


# TCSS 422: OPERATING SYSTEMS

## Memory Virtualization with Segments, Introduction to Paging



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# MIDTERM REVIEW SESSION

- Make-up midterm exams are scheduled and will be completed by the end of Friday this week
- Midterm Review Session:**
  - Tuesday May 21, 6:00 pm (during office hour, from BHS106)
  - Via Zoom / Live Stream / Recording
  - Will discuss and review midterm exam problems and grading

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# OBJECTIVES – 5/14

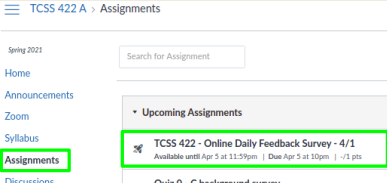
- Questions from 5/9**
- Assignment 2 - May 31
- Quiz 3 – Class Activity-Synchronized Array - Thursday
- Tutorial 2 – Pthread/locks/conditions tutorial-Fri May 24
- Chapter 13: Address Spaces
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# ONLINE DAILY FEEDBACK SURVEY

- Daily Feedback Quiz in Canvas – Available After Each Class
- Extra credit available for completing surveys **ON TIME**
- Tuesday surveys: due by ~ Wed @ 11:59p
- Thursday surveys: due ~ Mon @ 11:59p



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# TCSS 422 - Online Daily Feedback Survey - 4/1

## Quiz Instructions

Question 1 0.5 pts

On a scale of 1 to 10, please classify your perspective on material covered in today's class:

1 2 3 4 5 6 7 8 9 10

Mostly review to me equal new and review Mostly new to me

Question 2 0.5 pts

Please rate the pace of today's class:

1 2 3 4 5 6 7 8 9 10

slow just right fast

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# MATERIAL / PACE

- Please classify your perspective on material covered in today's class (25 respondents):
  - 1-mostly review, 5-equal new/review, 10-mostly new
  - Average – 6.68 (↑ - previous 6.58)**
- Please rate the pace of today's class:
  - 1-slow, 5-just right, 10-fast
  - Average – 5.28 (↓ - previous 5.31)**

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### FEEDBACK FROM 5/9

- **Is list insertion the only deadlock prevention for mutual exclusion?**
- List insertion is not a deadlock prevention technique in Chapter 32
- In lecture 13, the “mutual exclusion” cause for deadlock is when critical sections of code are protected with locks, and for some reason, the lock is never available
- The solution is to remove the use of locks where possible by replacing locks with an atomic implementation of the CompareAndSwap CPU instruction (**assembly language**)
- Atomic CompareAndSwap (assembly) can be used to eliminate the use locks as shown Chapter 32 examples:
  - Increment a counter variable atomically
  - Insert an item into a list

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### FEEDBACK - 2

- **Is list insertion the only deadlock prevention for mutual exclusion?**
- KEY TAKEHOME MESSAGE from Chapter 32:
  - Protecting critical code sections with locks is the “Mutual Exclusion” cause for deadlock in Chapter 32
  - The solution is to eliminate locks to remove the requirement for mutual exclusion in high-level program code ( C )
  - Locks can be replaced with atomic CPU instructions (CompareAndSwap) or atomic data types can be used
    - E.g. lock-free data structures in Java

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## CHAPTER 13: ADDRESS SPACES

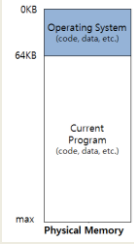


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## EARLY MEMORY MANAGEMENT

- Load one process at a time into memory
- Poor memory utilization
- Little abstraction

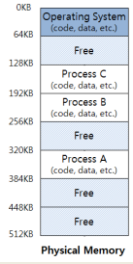


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## MULTIPROGRAMMING WITH SHARED MEMORY

- Later machines supported running multiple processes
- Swap out processes during I/O waits to increase system utilization and efficiency
- Swap entire memory of a process to disk for context switch
- Too slow, especially for large processes
- Solution →
  - Leave processes in memory
- Need to protect from errant memory accesses in a multiprocessing environment

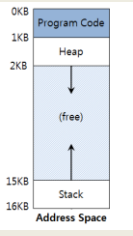


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## ADDRESS SPACE

- Easy-to-use abstraction of physical memory for a process
- Main elements:
  - Program code
  - Stack
  - Heap
- Example: 16KB address space

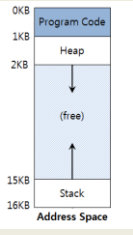


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## ADDRESS SPACE - 2

- Code
  - Program code
- Stack
  - Program counter (PC)
  - Local variables
  - Parameter variables
  - Return values (for functions)
- Heap
  - Dynamic storage
  - Malloc() new()

