Some Open Problems in Fourier Analysis

Stefan Steinerberger

IMPA 2025

UNIVERSITY of WASHINGTON

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First time at IMPA!



Christmas 2018, closed doors!

Second time at IMPA!

Dear Prof. Stefan Steinerberger,

The Brazilian Mathematical Colloquium is the main scientific event of our community, happening every two years at IMPA - Rio de Janeiro, with an attendance of around 1,000 people. This year, from August 2nd to August 6th, this event will reach its 33th edition, it will be by the first time completely online. and we will

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2021, closed doors!



Thomas Kuhn

So long as the tools a paradigm supplies continue to prove capable of solving the problems it defines, science moves fastest and penetrates most deeply through confident employment of those tools. (The Structure of Scientific Revolutions, 1962)





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The point of this talk is to mention some open problems and some partial results.



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The point of this talk is to mention some open problems and some partial results. The hope is that these are not (all) insanely hard, that they are not very well known and *that progress is possible*.

Approximate Plan

16 problems (where \geq 9 are **not** impossible)

Lecture 1

- 1. The first Erdős problem
- 2. Littlewood Cosine, Chowla Cosine
- 3. Hardy-Littlewood Maximal Rigidity
- 4. Sum of Two Squares

Lecture 2

1. Cosine Sign Correlation, Maximal Geodesic Averages, Signed Orthogonality Problems

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- 2. Directional Poincaré
- 3. Kakeya on the Sphere, Motzkin-Schmidt
- 4. Number of Critical Points

Lecture 3

- 1. Spooky Action at a Distance
- 2. Opaque Sets
- 3. The Averaging Problem
- 4. Kozma-Oravecz Inequalities

This problem is somewhat well known in Additive Combinatorics but not in Fourier Analysis – and Fourier Analysis gives the best results.

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Conjecture (Erdős¹, 1930s)

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Basic Tensor Construction

If we have a_1, \ldots, a_n with distinct subset sums and $a_n \leq c2^n$, then

$$1, 2a_1, 2a_2, \ldots, 2a_n$$

has the subset sum property and $a_{n+1} \leq c2^{n+1}$.

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Was this published of 1] [Con 1] SETS OF NATURAL NUMBERS WITH DISTINCT SUMS I.H. Conway and Richard & Juy *

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x = 0.23512528481118 Theorem 11. Proof. Put m= 26 in Theorem 10, and

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Further improvements by Lunnon and Bohman: $\leq 0.22002 \cdot 2^n$

Trivial lower bound

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The random walk

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Variance is smallest when X is concentrated around the origin, then

$$\mathbb{E}X^2 \geq \sum_{k=-2^{n-1}}^{2^{n-1}} k^2 \cdot \frac{1}{2^n} \sim \frac{2}{3} \left(2^{n-1}\right)^3 \frac{1}{2^n} \sim c \cdot 4^n.$$

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An explicit computation shows

$$\mathbb{E}X^2 = \sum_{i=1}^n a_i^2 \le n \cdot a_n^2$$

and we are done.

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Lower bound (Moser, 1950s)

$$a_n > c \frac{2^n}{\sqrt{n}}$$

 $c \ge 1/4$ $\geq 2/3^{3/2}$ $\geq 1/\sqrt{\pi}$ $\geq 1/\sqrt{3}$ $\geq \sqrt{3/2\pi}$ $\geq \sqrt{2/\pi}$ $\geq \sqrt{2/\pi}$ $\geq \sqrt{2/\pi}$

Erdős and Moser Alon and Spencer Elkies Bae, Guy Aliev Elkies, Gleason, unpublished Dubroff, Fox and Xu S

Lower bound (Elkies-Gleason, Dubroff-Fox-Xu, S)

$$\mathsf{a}_n > \left(\sqrt{rac{2}{\pi}} - o(1)
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Where's the Fourier Analysis?

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Where's the Fourier Analysis?

Reformulation (Elkies, 1986)

For any $a_1, \ldots, a_n \in \mathbb{N}$

$$\int_0^1 \prod_{i=1}^n \cos(2\pi a_i x)^2 dx \ge \frac{1}{2^n}.$$

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Does equality force $a_n \gtrsim 2^n$?

$$\int_0^1 \prod_{i=1}^n \cos(2\pi a_i x)^2 dx \ge \frac{1}{2^n}.$$

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$$\int_0^1 \prod_{i=1}^n \cos(2\pi a_i x)^2 dx \ge \frac{1}{2^n}.$$

Idea behind the equality is relatively simple: the random walk is a convolution of Dirac measures

$$\left(\frac{\delta_{a_1}+\delta_{-a_1}}{2}\right)*\left(\frac{\delta_{a_2}+\delta_{-a_2}}{2}\right)*\cdots*\left(\frac{\delta_{a_n}+\delta_{-a_n}}{2}\right)$$

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and we know that the arising measures has to be of the form

$$\left(\frac{\delta_{a_1}+\delta_{-a_1}}{2}\right)*\cdots*\left(\frac{\delta_{a_n}+\delta_{-a_n}}{2}\right)=\frac{1}{2^n}\sum_{i=1}^{2^n}\delta_{x_i}$$

with $|x_i - x_j| \geq 2$.

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with $|x_i - x_j| \ge 2$. Then convolve with a bump function and compute L^2 -norms.
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with $|x_i - x_j| \ge 2$. Then convolve with a bump function and compute L^2 -norms. A bit of flexibility in the bump function.

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$$\int_{0}^{1} \prod_{i=1}^{n} \cos(2\pi a_{i}x)^{2} dx \geq \int_{-\frac{1}{a_{n}}}^{\frac{1}{a_{n}}} \prod_{i=1}^{n} \cos(2\pi a_{i}x)^{2} dx$$

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and use Taylor expansion $\cos x \ge 1 - x^2/2$.

