Aaron Baraff STAT 551 Homework #1 10/9/2014

1. (a) The mean litter size is

$$p^{2}(2.66) + 2pq(2.17) + q^{2}(1.48)$$

= (0.18)²(2.66) + 2(0.18)(0.82)(2.17) + (0.82)^{2}(1.48) = 1.722.

If we scale the population relative to the average of the mean litter sizes of the homozygotes, we get

$$a = 2.66 - \frac{2.66 + 1.48}{2} = 2.66 - 2.07 = 0.59$$
, and $d = 2.17 - 2.07 = 0.10$,

so the mean litter size is

$$(0.18)^2(0.59) + 2(0.18)(0.82)(0.10) + (0.82)^2(-0.59) = -0.348.$$

(b) If the frequency of the B allele is doubled, then these means change to

$$(0.36)^2(2.66) + 2(0.36)(0.64)(2.17) + (0.64)^2(1.48) = 1.951,$$

for the unscaled population, and

$$(0.36)^2(0.59) + 2(0.36)(0.64)(0.10) + (0.64)^2(-0.59) = -0.119,$$

for the scaled population.

(c) When p = 0.18, the average allele effects are

$$\alpha_B = q[a + d(q - p)] = 0.82[0.59 + 0.10(0.82 - 0.18)] = 0.536$$
, and
 $\alpha_b = -p[a + d(q - p)] = -0.18[0.59 + 0.10(0.82 - 0.18)] = -0.118.$

When p = 0.36, we get

$$\alpha_B = 0.64[0.59 + 0.10(0.82 - 0.18)] = 0.396$$
, and
 $\alpha_b = -0.36[0.59 + 0.10(0.82 - 0.18)] = -0.222$.

(d) When p = 0.18, the average effect of allele substitution is

 $\alpha = \alpha_D - \alpha_d = 0.536 + 0.118 = 0.654.$

When p = 0.36, we get

$$\alpha = 0.396 + 0.222 = 0.618.$$

2. (a) i. The data were fit to the following linear regression model:

$$P = \beta_0 + \beta_1 X_D + \epsilon,$$

where $X_D \in \{0, 1, 2\}$ is the number of copies of the D allele that each individual has. Recall that under this model we have

$$\beta_0 = \mu_G + 2\alpha_d$$
, and $\beta_1 = \alpha_D - \alpha_d$.

Note that the error term ϵ incorporates both the dominance effect δ and any additional environmental effects.

This resulted in the following estimates:

$$\hat{\beta}_0 = 1.8029$$
, and $\hat{\beta}_1 = 0.3131$.

so we have

$$\hat{\alpha} = \hat{\beta}_1 = 0.3131$$
$$\hat{\alpha}_d = \frac{\hat{\beta}_0 - \hat{\mu}_G}{2} = \frac{1.8029 - 1.9260}{2} = -0.0615, \text{ and}$$
$$\hat{\alpha}_D = \hat{\alpha} + \hat{\alpha}_d = 0.3131 - 0.0615 = 0.2516,$$

where $\hat{\mu}_G = 1.9260$ was estimated by the mean of the phenotype values in the sample.

ii. The estimated breeding values for each genotype are

$$DD: \quad 2\hat{\alpha}_D = 2(0.2516) = 0.5032$$
$$Dd: \quad \hat{\alpha}_D + \hat{\alpha}_d = 0.2516 - 0.0615 = 0.1901$$
$$dd: \quad 2\hat{\alpha}_d = 2(-0.0615) = -0.1231.$$

iii. The narrow sense heritability is the proportion of total phenotypic variance that can be explained by the additive genetic variance, which is simply the R^2 for the linear model fit to the data. Therefore, we have

$$\hat{h}^2 = R^2 = 0.7506.$$

(b) First, we estimate the phenotype means for each genotype as

$$DD: 2.3789 \\ Dd: 2.1284 \\ dd: 1.7999,$$

so we have

$$a = 2.3789 - \frac{2.3789 + 1.7999}{2} = 2.3789 - 2.0894 = 0.2895$$
, and
 $d = 2.1284 - 2.0894 = 0.0390$.

Therefore, we have

$$\hat{\alpha} = a + d(\hat{q} - \hat{p}) = 0.2895 + 0.0390(0.8035 - 0.1965) = 0.3132$$
$$\hat{\alpha}_D = \hat{q}\hat{\alpha} = 0.8035(0.3132) = 0.2517, \text{ and}$$
$$\hat{\alpha}_d = -\hat{p}\hat{\alpha} = -0.1965(0.3132) = -0.0615,$$

where $\hat{p} = 0.1965$ was estimated by the proportion of D alleles in the sample. These values are very close to the ones obtained through the regression model. I believe that their small differences are due to the proportions of genotypes in the sample being slightly out of Hardy-Weinberg equilibrium.

3. (a) First note that

 $X_1 \sim \text{Binomial}(2, p), \quad X_2 = 2 - X_1, \text{ and } I_{X_1=1} \sim \text{Bernoulli}(2pq),$

so we have

$$E(X_1) = 2p,$$
 $Var(X_1) = 2pq,$
 $E(I_{X_1=1}) = 2pq,$ $Var(I_{X_1=1}) = 2pq(1-2pq).$

In addition, we have

$$Cov(X_1, I_{X_1=1}) = E(X_1 I_{X_1=1}) - E(X_1)E(I_{X_1=1})$$

= 2pq - (2p)(2pq) = 2pq(1 - 2p) = 2pq(q - p).

Therefore, for

$$Y = \mu_Y + \alpha_1 X_1 + \alpha_2 X_2 + \beta I_{X_1=1} + \epsilon$$

= $\mu_Y + \alpha_1 X_1 + \alpha_2 (2 - X_1) + \beta I_{X_1=1} + \epsilon$
= $\mu_Y + 2\alpha_2 + (\alpha_1 - \alpha_2) X_1 + \beta I_{X_1=1} + \epsilon$,

we have

$$E(Y) = \mu_Y + 2\alpha_2 + (\alpha_1 - \alpha_2)(2p) + \beta(2pq) + 0$$

= $\mu_Y + 2(p\alpha_1 + q\alpha_2 + pq\beta).$

However, since we know that $E(Y) = \mu_Y$ by definition, this expectation imposes the constraint

$$p\alpha_1 + q\alpha_2 + pq\beta = 0.$$

We also have

$$Var(Y) = (\alpha_1 - \alpha_2)^2 (2pq) + \beta^2 [2pq(1 - 2pq)] + 2(\alpha_1 - \alpha_2)\beta [2pq(q - p)] + \sigma^2$$

= 2pq[(\alpha_1 - \alpha_2)^2 + (1 - 2pq)\beta^2 + 2(q - p)(\alpha_1 - \alpha_2)\beta] + \sigma^2.

(b) The broad sense heritability is the proportion of total phenotypic variance that can be explained by the total genetic variance, so under this model, we have

$$H^{2} = 1 - \frac{\sigma^{2}}{\operatorname{Var}(Y)} = 1 - \frac{\sigma^{2}}{2pq[(\alpha_{1} - \alpha_{2})^{2} + (1 - 2pq)\beta^{2} + 2(q - p)(\alpha_{1} - \alpha_{2})\beta] + \sigma^{2}}.$$