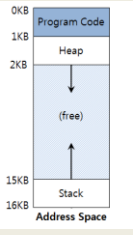


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## ADDRESS SPACE - 3

- Program code
  - Static size
- Heap and stack
  - Dynamic size
  - Grow and shrink during program execution
  - Placed at opposite ends
- Addresses are virtual
  - They must be physically mapped by the OS



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## VIRTUAL ADDRESSING

- Every address is virtual
  - OS translates virtual to physical addresses

```

#include <stdio.h>
#include <stdlib.h>

int main(int argc, char *argv[]){
    printf("location of code : %p\n", (void *) main);
    printf("location of heap : %p\n", (void *) malloc(1));
    int x = 3;
    printf("location of stack : %p\n", (void *) &x);
    return x;
}
    
```

- EXAMPLE: virtual.c

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## VIRTUAL ADDRESSING - 2

- Output from 64-bit Linux:
 

location of code: 0x400686  
 location of heap: 0x1129420  
 location of stack: 0x7ffe040d77e4

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## GOALS OF OS MEMORY VIRTUALIZATION

- Transparency
  - Memory shouldn't appear virtualized to the program
  - OS multiplexes memory among different jobs behind the scenes
- Protection
  - Isolation among processes
  - OS itself must be isolated
  - One program should not be able to affect another (or the OS)

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## GOALS - 2

- Efficiency
  - Time
    - Performance: virtualization must be fast
  - Space
    - Virtualization must not waste space
    - Consider data structures for organizing memory
    - Hardware support TLB: Translation Lookaside Buffer
- Goals considered when evaluating memory virtualization schemes

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## CHAPTER 14: THE MEMORY API

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## OBJECTIVES - 5/18

- **Chapter 13: Introduction to memory virtualization**
  - The address space
  - Goals of OS memory virtualization
- **Chapter 14: Memory API**
  - Common memory errors

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## MALLOC

```
#include <stdlib.h>
void* malloc(size_t size)
```

- Allocates memory on the heap
- `size_t` unsigned integer (must be +)
- `size` size of memory allocation in bytes
- Returns
  - SUCCESS: A void \* to a memory address
  - FAIL: NULL
- `sizeof()` often used to ask the system how large a given datatype or struct is

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## sizeof()

- Not safe to assume data type sizes using different compilers, systems
- Dynamic array of 10 ints
- Static array of 10 ints

```
int *x = malloc(10 * sizeof(int));
printf("%d\n", sizeof(x));
```

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```
int x[10];
printf("%d\n", sizeof(x));
```

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## FREE()

```
#include <stdlib.h>
void free(void* ptr)
```

- Free memory allocated with `malloc()`
- Provide: (void \*) ptr to malloc'd memory
- Returns: nothing

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```
#include<stdio.h>

int * set_magic_number_a()
{
    int a =53247;
    return &a;
}

void set_magic_number_b()
{
    int b = 11111;
}

int main()
{
    int * x = NULL;
    x = set_magic_number_a();
    printf("The magic number is=%d\n",*x);
    set_magic_number_b();
    printf("The magic number is=%d\n",*x);
    return 0;
}
```

What will this code do?

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```
#include<stdio.h>

int * set_magic_number_a()
{
    int a =53247;
    return &a;
}

void set_magic_number_b()
{
    int b = 11111;
}

int main()
{
    int * x = NULL;
    x = set_magic_number_a();
    printf("The magic number is=%d\n",*x);
    set_magic_number_b();
    printf("The magic number is=%d\n",*x);
    return 0;
}
```

What will this code do?

**Output:**  
 \$ ./pointer\_error  
 The magic number is=53247  
 The magic number is=11111

We have not changed \*x but the value has changed!!  
 Why?

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### DANGLING POINTER (1/2)

- Dangling pointers arise when a variable referred (a) goes "out of scope", and it's memory is destroyed/overwritten (by b) without modifying the value of the pointer (\*x).
- The pointer still points to the original memory location of the deallocated memory (a), which has now been reclaimed for (b).

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### DANGLING POINTER (2/2)

- Fortunately in the case, a compiler warning is generated:

```
$ g++ -o pointer_error -std=c++0x pointer_error.cpp
pointer_error.cpp: In function 'int* set_magic_number_a()':
pointer_error.cpp:6:7: warning: address of local variable 'a' returned [enabled by default]
```

- This is a common mistake - - - accidentally referring to addresses that have gone "out of scope"

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### CALLOC()

```
#include <stdlib.h>
void *calloc(size_t num, size_t size)
```

- Allocate "C"lear memory on the heap
- Calloc wipes memory in advance of use...
- `size_t num` : number of blocks to allocate
- `size_t size` : size of each block(in bytes)
- Calloc() prevents...