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and use Taylor expansion $\cos x \ge 1 - x^2/2$. Already gives $c2^n/\sqrt{n}$.

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FIGURE 1. A histogram of the discrete measure μ derived from the first 22 terms from the Conway-Guy sequence.

Littlewood (1950s) Given $A \subset \mathbb{N}$, consider $f : [0, 2\pi] \to \mathbb{R}$ given by

$$f(x) = \sum_{a \in A} \cos{(ax)}.$$

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Bedert (2024)

$$\# \{x \in [0, 2\pi] : f(x) = 0\} \gtrsim (\log \log |A|)^{1+o(1)}.$$

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Warning (Sahasrabudhe), the function

$$f(x) = 2\cos(x) + \sum_{k=2}^{2n} \sin\left(\frac{k\pi}{2}\right)\cos(kx)$$

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has all coefficients in $\{-1,0,1\}$ (and one 2) and only 2 roots.



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Chowla Cosine Problem

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Chowla Cosine Problem

Let $A \subset \mathbb{N}$ be a set of *n* integers. Does

 $f(x) = \sum_{a \in A} \cos(ax)$ have to be very negative somewhere? Example. $A = \{1, 2, 3, 4, 5, 6, 8, 9, 10, 12, 13, 14, 15, 18\}$



Bourgain, Ruzsa, Borwein: min $\leq -c \cdot e^{c\sqrt{\log n}}$

Let $f \in L^1(\mathbb{R})$. Everybody knows the Hardy-Littlewood Maximal Function

$$(Mf)(x) = \sup_{r>0} \frac{1}{2r} \int_{x-r}^{x+r} f(y) dy.$$

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Fairly Natural Question What are the **maximal radii**?

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Fairly Natural Question

What are the maximal radii? What can be said?

Here is an amazing and somewhat confusing example

$$\frac{1}{2r}\int_{x-r}^{x+r}\sin{(y)}dy=\frac{\sin{(r)}}{r}\sin{(x)}.$$

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There are only two maximal radii that show up!

This actually tells you a little bit more: when computing integrals one of $\{0, 4.493...\}$ gives you the *maximal* average and the other gives you the *minimal* average

Suppose $f \in C^{\alpha}(\mathbb{R})$ is periodic and $\alpha > 1/2$. If the function A_x given by

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There is a funny interpretation in terms of *delay differential* equations. Suppose $f \in C^1$ and

$$0 = \frac{\partial}{\partial r} \frac{1}{2r} \int_{x-r}^{x+r} f(y) dy \big|_{r=\gamma},$$

Suppose $f \in C^{\alpha}(\mathbb{R})$ is periodic and $\alpha > 1/2$. If the function A_{x} given by

$$(A_x f)(r) = \frac{1}{2r} \int_{x-r}^{x+r} f(y) dy$$

has a critical point in $r = \gamma$ for all $x \in \mathbb{R}$, then

$$f(x) = a + b\sin(cx + d).$$

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then $f'(x+\gamma) - \frac{1}{\gamma}f(x+\gamma) = -f'(x-\gamma) - \frac{1}{\gamma}f(x-\gamma)$.

When expanding the function into Fourier series, the condition

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forcing, for all k with a frequency contribution,

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Rest of the argument: fixed points of tangent tan(x) = x are linearly independent over \mathbb{Q} .
Idea behind the proof

That argument is very algebraic, unlikely to be 'robust'

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Question

What can one say about the **maximal radius function**? Surely it must, generically, assume many different values?

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Suppose $f \in C^{\infty}$ is periodic and $f(x) \neq a + b \sin(cx + d)$. Does this imply that the maximal radius functions assumes infinitely many values?

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Suppose $f \in C^{\infty}$ is periodic and $f(x) \neq a + b \sin(cx + d)$. Does this imply that the maximal radius functions assumes infinitely many values? Or at least 7 values?

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Question

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Concrete Question

Suppose $f \in C^{\infty}$ is periodic and $f(x) \neq a + b \sin(cx + d)$. Does this imply that the maximal radius functions assumes infinitely many values? Or at least 7 values? Or a set of values of Hausdorff dimension at least?

Very few prior results about the basic structure of this function. Named **frequency function** by Faruk Temur³

³F. Temur, Level set estimates for the discrete frequency function, JFAA 2018 and F. Temur, The frequency function and its connections to the Lebesgue points and the Hardy-Littlewood maximal function, Turkish Journal of Mathematics 2019

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Theorem 2. Let $f \in L^1(\mathbb{R})$. Let C > 1 be a real number. Then the set

$$\left\{ x \in \mathbb{R} : \frac{|x|}{2C} \le \mathcal{T}f(x) \le \frac{|x|}{C} \right\}$$

is bounded.

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Theorem 4. For every $\varepsilon > 0$, there exists a function $f \in L^1(\mathbb{R})$ such that $|\{x \in \mathbb{R} : |x| \le N, \ \mathcal{T}f(x) = 0\}| \ge \frac{1}{8}N/\log^{1+\varepsilon}N$

for infinitely many values of $N \in \mathbb{N}$.

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Sums of two squares

Integers that can be written as the sum of two squares

 $0, 1, 2, 4, 5, 8, 9, 10, 13, 16, 17, 18, \ldots$

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The sequence of sums of two squares (a_n)



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The sequence of gaps $(a_{n+1} - a_n)$



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The sequence of rescaled gaps $(a_{n+1} - a_n)/\log a_n$





$$(n, n + \sqrt{8}n^{1/4} + 2)$$

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contains an integer that is a sum of two squares.

