

TCCS 422: OPERATING SYSTEMS

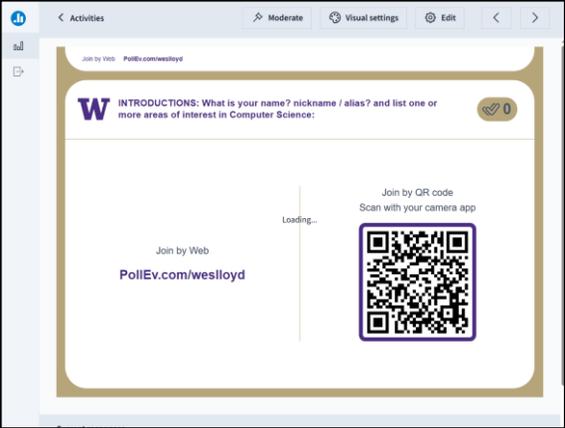
INTRODUCTION



School of Engineering and Technology
 University of Washington - Tacoma

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OBJECTIVES – 4/1

■ **Syllabus, Course Introduction**

- C Review Survey
- Background Survey & VM Survey
- Chapter 2: Operating Systems – Three Easy Pieces
 - Introduction to operating systems
 - Management of resources
 - Concepts of virtualization/abstraction
 - Three Easy Pieces: CPU, Memory, I/O
 - Concurrency
 - Operating system design goals



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TCCS 422 – Spring 2025

- **Spring 2025:**
 - **In-person course**
 - Sessions of this course may be hosted online as needed due to instructor's schedule: Dates will be announced as soon as possible
 - Hybrid/Synchronous Format – streamed LIVE via Zoom and recorded for 24/7 availability
 - Demographics survey will poll class regarding class format for Spring 2025
 - Based on survey, adjustments may be made
 - In-class activities can be submitted asynchronously, online if necessary
 - 20 class meetings
 - 1 Monday holiday in Spring 2025: May 26
 - Quizzes: Thursday April 24th, May 29th @ 4:40p
 - Midterm: Thursday May 8th
 - Final exam ~ Thursday June 12th

TCCS 422
 SPRING
 2025

MLG 311

QUIZZES &
 TESTS IN
 BHS 106

TR
 3:40 - 5:40 PM

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TEXT BOOK – HARD COVER

- **Hardcover edition (version 1.1) from lulu.com:**
- <https://www.lulu.com/shop/andrea-arpaci-dusseau-and-remzi-arpaci-dusseau/operating-systems-three-easy-pieces-hardcover-version-110/hardcover/product-15gjeeky.html?q=three+easy+pieces+softcover&page=1&page-Size=4>
- With coupon textbook is only \$39.75 + tax & shipping
- Sometimes there are 10% and 15% off lulu.com coupons

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TEXT BOOK – SOFT COVER

- **Softcover edition (version 1.?) from amazon.com:**
- <https://www.amazon.com/gp/product/198508659X/>
- only \$26.86 + tax & shipping

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TEXT BOOK - PDF

- GitHub PDF (version 1.0) – still free from GitHub:
<https://github.com/mthipparthi/operating-systems-three-easy-pieces/blob/master/book.pdf>
- Author's webpage:
<http://pages.cs.wisc.edu/~remzi/OSTEP/>

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TCSS422 – SPRING 2025 COMPUTER OPERATING SYSTEMS

- Syllabus
- Grading
- Schedule
- Assignments

See website at:
<http://faculty.washington.edu/wlloyd/courses/tcss422>

Website also integrated into Canvas

Enables access using mobile device w/o logging into Canvas

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TCS422 COURSE WORK

- **Assignments (25%)**
 - 3-4 Assignments: roughly every two weeks
 - Submit ALL programming assignments via Canvas
 - Please do not email submissions – they are prone to be lost
 - If Canvas has closed, please request it be reopened...
- **Tutorials/Activities (15%)**
 - ~ 6 - 9 total items
 - Drop lowest
 - Variety of formats: collaborative in class (via Zoom breakout rooms), online, reading, tutorial

