

# Kaons and long-distance meson mixing from lattice QCD

Stephen R. Sharpe  
University of Washington

# Based partly on 2013 white paper

## LATTICE QCD AT THE INTENSITY FRONTIER

Thomas Blum, Michael Buchoff, Norman Christ, Andreas Kronfeld,  
Paul Mackenzie, Stephen Sharpe, Robert Sugar and Ruth Van de Water

(USQCD Collaboration)

### SUMMARY

Lattice QCD calculations now play an essential role in the search for new physics at the intensity frontier. They provide accurate results for many of the hadronic matrix elements needed to realize the potential of present experiments probing the physics of flavor. The methodology has been validated by comparison with a broad array of measured quantities, several of which had not been well measured in experiment when the first good lattice calculation became available. In the US, this effort has been supported in an essential way by hardware and software support provided to the USQCD Collaboration.

This document has laid out an ambitious five year vision for future LQCD calculations, explaining how they can provide essential and timely information for upcoming experiments at the intensity frontier, by undertaking calculations of new, more computationally challenging, quantities. In addition, steady improvements in lattice results for matrix elements which are already well calculated will ensure that existing experimental results are fully utilized in the search for new physics. Our plans rely on continuing hardware and software support at similar levels to those of the last decade.

[www.usqcd.org/documents/l3flavor.pdf](http://www.usqcd.org/documents/l3flavor.pdf)

# Based partly on 2013 white paper

## LATTICE QCD AT THE INTENSITY FRONTIER

Thomas Blum, Michael Buchoff, Norman Christ, Andreas Kronfeld,  
Paul Mackenzie, Stephen Sharpe, Robert Sugar and Ruth Van de Water

(USQCD Collaboration)

**Very helpful input from experimentalists and phenomenologists!**

We gratefully acknowledge suggestions and comments from Marina Artuso, Brendan Casey, Tim Gershon, Enrico Lunghi, Bob Tschirhart and Jure Zupan.

# Master Formula

$$\text{Expt} = (\text{CKM})(\text{pQCD})(\text{non-pert QCD}) \\ + \text{BSM}(\text{non-pert QCD})$$

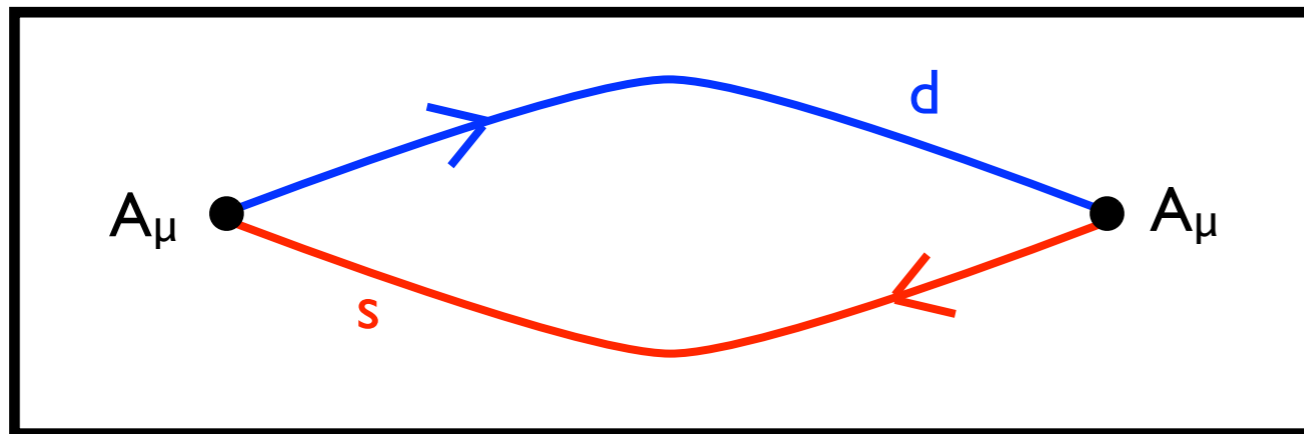
LQCD provides first-principles method to calculate (some) non-perturbative QCD matrix elements

# Outline

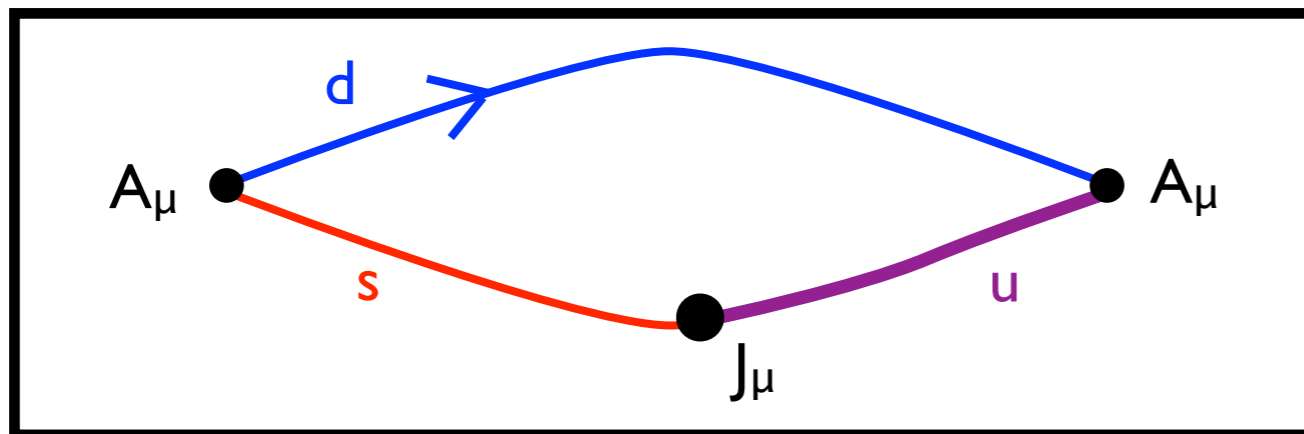
- Standard vs non-standard/novel/new lattice calculations
- Results for standard quantities
- Results & prospects for new quantities
  - $K \rightarrow \pi\pi$  decays
  - $\Delta M_K$  (long distance)
  - $K \rightarrow \pi VV$  and other rare decays
  - $D \rightarrow \pi\pi$ ,  $D \rightarrow KK$  and  $D$ - $D$ bar mixing
- Summary & Outlook

# Standard vs non-standard quantities

- Standard means matrix elements involving single particles



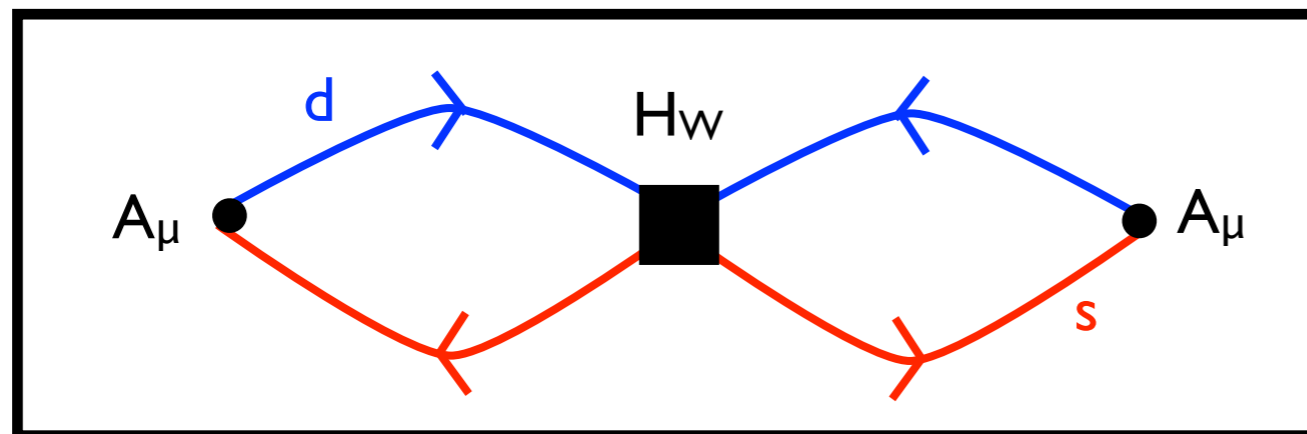
$\Rightarrow f_K^2$  (similarly  $f_\pi$ ,  $f_D$ ,  $f_B$ )



$\Rightarrow K \rightarrow \pi$  form factor  
(similarly  $B \rightarrow D$ , etc)