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$$n \leq \left\lfloor \sqrt{n}
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ight
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ight
ceil^2 < n + \sqrt{8}n^{1/4} + 1$$



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$$n \leq \left\lfloor \sqrt{n} \right\rfloor^2 + \left\lceil \sqrt{n - \left\lfloor \sqrt{n} \right\rfloor^2} \right\rceil^2 < n + \sqrt{8}n^{1/4} + 1$$

Never been improved, not even the $\sqrt{8}$.



Every 'wide' annulus contains lattice points. Lattice points and circles, the Poisson Summation Formula....

The problem started back in 2015 as follows (Fourier Analysis \rightarrow Hermite functions \rightarrow eigenfunctions of $-\Delta + x^2$).

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D. Oliveira e Silva (IST Lisboa)



Felipe Gonçalves (UT Austin)

Motivation: Letter Einstein \rightarrow Born, March 3 1947

[...] that physics should represent reality in time and space, without spooky action at a distance.



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The eigenfunctions

$$(-\Delta + x^2)\phi_k = \lambda_k\phi_k$$

are Hermite functions.



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Strange fact. The signs of $\phi_k(1)$ and $\phi_k(5)$ are not independent, they are **positively correlated**.

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Strange fact. The signs of $\phi_k(1)$ and $\phi_k(5)$ are not independent, they are **positively correlated**. 'Quantum particles located at x = 1 and x = 5 talk to each other'. This can be made rigorous by using asymptotic expansion of Hermite functions (WKB for physicists) which reduces this to a problem involving only cosines.

Lemma (Gonçalves, Oliveira e Silva, S, J. Spectral Theory '19) For any integers a < b, we have

$$\frac{1}{2\pi} \left| \left\{ x \in [0, 2\pi] : \operatorname{sign}(\cos(ax)) = \operatorname{sign}(\cos(bx)) \right\} \right| \ge \frac{1}{3}$$

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with equality if and only if b = 3a.

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There is some vague form of 'compactness'. If a and b are large and 'random', then their signs should be 'uncorrelated'.

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Big Question Given $a_1, a_2, ..., a_n \in \mathbb{N}$ and consider the functions $\cos(a_1x), \cos(a_2x), ..., \cos(a_nx)$ on $[0, 2\pi]$.

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Consider set $S \subseteq [0, 2\pi]$ where all *n* functions have the same sign. The set *S* is non-empty (because $\cos(0) = 1$). How small can it be (as a function of *n*)?
Let us denote the answer by F(n). We know F(2) = 1/3.

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$$F(3)=\frac{1}{9}$$

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with worst case $\cos(x)$, $\cos(3x)$, $\cos(9x)$.

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Likewise if $a \ll b$. Then lots of elementary Fourier Analysis and case distinctions, lots of checking of special cases.



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Likewise if $a \ll b$. Then lots of elementary Fourier Analysis and case distinctions, lots of checking of special cases. No 'clean' proof and no proof that would generalize to n = 4.

$$F(2) = \frac{1}{3}$$
 $F(3) = \frac{1}{9}$

$$F(n) \leq rac{1}{3^{n-1}}$$

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by taking 1, 3, 11, 35, 105. One question I had was whether $F(n) \ge C^{-n}$ and this was recently (last week!) resolved.

$$F(n) \geq \frac{1}{4^n}.$$



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The measure of the set where all cosines are positive is given by

$$\int_0^1 \prod_{k=1}^n \mathbb{1}_{[-1/4,1/4]}(a_k x).$$

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Suppose that $\phi : \mathbb{T} \to \mathbb{R}$ satisfies $\widehat{\phi} \ge 0$ and $\phi \le \mathbb{1}_{[-1/4, 1/4]}$.

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Suppose that $\phi: \mathbb{T} \to \mathbb{R}$ satisfies $\widehat{\phi} \ge 0$ and $\phi \le 1_{[-1/4,1/4]}$. Then, taking a Fourier transform,

$$\int_0^1 \prod_{k=1}^n \mathbb{1}_{[-1/4,1/4]}(a_k x) \ge \int_0^1 \prod_{k=1}^n \phi(a_k x) \ge \phi(0)^n.$$

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Optimal choice of ϕ is given by

$$\phi = 4 \cdot \mathbf{1}_{[-1/8, 1/8]} * \mathbf{1}_{[-1/8, 1/8]}.$$

If we try to understand the behavior of signs of sin(ax) and sin(bx), then one way to do is to integrate a function attaining the values ± 1 and integrate that over a closed geodesic.

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Simple Idea. If the geodesic is very long, then it's probably also fairly uniformly distributed and the average value of the function is probably pretty close to the mean value.

If we try to understand the behavior of signs of sin(ax) and sin(bx), then one way to do is to integrate a function attaining the values ± 1 and integrate that over a closed geodesic.



Simple Idea. If the geodesic is very long, then it's probably also fairly uniformly distributed and the average value of the function is probably pretty close to the mean value. Extremal geodesics corresponding to extreme values **have to be short**.

$$\sup_{\substack{\gamma \text{ closed geodesic}}} \left. \frac{1}{|\gamma|} \left| \int_{\gamma} f \ d\mathcal{H}^1 \right|,$$

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$$\sup_{\gamma ext{ closed geodesic }} rac{1}{|\gamma|} \left| \int_{\gamma} f \ d\mathcal{H}^1
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$$|\gamma|^{s} \lesssim_{s} \left(\max_{|\alpha|=s} \|\partial_{\alpha}f\|_{L^{1}(\mathbb{T}^{2})} \right) \|\nabla f\|_{L^{2}} \|f\|_{L^{2}}^{-2}$$

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Open problem. Higher dimensions. Groups?

For any function $f:\mathbb{T}^2 \to \mathbb{R}$ with mean value 0, we have

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and the proof is easy (take a Fourier transform).

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Only problem when k = 0 (excluded), extremizers and near-extremizers have to be low-frequency.