```
char *dest = malloc(20);
printf("dest string=%s\n", dest);
dest string=◆◆F
```

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### REALLOC()

```
#include <stdlib.h>
void *realloc(void *ptr, size_t size)
```

- Resize an existing memory allocation
- Returned pointer may be same address, or a new address
  - New if memory allocation must move
- `void *ptr`: Pointer to memory block allocated with malloc, calloc, or realloc
- `size_t size`: New size for the memory block(in bytes)
- EXAMPLE: realloc.c
- EXAMPLE: nom.c

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### DOUBLE FREE

```
int *x = (int *)malloc(sizeof(int)); // allocated
free(x); // free memory
free(x); // free repeatedly
```

- Can't deallocate twice
- Second call core dumps

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### SYSTEM CALLS

- `brk()`, `sbrk()`
  - Used to change data segment size (the end of the heap)
  - Don't use these
- `Mmap()`, `munmap()`
  - Can be used to create an extra independent "heap" of memory for a user program
  - See man page

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WE WILL RETURN AT  
5:07PM



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## CHAPTER 15: ADDRESS TRANSLATION



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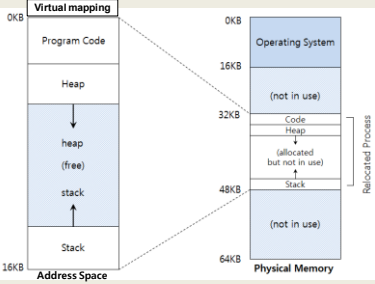
- **Chapter 15: Address translation**
  - Base and bounds
  - HW and OS Support

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## ADDRESS TRANSLATION

- 64KB Address space example
- Translation: mapping virtual to physical



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## BASE AND BOUNDS

- Dynamic relocation
- Two registers base & bounds: **on the CPU**
- OS places program in memory
- Sets base register
 

$physical\ address = virtual\ address + base$
- Bounds register
  - Stores size of program address space (16KB)
  - OS verifies that every address:
 

$0 \leq virtual\ address < bounds$

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## OS: WHEN CONTEXT SWITCH OCCURS

- OS must save base and bounds registers
  - Saved to the Process Control Block PCB (task\_struct in Linux)

The diagram shows two states of physical memory. In the first state, Process A is currently running, with its base register at 32KB and bounds register at 48KB. Process B is not in use. In the second state, after context switching, Process B is currently running, with its base register at 48KB and bounds register at 64KB. Process A is now not in use. A Process A PCB is shown with its base register saved as 32KB and bounds register as 48KB.

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## DYNAMIC RELOCATION

- OS can move process data when not running

1. OS un-schedules process from scheduler
2. OS copies address space from current to new location
3. OS updates PCB (base and bounds registers)
4. OS reschedules process

- When process runs new base register is restored to CPU
- **Process doesn't know it was even moved!**

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**Consider a 64KB computer the loads a program. The BASE register is set to 32768, and the BOUNDS register is set to 4096. What is the physical memory address translation for a virtual address of 6000 ?**

34768  
 38768  
 32769  
 36864  
 Out of bounds

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# CHAPTER 16: SEGMENTATION

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## BASE AND BOUNDS INEFFICIENCIES

- Address space
  - Contains significant unused memory
  - Is relatively large
  - Preallocates space to handle stack/heap growth
- Large address spaces
  - Hard to fit in memory
- How can these issues be addressed?

The diagram shows a vertical stack of memory. From top to bottom: Program Code (0KB to 2KB), Heap (2KB to 6KB), (free) space (6KB to 14KB), and Stack (14KB to 16KB). Arrows indicate the direction of growth: the Heap grows downwards and the Stack grows upwards.

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## MULTIPLE SEGMENTS

- Memory segmentation
- Manage the address space as (3) separate segments
  - Each is a contiguous address space
  - Provides logically separate segments for: code, stack, heap
- Each segment can be placed separately
- Track base and bounds for each segment (registers)

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## SEGMENTS IN MEMORY

- Consider 3 segments:

Segment	Base	Size
Code	32K	2K
Heap	34K	2K
Stack	28K	2K

↓  
Much smaller

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## ADDRESS TRANSLATION: CODE SEGMENT

*physical address = offset + base*

- Code segment - physically starts at 32KB (base)
- Starts at "0" in virtual address space

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## ADDRESS TRANSLATION: HEAP

*Virtual address + base is not the correct physical address.*

- Heap starts at virtual address 4096
- The data is at 4200
- Offset = 4200 - 4096 = 104 (virt addr - virt heap start)
- Physical address = 104 + 34816 (offset + heap base)

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## SEGMENTATION FAULT

- Access beyond the address space
- Heap starts at virtual address: 4096
- Data pointer is to 7KB (7168)
- Is data pointer valid?

- Heap starts at 4096 + 2 KB seg size = 6144
- Offset = 7168 > 4096 + 2048 (6144)

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## SEGMENT REGISTERS

- Used to dereference memory during translation

- First two bits identify segment type
- Remaining bits identify memory offset
- Example: virtual heap address 4200 (010000001101000)

Segment	bits
Code	00
Heap	01
Stack	10
-	11

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### SEGMENTATION DEREFERENCE