# Standard vs non-standard quantities

- Standard means matrix elements involving single particles

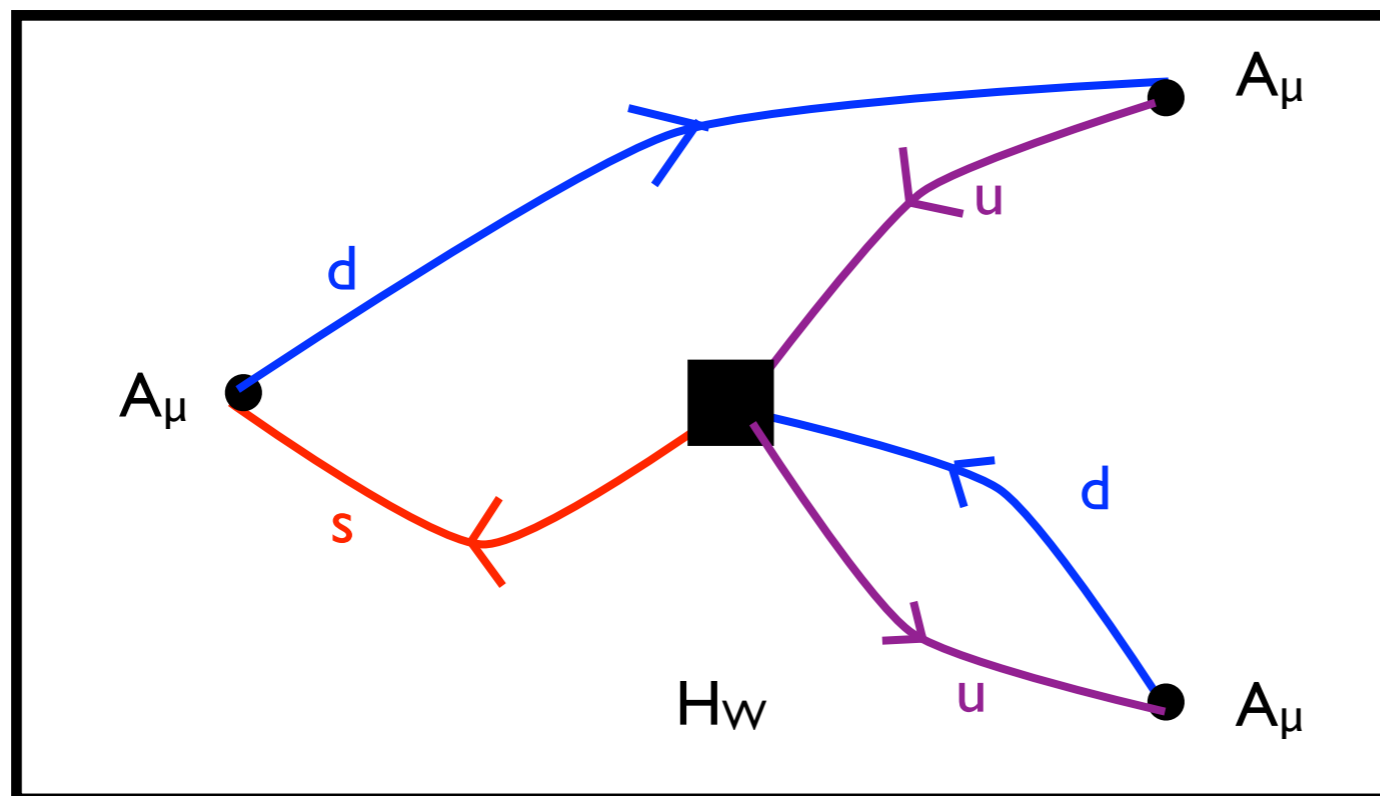


$\Rightarrow B_K$  (similarly  $B_D, B_B$ )

- Nearly 20 standard matrix elements are fully controlled with small errors
  - Decay constants:  $f_\pi, f_K, f_D, f_{D_s}, f_B, f_{B_s}$
  - Form factors:  $K \rightarrow \pi, D \rightarrow K, D \rightarrow \pi, B \rightarrow D, B \rightarrow D^*, B_s \rightarrow D_s$  &  $B \rightarrow \pi$
  - Mixing matrix elements:  $B_K, B_B, B_{B_s}$

# Standard vs non-standard quantities

- Non-standard: matrix elements involving two or more particles

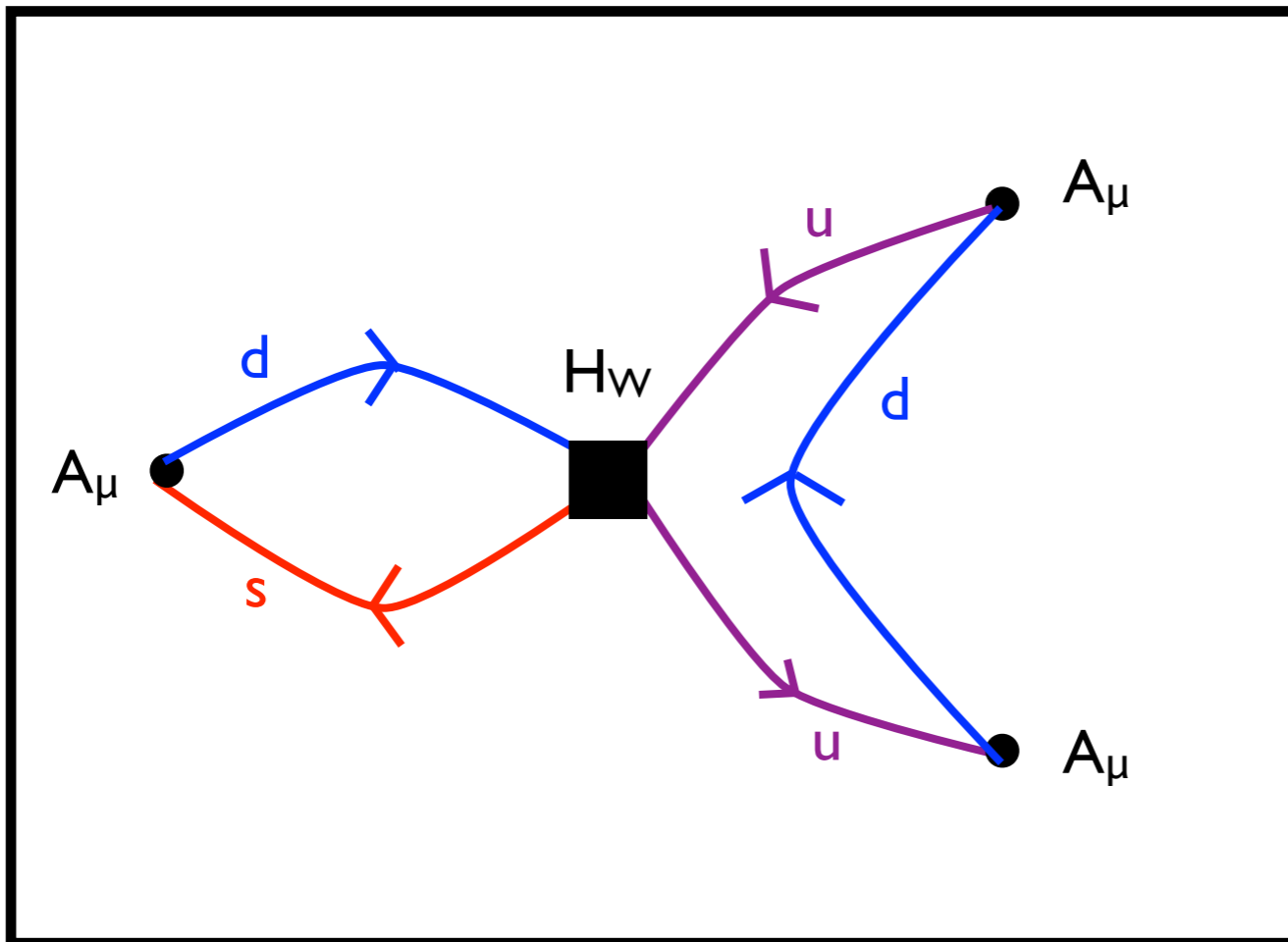


$\Rightarrow K \rightarrow \pi\pi$  ( $I=2$ )



# Standard vs non-standard quantities

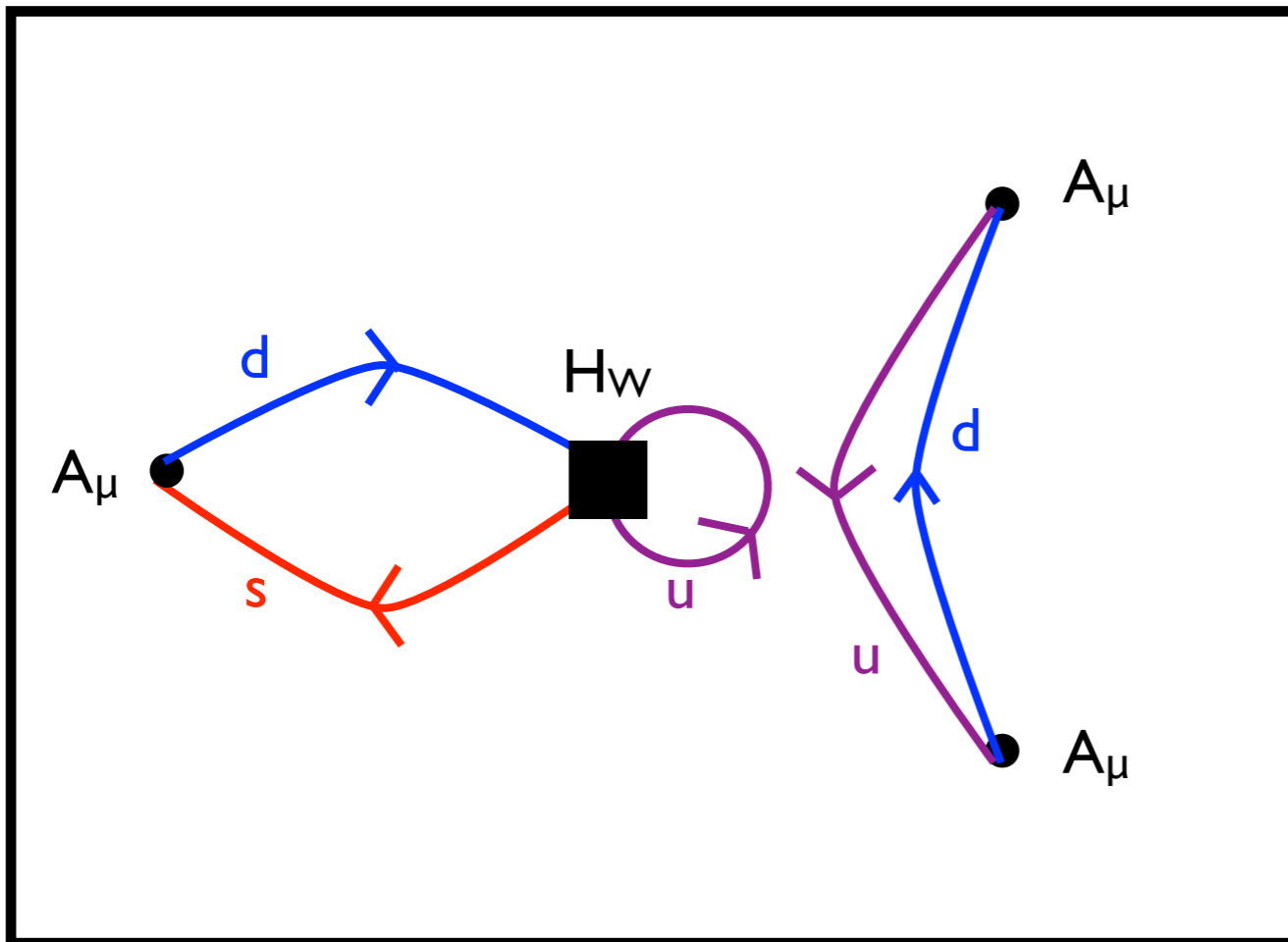
- Non-standard: matrix elements involving two or more particles and/or quark-disconnected contributions