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Directional Poincaré (S, Arkiv för Matematik, 2015) One can replace the gradient by a *directional* derivative

$$\|\nabla f\|_{L^{2}(\mathbb{T})} \left\| \frac{\partial f}{\partial x} + \frac{1 + \sqrt{5}}{2} \frac{\partial f}{\partial y} \right\|_{L^{2}(\mathbb{T})} \geq c \|f\|_{L^{2}(\mathbb{T})}^{2}.$$

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Sharp up to constant at every frequency scale. Take $f_n(x, y) = \sin(F_{n+1}x - F_ny)$ with F_n being the *n*-th Fibonacci number. Then the left-hand side becomes

$$LHS = \sqrt{\frac{F_{n+1}^2}{F_n^2} + 1} \left| \frac{F_{n+1}}{F_n} - \frac{1 + \sqrt{5}}{2} \right| F_n^2 \cdot \|f_n\|^2$$

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and that expression converges to $1/\sqrt{5}$.

$$\|\nabla f\|_{L^2(\mathbb{T})} \left\| \frac{\partial f}{\partial x} + \frac{1+\sqrt{5}}{2} \frac{\partial f}{\partial y} \right\|_{L^2(\mathbb{T})} \geq c \|f\|_{L^2(\mathbb{T})}^2.$$

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- 1. Statement is false if golden ratio is replaced by *e*.
- 2. Number needs to be badly approximable.
- 3. Version in \mathbb{T}^d exists as well.
- 4. Proof is actually quite easy. (Too easy).

Open Problem. Is there a general version of this statement?
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Potential problem when Y vanishes in a point but exploiting the problem would require lots of concentration which should again be fine. δ probably related to 'mixing properties of the induced flow'. **Probably** $\delta \leq 1/\dim(M)$ and the torus case is extremal ?

Hadamard (1893)

RÉSOLUTION D'UNE QUESTION RELATIVE AUX DÉTERMINANTS; PAR M. J. HADAMARD.

1. Étant donné un déterminant

(1)
$$\Delta = \begin{vmatrix} a_1 & b_1 & \dots & l_1 \\ a_2 & b_2 & \dots & l_2 \\ \dots & \dots & \dots & \dots \\ a_n & b_n & \dots & l_n \end{vmatrix},$$

dans lequel on sait que les éléments sont inférieurs en valeur absolue à une quantité déterminée Λ , il y a souvent lieu de chercher une limite que le module de Δ ne puisse dépasser.

Given a determinant [...] with the assumption that the elements are less in absolute value than some known quantity A, we wish to determine a limit that the modulus of Δ does not exceed.

If $A \in \mathbb{R}^{n \times n}$ has all entries bounded, $|A_{ij}| \leq 1$, then

 $|\det(A)| \leq n^{n/2}.$

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Proof. Determinant is the volume of the parallelepiped.

If $A \in \mathbb{R}^{n imes n}$ has all entries bounded, $|A_{ij}| \leq 1$, then

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Determinant is the volume of the parallelepiped. The length of each of the sides is $\leq \sqrt{n}$.

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Determinant is the volume of the parallelepiped. The length of each of the sides is $\leq \sqrt{n}$. If we Gram-Schmidt the matrix, the sides can only get shorter.

Cases of equality require that each entry is ± 1 and that the rows/columns are orthogonal.

A matrix $A \in \mathbb{R}^{n \times n}$ is *Hadamard* if its entries are ± 1 and the rows/columns are orthogonal.

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A matrix $A \in \mathbb{R}^{n \times n}$ is *Hadamard* if its entries are ± 1 and the rows/columns are orthogonal. Small examples are

$$H_1 = \begin{pmatrix} 1 \end{pmatrix} \quad H_2 = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \quad H_4 = \begin{pmatrix} -1 & 1 & 1 & 1 \\ 1 & -1 & 1 & 1 \\ 1 & 1 & -1 & 1 \\ 1 & 1 & 1 & -1 \end{pmatrix}$$

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A large example (64×64) is



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Sylvester Matrices?

When Hadamard talks about Hadamard matrices, he immediately refers to work of James Sylvester (1867)

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Sylvester (1867)

THOUGHTS ON INVERSE ORTHOGONAL MATRICES, SIMUL-TANEOUS SIGN-SUCCESSIONS, AND TESSELLATED PAVE-MENTS IN TWO OR MORE COLOURS, WITH APPLICATIONS TO NEWTON'S RULE, ORNAMENTAL TILE-WORK, AND THE THEORY OF NUMBERS.

[Philosophical Magazine, XXXIV. (1867), pp. 461-475.]

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13. The complete system of relations between the two sets of 2^i quantities given by the theorem in Art. 10 may it is evident be expressed by means of the inverse orthogonal matrix (also orthogonal) whose type corresponds to 2.2.2... (*i* terms). Thus, for example, for the case of i = 3, we may write—

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Sylvester's Tensor Construction (1867) If $A \in \{-1, 1\}^{n \times n}$ satisfies $det(A) = n^{n/2}$, then

$$B = \begin{pmatrix} A & A \\ A & -A \end{pmatrix}$$

satisfies $B \in \{-1, 1\}^{2n \times 2n}$ and $\det(B) = (2n)^{(2n)/2}$.

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satisfies $B \in \{-1, 1\}^{2n \times 2n}$ and $\det(B) = (2n)^{(2n)/2}$. In particular, $n \times n$ Hadamard exist whenever n is a power of 2.

Back to Hadamard

ments réels. Peut-on trouver de tels déterminants pour d'autres valeurs de n?

Can one find such determinants for other values of n?

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Theorem (Hadamard)

If $A \in \mathbb{R}^{n \times n}$ is a Hadamard matrix and $n \ge 4$, then 4 divides n.