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TCS422 COURSE WORK - 2

- **Quizzes (20%)**
 - In BHS 106
 - Thursday April 24
 - Thursday May 29
 - Open book, open note, 1-hour (4:40 – 5:40p)
 - Serves as practice for midterm and final
- **Exams: Midterm and Final (20% each)**
 - In BHS 106
 - Thursday May 8 and Thursday June 12
 - 2-pages of notes double-sided
 - Final exam is comprehensive, with emphasis on new material

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TCSS 422 – GENERATIVE AI

- Assignments will include Generative AI Statement
- Statement declares what use (if any) is permitted for each assignment
- If not noted otherwise, the use of Generative AI is strictly prohibited on assignments, and suspected use of Generative AI may result in a ZERO grade.

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TCSS 422: PROGRAM DUE DATES

- Programs - please start early:
 - Better than 50% chance of A/B
 - Less than 50% chance of A/B

From Virginia Tech Department of Computer Science

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TCCS 422: PROGRAMS

- *Tentative - subject to change*
- **Assignment 0:**
Introduction to Linux, Ubuntu Virtual Machine
- **Assignment 1:**
Programming with multiple processes (in C)
- **Assignment 2:**
Multithreaded programming and concurrency (C or Java)
- **Assignment 3:**
Kernel (real) mode programming (in C)

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TCCS 422: PROGRAM DUE DATES

- **Programs - please start early**
 - Work as if deadline is several days earlier
 - Allows for a “buffer” for running into unexpected problems
 - Underestimation of the task at hand
 - Allows time to seek C help from CSS lab mentors
 - If less familiar with C/pointers (TCCS 333/380),
BUDGET MORE TIME

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UBUNTU 24.04 – VIRTUAL MACHINE

- Ubuntu 24.04
 - Open source version of Debian-package based Linux
 - Package management: “apt get” repositories
 - See: <https://packages.ubuntu.com/>
- Ubuntu Advantages
 - Enterprise Linux Distribution
 - Free, widely used by developers
 - Long term releases (LTS) every 2 years, good for servers
 - 6-month feature releases, good for sharing new features with the community

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UBUNTU 24.04 – VM INSTALLATION

- Introduction to Oracle VirtualBox for creating Virtual Machines:
<https://youtu.be/VZJ6KZUc25M>
- Installing Ubuntu 24.04 on Windows 11 Oracle VirtualBox:
<https://youtu.be/DhVjgI57Ino>
- <https://youtu.be/8uYjoBh21IU>
- Written instructions for installing Ubuntu 24.04 on Oracle VirtualBox: <https://itslinuxguide.com/install-ubuntu-virtualbox/>
- And here is a video for installing Ubuntu 24.04 on M1 Mac with UTM*: <https://youtu.be/JrNS3brSnmA>
- * - note for Mac users, UTM or Parallels is recommended for virtual machines over Oracle Virtual Box.
- UTM: <https://mac.getutm.app/>
- Parallels student edition:
<https://www.parallels.com/landingpage/pd/education/>

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C PROGRAMING IN TCCS 422

- Many OSes are coded primarily in C and Assembly Language
- C is a particularly useful language for working with hardware / hardware drivers and operating systems
- C allows writing programs that can directly access the computer’s physical memory (in kernel/real mode) providing nearly the power and speed of assembly language
 - *But in a much easier to write high-level language*
- Ideally, all university operating system courses are taught in C/C++. Our textbook is in C/C++
 - *This quarter we will offer the option of assignment of completing assignment 2 in Java (multithreaded programming)*

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C MENTORING

- <https://www.tacoma.uw.edu/set/students/mentors>
- School of Engineering and Technology Mentors
- Do not advertise help for 422 directly, but they do help with C in 380
- Office hours in person and Zoom
- Varied hours and availability based on mentors schedules
- Monday – Thursday: ~ noon – 8:00 pm
- Friday: ~ noon - 2:30 pm
- Spring quarter hours are posted now
- Student mentors managed by SET’s Monika Sobolewska