$\Rightarrow K \rightarrow \pi\pi (I=0)$

# Standard vs non-standard quantities

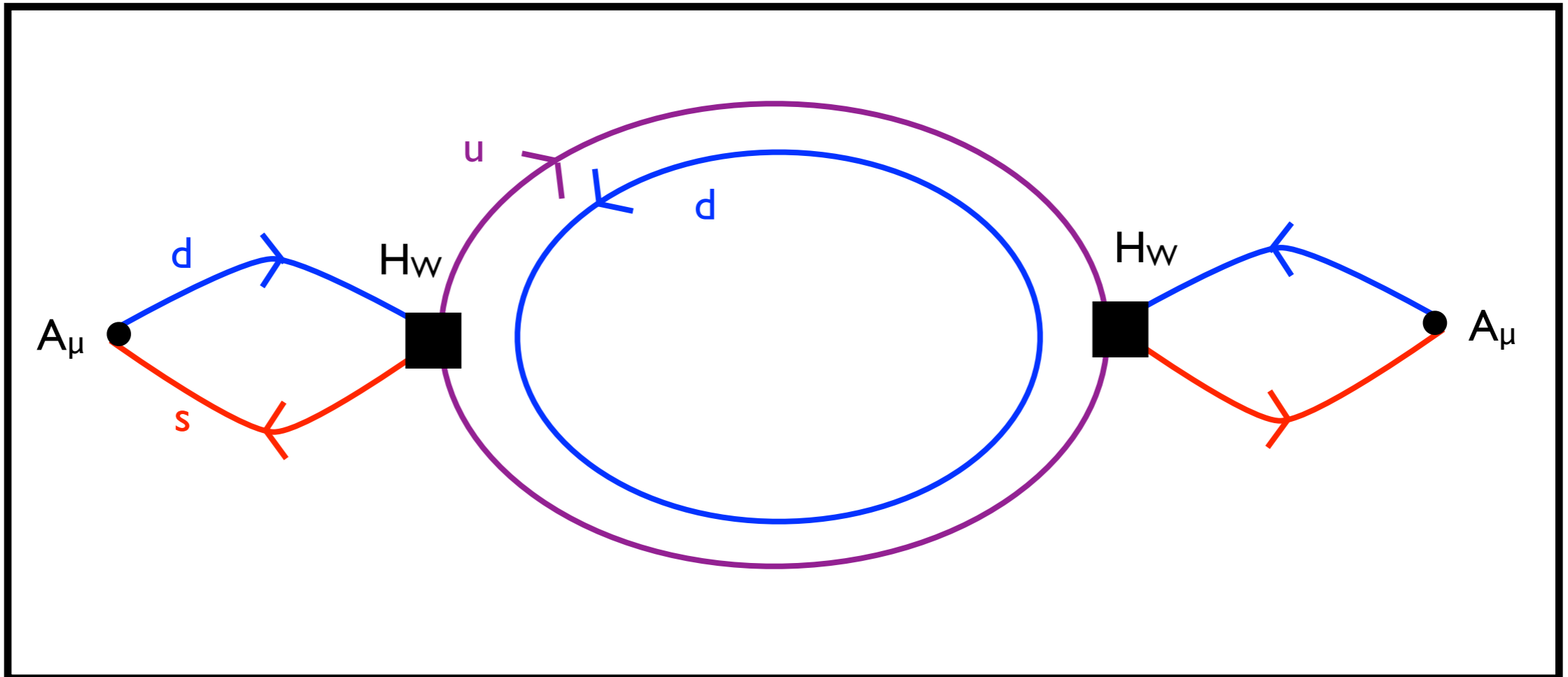
- Non-standard: matrix elements involving two or more particles and/or quark-disconnected contributions



$\Rightarrow K \rightarrow \pi\pi$  ( $I=0$ )

# Standard vs non-standard quantities

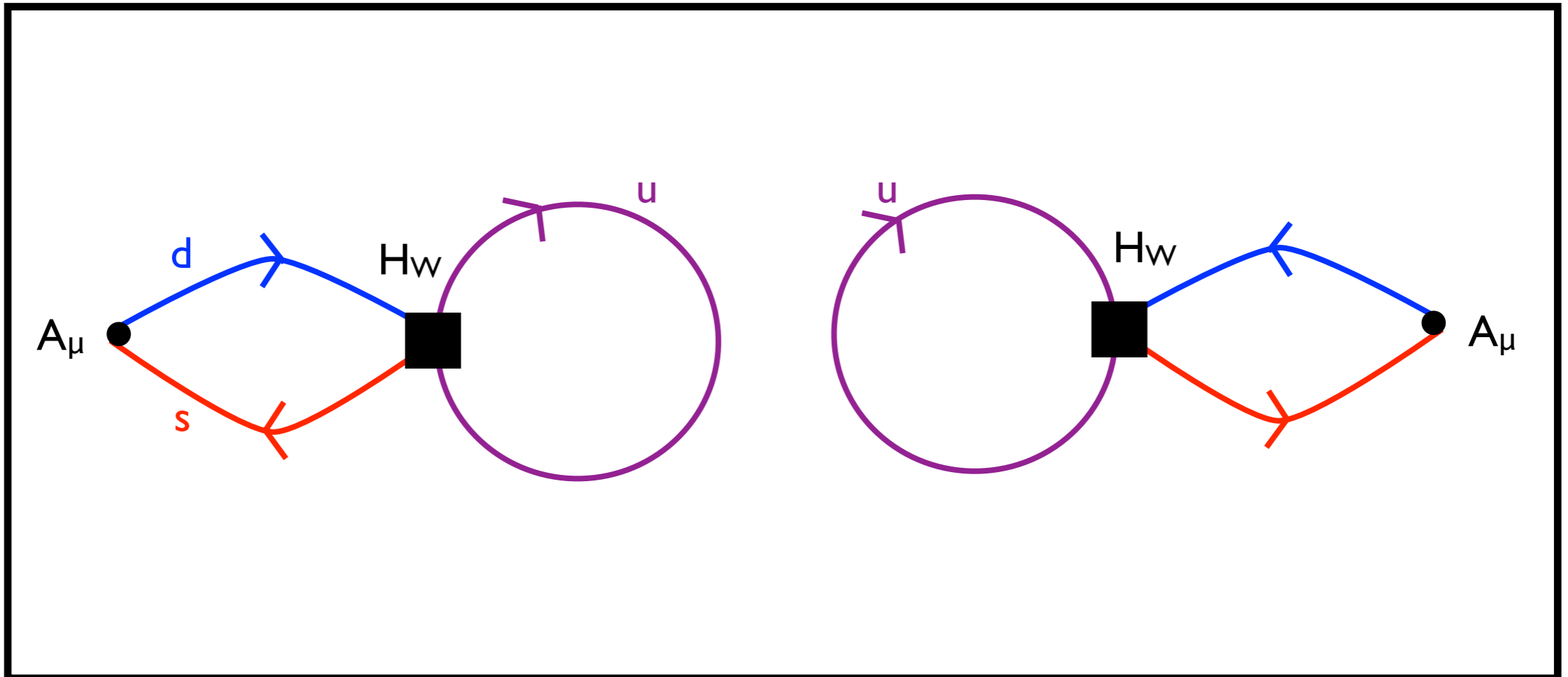
- Non-standard: matrix elements involving two or more particles and/or quark-disconnected contributions and/or two insertions of  $H_W$



$\Rightarrow$  Long distance part of  $\Delta M_K$

# Standard vs non-standard quantities

- Non-standard: matrix elements involving two or more particles and/or quark-disconnected contributions and/or two insertions of  $H_W$



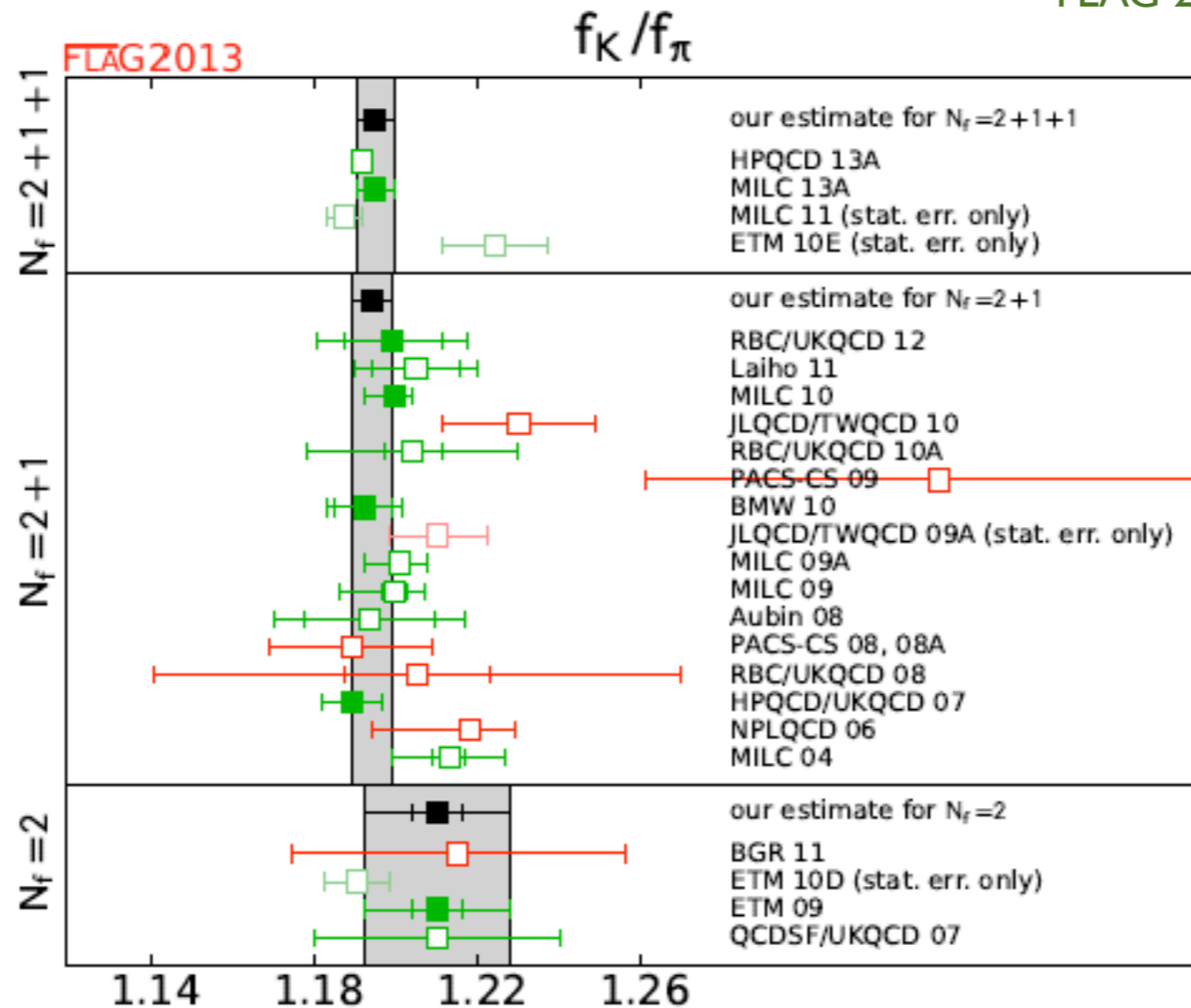
$\Rightarrow$  Long distance part of  $\Delta M_K$

