

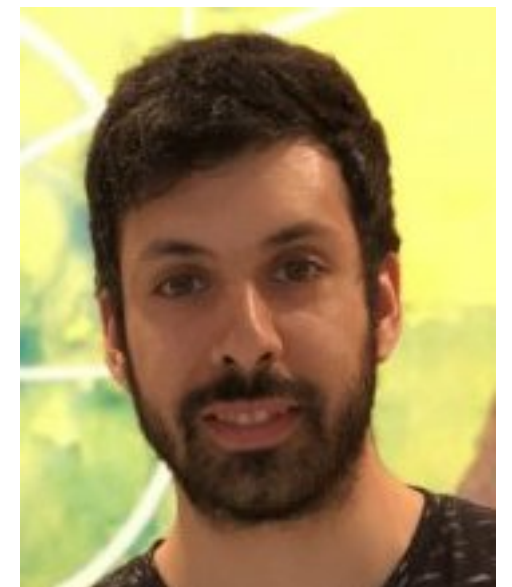
Generalizing the Lellouch-Lüscher formula to three-particle decays



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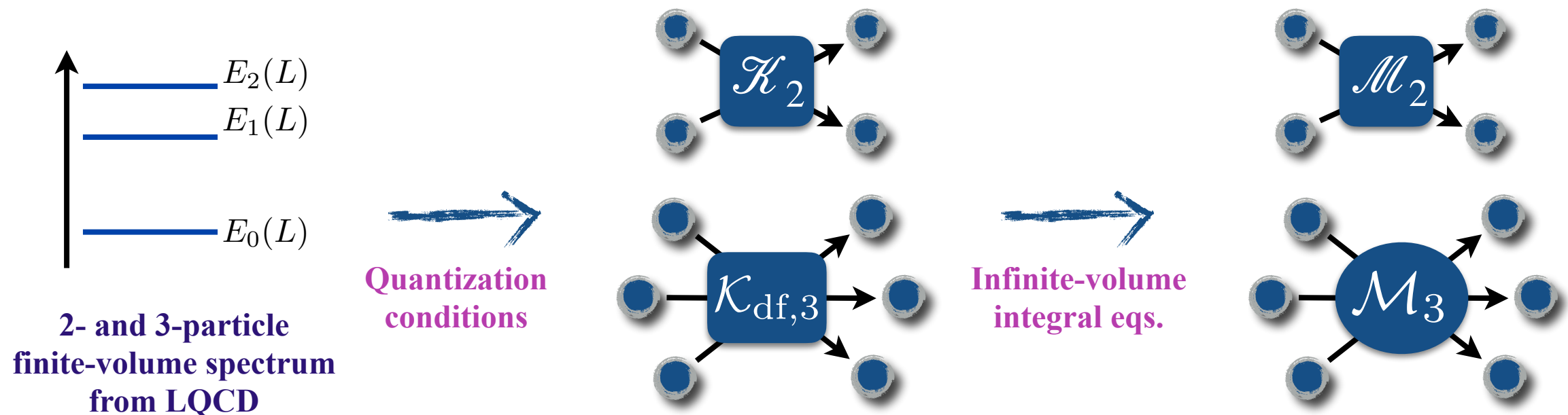
Based on work with Max Hansen
and Fernando Romero-López:
[arXiv: 2101.10246] (JHEP)



Summary

- We derive a general formalism allowing the calculation of $1 \xrightarrow{\mathcal{H}_W} 3$ decay amplitudes using lattice QCD (LQCD)
 - Formalism for $1 \xrightarrow{\mathcal{H}_W} 2$ (e.g. $K \rightarrow \pi\pi$) is a standard LQCD tool [Lellouch & Lüscher, 2001]
 - Recently, considerable progress made in determining $3 \rightarrow 3$ amplitudes from the spectrum of 3 particle states obtained using LQCD [Refs in backup slides]
 - We use the generic effective field theory (RFT) approach [Hansen & SS, '14, '15; Hansen, Romero-López & SS, '00]
 - We extend the $3 \rightarrow 3$ formalism to $0 \xrightarrow{J} 3$ and $1 \xrightarrow{\mathcal{H}_W} 3$ processes involving 3 degenerate (but not necessarily identical) spinless particles in the final state
- Several phenomenologically relevant applications
 - $K \rightarrow 3\pi$: LQCD can now (in principle) determine CP-conserving and violating amplitudes
 - $\eta \rightarrow 3\pi$ (at first order in isospin breaking): alternative determination of $m_u - m_d$
 - $\gamma^* \rightarrow 3\pi$: component of hadronic contributions to muonic $g-2$

Schematic of $3 \rightarrow 3$ formalism

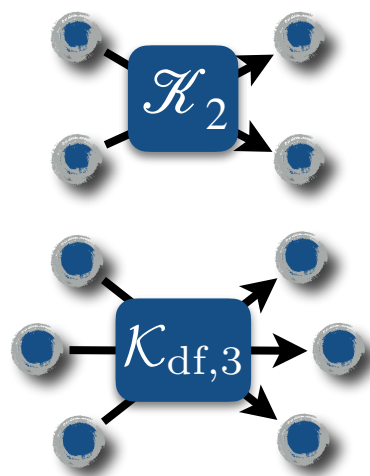


- $\mathcal{K}_{\text{df},3}$ is a Lorentz-invariant, infinite-volume (but scheme dependent) $3 \rightarrow 3$ K matrix
- It is real, and smooth aside from possible 3-particle resonance poles
- LQCD applications require a parametrization of $\mathcal{K}_{\text{df},3}$, e.g. a threshold expansion
- Integral equations ensure unitarity of \mathcal{M}_3 , and incorporate initial- and final-state interactions