```

1 // get top 3 bits of 14-bit VA
2 Segment = (VirtualAddress & SEG_MASK) >> SEG_SHIFT
3 // now get offset
4 Offset = VirtualAddress & OFFSET_MASK
5 if (Offset >= Bounds[Segment])
6     RaiseException(PROTECTION_FAULT)
7 else
8     PhysAddr = Base[Segment] + Offset
9     Register = AccessMemory(PhysAddr)
    
```

- VIRTUAL ADDRESS = 01000001101000 (on heap)
- SEG\_MASK = 0x3000 (11000000000000)
- SEG\_SHIFT = 01 → **heap** (mask gives us segment code)
- OFFSET\_MASK = 0xFFF (00111111111111)
- OFFSET = 000001101000 = 104 (isolates segment offset)
- OFFSET < BOUNDS : 104 < 2048

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### STACK SEGMENT

- Stack grows backwards (FILO)
- Requires hardware support:
- Direction bit: tracks direction segment grows

Segment	Base	Size	Grows	Positive?
Code	32K	2K	1	1
Heap	34K	2K	1	1
Stack	28K	2K	0	0

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### SHARED CODE SEGMENTS

- Code sharing: enabled with HW support
- Supports storing shared libraries in memory only once
- DLL: dynamic linked library
- .so (linux): shared object in Linux (under /usr/lib)
- Many programs can access them
- Protection bits: track permissions to segment

Segment	Base	Size	Grows	Positive?	Protection
Code	32K	2K	1	1	Read-Execute
Heap	34K	2K	1	1	Read-Write
Stack	28K	2K	0	0	Read-Write

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**Consider a program with 2KB of code, a 1 KB stack, and a 2 KB heap. This program runs on a 64 KB computer that manages memory with 4 kb segments. If the computer is empty and segments were allocated as: code, stack, heap, how large can the heap grow to?**

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### SEGMENTATION GRANULARITY

- Coarse-grained
- Manage memory as large purpose based segments:
  - Code segment
  - Heap segment
  - Stack segment

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### SEGMENTATION GRANULARITY - 2

- Fine-grained
- Manage memory as list of segments
- Code, heap, stack segments composed of multiple smaller segments
- Segment table
  - On early systems
  - Stored in memory
  - Tracked large number of segments

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### MEMORY FRAGMENTATION

- Consider how much free space?
- We'll say about 24 KB
- Request arrives to allocate a 20 KB heap segment
- Can we fulfil the request for 20 KB of contiguous memory?

**Not compacted**

0KB	Operating System
8KB	
16KB	(not in use)
24KB	Allocated
32KB	(not in use)
40KB	Allocated
48KB	(not in use)
56KB	Allocated
64KB	

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### COMPACTION

- Supports rearranging memory
- Can we fulfil the request for 20 KB of contiguous memory?
- **Drawback:** Compaction is slow
  - Rearranging memory is time consuming
  - 64KB is fast
  - 4GB+ ... slow
- **Algorithms:**
  - Best fit: keep list of free spaces, allocate the most snug segment for the request
  - Others: worst fit, first fit... (in future chapters)

**Compacted**

0KB	Operating System
8KB	
16KB	
24KB	Allocated
32KB	
40KB	
48KB	(not in use)
56KB	
64KB	

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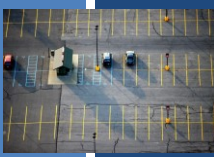
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### OBJECTIVES – 5/14

- Questions from 5/9
- Assignment 2 - May 31
- Quiz 3 – Activity-Synchronized Array - Thursday
- Tutorial 2 – Pthread/locks/conditions tutorial-Fri May 24
- Chapter 13: Address Spaces
- Chapter 14: The Memory API
- Chapter 15: Address Translation
- Chapter 16: Segmentation
- **Chapter 17: Free Space Management**
- Chapter 18: Introduction to Paging

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## CHAPTER 17: FREE SPACE MANAGEMENT

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### OBJECTIVES – 5/18

- **Chapter 17: Free Space Management**
  - Fragmentation, Splitting, coalescing
  - The Free List
  - Memory Allocation Strategies

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### FREE SPACE MANAGEMENT

- How should free space be managed, when satisfying variable-sized requests?
- What strategies can be used to minimize fragmentation?
- What are the time and space overheads of alternate approaches?

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## FREE SPACE MANAGEMENT

- Management of memory using
- Only fixed-sized units
  - Easy: keep a list
  - Memory request → return first free entry
    - Simple search
- With variable sized units
  - More challenging
  - Results from variable sized malloc requests
  - Leads to fragmentation

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## FRAGMENTATION

- Consider a 30-byte heap
- Request for 15-bytes
- Free space: 20 bytes
- No available contiguous chunk → return NULL

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## FRAGMENTATION - 2

- **External:** OS can compact
  - Example: Client asks for 100 bytes: malloc(100)
  - OS: No 100 byte contiguous chunk is available: returns NULL
  - Memory is externally fragmented -- Compaction can fix!
- **Internal:** lost space – OS can't compact
  - OS returns memory units that are too large
  - Example: Client asks for 100 bytes: malloc(100)
  - OS: Returns 125 byte chunk
  - Fragmentation is \*in\* the allocated chunk
  - Memory is lost, and unaccounted for – can't compact

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## ALLOCATION STRATEGY: SPLITTING

- Request for 1 byte of memory: malloc(1)
- OS locates a free chunk to satisfy request
- Splits chunk into two, returns first chunk

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## ALLOCATION STRATEGY: COALESCING

- Consider 30-byte heap
- Free() frees all 10 bytes segments (list of 3-free 10-byte chunks)
- Request arrives: malloc(30)
- **SPLIT DOES NOT WORK** - no contiguous 30-byte chunk exists!
- Coalescing regroups chunks into contiguous chunk
- Allocation can now proceed
- Coalescing is defragmentation of the free space list

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## MEMORY HEADERS

- free(void \*ptr): Does not require a size parameter
- How does the OS know how much memory to free?
- Header block
  - Small descriptive block of memory at start of chunk

An Allocated Region Plus Header

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## MEMORY HEADERS - 2

hptr → size: 20  
magic: 1234567

ptr →

The 20 bytes returned to caller

Specific Contents Of The Header