# Outline

- Standard vs non-standard/novel/new lattice calculations
- Results for standard quantities
- Results & prospects for new quantities
  - $K \rightarrow \pi\pi$  decays
  - $\Delta M_K$  (long distance)
  - $K \rightarrow \pi VV$  and other rare decays
  - $D \rightarrow \pi\pi$ ,  $D \rightarrow KK$  and  $D$ - $D$ bar mixing
- Summary & Outlook

# Status of $f_K/f_\pi$

FLAG 2013 (arXiv:1310.8555)



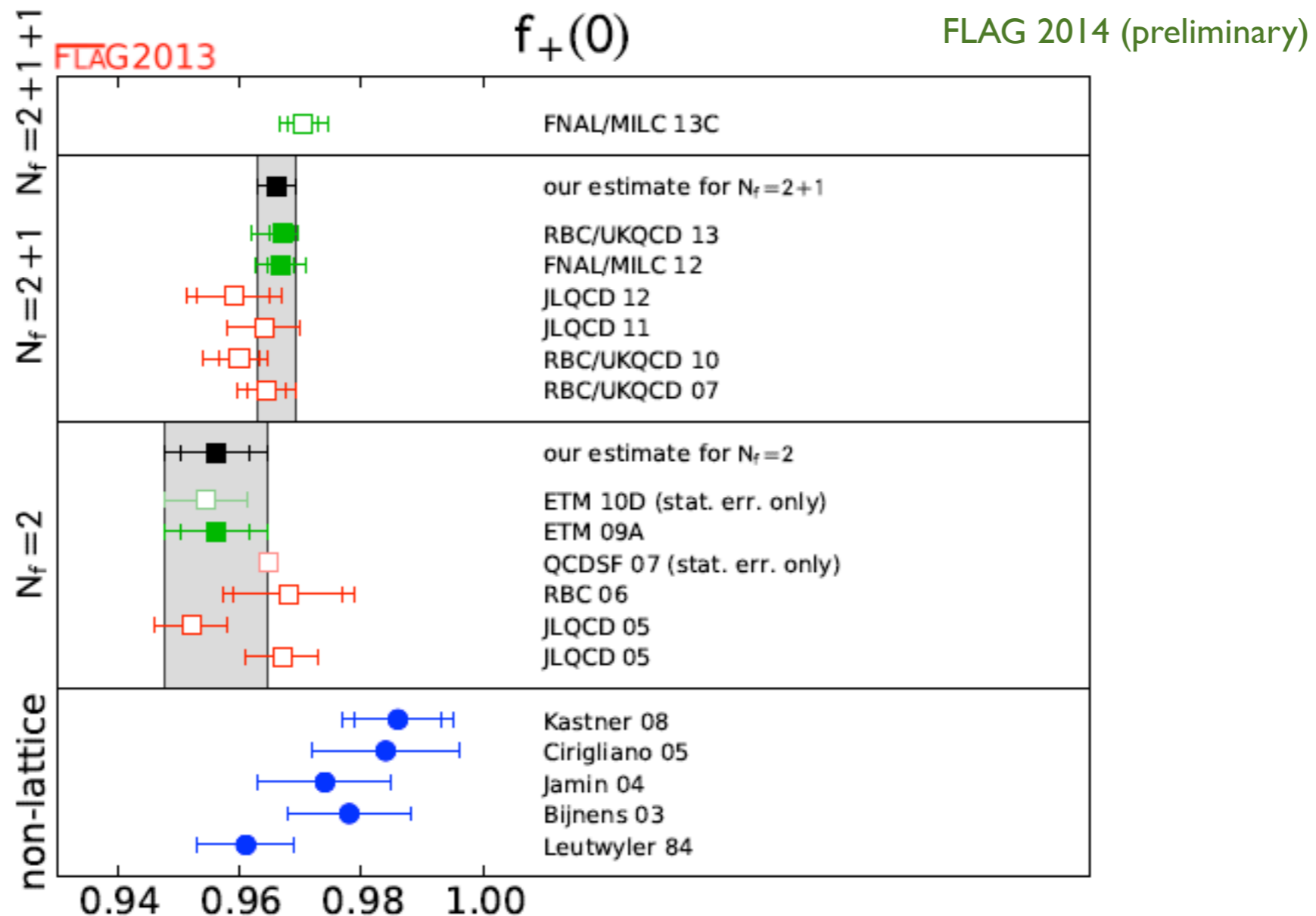
$$f_{K^\pm}/f_{\pi^\pm} = 1.195(3)(4), \quad (\text{direct, } N_f = 2 + 1 + 1), \quad \begin{matrix} 0.4\% \text{ error} \\ \text{(really 2\% on} \\ \text{difference from 1)} \end{matrix}$$

$$f_{K^\pm}/f_{\pi^\pm} = 1.192(5), \quad (\text{direct, } N_f = 2 + 1),$$

$$\Rightarrow |V_{us}| = 0.2256(3)_{\text{exp}}(2-4)_{\text{EM}}(10)_{\text{lat}}(1)_{V_{ud}}$$

- Further lattice improvement underway, but will eventually require inclusion of EM effects. This is not easy since axial current not EM gauge invariant.

# Status of $K \rightarrow \pi$ form factor



$$f_+(0) = 0.9661(32) \quad (N_f = 2 + 1)$$

$$\Rightarrow |V_{us}| = 0.2239(5)_{\text{exp}}(2)_{\text{EM}}(7)_{\text{lat}}$$

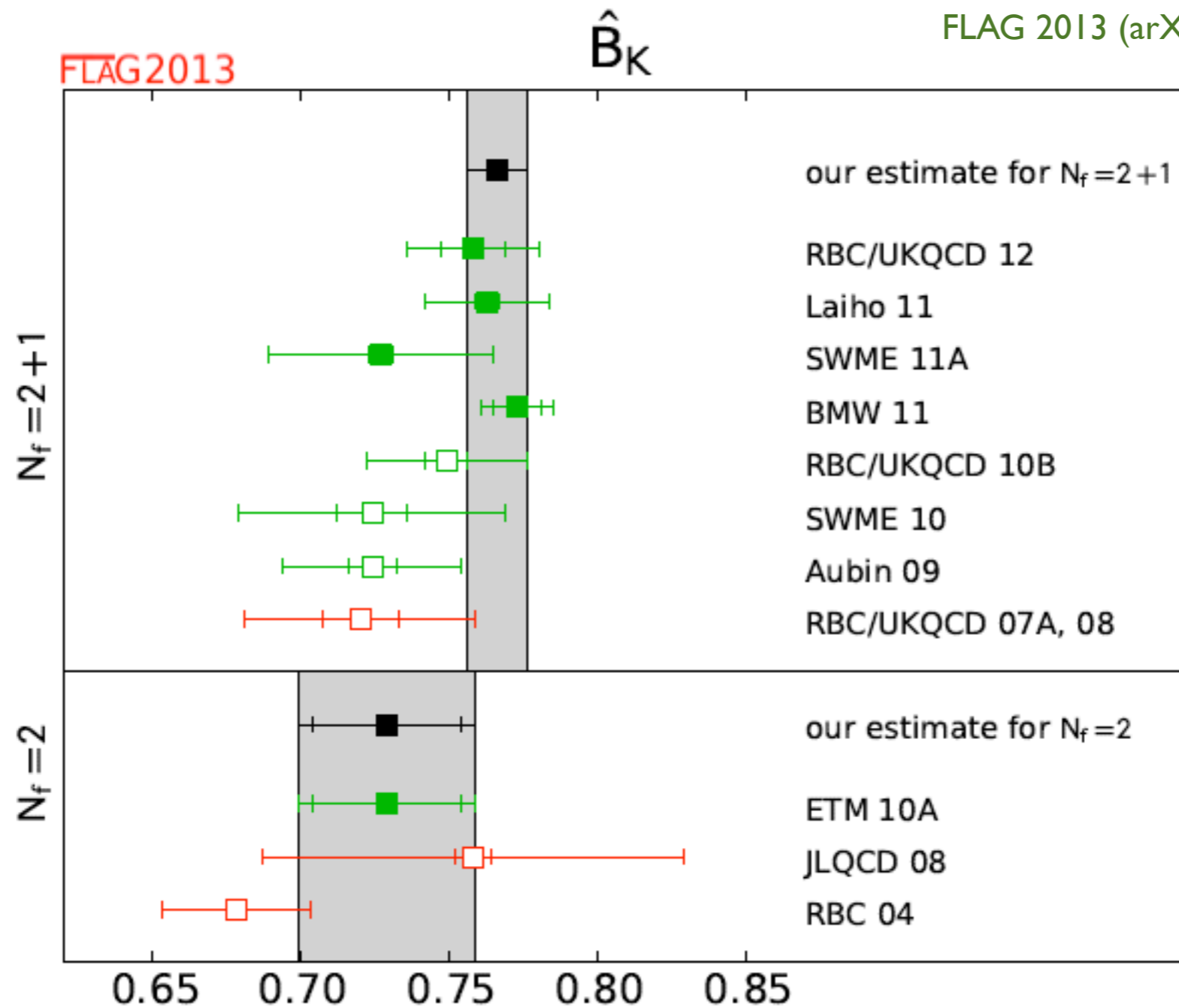
0.3% error

[really 10% on  $f_+(0) - 1$ ]

- Lattice errors will be reduced using physical light-quark masses (underway)  
“EM wall” not far away

# Status of $B_K$

FLAG 2013 (arXiv:1310.8555)



$N_f = 2 + 1 : \quad \hat{B}_K = 0.766(10) \quad 1.3\% \text{ error}$

- Can be further improved, but already other errors dominate when using  $B_K$  in unitarity triangle analysis ( $V_{cb}$ , long distance in  $\epsilon_K$ , PT errors)



# Reasons for future improvement

- Steadily improve calculations of standard matrix elements, in particular using:
  - Physical light-quark masses
  - Isospin breaking & EM effects
  - Charmed sea
  - Finer lattice spacings & improved actions (heavy quarks)
  - Improved statistical errors
  - Improved methods of normalizing operators (e.g. SMOM)
- Already in use for some quantities, will soon become widespread

# Expanding portfolio of standard quantities

- Contributions of BSM physics to  $K$  (and  $D$  &  $B$ ) mixing
- $B \rightarrow K$   $I^+I^-$ ,  $\Lambda_b \rightarrow \Lambda$   $I^+I^-$  and related form factors
- Tensor form factors for  $K \rightarrow \pi$ ; needed to constrain BSM theories
- Others?