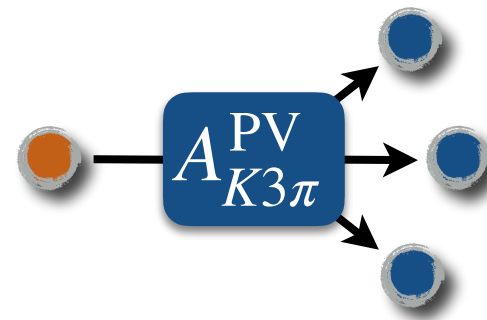
Schematic of $1 \xrightarrow{\mathcal{H}_W} 3$ formalism

$$\langle 3\pi, L | \mathcal{H}_W | K, L \rangle$$

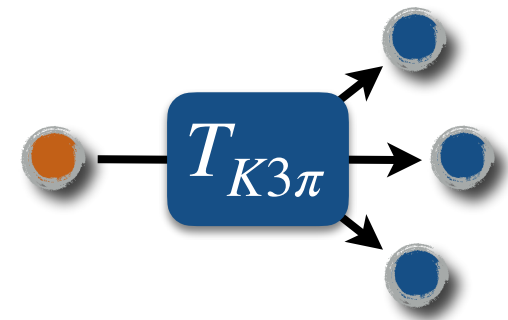
finite-volume matrix
element from LQCD



Constraint
conditions



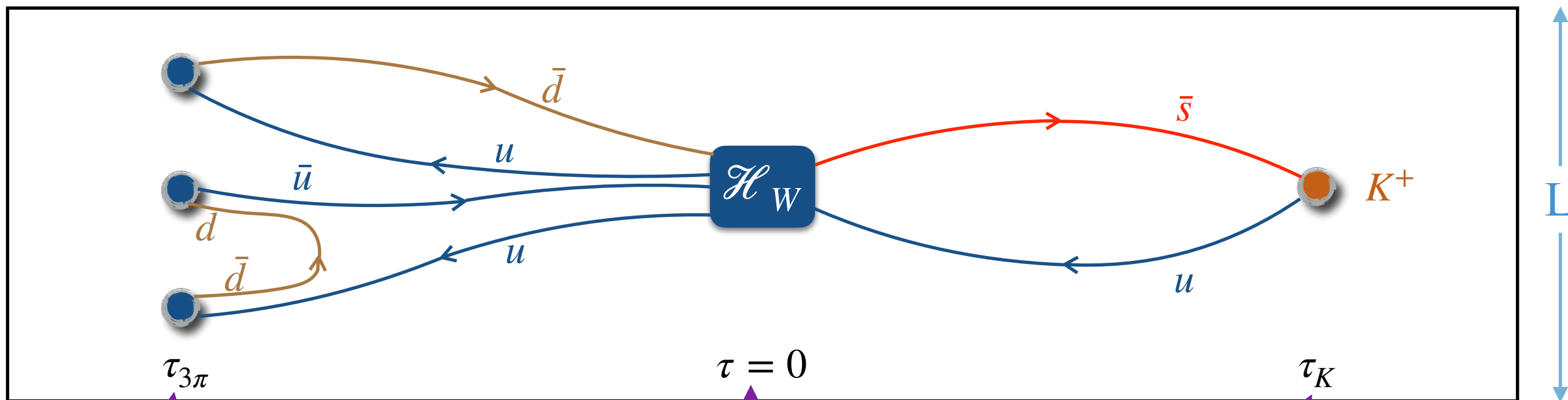
Infinite-volume
integral eqs.



- $A_{K3\pi}^{\text{PV}}$ is a Lorentz-invariant infinite-volume (but scheme dependent) $1 \xrightarrow{\mathcal{H}_W} 3$ amplitude
- It is real (aside from phases in \mathcal{H}_W) and smooth
- LQCD applications require a parametrization of $A_{K3\pi}^{\text{PV}}$
- Integral equations incorporate final-state interactions into $T_{K3\pi}$

Ingredients from LQCD

(I) Finite-volume matrix element: $\langle 3\pi, L | \mathcal{H}_W | K, L \rangle$



Use 3π operator determined from spectrum calculation to project onto desired finite-volume state with energy $E_n(\mathbf{P}, L)$

Add parts of \mathcal{H}_W one at a time

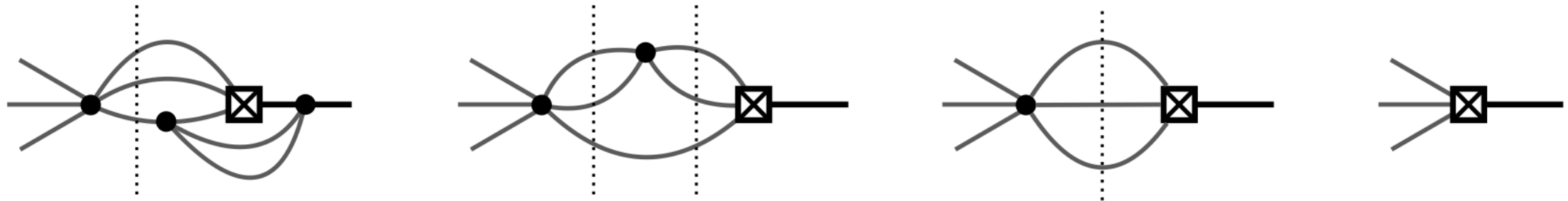
Send $\tau_K \ll 0$ to project onto kaon, with energy $E_K(\mathbf{P})$

