#### Fundamental constants and electroweak phenomenology from the lattice

#### Lecture I: strong coupling constant

Shoji Hashimoto (KEK) @ INT summer school 2007, Seattle, August 2007.

# QCD, the theory of strong interaction

We know that QCD is the theory of strong interaction. Any motivation for further study...?

As a nuclear theorist:

- want to know the properties of hadrons and nuclei, hopefully from the first principles
- As a particle theorist:
- want to solve the (non-SUSY) Yang-Mills theory, anyway
- want to test QCD including its non-perturbative aspects
- want to analyze the exp data at LHC; need for the study of more interesting physics, like Higgs and SUSY models
- want to test the Standard Model more precisely through low energy measurements; hadronic uncertainty is the obstacle



### How is QCD tested?



#### Examples

▶ 3-jets event rate in the e<sup>+</sup>e<sup>-</sup> collision

$$R_3 = \frac{\sigma(e^+e^- \to 3 \text{- jets})}{\sigma(e^+e^- \to \text{hadrons})} = C_1 \alpha_s(\mu^2) + \dots$$

 $\blacktriangleright$  Scale dependence of  $\alpha_{s}$  clearly seen

#### Including 4-jets

- Sensitivity to the 3-gluon vertex
- Can test the group structure

$$C_A = N = 3, C_F = \frac{N^2 - 1}{2N} = \frac{4}{3}$$

Plots from Bethke, Prog Part Nucl Phys 58 (2007) 351.



And concorrect

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### More tests of QCD



#### Deep inelastic scattering

$$\frac{d^2\sigma}{dxdQ^2} = \frac{4\pi\alpha^2}{Q^4} \left[ (1 + (1+y)^2)F_1 + \frac{1-y}{x}(F_2 - 2xF_1) \right]$$

 Structure function (or parton density)
 F<sub>i</sub>; their Q<sup>2</sup> dependence is from the QCD loop effects.

At the perturbative level, QCD describes various exp to a good precision.



### Non-perturbative test?

Look at the quantities which can be determined from different inputs: perturbative and non-perturbative

#### Strong coupling constant

- High energy scattering + perturbation theory
- Low energy spectrum + lattice

#### Heavy quark masses

- Quarkonium spectral sum rule (mostly perturbative)
- Low-lying spectrum + lattice
- From heavy-light systems + lattice



Hadronic uncertainty

Not just testing QCD:

- Flavor physics
  - Extract fundamental constants (CKM matrix elements) from physical processes; Search for new physics effects: Many examples will appear in this lecture
- Processes involving quarks are always contaminated by hadronic uncertainty (= non-perturbative QCD effects). What to do?
  - Look for processes which are perturbative
  - Look for processes for which some symmetry helps to eliminate the uncertainty
  - Calculate them on the lattice



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# V. CKM phenomenology: at loop level

#### I. Kaon mixing

- Indirect and direct CP violations
- Lattice calculation of  $B_K$
- $\epsilon'/\epsilon$ , the grand challenge for the lattice

#### 2. B meson mixings

- Lattice calculation, extraction of Vtd, Vts
- 3. Phenomenology of B meson decays
  - Many interesting decay modes: a few examples
  - Further opportunities for lattice QCD
- 4. Other applications
  - Muon g-2, neutron electric dipole moment, ...



I. Strong coupling constant 1. How to define

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## Defining the coupling constant



#### In QED:

Measure the force between two test charges, then α is easily extracted.

$$F(r) = \frac{\alpha}{r^2}, \quad \alpha = \frac{e^2}{4\pi}$$



- Note: running coupling
  - QED coupling constant depends on the scale,  $\alpha$

$$\alpha_{\rm eff}(q^2) = \frac{\alpha}{1 - \frac{\alpha}{3\pi} \left\{ \log\left(\frac{-q^2}{m^2}\right) - \frac{5}{3} \right\}}$$

but the infrared limit is regularized by the electron mass.

$$V(r) = -\frac{\alpha}{r} \left( 1 + \frac{\alpha}{4\sqrt{\pi}} \frac{e^{-2mr}}{(mr)^{3/2}} + \dots \right)$$

## In QCD, what to do?





- Quarks are confined; no way to put test charges.
  - Well, you may consider an Gedankenexperiment, but not possible in practice.
- Consider, instead, an experiment like e<sup>+</sup>e<sup>-</sup>→hadrons

$$R = \frac{\sigma(e^+e^- \to \text{hadrons})}{\sigma(e^+e^- \to \mu^+\mu^-)} = \frac{\sum_q \sigma(e^+e^- \to q\overline{q})}{\sigma(e^+e^- \to \mu^+\mu^-)}$$
$$= 3\sum_q Q_q^2 \left\{ 1 + \frac{\alpha_s}{\pi} + O(\alpha_s^2) \right\}$$

 $\alpha_{\rm s}$  is obtained by solving this eq.