Can achieve few-10% accuracy on few year timescale, which is commensurate with experimental program, and significantly enhances search for BSM physics

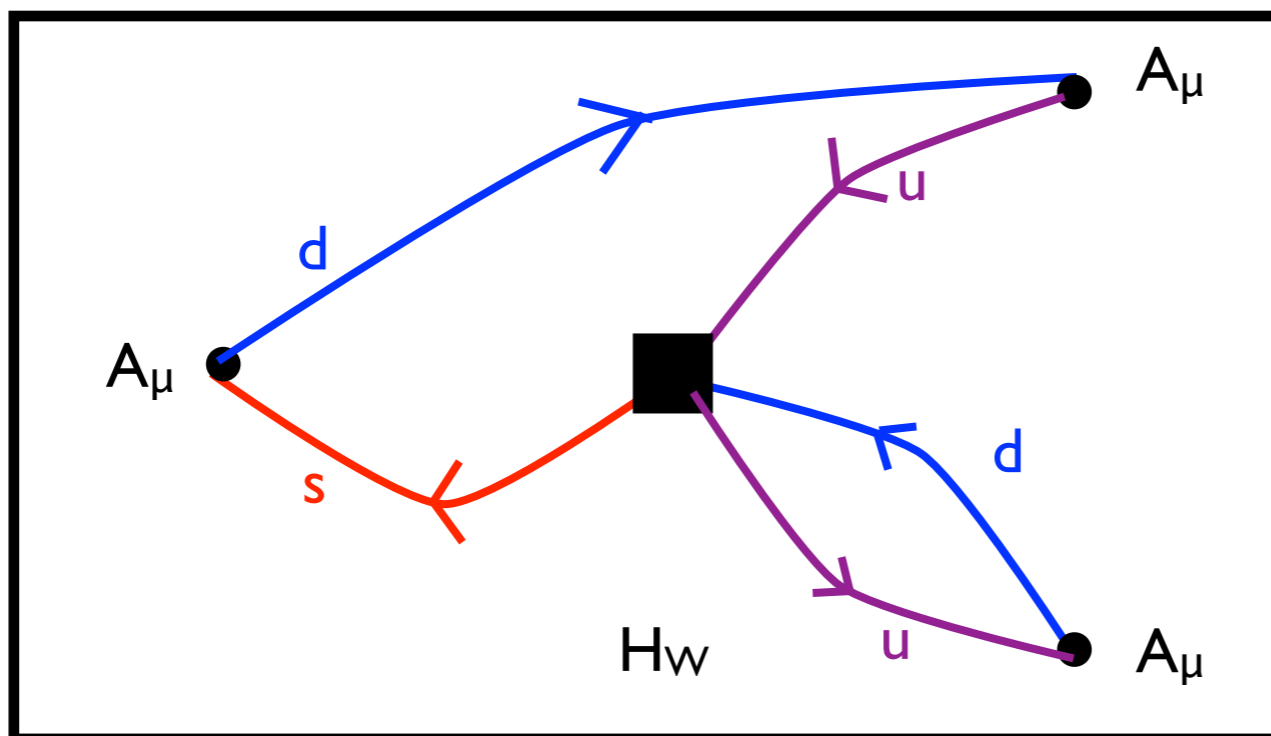
# Outline

- Standard vs non-standard/novel/new lattice calculations
- Results for standard quantities
- Results & prospects for new quantities
  - $K \rightarrow \pi\pi$  decays
  - $\Delta M_K$  (long distance)
  - $K \rightarrow \pi\nu\nu$  and other rare decays
  - $D \rightarrow \pi\pi$ ,  $D \rightarrow KK$  and  $D$ - $D$ bar mixing
- Summary & Outlook

# $K \rightarrow \pi\pi(I=2)$ decay amplitudes

[RBC/UKQCD arXiv:1111.1699, 1206.5142, 1311.3844(Lattice 2013)]

- First controlled result for an amplitude involving two particles
- Isospin 2  $\Rightarrow$  no quark-disconnected contributions
- Uses physical kinematics (physical quark masses, moving pions so  $M_K=2E_\pi$ ) which requires box with  $L \approx 5.5$  fm
- Original result at  $a \approx 0.14$  fm; two new (prelim.) results at  $a \approx 0.12$  &  $0.09$  fm, allowing continuum extrapolation
  - Systematic error now  $\approx 11\%$  (down from 19%); statistical errors 1-2%

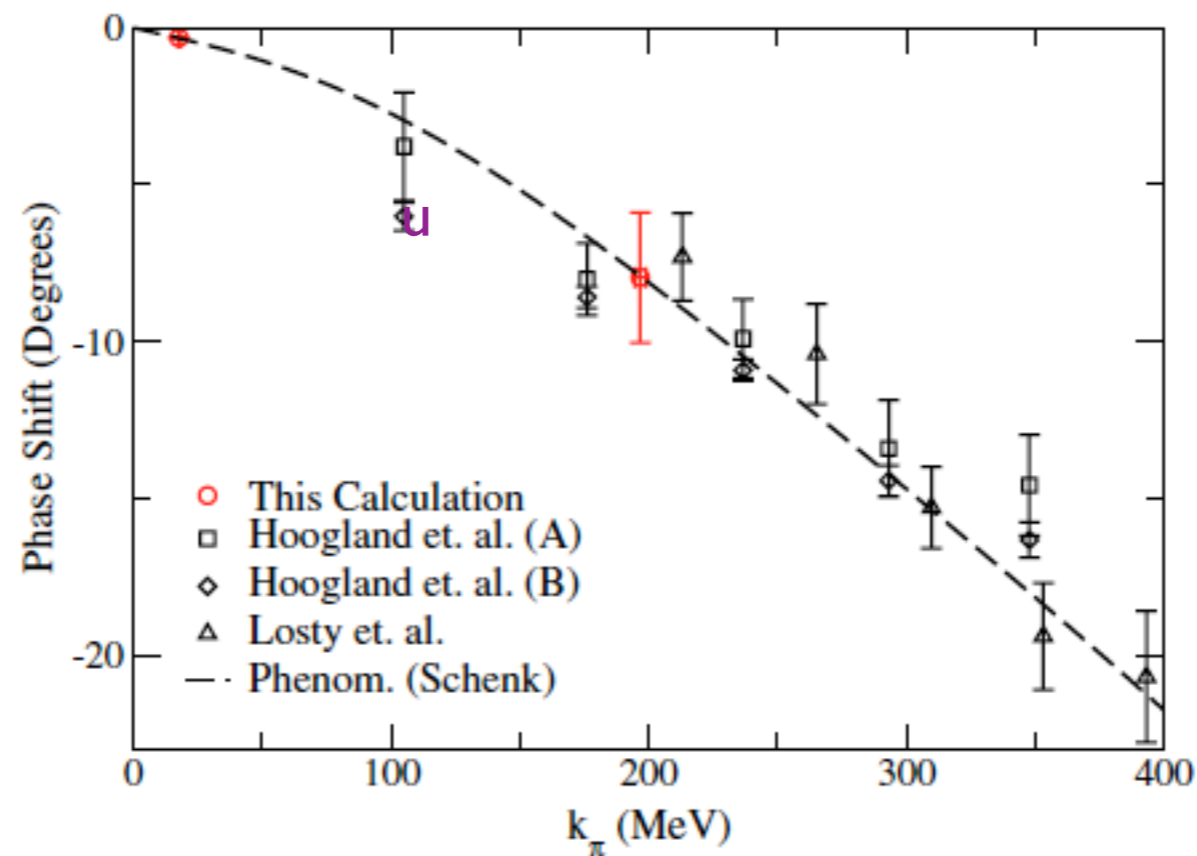


# $\pi\pi(I=2)$ phase shift

[RBC/UKQCD arXiv:1111.1699, 1206.5142]

- Auxiliary calculation needed to make finite volume correction (few % effect)
- First calculation of phase shift with physical quark masses

$I=2$   
phase  
shift



Lattice  
Experiment

# Comparison with experiment

Lattice Results (2012) [RBC/UKQCD arXiv:1111.1699, 1206.5142]

$\text{Re } A_2 = 1.38 (5)_{\text{stat}} (26)_{\text{syst}} 10^{-8} \text{ GeV}$   $\longleftrightarrow$   $\begin{array}{l} \text{expt. } 1.479(4) 10^{-8} [\text{K}^+] \\ 1.57(6) 10^{-8} [\text{K}_S] \end{array}$

$\text{Im } A_2 = -6.5 (5)_{\text{stat}} (12)_{\text{syst}} 10^{-13} \text{ GeV}$   $\longleftrightarrow$   $\begin{array}{l} \text{New information!} \\ \text{Can use with expt result for } \epsilon' \\ \text{to determine } \text{Im } A_0 \end{array}$

Present lattice errors about half this size [arXiv:1311.3844; RBC/UKQCD article in progress]