Adjust L so that $E_n(\mathbf{P}, L) = E_K(\mathbf{P})$

(II) \mathcal{K}_2 and $\mathcal{K}_{\text{df},3}$ from 2- and 3-particle spectrum

Method of derivation

- Determine all-orders expressions for $3 \rightarrow 3$ and $1 \xrightarrow{\mathcal{H}_W} 3$ finite-volume correlators in generic relativistic EFT in which d.o.f. are π s and K s



- Dominant $1/L^n$ finite-volume dependence arises from 3π cuts
- Cuts connected by short-distance infinite-volume amplitudes in which the 3π poles regulated by PV prescription

$$C_{K3\pi,L}(P) = C_{K3\pi,\infty}(P) - A_{3\pi}^{\text{PV}} \frac{1}{F_3^{-1} + \mathcal{K}_{\text{df},3}} A_{K3\pi}^{\text{PV}}$$

Can determine and
cancel from $3 \rightarrow 3$
correlator

Matrix known from
 $3 \rightarrow 3$ analysis;
has poles at finite-
volume energies

This is what we want
to extract

Results (step 1)

- Residue of finite-volume matrix determines vector v

$$\mathcal{R}_{\Lambda\mu}(E_n^\Lambda, \mathbf{P}, L) = \lim_{P_4 \rightarrow iE_n^\Lambda} -(E_n^\Lambda + iP_4) \mathbb{P}_{\Lambda\mu} \cdot \frac{1}{F_3^{-1} + \mathcal{K}_{\text{df},3}} \cdot \mathbb{P}_{\Lambda\mu} = v(E_n^\Lambda, \mathbf{P}, \Lambda\mu, L) v^\dagger(E_n^\Lambda, \mathbf{P}, \Lambda\mu, L).$$

- Each finite-volume matrix element determines a projection of $A_{K3\pi}^{\text{PV}}$

$$\sqrt{2E_K(\mathbf{P})} L^3 \langle E_n, \mathbf{P}, \Lambda\mu, L | \mathcal{H}_W(0) | K, \mathbf{P}, L \rangle = v^\dagger A_{K3\pi}^{\text{PV}}.$$

- With enough matrix elements can determine parameters in $A_{K3\pi}^{\text{PV}}$

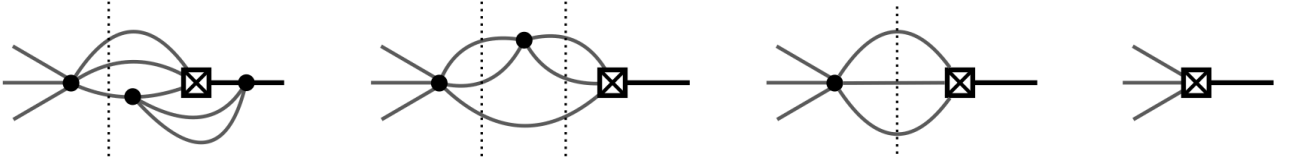
$$A_{K3\pi}^{\text{PV}} = A^{\text{iso}} + A^{(2)} \sum_i \Delta_i^2 + A^{(3)} \sum_i \Delta_i^3 + A^{(4)} \sum_i \Delta_i^4 + \mathcal{O}(\Delta^5).$$

For simplified case
with no isospin;
Constrained by
Lorentz invariance,
particle-interchange
symmetry

$$A^{\text{iso}} = \sum_{n=0}^{\infty} \Delta^n A^{\text{iso},n}, \quad \Delta = \frac{m_K^2 - 9m_\pi^2}{9m_\pi^2}, \quad \Delta_i = \frac{s_i - 4m_\pi^2}{9m_\pi^2}, \quad s_i = (p_j + p_k)^2 = (P - p_i)^2$$

Results (step 2)

- Consider finite-volume decay matrix element in EFT

$$T_{K3\pi,L}^{(u)} = \mathcal{L}_L^{(u)} \frac{1}{1 + \mathcal{K}_{\text{df},3} F_3} A_{K3\pi}^{\text{PV}} =$$


- Take appropriate $L \rightarrow \infty$ limit (with $i\epsilon$ prescription) and obtain decay amplitude

$$T_{K3\pi}^{(u)}(\mathbf{k})_{\ell m} = \lim_{\epsilon \rightarrow 0^+} \lim_{L \rightarrow \infty} T_{K3\pi,L}^{(u)}(\mathbf{k})_{\ell m} \Big|_{E \rightarrow E + i\epsilon}$$

$$T_{K3\pi}(\mathbf{k}, \hat{\mathbf{a}}^*) \equiv \mathcal{S} \{ T_{K3\pi}(\mathbf{k})_{\ell m} \} ,$$

$$= T_{K3\pi}^{(u)}(\mathbf{k}, \hat{\mathbf{a}}^*) + T_{K3\pi}^{(u)}(\mathbf{a}, \hat{\mathbf{b}}^*) + T_{K3\pi}^{(u)}(\mathbf{b}, \hat{\mathbf{k}}^*) ,$$