```
typedef struct __header_t {
    int size;
    int magic;
} header_t;
```

A Simple Header

- Contains size
- Pointers: for faster memory access
- Magic number: integrity checking

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## MEMORY HEADERS - 3

- Size of memory chunk is:
  - Header size + user malloc size
  - N bytes + sizeof(header)
- Easy to determine address of header

```
void free(void *ptr) {
    header_t *hptr = (void *)ptr - sizeof(header_t);
}
```

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## THE FREE LIST

- Simple free list struct

```
typedef struct __node_t {
    int size;
    struct __node_t *next;
} node_t;
```

- Use mmap to create free list
- 4kb heap, 4 byte header, one contiguous free chunk

```
// mmap() returns a pointer to a chunk of free space
node_t *head = mmap(NULL, 4096, PROT_READ|PROT_WRITE,
    MAP_ANON|MAP_PRIVATE, -1, 0);
head->size = 4096 - sizeof(node_t);
head->next = NULL;
```

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## FREE LIST - 2

- Create and initialize free-list "heap"

```
// mmap() returns a pointer to a chunk of free space
node_t *head = mmap(NULL, 4096, PROT_READ|PROT_WRITE,
    MAP_ANON|MAP_PRIVATE, -1, 0);
head->size = 4096 - sizeof(node_t);
head->next = NULL;
```

- Heap layout:

size: 4088

next: 0

...

(virtual address: 16KB)

header: size field

header: next field(NULL is 0)

the rest of the 4KB chunk

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## FREE LIST: MALLOC() CALL

- Consider a request for a 100 bytes: malloc(100)
- Header block requires 8 bytes
  - 4 bytes for size, 4 bytes for magic number
- Split the heap – header goes with each block

A 4KB Heap With One Free Chunk

head → size: 4088  
next: 0

the rest of the 4KB chunk

A Heap: After One Allocation

ptr → size: 100  
magic: 1234567

First block is used

head → size: 3980  
next: 0

the free 3980 byte chunk

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## FREE LIST: FREE() CALL

- Addresses of chunks
- Start=16384
  - + 108 (end of 1<sup>st</sup> chunk)
  - + 108 (end of 2<sup>nd</sup> chunk)
  - + 108 (end of 3<sup>rd</sup> chunk)
  - = 16708

8 bytes header

size: 100  
magic: 1234567

...

size: 100  
magic: 1234567

Free this block

size: 100  
magic: 1234567

...

size: 3764  
next: 0

(virtual address: 16KB)

100 bytes still allocated

100 bytes still allocated (but about to be freed)

100 bytes still allocated

The free 3764-byte chunk

Free Space With Three Chunks Allocated

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### FREE LIST: FREE() CHUNK #2

- Free(sptr)
- Our 3 chunks start at 16 KB (@ 16,384 bytes)
- Free chunk #2 - sptr
- Sptr = 16500
  - addr - sizeof(node\_t)
- Actual start of chunk #2
  - 16492

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### FREE LIST- FREE ALL CHUNKS

- Now free remaining chunks:
  - Free(16392)
  - Free(16608)
- Walk back 8 bytes for actual start of chunk
- External fragmentation
- Free chunk pointers out of order
- Coalescing of next pointers is needed

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### GROWING THE HEAP

- Start with small sized heap
- Request more memory when full
- sbrk(), brk()

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### MEMORY ALLOCATION STRATEGIES

- Best fit**
  - Traverse free list
  - Identify all candidate free chunks
  - Note which is smallest (has best fit)
  - When splitting, "leftover" pieces are small (and potentially less useful – fragmented)
- Worst fit**
  - Traverse free list
  - Identify largest free chunk
  - Split largest free chunk, leaving a still large free chunk

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### EXAMPLES

- Allocation request for 15 bytes