### Ultraviolet divergences

$$K_{QCD} = 1 + \frac{\alpha_s(\mu)}{\pi} + C_2 \left(\frac{s}{\mu^2}\right) \left(\frac{\alpha_s(\mu)}{\pi}\right)^2 + C_3 \left(\frac{s}{\mu^2}\right) \left(\frac{\alpha_s(\mu)}{\pi}\right)^3 + \dots$$

- Beyond the leading order, the UV divergence must be renormalized.
  - A renormalization scheme must be specified. A popular choice: the modified minimal subtraction MSbar
    - With the dimensional regularization ( $\epsilon$ =4-D), subtract

$$\frac{2}{\varepsilon} - \gamma_E + \ln(4\pi)$$

- Once you decide to use it, you must stick to using it!
- In other words the  $\alpha_s$  thus extracted must be understood in this particular choice of the renormalization scheme.



Any physical quantity should not depend on the choice of the renormalization scheme.

$$K_{QCD} = 1 + \frac{\alpha_s^{(I)}(\mu)}{\pi} + C^{(I)} \left(\frac{s}{\mu^2}\right) \left(\frac{\alpha_s^{(I)}(\mu)}{\pi}\right)^2 + \dots$$
$$= 1 + \frac{\alpha_s^{(II)}(\mu)}{\pi} + C^{(II)} \left(\frac{s}{\mu^2}\right) \left(\frac{\alpha_s^{(II)}(\mu)}{\pi}\right)^2 + \dots$$

One can read off the relation between the two schemes.

$$\alpha_{s}^{(II)}(\mu) = \alpha_{s}^{(I)}(\mu) \left\{ 1 + \frac{C^{(I)} - C^{(II)}}{\pi} \alpha_{s} + \dots \right\}$$

This is related to the ratio of the  $\Lambda$  parameters

$$\frac{\Lambda^{(I)}}{\Lambda^{(II)}} = \exp\left(-\frac{2\pi}{\beta_0}\left(\frac{1}{\alpha_s^{(I)}(\mu)} - \frac{1}{\alpha_s^{(II)}(\mu)}\right)\right) = \exp\left(-\frac{2(C^{(I)} - C^{(II)})}{\beta_0}\right)$$



### Renormalization scale

$$K_{QCD} = 1 + \frac{\alpha_s(\mu)}{\pi} + C_2 \left(\frac{s}{\mu^2}\right) \left(\frac{\alpha_s(\mu)}{\pi}\right)^2 + C_3 \left(\frac{s}{\mu^2}\right) \left(\frac{\alpha_s(\mu)}{\pi}\right)^3 + \dots$$



Due to the renormalization...

 A renormalization scale µ is involved. A good choice is µ<sup>2</sup>=s to minimize the perturbative coefficients due to possible large logs

$$\beta_0 \ln \frac{s}{\mu^2}$$

which can be identified as a running coupling effect.

 If we change μ consistently (in C<sub>i</sub> and α<sub>s</sub>), then the physics result must be unchanged up to neglected higher order corrections.



# Running coupling

In other words, the *running* coupling constant is introduced such that the observable is independent of μ.

$$\frac{dK_{QCD}}{d\mu} = \frac{d}{d\mu} \left[ 1 + \frac{\alpha_s(\mu)}{\pi} + C_2 \left( \frac{s}{\mu^2} \right) \left( \frac{\alpha_s(\mu)}{\pi} \right)^2 + C_3 \left( \frac{s}{\mu^2} \right) \left( \frac{\alpha_s(\mu)}{\pi} \right)^3 + \dots \right] = 0$$
  
It leads to 
$$\alpha_s(\mu) = \frac{4\pi}{\beta_0 \ln(\mu^2 / \Lambda^2)} \left[ 1 - \frac{2\beta_1}{\beta_0^2} \frac{\ln[\ln(\mu^2 / \Lambda^2)]}{\ln(\mu^2 / \Lambda^2)} + \dots \right]$$



# Unambiguous definition

- The definition relies on perturbation theory.
- When you quote a value of  $\alpha_{\rm s}$ , you must specify
- Renormalization scheme:
  - e.g. MSbar
- Renormalization scale:
  - e.g.  $\mu = M_Z$
- Number of flavors:
  - e.g. Nf=5
- Order of the truncation:
  - e.g. three loop

These are the common choices.





