VPN
- Provides physical frame number
- Each slot requires 4 bytes (32 bits)
  - 20 for the PFN on a 4GB system with 4KB pages
  - 12 for the offset which is preserved
  - (note we have no status bits, so this is unrealistically small)
- How much memory to store page table for 1 process?
  - 4,194,304 bytes (or 4MB) to index one process

VPN <sub>0</sub>
VPN <sub>1</sub>
VPN <sub>2</sub>
...
...
VPN <sub>1048576</sub>

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### NOW FOR AN ENTIRE OS

- If 4 MB is required to store one process
- Consider how much memory is required for an entire OS?
  - With for example 100 processes...
- Page table memory requirement is now  $4\text{MB} \times 100 = 400\text{MB}$
- If computer has 4GB memory (maximum for 32-bits), the page table consumes 10% of memory
 

$400\text{ MB} / 4000\text{ GB}$
- Is this efficient?

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### (2) WHAT'S ACTUALLY IN THE PAGE TABLE

- Page table is data structure used to map virtual page numbers (VPN) to the physical address (Physical Frame Number PFN)
  - Linear page table → simple array
- Page-table entry
  - 32 bits for capturing state

31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0															
PFN																						U	R	W	D	A	C	P	E	P	M	U	S	R	W	A	A									

An x86 Page Table Entry(PTE)

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## OBJECTIVES

- Chapter 19
  - TLB Algorithm
  - TLB Tradeoffs
  - TLB Context Switch

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## TRANSLATION LOOKASIDE BUFFER

- Legacy name...
- Better name, "Address Translation Cache"
- TLB is an on CPU cache of address translations
  - virtual → physical memory

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## TRANSLATION LOOKASIDE BUFFER - 2

- Goal: Reduce access to the page tables
- Example: 50 RAM accesses for first 5 for-loop iterations
- Move lookups from RAM to TLB by caching page table entries

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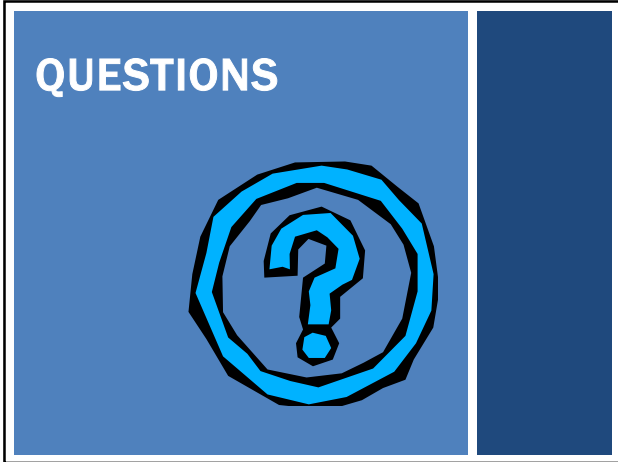
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