```

    head → 10 → 30 → 20 → NULL
    
```

- Result of Best Fit

```

    head → 10 → 30 → 5 → NULL
    
```

- Result of Worst Fit

```

    head → 10 → 15 → 20 → NULL
    
```

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### MEMORY ALLOCATION STRATEGIES - 2

- First fit**
  - Start search at beginning of free list
  - Find first chunk large enough for request
  - Split chunk, returning a "fit" chunk, saving the remainder
  - Avoids full free list traversal of best and worst fit
- Next fit**
  - Similar to first fit, but start search at last search location
  - Maintain a pointer that "cycles" through the list
  - Helps balance chunk distribution vs. first fit
  - Find first chunk, that is large enough for the request, and split
  - Avoids full free list traversal

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**Which memory allocation strategy is more likely to distribute free chunks closer together which could help when coalescing the free space list?**

Best Fit  
Worst Fit  
First Fit  
None of the above  
All of the above

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### SEGREGATED LISTS

- For popular sized requests  
e.g. for kernel objects such as locks, inodes, etc.
- Manage as segregated free lists
- Provide object caches: stores pre-initialized objects
- How much memory should be dedicated for specialized requests (object caches)?
- If a given cache is low in memory, can request "slabs" of memory from the general allocator for caches.
- General allocator will reclaim slabs when not used

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### BUDDY ALLOCATION

- Binary buddy allocation
  - Divides free space by two to find a block that is big enough to accommodate the request; the next split is too small...
- Consider a 7KB request

64KB free space for 7KB request

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### BUDDY ALLOCATION - 2

- Buddy allocation: suffers from internal fragmentation
- Allocated fragments, typically too large
- Coalescing is simple
  - Two adjacent blocks are promoted up

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**A computer system manages program memory using three separate segments for code, stack, and the heap. The codesize of a program is 1KB but the minimal segment available is 16KB. This is an example of:**

External fragmentation  
Binary buddy allocation  
Internal fragmentation  
Coalescing  
Splitting

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**A request is made to store 1 byte. For this scenario, which memory allocation strategy will always locate memory the fastest?**

Best fit  
Worst fit  
Next fit  
None of the above  
All of the above

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## OBJECTIVES – 5/14

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- **Chapter 18: Introduction to Paging**

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# CHAPTER 18: INTRODUCTION TO PAGING



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## PAGING

- Split up address space of process into *fixed sized pieces* called **pages**
- Alternative to *variable sized pieces* (Segmentation) which suffers from significant fragmentation
- Physical memory is split up into an array of fixed-size slots called **page frames**.
- Each process has a **page table** which translates virtual addresses to physical addresses

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## ADVANTAGES OF PAGING

- Flexibility
  - Abstracts the process address space into pages
  - No need to track direction of HEAP / STACK growth
    - Just add more pages...
  - No need to store unused space
    - As with segments...
- Simplicity
  - Pages and page frames are the same size
  - Easy to allocate and keep a free list of pages

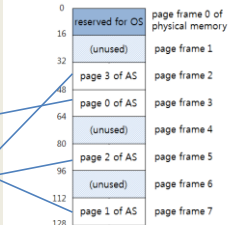
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## PAGING: EXAMPLE

**Page Table:**  
 VP0 → PF3  
 VP1 → PF7  
 VP2 → PF5  
 VP3 → PF2

- Consider a 128 byte ( $2^7$ ) address space with 16-byte ( $2^4$ ) pages
- Consider a 64-byte ( $2^6$ ) program address space



A Simple 64-byte Address Space      64-Byte Address Space Placed in Physical Memory

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## PAGING: ADDRESS TRANSLATION

- PAGE: Has two address components
  - VPN: Virtual Page Number (*serves as the page ID*)
  - Offset: Offset within a Page (*indexes any byte in the page*)

VPN				offset			
Va5	Va4	Va3	Va2	Va1	Va0		

- Example:  
 Page Size: 16-bytes ( $2^4$ ),  
 Program Address Space: 64-bytes ( $2^6$ )

VPN				offset			
0	1	0	1	0	1		