### Some experimental measurements

- e<sup>+</sup>e<sup>-</sup> annihilation
  - Beautiful agreements
  - One must avoid the resonance regions (light hadrons, charm, bottom)









### Hadronic τ decays

- Looks similar to the e+e- annihilation.
- Scale is much lower
- contains non-perturbative contribution; evaluated using OPE

$$\begin{split} R_{\tau} &\sim \int_{0}^{m_{\tau}^{2}} \frac{ds}{m_{\tau}^{2}} \left(1 - \frac{s}{m_{\tau}^{2}}\right)^{2} \left\{ \left(1 + \frac{2s}{m_{\tau}^{2}}\right) \operatorname{Im} \Pi^{(1)}(s) + \operatorname{Im} \Pi^{(0)}(s) \right\} \\ &= R_{0} \left[1 + \frac{\alpha_{s}(m_{\tau})}{\pi} + \dots + c \frac{\langle \alpha_{s} GG \rangle}{m_{\tau}^{4}} + c' \frac{\langle m \overline{q} q \rangle}{m_{\tau}^{4}} + \dots \right] \end{split}$$

 Nevertheless, final precision is very good; subject to test with other nonperturbative techniques.



I. Strong coupling constant 2. Lattice calculation: scale setting

## The basic strategy



- ... Very simple
- I. Choose a set of lattice parameters:  $\beta = 6/g_{lat}^2$ ,  $m_q$
- 2. Determine the lattice spacing a with some physical input; it gives you a relation  $\alpha_{\text{lat}}(a^{-1})$
- 3. Convert the bare lattice coupling  $\alpha_{\text{lat}}(a^{-1})$  to  $\alpha_s^{\text{MS}}(\mu)$
- 4. Run to your favorite scale, e.g.  $\mu = M_Z$ .



### Scale setting

In any lattice QCD calculation you need a scale input. What is the best choice (reliable, stable, easy to calculate)?

- ρ meson mass:
  - Standard choice in the past. But a decaying particle with a large width. No way to control the  $m_q$  dependence near and below the  $\pi\pi$  threshold.
- Pion decay constant (or K)
  - Stable particle. Not difficult to calculate. Need controlled chiral extrapolation. Matching of  $A_{\mu}$  should be done non-perturbatively.
- string tension (or  $r_0$ ):
  - Another popular choice. Very easy to calculate. But not a directly measurable quantity. Need to involve a potential model for quarkonium spectrum.

Can be any other physical quantity; must agree among them.



## Quarkonium spectrum

- Charmonium, or bottomonium, spectrum is useful, because,
  - Low-lying spectrum experimentally very well known.
  - System is non-relativistic. Potential model works reasonably well. Can easily trace systematic errors.







## Non-relativistic dynamics

Non-relativistic expansion



 $\langle p^2 \rangle$ 

 $2m_o$ 

## Spin-averaged splittings



THE BOTTOMONIUM SYSTEM

- ► IS-IP or IS-2S
  - ▶ S wave: (m<sub>0-</sub>+3m<sub>1-</sub>)/4
  - P wave: (m<sub>0+</sub>+3m<sub>1+</sub>+5m<sub>2+</sub>)/9
  - Insensitive to the details of the heavy quark lagrangian (~v<sup>4</sup>)
  - Insensitive to the precise value of m<sub>Q</sub>
    - IS-IP = 458 (c), 450 (b) MeV
    - IS-2S = 606 (c), 569 (b) MeV

Somewhat accidental, due to a scaling  $\sim m_Q \alpha_s^2$ .



## Recent lattice calc (bottomonium)



- HPQCD-UKQCD (Gray et al., PRD72, 094507 (2007))
- On the 2+1-flavor MILC improvedstaggered lattices
- Using the NRQCD action (corrected to v<sup>6</sup>) for heavy quark.
- Sea quark mass dependence mild.
- Excellent agreement with the experimental values for IP-IS, 2P-IS, 3S-IS
- Lattice spacing obtained to 2-3% level.



I. Strong coupling constant 3. Lattice calculation: conversion

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#### Conversion

convert:  $\alpha_{s}^{MS}(\mu)=Z(\mu a) \alpha_{hat}(a^{-1})$ 

Requires perturbative expansion, but the convergence is bad!

$$Z(\mu a = 1) = 1 + 5.9\alpha_{\text{lat}} + 43.4\alpha_{\text{lat}}^2 + \dots \text{ at } n_f = 0$$
  
Luscher-Weisz, NPB452, 234 (1995).

At 
$$\beta$$
=6,  $\alpha_{lat}$ =0.08, then Z=1+0.47+0.28+...