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INSTRUCTOR HELP

- Office hours: TBD, after class
 - CP 229 and Zoom
 - Additional hours based on survey results
 - Also available by appointment
- Take **ownership** of your educational outcome
 - ~10 weeks in TCSS 422 is very small relative to entire IT career
 - Make the most of this **limited** opportunity
 - Maximize your educational investment
 - *** Ask questions in class *****
 - Also questions after class, email, Canvas discussion boards
 - Seek help using UWT resources, the Internet, YouTube videos (video.google.com) and online tutorials

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CLASS PARTICIPATION

- Questions and discussion are strongly encouraged**
 - Leverage your educational investment
 - All questions are encouraged!
 - This instructor appreciates questions at all levels – there is no judgement for any question
- Feedback surveys**
 - How much is new vs. review?
 - Checking the pace...
 - What is unclear? It's helpful to know when topics are not clear
 - Use the survey to write questions and feedback that come to you during the lecture
 - Up to 2% Extra Credit for participating in surveys
- Poll-EV**

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OBJECTIVES – 4/1

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- Background Survey & VM Survey
- Chapter 2: Operating Systems – Three Easy Pieces
 - Introduction to operating systems
 - Management of resources
 - Concepts of virtualization/abstraction
 - Three Easy Pieces: CPU, Memory, I/O
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C REVIEW SURVEY

C QUIZ – IN CANVAS



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PLEASE COMPLETE BACKGROUND & VM SURVEYS

SEE LINKS AT:
<http://faculty.washington.edu/wlloyd/courses/tcss422/announcements.html>
or in Canvas under “Announcements”
we will resume at ~5:00 pm



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**WE WILL RETURN AT
5:00PM**



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STUDENT BACKGROUND SURVEY

- Please complete the Student Background Survey
- <https://forms.gle/s9J2CTLqpfFneL7ZA>

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VIRTUAL MACHINE SURVEY

- Please complete the Virtual Machine Survey to request a "School of Engineering and Technology" remote hosted Ubuntu VM
- <https://forms.gle/JSwcL1qeKDy2W9498>

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SURVEY LINKS

Survey links at:

- <https://faculty.washington.edu/wlloyd/courses/tcss422/announcements.html>

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OBJECTIVES – 4/1

- Syllabus, Course Introduction
- C Review Survey
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- Chapter 2: Operating Systems – Three Easy Pieces
 - **Introduction to operating systems**
 - Management of resources
 - Concepts of virtualization/abstraction
 - Three Easy Pieces: CPU, Memory, I/O
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**INTRODUCTION TO
OPERATING SYSTEMS**



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OBJECTIVES

- **Chapter 2: Operating Systems – Three Easy Pieces**
 - Introduction to operating systems
 - Management of resources
 - Concepts of virtualization/abstraction
 - **THREE EASY PIECES:**
 - Virtualizing the CPU
 - Virtualizing Memory
 - Virtualizing I/O
 - Operating system design goals

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OPERATING SYSTEMS

- Responsible for:
 - Making it easy to **run** programs
 - Allowing programs to **share** memory
 - Enabling programs to **interact** with devices

OS is in charge of making sure the system operates correctly and efficiently.

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OBJECTIVES – 4/1

- Syllabus, Course Introduction
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- Chapter 2: Operating Systems – Three Easy Pieces
 - Introduction to operating systems
 - **Management of resources**
 - Concepts of virtualization/abstraction
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RESOURCE MANAGEMENT

- The OS is a resource manager
- Manages CPU, disk, network I/O
- Enables many programs to
 - **Share** the CPU
 - **Share** the underlying physical memory (RAM)
 - **Share** physical devices
 - Disks
 - Network Devices
 - ...

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OBJECTIVES – 4/1

- Syllabus, Course Introduction
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- Chapter 2: Operating Systems – Three Easy Pieces
 - Introduction to operating systems
 - Management of resources
 - **Concepts of virtualization/abstraction**
 - Three Easy Pieces: CPU, Memory, I/O
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VIRTUALIZATION

- Operating systems present **physical resources** as **virtual representations** to the programs sharing them
 - Physical resources: CPU, disk, memory, ...
 - The virtual form is "**abstract**"
 - The OS presents an illusion that each user program runs in isolation on its own hardware
 - This virtual form is general, powerful, and easy-to-use

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ABSTRACTIONS

- What form of abstraction does the OS provide?
 - CPU
 - Process and/or thread
 - Memory
 - Address space
 - → large array of bytes
 - All programs see the same "size" of RAM
 - Disk
 - Files

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WHY ABSTRACTION?