**Here program can have just four pages...**

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### EXAMPLE: PAGING ADDRESS TRANSLATION

- Consider a 64-byte ( $2^6$ ) program address space (4 pages  $\rightarrow 2^2$ )
- Stored in 128-byte ( $2^7$ ) physical memory (8 frames  $\rightarrow 2^3$ )
- Offset is preserved
  - 4 bits indexes any byte
  - Page size is 16 bytes ( $2^4$ )
- Page table** translates a Virtual Page Number (VPN) to a Physical Frame Number (PFN)

**Page Table:**  
 VP0  $\rightarrow$  PF3  
 VP1  $\rightarrow$  PF7  
 VP2  $\rightarrow$  PF5  
 VP3  $\rightarrow$  PF2

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### PAGING DESIGN QUESTIONS

- Where are page tables stored?
- What are the typical contents of the page table?
- How big are page tables?
- Does paging make the system too slow?

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### (1) WHERE ARE PAGE TABLES STORED?

- Example:**
  - Consider a 32-bit process address space ( $4GB=2^{32}$  bytes)
  - With 4 KB pages ( $4KB=2^{12}$  bytes)
  - 20 bits for VPN ( $2^{20}$  pages)
  - 12 bits for the page offset ( $2^{12}$  unique bytes in a page)
- Page tables for each process are stored in RAM
  - Support potential storage of  $2^{20}$  translations = 1,048,576 pages per process
  - Each page has a page table entry size of 4 bytes

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### PAGE TABLE EXAMPLE

- With  $2^{20}$  slots in our page table for a single process
- Each slot (i.e. entry) dereferences a VPN
- Each entry provides a physical frame number
- Each entry requires 4 bytes (32 bits)
  - 20 for the PFN on a 4GB system with 4KB pages
  - 12 for the offset which is preserved
  - (note we have no status bits, so this is unrealistically small)
- How much memory is required to store the page table for 1 process?
  - Hint: # of entries x space per entry
  - 4,194,304 bytes (or 4MB) to index one process

VPN <sub>0</sub>
VPN <sub>1</sub>
VPN <sub>2</sub>
...
...
VPN <sub>1048576</sub>

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### NOW FOR AN ENTIRE OS

- If 4 MB is required to store one process
- Consider how much memory is required for an entire OS?
  - With for example 100 processes...
- Page table memory requirement is now  $4MB \times 100 = 400MB$
- If computer has 4GB memory (maximum for 32-bits), the page table consumes 10% of memory
 

$400 \text{ MB} / 4000 \text{ GB}$
- Is this efficient?**

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### (2) WHAT'S ACTUALLY IN THE PAGE TABLE

- Page table is data structure used to map virtual page numbers (VPN) to the physical address (Physical Frame Number PFN)
  - Linear page table  $\rightarrow$  simple array
- Page-table entry
  - 32 bits for capturing state

An x86 Page Table Entry (PTE)

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### PAGE TABLE ENTRY

- P: present
- R/W: read/write bit
- U/S: supervisor
- A: accessed bit
- D: dirty bit
- PFN: the page frame number

31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
PFN																						U/S	R/W	D	A	P					

An x86 Page Table Entry(PTE)

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### PAGE TABLE ENTRY - 2

- Common flags:
  - **Valid Bit:** Indicating whether the particular translation is valid.
  - **Protection Bit:** Indicating whether the page could be read from, written to, or executed from
  - **Present Bit:** Indicating whether this page is in physical memory or on disk(swapped out)
  - **Dirty Bit:** Indicating whether the page has been modified since it was brought into memory
  - **Reference Bit(Accessed Bit):** Indicating that a page has been accessed

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### (3) HOW BIG ARE PAGE TABLES?

- Page tables are too big to store on the CPU
- Page tables are stored using physical memory
- Paging supports efficiently storing a sparsely populated address space
  - Reduced memory requirement  
Compared to base and bounds, and segments

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### (4) DOES PAGING MAKE THE SYSTEM TOO SLOW?

- Translation
  - **Issue #1:** Starting location of the page table is needed
    - HW Support: Page-table base register
      - stores active process
      - Facilitates translation
  - **Issue #2:** Each memory address translation for paging requires an extra memory reference
    - HW Support: TLBs (Chapter 19)

**Page Table:**  
 VP0 → PF3  
 VP1 → PF7  
 VP2 → PF5  
 VP3 → PF2

Stored in RAM →

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### PAGING MEMORY ACCESS