- Not feasible to achieve an accurate determination,
- This is an example of the more general problem: poor convergence of lattice perturbation, if the bare lattice coupling is used
  - Solution given by Lepage-Mackenzie, PRD48(1993)2250.



## Boosted coupling



Tadpole diagram leads to a quadratic divergence

A common choice:  $u_0^4 \equiv \left\langle \frac{1}{3} \operatorname{Tr} U_{plaq} \right\rangle$ 

**Boosted coupling:** 



 Correspondence between the lattice and continuum gauge fields

U<sub>μ</sub>(x) ≡ e<sup>iagA<sub>μ</sub>(x)</sup> = 1+iagA<sub>μ</sub>(x) - <sup>1</sup>/<sub>2</sub>a<sup>2</sup>g<sup>2</sup>A<sup>2</sup><sub>μ</sub>(x) +...
 The terms with higher powers of a are not really suppressed much, because of power divergences.

- Replace as  $U_{\mu}(x) \rightarrow u_0 [1 + iagA_{\mu}(x) + ...]$ 
  - and use some non-perturbative input for  $u_0$ .
- Gauge action can be rewritten as

$$S_{g} = \sum \frac{1}{g_{lat}^{2}} \operatorname{Tr}(U_{plaq} + h.c.) = \sum \frac{1}{\tilde{g}_{lat}^{2}} \operatorname{Tr}(U_{plaq} + h.c.)$$
  

$$\rightarrow \sum \frac{1}{4\tilde{g}_{lat}^{2}} F_{\mu\nu}^{2} + ...$$

### Prescription

Reorganize the perturbation series

Example: the scheme conversion

$$\alpha_{MS}(\mu = 1/a) = \alpha_{lat} + 5.9\alpha_{lat}^2 + 43.4\alpha_{lat}^3 + \dots$$

• Expand in terms of  $\tilde{\alpha}_{\text{lat}} = \alpha_{\text{lat}} / P$  using

$$P^{\text{pert}} = 1 - 4.189\alpha_{\text{lat}} + 5.355\alpha_{\text{lat}}^2 + \dots$$

Namely,

$$\alpha_{MS} = P^{\text{pert}} \frac{\alpha_{\text{lat}}}{P} + 5.9 \left(P^{\text{pert}}\right)^2 \left(\frac{\alpha_{\text{lat}}}{P}\right)^2 + 43.4 \left(P^{\text{pert}}\right)^3 + \dots$$
$$= \frac{\alpha_{\text{lat}}}{P} + 1.7 \times \left(\frac{\alpha_{\text{lat}}}{P}\right)^2 - 11.4 \times \left(\frac{\alpha_{\text{lat}}}{P}\right)^3 + \dots$$

Convergence of the series is much better when expanded in the boosted coupling.



## Renormalized coupling





- Another sensible way of defining the coupling constant: use a physical quantity, e.g. heavy quark potential.
  - Potential V(q) defines  $\alpha_V(q)$
  - Relation to other definition can be obtained by calculating V(q).  $\alpha_V(q = 1/a) = \alpha_{Iat} [1 + 6.706 \times \alpha + ...]$
  - Note that it is much closer to MSbar.  $\alpha_{MS}(q) = \alpha_V(q) [1 - 0.822 \times \alpha + ...]$
  - Can be calculated non-perturbatively on the lattice (in principle). That means, a nonperturbative input.



# Coupling determination

#### Expansion using the renormalized coupling.

• "Measure"  $\alpha_V(q)$  through, e.g., the plaquete expectation value.

$$-\ln P = \frac{4\pi}{3} \alpha_V(q) \left[ 1 + (4\pi\beta_0 \ln(aq) - 3.33)\alpha_V + \dots \right]$$



 $\rightarrow \alpha(q)$ 

• The "best choice" for the scale q is estimated by an average momentum flow on the gluon line.

$$\ln(q^{*2}) \equiv \frac{\int d^4q f(q) \ln(q^2)}{\int d^4q f(q)}$$

- Based on Brodsky-Lepage-Mackenzie, PRD28(1983)228.
- For the plaquette, gives  $q^*=3.40/a$ , then

$$-\ln P = \frac{4\pi}{3} \alpha_V (3.40/a) [1 - 1.19\alpha_V + ...]$$



α

### Conversion, again

Now, the conversion can be done from  $\alpha_V$  to  $\alpha_{MS}$ , using the better behaved perturbative expansion.

$$\alpha_{MS}(q) = \alpha_{V}(q) \left[ 1 - 0.822 \alpha_{V}(q) - 2.665 \alpha^{2} + \dots \right]$$

Peter, PRL78(1997)602.