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Theorem (Hadamard)

If $A \in \mathbb{R}^{n \times n}$ is a Hadamard matrix and $n \ge 4$, then 4 divides n.

Proof.

Simple. We can always multiply each column by ± 1 . We can thus assume the first row is only 1's. This forces the second row to have the same number of +1 as -1 (and thus *n* is even). We can now reorder the columns so that the first n/2 entries in the second row are +1. Let us denote the number of +1 among the first n/2 entries in the third row by *a*. Then n/2 - a entries are -1 and

$$a - (n/2 - a) - (n/2 - a) + a = 0 \quad \text{or } 4a = n.$$

A Hadamard matrix $H \in \mathbb{R}^{n \times n}$ is a matrix all of whose entries are ± 1 and

$$\forall x \in \mathbb{R}^n \qquad \|Hx\| = \sqrt{n}\|x\|.$$

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Amazing Theorem (Dong-Rudelson, 2023)

There exists C > 0 such that for all $n \in \mathbb{N}$ there exists a matrix $H \in \{-1, 1\}^{n \times n}$ such that

$$\forall x \in \mathbb{R}^n$$
 $\frac{\sqrt{n}}{C} \|x\| \le \|Hx\| \le C\sqrt{n} \|x\|.$

Theorem (S, 2025)

There exists C > 0 such that for all $n \in \mathbb{N}$ there exists a **circulant** matrix $H \in \{-1, 1\}^{n \times n}$ such that

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Circulants are matrices of the type

$$A = \begin{pmatrix} a_0 & a_{n-1} & a_{n-2} & \dots & a_1 \\ a_1 & a_0 & a_{n-1} & \dots & a_2 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ a_{n-1} & a_{n-2} & a_{n-3} & \dots & a_0 \end{pmatrix}$$

Are there any nice good explicit constructions of approximate Hadamard matrices? (Circulant or not circulant).

Proof

Using the Fourier matrix

$$\mathcal{F} = \frac{1}{\sqrt{n}} \left(e^{-2\pi i m k/n} \right)_{m,k=0}^{n-1}$$

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every circulant matrix can be written as $A = \mathcal{F}^{-1}D\mathcal{F}$.

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every circulant matrix can be written as $A = \mathcal{F}^{-1}D\mathcal{F}$. • Eigenvalues λ_j given by, where $0 \le j \le n - 1$,

$$\lambda_j = a_0 + a_1 w^j + a_2 w^{2j} + \dots + a_{n-1} w^{(n-1)j}$$
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Introducing the polynomial

$$p(z) = a_0 + a_1 z + a_2 z^2 + \dots + a_{n-1} z^{n-1}$$

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we see that the eigenvalues show up at the roots of unity.

Introducing the polynomial

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$$\begin{aligned} \frac{\max_{\|x\|=1} \|Ax\|}{\min_{\|x\|=1} \|Ax\|} &= \frac{\max_{0 \le j \le n} |\lambda_j|}{\min_{0 \le j \le n} |\lambda_j|} \\ &= \frac{\max_{z^n=1} |p(z)|}{\min_{z^n=1} |p(z)|} \le \frac{\max_{|z|=1} |p(z)|}{\min_{|z|=1} |p(z)|}. \end{aligned}$$

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The question is thus: can we find a polynomial p(z) with coefficients ±1 such that

$$\frac{\max_{|z|=1} |p(z)|}{\min_{|z|=1} |p(z)|} \le C?$$

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This question is not new (1966)

ON POLYNOMIALS $\sum_{i=1}^{n} \pm z^{m}$, $\sum_{i=1}^{n} e^{\alpha_{m}i} z^{m}$, $z = e^{\theta i}$

J. E. LITTLEWOOD

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and was recently answered (2020)

FLAT LITTLEWOOD POLYNOMIALS EXIST

PAUL BALISTER, BÉLA BOLLOBÁS, ROBERT MORRIS, JULIAN SAHASRABUDHE, AND MARIUS TIBA

ABSTRACT. We show that there exist absolute constants $\Delta > \delta > 0$ such that, for all $n \ge 2$, there exists a polynomial P of degree n, with coefficients in $\{-1, 1\}$, such that

 $\delta \sqrt{n} \leqslant |P(z)| \leqslant \Delta \sqrt{n}$

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for all $z \in \mathbb{C}$ with |z| = 1. This confirms a conjecture of Littlewood from 1966.

Question

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1. The circulant problem should be slightly easier than finding flat Littlewood polynomials (flat only at roots of unity).

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2. The non-circulant problem should be much easier.

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Are there any nice good explicit constructions of approximate Hadamard matrices? (Circulant or not circulant).

- 1. The circulant problem should be slightly easier than finding flat Littlewood polynomials (flat only at roots of unity).
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4. Is there a **nice** construction?

Failed idea: let us take the matrix

$$\left(\cos\left(\frac{2\pi}{n}ij\right)\right)_{i,j=1}^{n}$$

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$$\left(\cos\left(\frac{2\pi}{n}ij\right)\right)_{i,j=1}^n$$

and replace each entry by its sign

$$A = \left(\operatorname{sign} \left(\cos \left(\frac{2\pi}{n} i j \right) \right) \right)_{i,j=1}^{n}$$

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If $||Ax|| \sim \sqrt{n} ||x||$ then

$$||Ax||^{2} = \langle Ax, Ax \rangle = \left\langle x, A^{T}Ax \right\rangle$$

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If $||Ax|| \sim \sqrt{n} ||x||$ then

$$||Ax||^{2} = \langle Ax, Ax \rangle = \left\langle x, A^{T}Ax \right\rangle$$

and $A^T A$ should be close to some rescaled identity matrix.



Large entries of $A^T A$ when n = 100.



Large entries of $A^T A$ when n = 200.



Large entries of $A^T A$ when n = 300.