```

1. // Extract the VPN from the virtual address
2. VPN = (VirtualAddress & VPN_MASK) >> SHIFT
3.
4. // Form the address of the page-table entry (PTE)
5. PTEAddr = PTBR + (VPN * sizeof(PTE))
6.
7. // Fetch the PTE
8. PTE = AccessMemory(PTEAddr)
9.
10. // Check if process can access the page
11. if (PTE.Valid == False)
12.     RaiseException(SEGMENTATION_FAULT)
13. else if (CanAccess(PTE.ProtectionBits) == False)
14.     RaiseException(PROTECTION_FAULT)
15. else
16.     // Access is OK: form physical address and fetch it
17.     offset = VirtualAddress & OFFSET_MASK
18.     PhysAddr = (PTE.PFN << PFN_SHIFT) | offset
19.     Register = AccessMemory(PhysAddr)
    
```

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### COUNTING MEMORY ACCESSES

- Example: Use this Array initialization Code
 

```

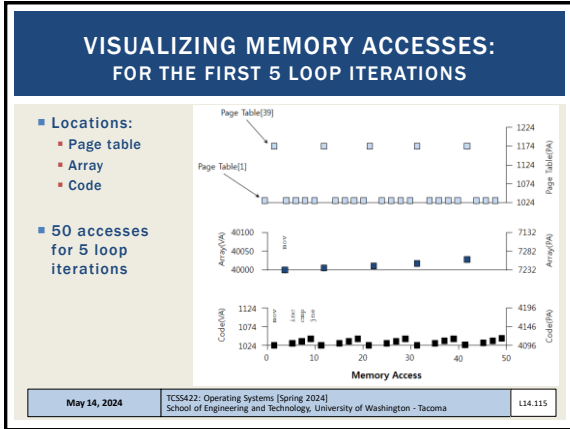
int array[1000];
...
for (i = 0; i < 1000; i++)
    array[i] = 0;
            
```
- Assembly equivalent:
 

```

0x1024 movl $0x0, (%edi,%eax,4)
0x1028 incl %eax
0x102c cmpl $0x03e8, %eax
0x1030 jne 0x1024
            
```

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**Consider a 4GB Computer with 4KB (4096 byte) pages. How many pages would fit into physical memory?**

$2^{32} / 2^{20} = 2^{12}$  pages  
 $2^{32} / 2^{12} = 2^{20}$  pages  
 $2^{32} / 2^{16} = 2^{16}$  pages  
 $2^{32} / 2^8 = 2^{24}$  pages  
 None of the above

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**For the 4GB computer example, how many bits are required for the VPN?**

24 VPN bits (indexes  $2^{24}$  locations)  
 16 VPN bits (indexes  $2^{16}$  locations)  
 20 VPN bits (indexes  $2^{20}$  locations)  
 12 VPN bits (indexes  $2^{12}$  locations)  
 None of the above

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**For the 4GB computer example, how many bits are available for page status bits?**

32 - 12 VPN bits = 20 status bits  
 32 - 24 VPN bits = 8 status bits  
 32 - 16 VPN bits = 16 status bits  
 32 - 20 VPN bits = 12 status bits  
 None of the above

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**For the 4GB computer, how much space does this page table require? (number of page table entries x size of page table entry)**

$2^{20}$  entries x 4b = 4 MB  
 $2^{12}$  entries x 4b = 16 KB  
 $2^{16}$  entries x 4b = 256 KB  
 $2^{24}$  entries x 4b = 64 MB  
 None of the above

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**For the 4GB computer, how many page tables (for user processes) would fill the entire 4GB of memory?**

$4 \text{ GB} / 16 \text{ KB} = 65,536$   
 $4 \text{ GB} / 64 \text{ MB} = 256$   
 $4 \text{ GB} / 256 \text{ KB} = 16,384$   
 $4 \text{ GB} / 4 \text{ MB} = 1,024$   
 None of the above

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### PAGING SYSTEM EXAMPLE

- Consider a 4GB Computer:
  - With a 4096-byte page size (4KB)
  - How many pages would fit in physical memory?
- Now consider a page table:
  - For the page table entry, how many bits are required for the VPN?
  - If we assume the use of 4-byte (32 bit) page table entries, how many bits are available for status bits?
  - How much space does this page table require?  
# of page table entries x size of page table entry
  - How many page tables (for user processes) would fill the entire 4GB of memory?

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### QUESTIONS



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