- Then, the determination of  $\alpha_s$  is done up to relative O( $\alpha_s^3$ ) corrections at a reference scale q (=3.40/a).
  - All the expressions correspond to the quenched QCD (N<sub>f</sub>=0).
     Similar expressions available for general N<sub>f</sub>.
  - Early calculations were done in  $N_f=0$ ; some theoretical argument and guesstimate used to  $N_f=2$  (or 3). Recent calculations are  $N_f=2(+1)$ .
  - Numbers depend on the choice of the lattice action.



I. Strong coupling constant4. Recent lattice calculations

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## Case study 1: HPQCD

Mason et al., PRL95, 052002 (2005).

- Uses the MILC 2+1 flavor simulations with the improved staggered fermion
  - Fast, U(1) chiral symmetry
  - Taste breaking: light hadron physics are affected, need the SChPT.
  - Heavy quarks less affected, comes from quark loops, which is perturbative except in the threshold region.
  - Rooting issues: not a valid QFT at finite a, probably okay in the continuum limit.
- Scale setting from Bottomonium spectrum
- $\blacktriangleright$  Conversion to MSbar using automated PT through  $\alpha_V$



## Automated perturbation theory

#### Use a highly improved lattice action

- Better scaling; but very complicated. Writing the Feynman rules is already too hard to do by hand. Need two-loop (or even three-loop) calculations.
- Automated PT technique was developed (Trottier, Mason).

• Use many short distance quantities for the input of  $\alpha_{v}$ .

$$\log W_{11} = -3.068 \,\alpha_V (3.33/a) \,(1 - 1.068 \,\alpha_V \\ + 1.69(4) \,\alpha_V^2 - 5(2) \,\alpha_V^3 - 1(6) \,\alpha_V^4 \cdots ) \\ \log W_{12} = -5.551 \,\alpha_V (3.00/a) \,(1 - 0.858 \,\alpha_V \\ + 1.72(4) \,\alpha_V^2 - 5(2) \,\alpha_V^3 - 1(6) \,\alpha_V^4 \cdots )$$

> PT calculated to  $\alpha_V^2$ ; higher orders are fitted with lattice data at three lattice spacings.



## Simulation results



#### Consistency checks

Final numbers:

 $\alpha_V^{(3)}(7.5 \,\text{GeV}) = 0.2082(40),$ 

 $\alpha_{MS}^{(5)}(M_{7}) = 0.1170(12).$ 

- With many different (short distance) quantities
- Obtained at different q\*





## Room for improvement?

#### Sources of errors

- Lattice spacing (<1% uncertainty)</p>
  - 1.4%-3% depending on the  $\beta$  value.
  - Beyond this level, lattice spacing must be reduced to 0.05 fm.
     NRQCD may not be used (1/am too large).
  - Or, further improve gauge, light quark, NRQCD actions?
- Perturbative expansion (<1% uncertainty)</p>
  - $\alpha_V^3$  included. Even higher order calculation??



## Case study 2: QCDSF-UKQCD

- Uses the non-perturbatively O(a)-improved Wilson fermion at  $N_f=2$ , combined with  $N_f=0$ 
  - ▶ Four lattice spacings, the smallest *a*=0.07 fm.
- Scale setting from heavy quark potential ( $r_0$ =0.467 fm)
  - >  $r_0$  is easy to calculate, but not known experimentally.
  - This particular value is from a global fit of nucleon mass in N<sub>f</sub>=2 data (CP-PACS, JLQCD, QCDSF, UKQCD).
  - MILC reported  $r_0=0.467(10)$  fm from a matching to the bottomonium spectrum.
- Coupling conversion including  $\alpha_s^3$  (NNLO)
  - With the boosted coupling.



### QCDSF-UKQCD results



- Continuum limit for  $r_0\Lambda$ 
  - Discretization effect nicely controlled.

#### Extrapolation to N<sub>f</sub>=3

- Done by matching the force perturbatively.
- Error is not really known.

#### Final result

 $\alpha_{MS}^{(5)}(M_{Z}) = 0.112(1)(2).$ 

About 2σ lower than HPQCD with x2 larger error bar.



## Comparison to phenomenological values

#### Very nice agreement

Mason et al., "the QCD of confinement is the same theory as the QCD of jets"



## Further improvement...?

#### Require non-perturbative matching

- How? MSbar is defined within perturbation theory.
- Possible by first going to very high scale, say 100 GeV, using non-perturbative running, and then convert to MSbar.
- Called the step scaling (ALPHA collaboration).
- Fully covered by Sint's lecture.