# $K \rightarrow \pi\pi(I=0)$ amplitude & $\Delta I=1/2$ rule

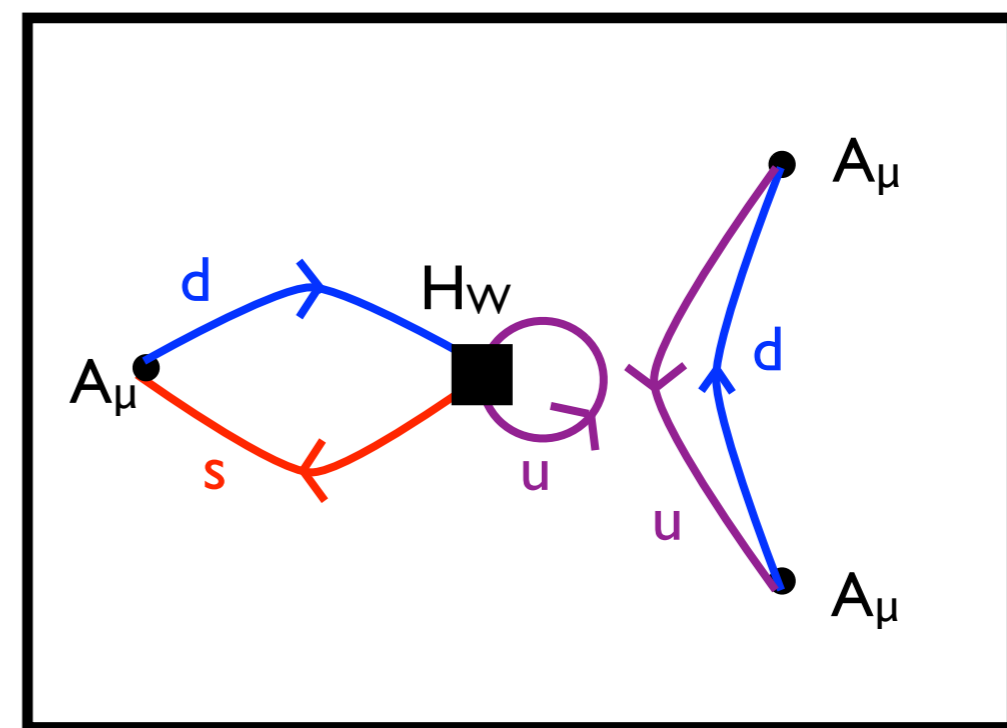
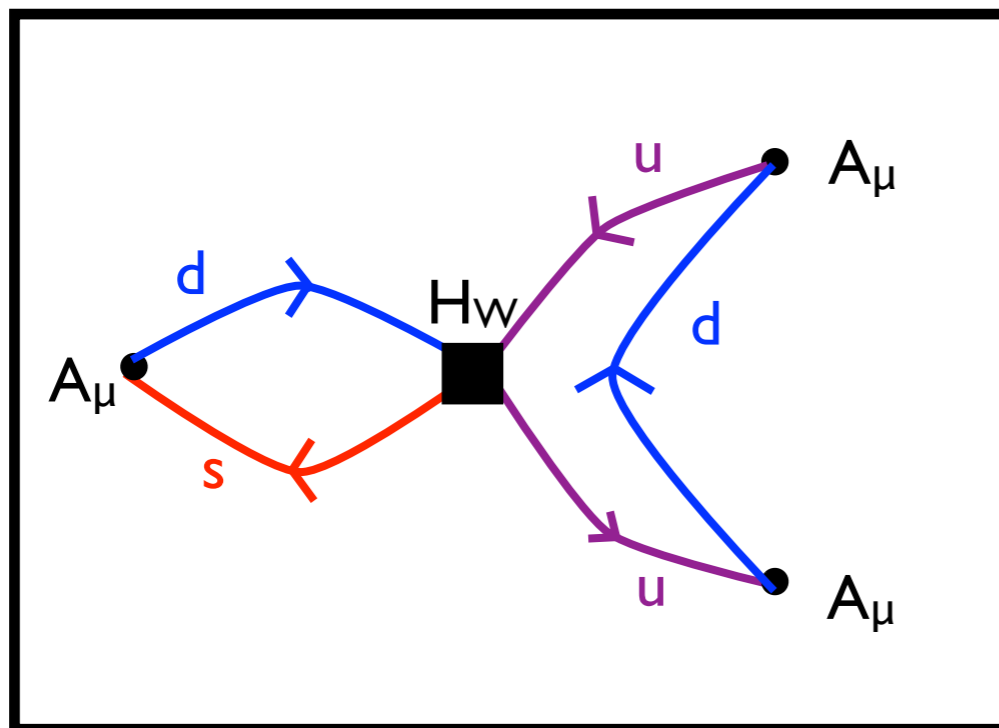
- $I=0$  involves disconnected contractions  $\Rightarrow$  numerics much more challenging

- Several other technical challenges too. Fermions with chiral symmetry essential.

- Pilot calculation in 2012: decay at threshold for  $M_K \sim 660, 880 \text{ MeV}$

[RBC/UKQCD  
arXiv:1212.1474]

- Demonstrates that technology (& related theory) exists. Statistical errors  $\sim 15\%$ .



# Present status

[RBC/UKQCD arXiv:1310.0434 & Lattice 2013 contributions by C.Kelly & by D.Zhang]

- Calculation at physical kinematics and  $a=0.15$  fm underway
  - Requires G-parity BC, all-to-all propagators, improved pion sources.
- Auxiliary calculation of  $I=0$   $\pi\pi$  scattering amplitude has clear signal
- Results with errors of  $\sim 20\%$  in  $\text{Re}(A_0)$  and  $\text{Im}(A_0)$  expected in 1-2 years
- Finally will be able to use experimental result for  $\epsilon'$  to constrain SM!

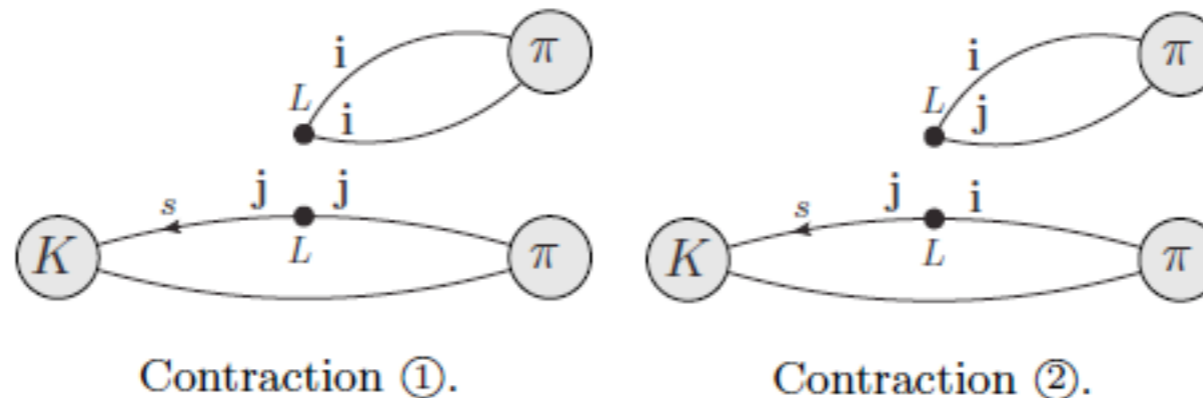


# Anatomy of $\Delta I=1/2$ rule

[RBC/UKQCD arXiv:1212.1474 & 1311.3844]

## Emerging understanding of $\Delta I=1/2$ rule

- $\text{Re } A_0 \sim \text{experiment}$
- $\text{Re } A_2 / \text{Re } A_0$  suppressed due to cancellation between color contractions
- Penguins unimportant at  $\mu \approx 2\text{GeV}$



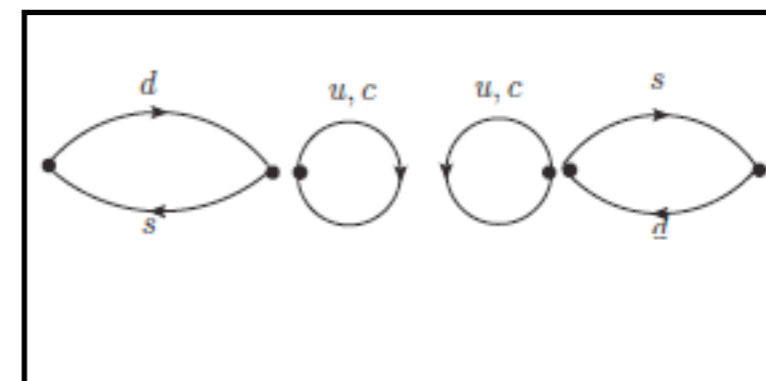
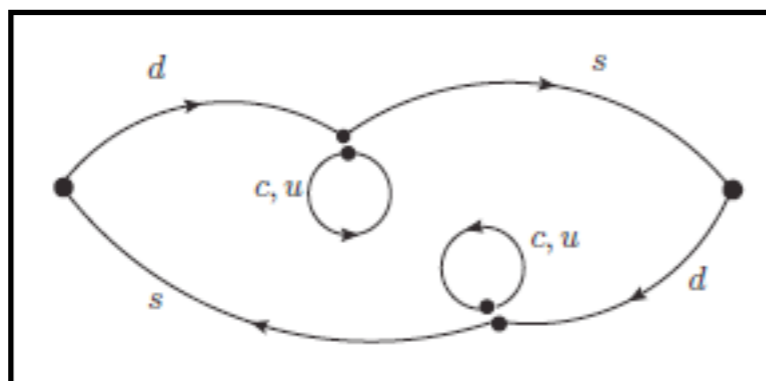
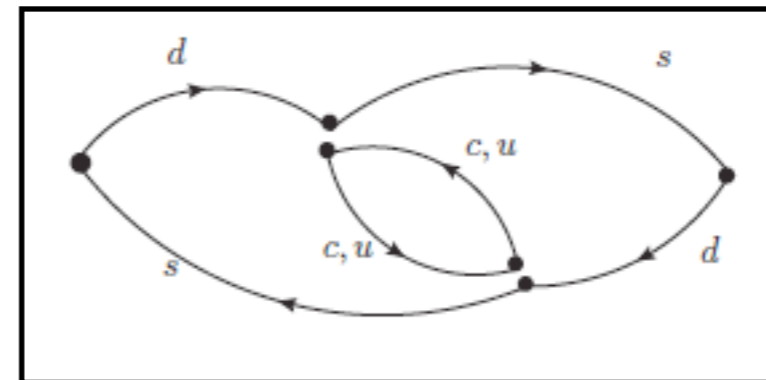
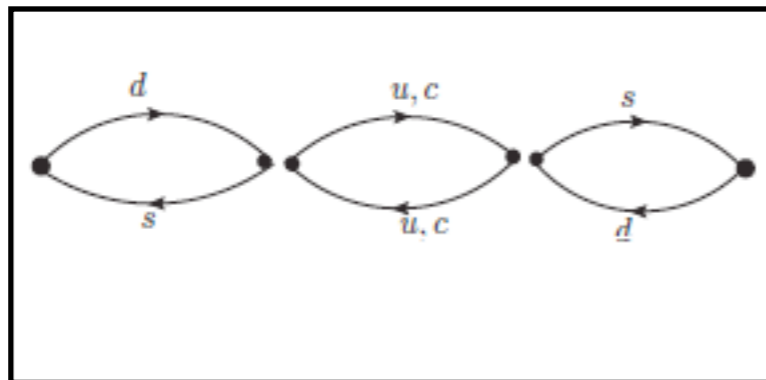
Naively expect  $C_2 = C_1/3$   
In fact  $C_2 \sim -C_1$

# Long-distance part of $\Delta M_K$

[RBC/UKQCD: Christ (Lattice 2010), arXiv:1111.6953, 1201.2065, 1212.5931, 1312.0306]

## Very challenging since two insertions of $H_W$ & quark-disconnected diagrams

- Requires new theoretical & numerical/algorithmic developments
- Calculations first consider dominant up+charm contribution
- Charm enforces crucial GIM cancellations