- Allow applications to reuse common facilities
- Make different devices look the same
 - Easier to write common code to use devices
 - Linux/Unix Block Devices
- Provide higher level abstractions
- More useful functionality

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ABSTRACTION CHALLENGES

- What level of abstraction?
 - How much of the underlying hardware should be exposed?
 - What if **too much**?
 - What if **too little**?
- What are the correct abstractions?
 - Security concerns

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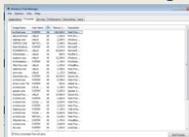
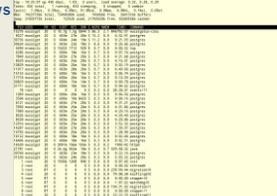
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VIRTUALIZING THE CPU

- Each running program gets its own "virtual" representation of the CPU
- Many programs seem to run at once
- Linux: "top" command shows process list
- Windows: task manager

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VIRTUALIZING THE CPU - 2

- Simple Looping C Program (**simpleloop.c**)

```

1  #include <stdio.h>
2  #include <stdlib.h>
3  #include <sys/time.h>
4  #include <assert.h>
5  #include "common.h"
6
7  int
8  main(int argc, char *argv[])
9  {
10     if (argc != 2) {
11         fprintf(stderr, "usage: cpu <string>\n");
12         exit(1);
13     }
14     char *str = argv[1];
15     while (1) {
16         Spin(1); // Repeatedly checks the time and
17                 // returns once it has run for a second
18                 printf("%s\n", str);
19     }
20     return 0;
    
```

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VIRTUALIZING THE CPU - 3

```

prompt> gcc -o cpu cpu.c -Wall
prompt> ./cpu "A"
A
A
A
A
^C
prompt>
```

- **simpleloop.c**
- Runs forever, must Ctrl-C to halt...

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VIRTUALIZATION THE CPU - 4

```

prompt> ./cpu A & ; ./cpu B & ; ./cpu C & ; ./cpu D &
(1) 7353
(2) 7354
(3) 7355
(4) 7356
A
B
D
C
A
B
D
C
A
C
B
D
...
```

Even though we have only one processor all four instances of our program seem to be running at the same time!

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VIRTUALIZING MEMORY

- Computer memory is treated as a large array of bytes
- Programs store all data in this large array
 - **Read memory (load)**
 - Specify an address to read data from
 - **Write memory (store)**
 - Specify data to write to an address

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VIRTUALIZING MEMORY - 2

- Program to read/write memory: (**mem.c**) (from ch. 2 pgs. 5-6)

```

1 #include <unistd.h>
2 #include <stdio.h>
3 #include <stdlib.h>
4 #include "common.h"
5
6 int
7 main(int argc, char *argv[])
8 {
9     int *p = malloc(sizeof(int)); // a1: allocate some
10                                // memory
11     assert(p != NULL);
12     printf("(a1) address of p: %08x\n",
13           getpid(), (unsigned) p); // a2: print out the
14                                   // address of the memory
15     *p = 0; // a3: put zero into the first slot of the memory
16     while (1) {
17         spin();
18         *p = *p + 1;
19         printf("(a3) p: %d\n", getpid(), *p); // a4
20     }
21     return 0;
22 }
```

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VIRTUALIZING MEMORY - 3

- Output of **mem.c** (example from ch. 2 pgs. 5-6)

```

prompt> ./mem
(2134) memory address of p: 00200000
(2134) p: 1
(2134) p: 2
(2134) p: 3
(2134) p: 4
(2134) p: 5
^C
```

- int value stored at virtual address 00200000
- program increments int value pointed to by p

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VIRTUALIZING MEMORY - 4

- Multiple instances of **mem.c**

This example no longer works as advertised! Ubuntu has been updated. The ptr location is no longer identical. This was considered a security issue.

```

prompt> ./mem 4; ./mem 4
[1] 24113
[2] 24114
(24113) memory address of p: 00200000
(24114) memory address of p: 00200000
(24113) p: 1
(24114) p: 1
(24114) p: 2
(24113) p: 2
(24113) p: 3
(24114) p: 3
...
                    
```