Large entries of $A^T A$ when n = 500.

Theorem (François Clément and S, 2025) Let *n* be prime and $0 \neq a, b \in \mathbb{F}_n$. Then

$$\Sigma_{a,b} = \left| \sum_{k=1}^{n} \operatorname{sign} \left(\cos \left(\frac{2\pi}{n} ak \right) \right) \operatorname{sign} \left(\cos \left(\frac{2\pi}{n} bk \right) \right) \right|$$

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satisfies, using ||x|| to denote distance from 1,

$$\Sigma_{a,b} = \frac{n}{\|a^{-1}b\|} \mathbb{1}_{[\|a^{-1}b\| \text{ is odd}]} + \mathcal{O}(\|a^{-1}b\|)$$

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Several other more technical results.

This phenomenon is more general: take the Legendre polyomials

$$p_n(x) = \frac{1}{2^n n!} \frac{d^n}{dx^n} (x^2 - 1)^n.$$

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These polynomials are mutually orthogonal on [-1,1] with

$$\int_{-1}^1 p_m(x)p_n(x)dx = \frac{2}{2n+1}\delta_{mn}$$

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Consider

$$Q_{mn} = \left| \int_{-1}^{1} \operatorname{sign}(p_m(x)) \operatorname{sign}(p_n(x)) dx \right|.$$

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$$Q_{mn} = \left| \int_{-1}^{1} \operatorname{sign}(p_m(x)) \operatorname{sign}(p_n(x)) dx \right|$$



Probably generally true for orthogonal polynomials on the real line...?

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Here's a cheap Kakeya-type statement in \mathbb{R}^2 .



Here's a cheap Kakeya-type statement in \mathbb{R}^2 . Given ℓ_1, \ldots, ℓ_n lines (no two parallel), then their 1/n-neighborhoods T_1, \ldots, T_n satisfy

$$\sum_{i,j=1\atop i\neq j}^{n} |T_i \cap T_j| \gtrsim \log(n).$$

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Trivial bound is $\gtrsim 1$. What happens on \mathbb{S}^2 ?



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Given ℓ_1, \ldots, ℓ_n great circles, then their 1/n-neighborhoods C_1, \ldots, C_n satisfy

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and this is best possible⁴.



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and this is best possible⁴. Nontrivial sharp bound

$$\sum_{i,j=1\atop i\neq j}^n |C_i \cap C_j|^2 \gtrsim \frac{\log n}{n^2}.$$

 $^4\text{S},$ Well-Distributed Great Circles on $\mathbb{S}^2,$ Disc. Comp. Geometry, 2018. $_{\odot}$ $_{\odot}$ $_{\odot}$

$$\sum_{i,j=1\atop i\neq j}^{n} |C_i \cap C_j| \gtrsim 1 \qquad \sum_{i,j=1\atop i\neq j}^{n} |C_i \cap C_j|^2 \gtrsim \frac{\log n}{n^2}$$

Idea behind proof. Identify a great circle with 'north and south pole'.

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$$\sum_{i,j=1\atop i\neq j}^n \frac{1}{\|x_i - x_j\|} \to \min$$

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$$\left(1-\left\langle p_{i},p_{j}
ight
angle ^{2}
ight) ^{-s/2}$$
 independently (Chen-Hardin-Saff, 2020).

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Question

We have (from Hölder and p = 1) that for all $p \ge 1$,

$$\left\|\sum_{i=1}^n \chi_{C_i}\right\|_{L^p(\mathbb{S}^2)} \gtrsim 1.$$

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Sharp for $1 \le p \le 2$. Probably not when p > 2 ?

Speaking of curvature?



The curvature of $\ensuremath{\mathbb{S}}^2$ increases transversality, which decreases the area of intersection.

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The Motzkin-Schmidt Problem

Jean was very generous with problems. In December 2013, there was a very nice conference in Jerusalem that I especially remember for two things. We had the snowstorm of the century and that was the last time I met Jean in person. He told me there the following problem, which to the best of my knowledge, is still open. Is it possible to find *n* points in the unit square such that the 1/n-neighborhood of any line contains no more than C of them for some absolute constant C? The motivation for this problem comes from a possible construction of spherical harmonics as a combination of Gaussian beams, which would have L^{∞} norm bounded by a constant independently of the degree.

(Peter Varju, Remembering Jean Bourgain, AMS Notices 2021)
The Motzkin-Schmidt Problem

A Trivial Statement

Given *n* points in $[0, 1]^2$, there exists exists a line $\ell \in \mathbb{R}^2$ whose 3/n-neighborhood contains at least 3 points.

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The Motzkin-Schmidt Problem Is this true with a o(1/n) neighborhood?

Trivial Let $f : \mathbb{T} \to \mathbb{R}$ be smooth. Then

(#number of critical points) $\cdot \|f\|_{L^{\infty}(\mathbb{T})} \gtrsim \|f'\|_{L^{1}(\mathbb{T})}$.

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Sharp up to constants: $f(x) = \sin(kx)$

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number of critical points $) \cdot \|f\|_{L^2(\mathbb{T})} \gtrsim \frac{\|f'\|_{L^1(\mathbb{T})}^2}{\|f'\|_{L^\infty(\mathbb{T})}}.$

Open problem. Is there a one-parameter family? Interpolation? Higher dimensions? Or it's just an accident?