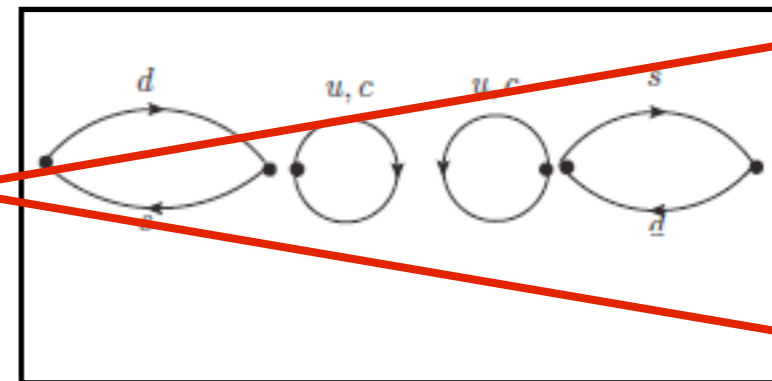
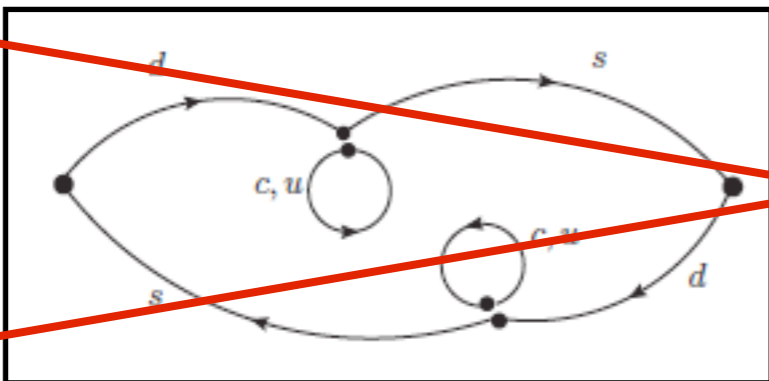
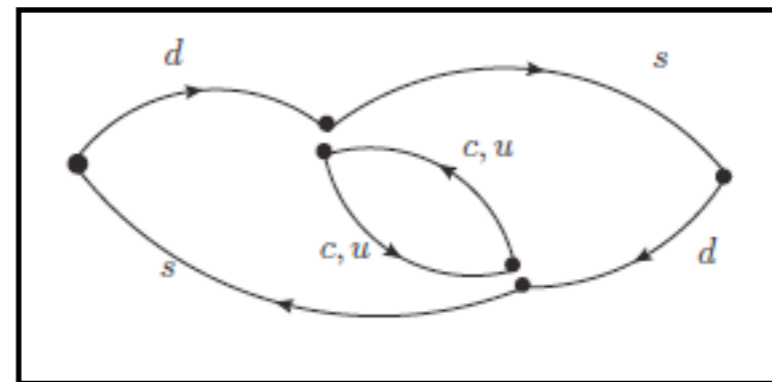
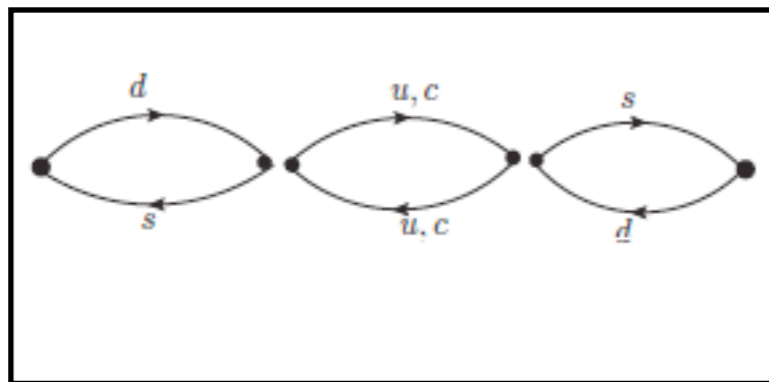


# Long-distance part of $\Delta M_K$

[RBC/UKQCD: Christ (Lattice 2010), arXiv:1111.6953, **1201.2065**, **1212.5931**, 1312.0306]

## ■ 2012: Pilot calculation keeping non-disconnected contractions at unphysical masses and with valence (but not dynamical) charm

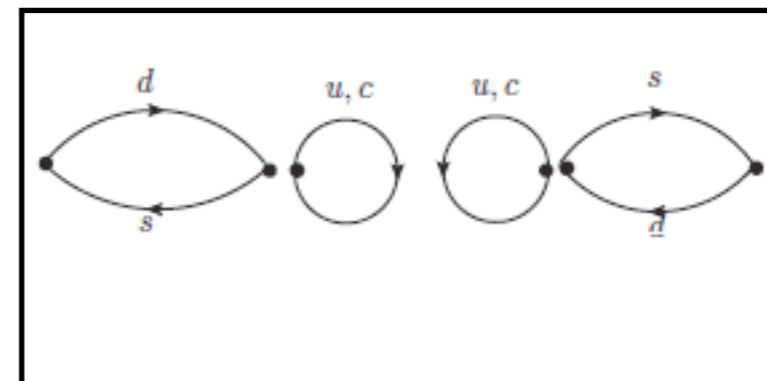
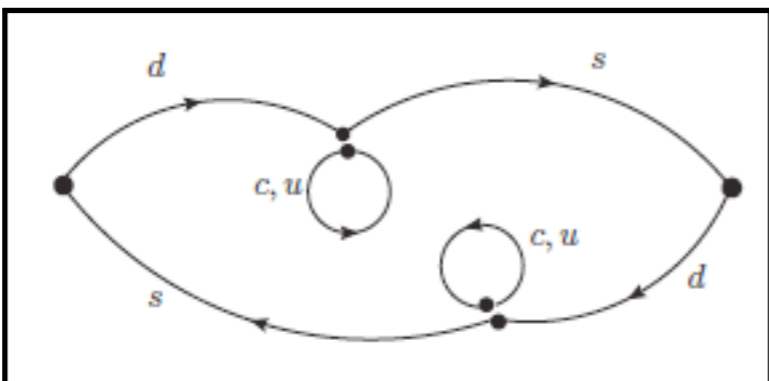
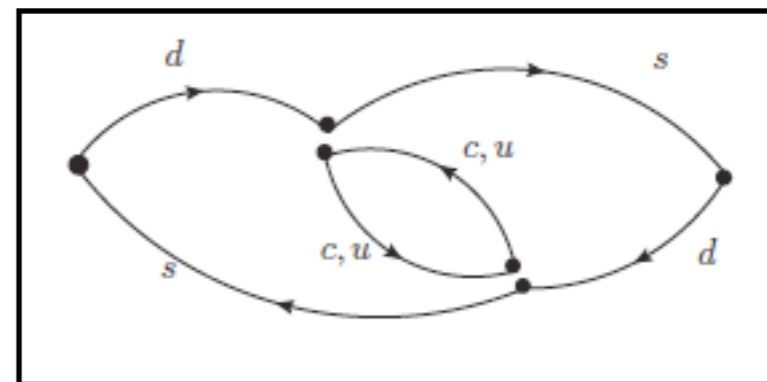
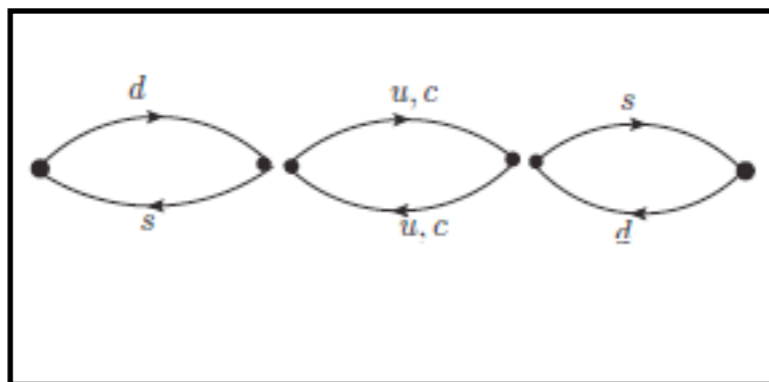
- Proof of principle giving results with correct order of magnitude
- $a=0.12$  fm,  $M_\pi=420$  MeV,  $m_c=860$  MeV



# Long-distance part of $\Delta M_K$

[RBC/UKQCD: Christ (Lattice 2010), arXiv:1111.6953, 1201.2065, 1212.5931, **1312.0306**]

- 2013: Keep **all** contractions, with lighter pion ( $m_\pi=330\text{MeV}$ ) and  $m_c=950\text{MeV}$ 
  - Achieve 10% statistical errors (highly non-trivial)
  - Fully disconnected diagram NOT Zweig suppressed
  - Result similar to experimental value (do not expect exact agreement given unphysical quark masses)



# Prospects for long-distance kaon mixing

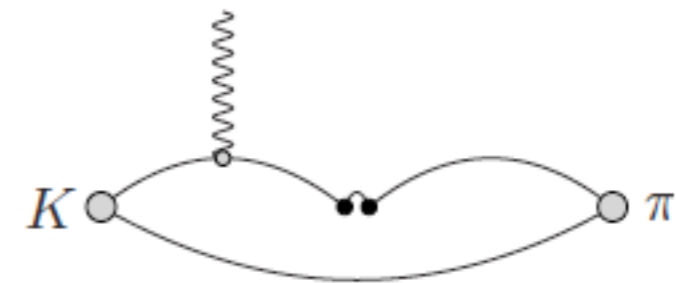
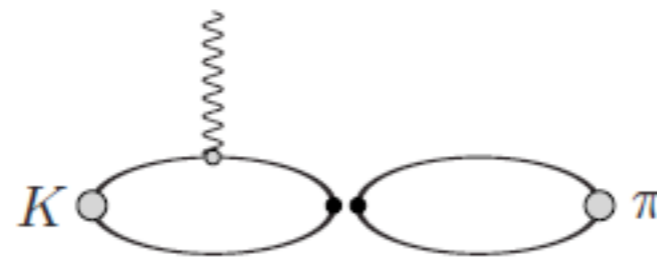
## ■ RBC/UKQCD has further calculations of $\Delta M_K$ underway

- $m_\pi=170$  MeV, larger box ( $L=4.6$  fm), coarser lattice ( $a=0.15$ fm): closer to realistic kinematics
- Physical pion mass, dynamical charm,  $a=0.07$ fm, very large lattice
- Result with physical kinematics & 10-20% errors in 1-2 years?