- Resulting integral equations depend on $\mathcal{K}_{\text{df},3}$ and \mathcal{K}_2 , and connect $A_{K3\pi}^{\text{PV}}$ to $T_{K3\pi}$
- Similar to integral equations arising in $3 \rightarrow 3$ scattering, solutions to which have recently been obtained numerically [Hansen et al., 2009.04931; Jackura et al. 2010.09820]

Isotropic approximation

- $A_{K3\pi}^{\text{PV}}$ and $\mathcal{K}_{\text{df},3}$ are independent of momenta, and \mathcal{K}_2 is pure s-wave
 - Only a single finite-volume matrix element from LQCD is needed to determine $A_{K3\pi}^{\text{PV}}$
 - Integral equations still needed, but simplify considerably
 - Can combine two steps & give single expression (ignoring isospin)

$$|T_{K3\pi}^{\text{iso}}(E^*, m_{12}^2, m_{23}^2)|^2 = 2E_K(\mathbf{P})L^6 \left| \langle E_n, \mathbf{P}, A_1, L | \mathcal{H}_W(0) | K, \mathbf{P}, L \rangle \right|^2 \\ \times \left| \mathcal{L}^{\text{iso}}(E^*, m_{12}^2, m_{23}^2) \frac{1}{1 + \mathcal{K}_{\text{df},3}^{\text{iso}}(E^*) F_3^{\infty, \text{iso}}(E^*)} \right|^2 \left(\frac{\partial F_3^{\text{iso}}(E, \mathbf{P}, L)^{-1}}{\partial E} + \frac{\partial \mathcal{K}_{\text{df},3}^{\text{iso}}(E^*)}{\partial E} \right)$$

Analog of Lellouch-Lüscher factor

Obtain by solving single
integral equation involving;
Incorporates two-particle
final-state interactions

Incorporates three-particle
final-state interactions

Analogous to expression obtained using leading-order NREFT
in [Müller & Rusetsky, 2012.13957]

Comparison with LL

- $1 \xrightarrow{\mathcal{H}_W} 3$ result in isotropic approximation (and without isospin)

$$|T_{K3\pi}^{\text{iso}}(E^*, m_{12}^2, m_{23}^2)|^2 = 2E_K(\mathbf{P})L^6 \left| \langle E_n, \mathbf{P}, A_1, L | \mathcal{H}_W(0) | K, \mathbf{P}, L \rangle \right|^2 \\ \times \left| \mathcal{L}^{\text{iso}}(E^*, m_{12}^2, m_{23}^2) \frac{1}{1 + \mathcal{K}_{\text{df},3}^{\text{iso}}(E^*) F_3^{\infty, \text{iso}}(E^*)} \right|^2 \left(\frac{\partial F_3^{\text{iso}}(E, \mathbf{P}, L)^{-1}}{\partial E} + \frac{\partial \mathcal{K}_{\text{df},3}^{\text{iso}}(E^*)}{\partial E} \right)$$

- $1 \xrightarrow{\mathcal{H}_W} 2$ result in s-wave approximation (only case used in practice to date)

$$|T_{K2\pi}(E)|^2 = 2M_K L^6 \left| \langle E_n, A_1, L | \mathcal{H}_W(0) | K, L \rangle \right|^2 \\ \times \left| \frac{1}{1 - i\mathcal{K}_2(E)\rho(E)} \right|^2 \left(\frac{\partial F(E, L)^{-1}}{\partial E} + \frac{\partial \mathcal{K}_2(E)}{\partial E} \right)$$