Spooky Action at a Distance

When doing Fourier Analysis, there is no real difference between sin(x) and -sin(x), the sign cancels

$$f = \sum_{k \in \mathbb{N}} \langle f, \phi_k \rangle \, \phi_k.$$

⁵S, Quantum entanglement and the growth of Laplacian eigenfunctions. Communications in Partial Differential Equations, 48 (2023) 511-541. (≧→ ≧ ∽ <<

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$$f = \sum_{k \in \mathbb{N}} \langle f, \phi_k \rangle \, \phi_k.$$

Motivated by some question on Laplacian eigenfunctions⁵, we can flip all the signs so that the functions are all positive in x

$$f(x,y) = \sum_{k=1}^{n} \operatorname{sign}(\phi_k(x)) \phi_k(y).$$

⁵S, Quantum entanglement and the growth of Laplacian eigenfunctions. Communications in Partial Differential Equations, 48 (2023) 511-541. (≧→ ≧ ∽ <<



The functions $\sin(kx)$ on $[0, \pi]$.

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Summed sines (sign so that positive in x = 2), 10 terms.



Summed sines (sign so that positive in x = 2), 50 terms.



Summed sines (sign so that positive in x = 2), 250 terms.

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Summed sines (sign so that positive in x = 2), 1000 terms.

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Eigenfunctions of Laplacian on 1/4-disk with Dirichlet boundary conditions are an orthonormal family of basis functions in L^2 .

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Bessel Function Identities?

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Bessel Function Identities? Other examples?

Opaque Sets and Barriers

Opaque Square (Mazurkiewicz 1916, Bagemihl 1959)

Consider a one-dimensional set in \mathbb{R}^2 such that every line that intersects $[0,1]^2$ also intersects the set.

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Opaque Sets and Barriers

Opaque Square (Mazurkiewicz 1916, Bagemihl 1959)

Consider a one-dimensional set in \mathbb{R}^2 such that every line that intersects $[0,1]^2$ also intersects the set. How short can it be?

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Opaque Sets and Barriers

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Consider a one-dimensional set in \mathbb{R}^2 such that every line that intersects $[0,1]^2$ also intersects the set. How short can it be?



Length = 4

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 $Length \sim 2.639$

This is probably the minimizer; people are somewhat amazed by this because it is not connected. Very little progress in the last 30 years.

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This is interesting not just for $[0, 1]^2$. The state of the art for the unit disk is due to Makai and Day (independently, ~ 1980).

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Theorem (Cauchy)

The mean-width of a convex domain $\Omega \subset \mathbb{R}^2$ is $|\Omega|/\pi$.



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Theorem (Cauchy)

The mean-width of a convex domain $\Omega \subset \mathbb{R}^2$ is $|\Omega|/\pi$.

The mean-width of a line segment of length ℓ is

$$\frac{1}{2\pi}\int_0^{2\pi}\ell|\cos\left(\alpha\right)|d\alpha=\frac{2}{\pi}\ell.$$

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Hard to get improvements from this.

Theorem (Kawamura-Moriyama-Otachi-Pach, 2019) An opaque set in the square has length at least 2.00002.

Near-Extremizers of Jones bound



Theorem (Near Extremizers of Jones look like boundary, S) Let $\Omega \subset \mathbb{R}^2$ be a bounded convex domain, let \mathcal{O} be an opaque set of length L and let $\mu_{\mathcal{O}}$ and $\mu_{\partial\Omega}$ be the associated measures.

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$$\|\mu_{\mathcal{O}} - \mu_{\partial\Omega}\|_{\dot{H}^{-2}(\mathbb{T})} \leq \frac{L^{1/4}}{\sqrt{2}} \cdot \left(L - \frac{|\partial\Omega|)}{2}\right)^{3/4}$$

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The Averaging Problem

What is the 'best' average?

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This allows us to make the question more specific: suppose

$$[Av](f)(x) = (f * g)(x) = \int_{\mathbb{R}} f(x+y)g(y)dy.$$

What is the best g?

An example: we want understand local averages of



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Averaging with characteristic functions



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Averaging with exponential distribution



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So which one is the right one?



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Axiom.

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has the smallest possible value of c_g . The value of c_g cannot be arbitrarily small.

$$\sup_{f \in L^2} \frac{\left\| \frac{d}{dx} (f * g) \right\|_{L^2(\mathbb{R})}}{\|f\|_{L^2(\mathbb{R})}} = \sup_{\xi} |\xi| \cdot |\widehat{g}(\xi)| \to \min_{\xi} |\xi| \cdot |\widehat{g}(\xi)|$$

subject to conditions gives uncertainty principle (next slide).

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1. Other differential operators are conceivable, we'll get to $\frac{d^2}{dx^2}$.

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2. Other function spaces are conceivable. L^p ?

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subject to conditions gives uncertainty principle (next slide).

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1. Other differential operators are conceivable, we'll get to $\frac{d^2}{dx^2}$.

- 2. Other function spaces are conceivable. L^p ?
- 3. Other ways of fixing the scale are conceivable.
The Problem

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But generally, all these questions are open.

Some New Uncertainty Principles

Theorem (S, Math Res Lett, 2021)

For $\alpha > 0$ and $\beta > n/2$, there exists $c_{\alpha,\beta,n} > 0$ such that for all $u \in L^1(\mathbb{R}^n)$

$$\||\xi|^{\beta} \cdot \widehat{u}\|_{L^{\infty}(\mathbb{R}^n)}^{\alpha} \cdot \||x|^{\alpha} \cdot u\|_{L^{1}(\mathbb{R}^n)}^{\beta} \geq c_{\alpha,\beta,n}\|u\|_{L^{1}(\mathbb{R}^n)}^{\alpha+\beta}$$

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• n = 1 and $\beta = 1$ corresponds exactly to the problem above. $\||\xi| \cdot \hat{u}\|_{L^{\infty}(\mathbb{R})}^{\alpha} \cdot \||x|^{\alpha} \cdot u\|_{L^{1}(\mathbb{R})} \ge c_{\alpha}\|u\|_{L^{1}(\mathbb{R})}^{\alpha+1}.$

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• If we additionally fix the variance, i.e. $\alpha = 2$, then $\||\xi| \cdot \hat{u}\|_{L^{\infty}(\mathbb{R})}^{2} \cdot \||x|^{2} \cdot u\|_{L^{1}(\mathbb{R})} \ge c_{2,1,1}\|u\|_{L^{1}(\mathbb{R})}^{3}.$

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What can one say about the extremal function *u*?