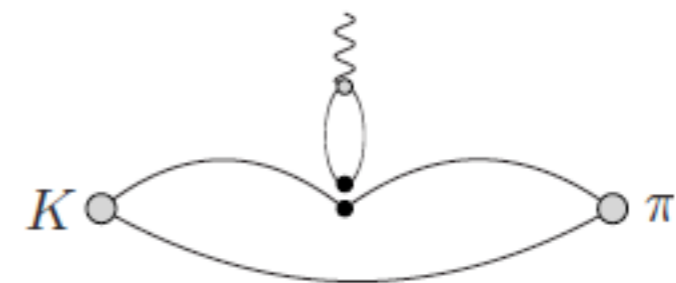
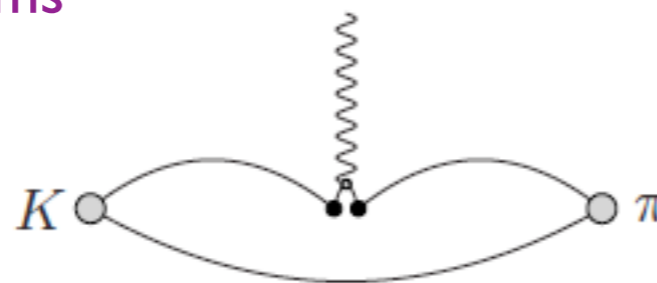
## ■ Calculation of long-distance contribution to $\epsilon_K$ ( $\sim 4\%$ ) more challenging; methods under development

# Other applications with double insertions

- Can LQCD help solidify SM predictions for  $K \rightarrow \pi l^+ l^-$  &  $K \rightarrow \pi \nu \nu$ ?
  - Would calculating the sign of  $K_S \rightarrow \pi \gamma^* \rightarrow \pi e^+ e^-$  useful (since that seems to be the main uncertainty in predicting  $K_L \rightarrow \pi e^+ e^-$ )?
  - Are present estimates of charm and long-distance contributions to  $K \rightarrow \pi \nu \nu$  accurate enough?
  - Under active consideration [RBC/UKQCD]

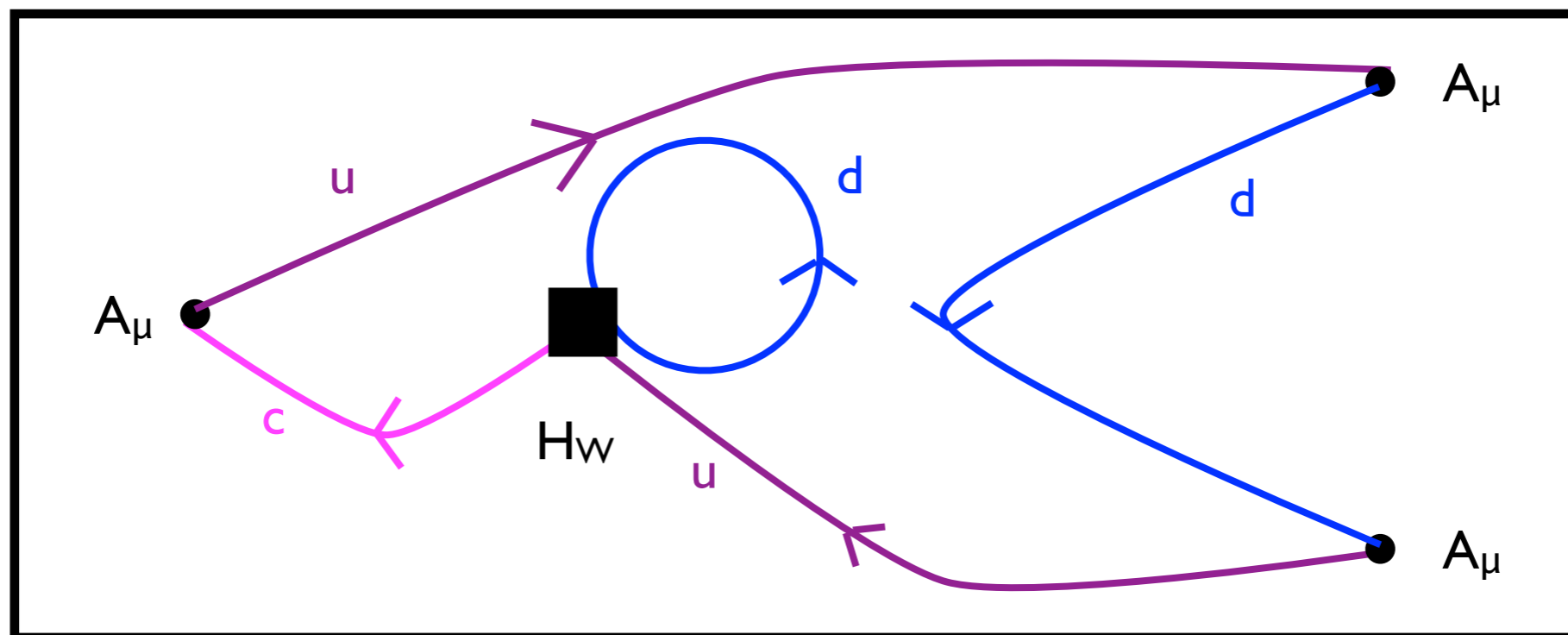


Examples of diagrams  
for  $K_S \rightarrow \pi \gamma^*$



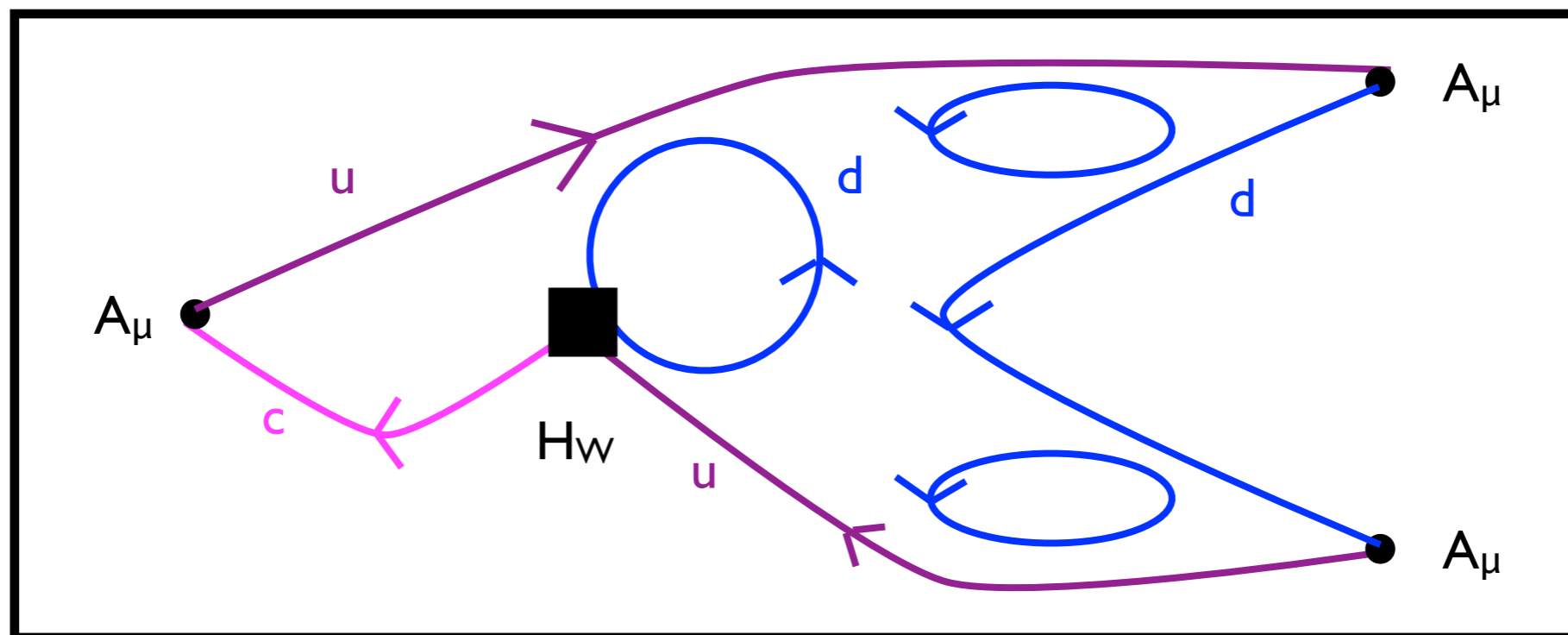
# CP violation in $D \rightarrow \pi\pi, KK$

- Evidence for CP-violation puts us in the same situation as we've been in with  $\varepsilon'$  for decades: can we reliably predict the SM contribution?
  - Many challenges, both computational and theoretical
  - Hardest (still unsolved) is that, at energy  $M_D$ ,  $2\pi$  &  $2K$  states mix in a finite box with  $4\pi$ ,  $6\pi$ , etc. and need to disentangle



# CP violation in $D \rightarrow \pi\pi, KK$

- Evidence for CP-violation puts us in the same situation as we've been in with  $\varepsilon'$  for decades: can we reliably predict the SM contribution?
  - Many challenges, both computational and theoretical
  - Hardest (still unsolved) is that, at energy  $M_D$ ,  $2\pi$  &  $2K$  states mix in a finite box with  $4\pi$ ,  $6\pi$ , etc. and need to disentangle





# CP violation in $D \rightarrow \pi\pi, KK$

- Evidence for CP-violation puts us in the same situation as we've been in with  $\epsilon'$  for decades: can we reliably predict the SM contribution to  $D \rightarrow \pi\pi, KK$ ?
  - Many challenges, both computational and theoretical
  - Hardest (still unsolved) is that, at energy  $M_D$ ,  $2\pi$  &  $2K$  states mix in a finite box with  $4\pi, 6\pi$ , etc. and need to disentangle
  - Some progress with  $3\pi$  case (generalization of Luscher formalism, but very complicated formulae) [Polejaeva & Rusetsky, Briceno & Davoudi, Hansen & SS]
- Progress on  $D \rightarrow \pi\pi, KK$  on a 5 year timescale?
- D-Dbar mixing is more challenging

# Summary & Outlook

- LQCD calculations of standard kaon matrix elements now well controlled
  - Expect steady improvement, soon/now requiring inclusion of isospin breaking & EM effects
  - Additional standard quantities will be added (e.g. BSM kaon mixing)
- Next 5 years will see controlled calculations of non-standard matrix elements requiring qualitatively different approaches and improved numerical methods
  - We will then, finally, be able to understand the implications of  $\varepsilon'/\varepsilon$ ,  $\Delta M_K$
  - Are there other quantities that these methods can be used for?
- Lattice QCD is powerful but also limited
  - E.g. long distance contribution to  $\Delta M_D$  presently inaccessible