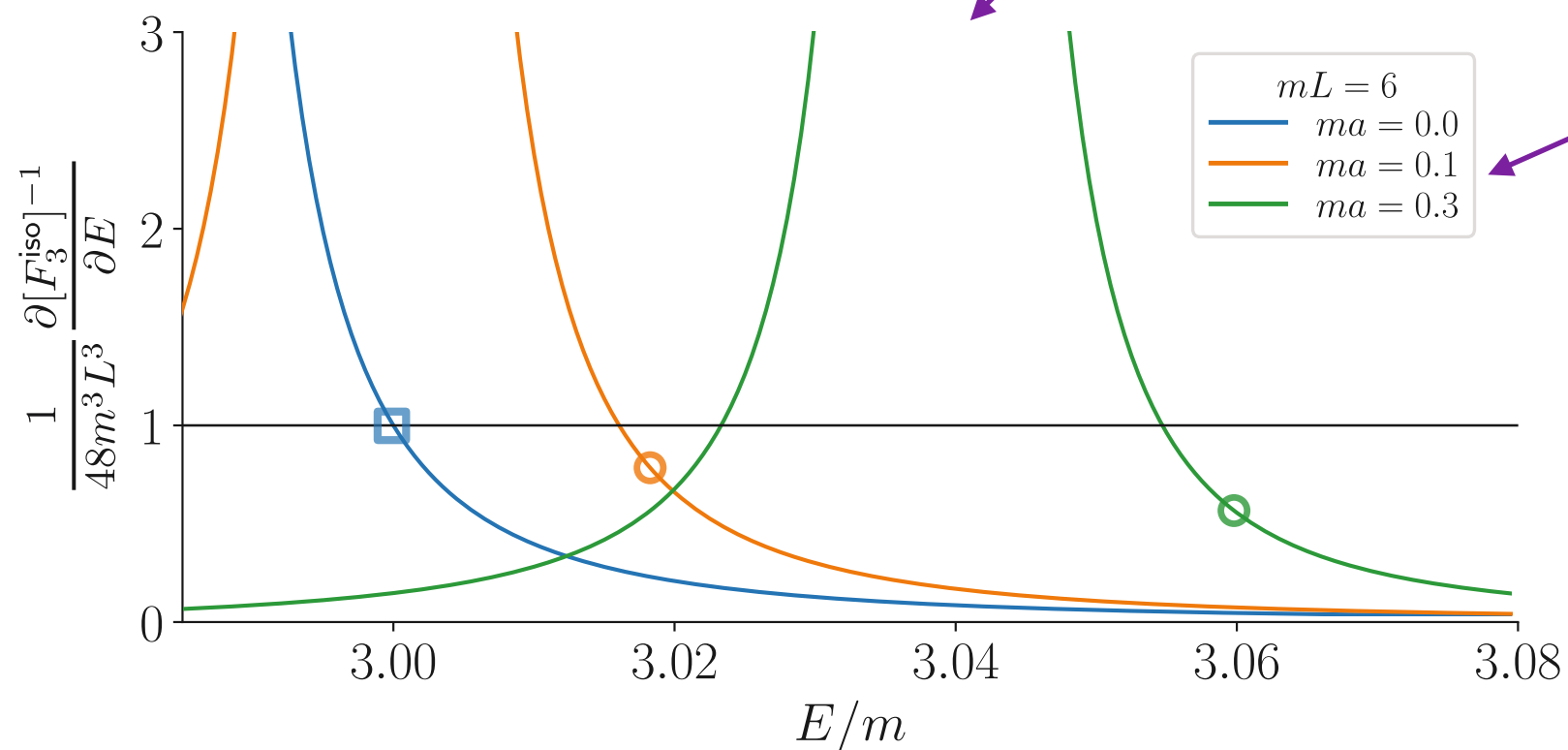
Alternative form of
Lellouch-Lüscher result

Includes Watson phase

Size of finite-volume corrections

- $1 \rightarrow 3$ result in isotropic approximation (and without isospin)

$$|T_{K3\pi}^{\text{iso}}(E^*, m_{12}^2, m_{23}^2)|^2 = 2E_K(\mathbf{P})L^6 \left| \langle E_n, \mathbf{P}, A_1, L | \mathcal{H}_W(0) | K, \mathbf{P}, L \rangle \right|^2 \\ \times \left| \mathcal{L}^{\text{iso}}(E^*, m_{12}^2, m_{23}^2) \frac{1}{1 + \mathcal{K}_{\text{df},3}^{\text{iso}}(E^*) F_3^{\infty, \text{iso}}(E^*)} \right|^2 \left(\frac{\partial F_3^{\text{iso}}(E, \mathbf{P}, L)^{-1}}{\partial E} + \frac{\partial \mathcal{K}_{\text{df},3}^{\text{iso}}(E^*)}{\partial E} \right)$$



Summary & Outlook

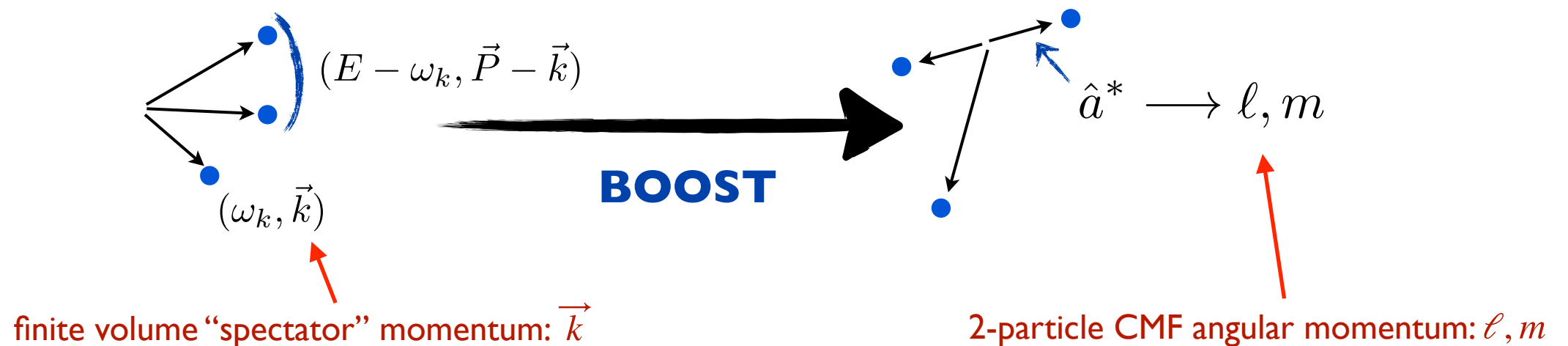
- We have derived a general, relativistically-invariant, formalism allowing the calculation of $1 \xrightarrow{\mathcal{H}_W} 3$ and $0 \xrightarrow{J} 3$ decay/transition amplitudes using LQCD
 - It piggybacks on the recent progress on $3 \rightarrow 3$ amplitudes
 - It holds for any such process involving three degenerate spinless particles in the final state
 - It requires two steps, the first accounting for finite-volume effects, and the second incorporating the effects of final-state interactions
- We hope that applications will be possible in the near future
 - Distillation and other algorithmic advances allow the calculation of the necessary quark contractions
 - $\gamma^* \rightarrow 3\pi$ is the simplest to study; isoscalar part of current couples to $I=0$ (ω) channel
 - $\eta \rightarrow 3\pi$ is next simplest, as it involves insertion of quark bilinear; couples to $I=1$
 - $K \rightarrow 3\pi$ is most challenging, with \mathcal{H}_W a four-fermion operator, leading to more complicated contractions, and $I=0, 1$ and 2 final states