- IN THE BOOK: (int*)p appears to have the same memory location **00200000**
- Why does modifying the value of *p in program #1 (PID 24113), not interfere with the value of *p in program #2 (PID 24114) ?
 - The OS has "virtualized" memory, and provides a "virtual" address

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VIRTUAL MEMORY

- Key take-aways:
 - Each process (program) has its own **virtual address space**
 - The OS maps virtual **address spaces** onto **physical memory**
 - A memory reference from one process can not affect the address space of others.
 - Isolation**
 - Physical memory, a **shared resource**, is managed by the OS

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PERSISTENCE

- DRAM: Dynamic Random Access Memory: DIMMs/SIMMs
 - Stores data while power is present
 - When power is lost, data is lost (**volatile**)
- Operating System helps "persist" data more **permanently**
 - I/O device(s): hard disk drive (HDD), solid state drive (SSD)
 - File system(s): "catalog" data for storage and retrieval

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PERSISTENCE - 2

```

1 #include <stdio.h>
2 #include <unistd.h>
3 #include <assert.h>
4 #include <fcntl.h>
5 #include <sys/types.h>
6
7 int
8 main(int argc, char *argv[])
9 {
10     int fd = open("/tmp/file", O_WRONLY | O_CREAT
11                 | O_TRUNC, S_IRWXU);
12     assert(fd > -1);
13     int rc = write(fd, "hello world\n", 13);
14     assert(rc == 13);
15     close(fd);
16     return 0;
                    
```

- open(), write(), close(): OS **system calls** for device I/O
- Note: man page for open(), write() requires page number: "man 2 open", "man 2 write", "man close"

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PERSISTENCE - 3

- To write to disk, OS must:
 - Determine where on disk data should reside
 - Perform sys calls to perform I/O:
 - Read/write to file system (**Inode record**)
 - Read/write data to file
- OS provides fault tolerance for system crashes
 - Journaling: Record disk operations in a journal for replay
 - Copy-on-write: replicate shared data across multiple disks - see **ZFS filesystem**
 - Carefully order writes on disk (**especially spindle drives**)

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OBJECTIVES – 4/1

- Syllabus, Course Introduction
- C Review Survey
- Background Survey & VM Survey
- Chapter 2: Operating Systems – Three Easy Pieces
 - Introduction to operating systems
 - Management of resources
 - Concepts of virtualization/abstraction
 - Three Easy Pieces: CPU, Memory, I/O
 - Concurrency**
 - Operating system design goals

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CONCURRENCY

Linux htop (Ubuntu)

Windows 10 Task Manager

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CONCURRENCY

- Linux: 179 processes, 1089 threads (**htop**)
- Windows 10: 364 processes, 6011 threads (task mgr)
- OSes appear to run many programs at once, juggling them
- Modern **multi-threaded** programs feature concurrent threads and processes
- What is a key difference between a process and a thread?**

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CONCURRENCY - 2

```

1  #include <stdio.h>
2  #include <stdlib.h>
3  #include "common.h"
4
5  volatile int counter = 0;
6  int loops;
7
8  void
9
10
11
12
13
14
15 ...
    
```

Not the same as Java volatile:
 Provides a compiler hint that an object may change value unexpectedly (in this case by a separate thread) so aggressive optimization must be avoided.

pthread.c

Listing continues ...

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CONCURRENCY - 3

```

16  int
17  main(int argc, char *argv[])           pthread.c
18  {
19      if (argc != 2) {
20          fprintf(stderr, "usage: threads <value>\n");
21          exit(1);
22      }
23      loops = atoi(argv[1]);
24      pthread_t p1, p2;
25      printf("Initial value : %d\n", counter);
26
27      pthread_create(&p1, NULL, worker, NULL);
28      pthread_create(&p2, NULL, worker, NULL);
29      pthread_join(p1, NULL);
30      pthread_join(p2, NULL);
31      printf("Final value : %d\n", counter);
32      return 0;
33  }
    
```

- Program creates two threads
- Check documentation: "man pthread_create"
- worker() method counts from 0 to argv[1] (loop)