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What can one say about the extremal function u? Usually, in harmonic analysis, extremal functions are smooth.

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Conjecture

The extremizer will assume the L^{∞} -norm infinitely many times,

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The extremizer will assume the L^{∞} -norm infinitely many times, the extremizer satisfies something like

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This would mean that the extremizers are **not** smooth.

Is the classical simple averaging

$$\operatorname{Av}(f)(x) = \frac{1}{\lambda} \int_{-\lambda/2}^{\lambda/2} f(x+y) dy$$

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an extremizer of the uncertainty principle

$$\||\xi|\cdot \widehat{u}\|_{L^{\infty}(\mathbb{R})}^{\alpha}\cdot \||x|^{\alpha}\cdot u\|_{L^{1}(\mathbb{R})}\geq c_{\alpha}\|u\|_{L^{1}(\mathbb{R})}^{\alpha+1}?$$

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$$\operatorname{Av}(f)(x) = \frac{1}{\lambda} \int_{-\lambda/2}^{\lambda/2} f(x+y) dy$$

an extremizer of the uncertainty principle

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Let $\alpha \geq 2$.

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A very partial result (S.) Locally optimal when $\alpha \in \{2, 3, 4, 5, 6\}$.

Theorem (Cho, Park)

Locally optimal when $\alpha \geq 2$.

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We do this by convolving with symmetric functions

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We want the smoothest convolution, the one for which

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abla(f*g)\|_{\ell^2(\mathbb{Z})} \leq c_g \|f\|_{\ell^2(\mathbb{Z})}$$

has the smallest constant c_g . Here $(\nabla f)(n) = f(n+1) - f(n)$ is the discrete derivative.

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Note. This is the discrete analogue of the characteristic function (like in the continuous case!)

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$$u(k) = \frac{n+1-|k|}{(n+1)^2}.$$

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Sean Richardson can remove the requirement $\hat{f}(\xi) \ge 0$. **Open problem.** For $\ell = 3, 4, ...$

$$\sup_{0\neq f\in\ell^2(\mathbb{Z})}\frac{\|\Delta^\ell(f\ast u)\|_{\ell^2(\mathbb{Z})}}{\|f\|_{\ell^2}}\geq ?$$

Fourier Analysis reduces everything to trigonometric polynomials.

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Let p(x) be a polynomial of degree at most n that is nonnegative on [-1, 1] and satisfies p(1) = 1.

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with equality if and only if

$$p(x) = \frac{1}{(n+1)^2} \cdot \frac{1 - T_{n+1}(x)}{1 - x},$$

where T_n is the *n*-th Chebychev polynomial.

Let p(x) be a polynomial of degree at most n that is nonnegative on [-1, 1] and satisfies p(1) = 1.

Let p(x) be a polynomial of degree at most *n* that is nonnegative on [-1, 1] and satisfies p(1) = 1. Then

$$\max_{x\in [-1,1]} (1-x) \cdot p(x) \geq \frac{2}{(n+1)^2},$$

with equality if (n = 8)



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$$\max_{x\in [-1,1]}(1-x)\cdot \rho(x)^2\geq \frac{2}{(2n+1)^2},$$

with equality if and only if

$$p(x) = \frac{1}{2n+1} \left(1 + 2\sum_{k=1}^{n} T_k(x) \right).$$

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Kozma-Oravecz Inequalities

The function $f : \mathbb{T}^d \to \mathbb{R}$ given by

$$f(x) = \cos(2\pi \langle x, k \rangle)$$

has a root in each ball of size $\sim 1/||k||$.

Kozma-Oravecz Inequalities

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$$f(x) = \sum_{k=A}^{A+B} a_k \cos(kx)$$

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has a root in every interval of length (B+1)/(2A+B).

Theorem (Kozma-Oravecz, 2003)

The function $f : \mathbb{T} \to \mathbb{R}$ given by $f(x) = \sum_{k \in S} a_k \cos(kx)$ has a root in each ball of radius

$$r(f)=\frac{1}{4}\sum_{k\in S}\frac{1}{\|k\|}.$$

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$$r(f) = d^{3/2} \sum_{\lambda \in \{\|k\|: k \in S\}} \frac{1}{\lambda}.$$

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The proof is simple and based on the convolution identity and if

$$f(x) = \sum_{k \in S} a_k \exp\left(2\pi i \langle x, k \rangle\right),$$

then

$$(f * h_{\delta^*})(x) = \sum_{k \in S} a_k \cdot \widehat{h_{\delta^*}}(k) \cdot \exp\left(2\pi i \langle x, k \rangle\right).$$

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If f > 0 on a ball of radius r and we convolve f with a positive function supported on a ball of radius δ^* , then the convolution is positive on a ball of radius $r - \delta^*$.

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Theorem (S, Journal d'Analyse Mathematique, 2023) The function $f : \mathbb{T} \to \mathbb{R}$ given by $f(x) = \sum_{k \in S} a_k \cos(kx)$ has a root in each ball of radius

$$r(f)=d^{3/2}\sum_{\lambda\in\{\|k\|:k\in S\}}\frac{1}{\lambda}.$$

Problem. I don't see any indication that the correct scaling should be the **sum** of the inverse frequencies (inverse frequencies themselves are okay). Maybe something like

$$\left(\sum_{\lambda \in \{\|k\|: k \in S\}} \frac{1}{\lambda^2}\right)^{1/2} \qquad ?$$

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THANK YOU!