Thanks
Any questions?

Backup slides

Scope & Notation

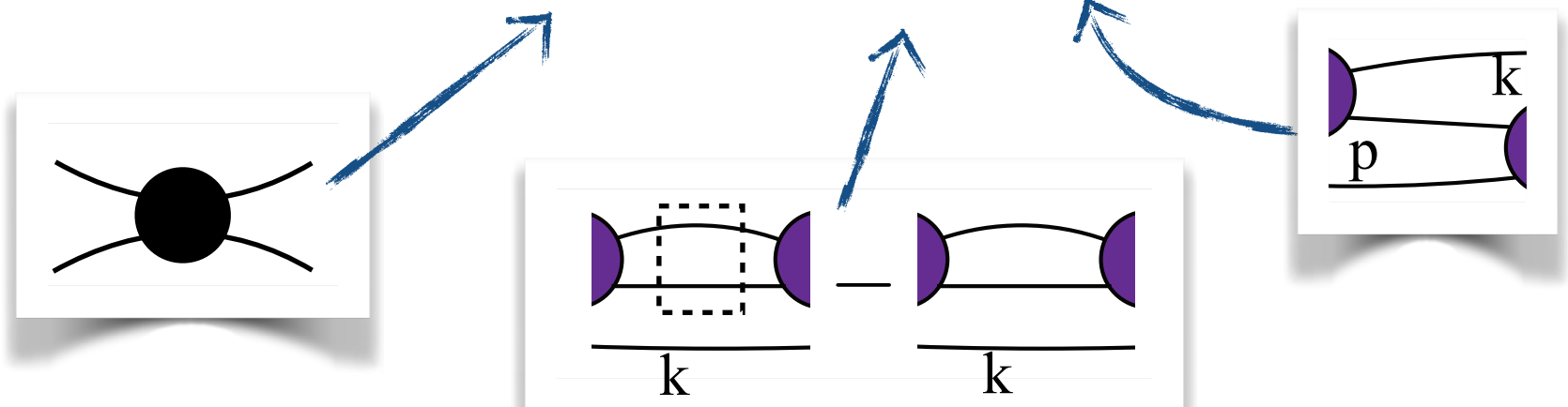
- Identical spinless particles of mass m (e.g. $3\pi^+$)
- Z_2 symmetry — no $2 \rightarrow 3$ transitions
- All quantities in QC3 are infinite-dimensional matrices with indices $\{\vec{k}, \ell, m\}$ describing 3 on-shell particles with total energy-momentum (E, \vec{P})



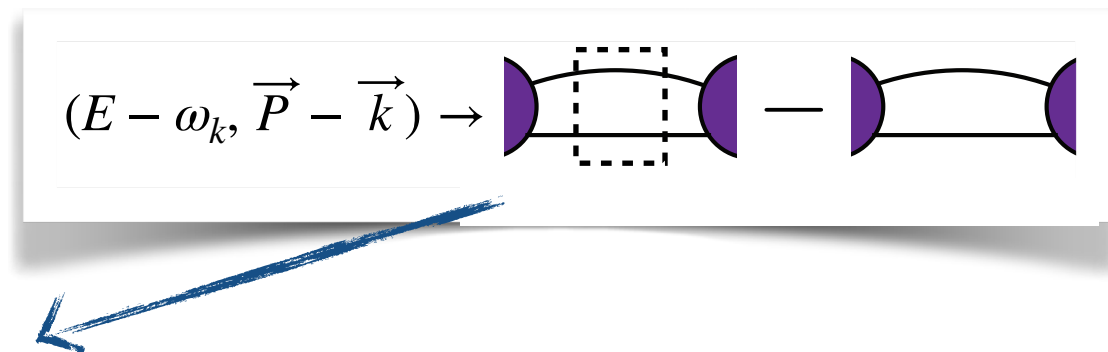
e.g. $\left[\mathcal{K}_{\text{df},3}^{(u,u)} \right]_{k\ell m; p\ell' m'}$

F_3 collects 2-particle interactions

$$F_3 = \left[\frac{\widetilde{F}}{3} - \widetilde{F} \frac{1}{(2\omega L^3 \mathcal{K}_2)^{-1} + \widetilde{F} + \widetilde{G}} \widetilde{F} \right]$$