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Linux "man" page

example

```

PTHREAD_CREATE(3)  Linux Programmer's Manual  PTHREAD_CREATE(3)

NAME
pthread_create - create a new thread

SYNOPSIS
#include <pthread.h>
int pthread_create(pthread_t *thread, const pthread_attr_t *attr,
void *(*start_routine)(void *), void *arg);

DESCRIPTION
The pthread_create() function starts a new thread in the calling process. The new thread starts execution by invoking start_routine(); arg is passed as the sole argument of start_routine().

The new thread terminates in one of the following ways:
* It calls pthread_exit(), specifying an exit status value that is available to another thread in the same process that calls pthread_join().
* It returns from start_routine(). This is equivalent to calling pthread_exit(3) with the value supplied in the return statement.
* It is canceled (see pthread_cancel(3)).

Any of the threads in the process calls exit(3), or the main thread performs a return from main(3). This causes the termination of all threads in the process.

The attr argument points to a pthread_attr_t structure whose contents are used at thread creation time to determine attributes for the new thread; this structure is initialized using pthread_attr_init(3) and related functions. If attr is NULL, then the thread is created with default attributes.
    
```

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CONCURRENCY - 4

- Command line parameter `argv[1]` provides loop length
- Defines number of times the shared counter is incremented
- Loops: 1000


```
prompt> gcc -o pthread pthread.c -Wall -pthread
prompt> ./pthread 1000
Initial value : 0
Final value : 2000
```
- Loops 100000


```
prompt> ./pthread 100000
Initial value : 0
Final value : 143012 // huh??
prompt> ./pthread 100000
Initial value : 0
Final value : 137298 // what ???
```



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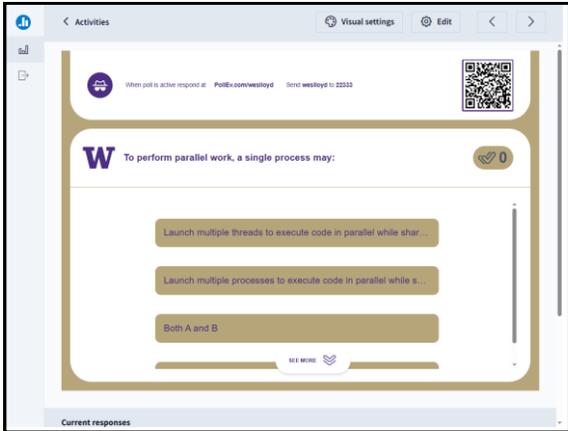
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CONCURRENCY - 5

- When loop value is large why do we not achieve 200,000 ?
- C code is translated to (3) assembly code operations
 - Load counter variable into register
 - Increment it
 - Store the register value back in memory
- These instructions happen concurrently and VERY FAST
- (P1 || P2) write incremented register values back to memory, While (P1 || P2) read same memory
- Memory access here is **unsynchronized (non-atomic)**
- Some of the increments are lost

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PARALLEL PROGRAMMING

- To perform parallel work, a single process may:
 - Launch multiple threads to execute code in parallel while sharing global data in memory
 - Launch multiple processes to execute code in parallel without sharing global data in memory
 - Both A and B
 - None of the above

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SUMMARY: OPERATING SYSTEM DESIGN GOALS

- ABSTRACTING THE HARDWARE**
 - Makes programming code easier to write
 - Automate sharing resources – save programmer burden
- PROVIDE HIGH PERFORMANCE**
 - Minimize overhead from OS abstraction (Virtualization of CPU, RAM, I/O)
 - Share resources fairly
 - Attempt to tradeoff performance vs. fairness → consider priority
- PROVIDE ISOLATION**
 - User programs can't interfere with each other's virtual machines, the underlying OS, or the sharing of resources

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**SUMMARY:
OPERATING SYSTEM DESIGN GOALS - 2**

- **RELIABILITY**
 - OS must not crash, 24/7 Up-time
 - Poor user programs must not bring down the system:

Blue Screen
- Other Issues:
 - Energy-efficiency
 - Security (of data)
 - Cloud: Virtual Machines



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QUESTIONS



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