- F & G are known geometrical functions, containing cutoff function H



$$\widetilde{F}_{p\ell'm';k\ell m} = \frac{1}{2\omega_k L^3} \delta_{pk} H(\vec{k}) F_{\text{PV},\ell'm';\ell m}(E - \omega_k, \vec{P} - \vec{k}, L)$$

$$\widetilde{G}_{p\ell'm';k\ell m} = \frac{1}{2\omega_p L^3} \left(\frac{k^*}{q_p^*} \right)^{\ell'} \frac{4\pi Y_{\ell'm'}(\hat{k}^*) H(\vec{p}) H(\vec{k}) Y_{\ell m}^*(\hat{p}^*)}{(P - k - p)^2 - m^2} \left(\frac{p^*}{q_k^*} \right)^{\ell} \frac{1}{2\omega_k L^3}$$

RFT 3-particle papers



Max Hansen & SRS:

“Relativistic, model-independent, three-particle quantization condition,”

arXiv:1408.5933 (PRD) [HS14]

“Expressing the 3-particle finite-volume spectrum in terms of the 3-to-3 scattering amplitude,”

arXiv:1504.04028 (PRD) [HS15]

“Perturbative results for 2- & 3-particle threshold energies in finite volume,”

arXiv:1509.07929 (PRD) [HSPT15]

“Threshold expansion of the 3-particle quantization condition,”

arXiv:1602.00324 (PRD) [HSTH15]

“Applying the relativistic quantization condition to a 3-particle bound state in a periodic box,”

arXiv: 1609.04317 (PRD) [HSBS16]

“Lattice QCD and three-particle decays of Resonances,”

arXiv: 1901.00483 (Ann. Rev. Nucl. Part. Science) [HSREV19]

Raúl Briceño, Max Hansen & SRS:

“Relating the finite-volume spectrum and the 2-and-3-particle S-matrix for relativistic systems of identical scalar particles,”

arXiv:1701.07465 (PRD) [BHS17]

“Numerical study of the relativistic three-body quantization condition in the isotropic approximation,”

arXiv:1803.04169 (PRD) [BHS18]

“Three-particle systems with resonant sub-processes in a finite volume,” arXiv:1810.01429 (PRD 19) [BHS19]

SRS

“Testing the threshold expansion for three-particle energies at fourth order in ϕ^4 theory,”

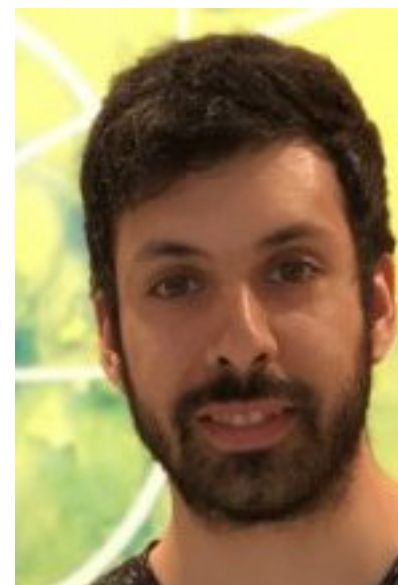
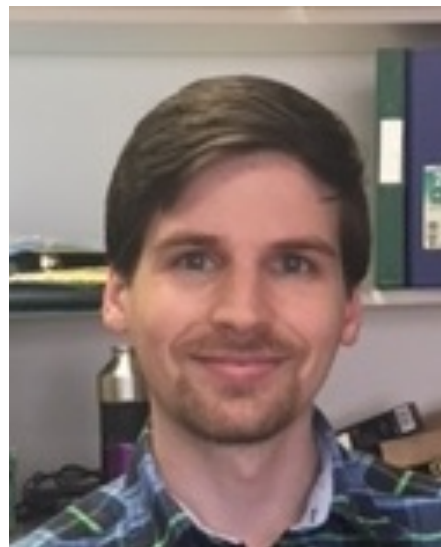
arXiv:1707.04279 (PRD) [SPT17]

Tyler Blanton, Fernando Romero-López & SRS:

“Implementing the three-particle quantization condition including higher partial waves,” arXiv:1901.07095 (JHEP) [BRS19]

“I=3 three-pion scattering amplitude from lattice QCD,”

arXiv:1909.02973 (PRL) [BRS-PRL19]



Tyler Blanton, Raúl Briceño, Max Hansen, Fernando Romero-López, SRS:

“Numerical exploration of three relativistic particles in a finite volume including two-particle resonances and bound states”, arXiv:1908.02411 (JHEP) [BBHRS19]

Raúl Briceño, Max Hansen, SRS & Adam Szczepaniak:

“Unitarity of the infinite-volume three-particle scattering amplitude arising from a finite-volume formalism,” arXiv:1905.11188 (PRD)



Andrew Jackura, S. Dawid, C. Fernández-Ramírez, V. Mathieu, M. Mikhasenko, A. Pilloni, SRS & A. Szczepaniak:

“On the Equivalence of Three-Particle Scattering Formalisms,” arXiv:1905.12007 (PRD)



Max Hansen, Fernando Romero-López, SRS:

“Generalizing the relativistic quantization condition to include all three-pion isospin channels”, arXiv:2003.10974 (JHEP) [HRS20]

“Decay amplitudes to three particles from finite-volume matrix elements,” arXiv: 2101.10246 (JHEP)

Tyler Blanton & SRS:

“Alternative derivation of the relativistic three-particle quantization condition,”

arXiv:2007.16188 (PRD) [BS20a]

“Equivalence of relativistic three-particle quantization conditions,”

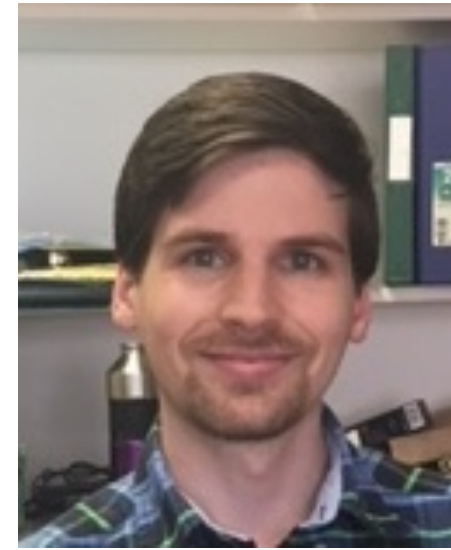
arXiv:2007.16190 (PRD) [BS20b]

“Relativistic three-particle quantization condition for nondegenerate scalars,”

arXiv:2011.05520 (PRD)

“Three-particle finite-volume formalism for $\pi^+\pi^+K^+$ and related systems,”

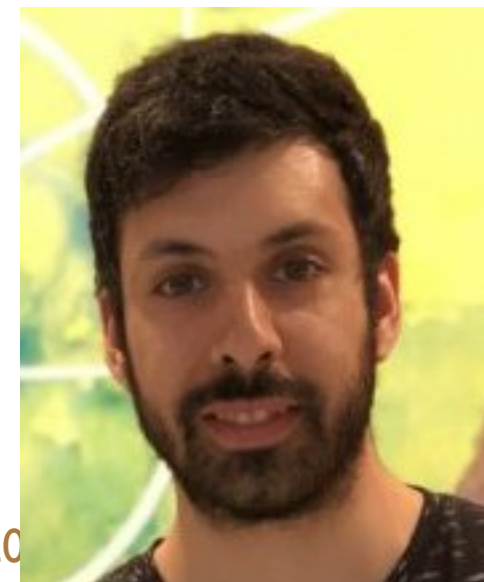
arXiv:2105.12904 (PRD under review)



Tyler Blanton, Drew Hanlon, Ben Hörz, Colin Morningstar, Fernando Romero-López & SRS

“ $3\pi^+$ & $3K^+$ interactions beyond leading order from lattice QCD,”

arXiv:2106.05590 (JHEP under review)



generalizing

formu

ys,” Hadron 2021, 7/28/20

Other work

★ Implementing RFT integral equations

- A. Jackura et al., [2010.09820](#) [Solving s-wave RFT integral equations in presence of bound states]
- M.T. Hansen et al. (HADSPEC), [2009.04931](#), PRL [Calculating $3\pi^+$ spectrum and using to determine three-particle scattering amplitude]

★ Reviews

- A. Rusetsky, [1911.01253](#) [LATTICE 2019 plenary]
- M. Mai, M. Döring and A. Rusetsky, [2103.00577](#) [Review of formalisms and chiral extrapolations]

★ NREFT approach

- H.-W. Hammer, J.-Y. Pang & A. Rusetsky, [1706.07700](#), JHEP & [1707.02176](#), JHEP [Formalism & examples]
- M. Döring et al., [1802.03362](#), PRD [Numerical implementation]
- J.-Y. Pang et al., [1902.01111](#), PRD [large volume expansion for excited levels]
- F. Müller, T. Yu & A. Rusetsky, [2011.14178](#), PRD [large volume expansion for $I=1$ three pion ground state]
- F. Romero-López, A. Rusetsky, N. Schlage & C. Urbach, [2010.11715](#), JHEP [generalized large-volume exps]
- F. Müller & A. Rusetsky, [2012.13957](#), JHEP [Three-particle analog of Lellouch-Lüscher formula]

Alternate 3-particle approaches

★ Finite-volume unitarity (FVU) approach

- M. Mai & M. Döring, [1709.08222](#), EPJA [formalism]
- M. Mai et al., [1706.06118](#), EPJA [unitary parametrization of M_3 involving R matrix; used in FVU approach]
- A. Jackura et al., [1809.10523](#), EPJC [further analysis of R matrix parametrization]
- M. Mai & M. Döring, [1807.04746](#), PRL [3 pion spectrum at finite-volume from FVU]
- M. Mai et al., [1909.05749](#), PRD [applying FVU approach to $3\pi^+$ spectrum from Hanlon & Hörz]
- C. Culver et al., [1911.09047](#), PRD [calculating $3\pi^+$ spectrum and comparing with FVU predictions]
- A. Alexandru et al., [2009.12358](#), PRD [calculating $3K^-$ spectrum and comparing with FVU predictions]
- R. Brett et al., [2101.06144](#) [determining $3\pi^+$ interaction from LQCD spectrum]

★ HALQCD approach

- T. Doi et al. (HALQCD collab.), [1106.2276](#), Prog.Theor.Phys. [3 nucleon potentials in NR regime]