**Merit Review Broader Impacts Criterion: Representative Activities**

**(NSF document from July 2007)**

Proposals submitted to the National Science Foundation are evaluated through use of two

merit review criteria, which all proposals must address explicitly. Experience shows that

while most proposers have little difficulty responding to the criterion relating to

intellectual merit, many proposers have difficulty understanding how to frame the

broader impacts of the activities they propose to undertake.

The *Broader Impacts* of a proposed activity are important considerations in advancing the

NSF Mission: *“To promote the progress of science; to advance the national health,*

*prosperity, and welfare; to secure the national defense; and for other purposes”* (NSF

Act of 1950). The **NSF Strategic Plan** provides further background information for

*Broader Impacts* through the NSF Vision, Core Values, Strategic Outcome Goals, and

Investment Priorities (NSF Strategic Plan for FY 2006-2011: Investing in America’s

Future (NSF 06-48).

The examples provided below are organized by the set of potential considerations used in

assessing the broader impacts of the proposed activity. They illustrate activities that,

when successfully incorporated in a project description, will help reviewers and NSF

program staff address the broader impacts criterion in the review and decision process.

The list is not intended to be exhaustive, nor is any particular example relevant to all

proposals. Proposers can draw from the examples but are urged to be creative in their

approaches to demonstrating the broader impacts of their projects. Proposers already

undertaking similar kinds of activities should carefully consider how to link these

examples to the research and education projects they are proposing for funding.

Proposers also should consider what types of activities best suit their interests, while

enhancing the broader impacts of the project being proposed.

The components of the broader impacts criterion as defined by the National Science

Board are listed below. The list is followed by short sections on each component that

provide representative activities.

**Broader Impacts Criterion: What are the broader impacts of the proposed activity?**

• How well does the activity advance discovery and understanding while promoting

teaching, training and learning?

• How well does the proposed activity broaden the participation of

underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)?

• To what extent will it enhance the infrastructure for research and education, such

as facilities, instrumentation, networks and partnerships?

• Will the results be disseminated broadly to enhance scientific and technological

understanding?

• What may be the benefits of the proposed activity to society?

**Advance Discovery and Understanding While Promoting Teaching, Training and**

**Learning**

***Examples of Activities:***

• Integrate research activities into the teaching of science, math and engineering at

all educational levels (e.g., K-12, undergraduate science majors, non-science

majors, and graduate students).

• Include students (e.g., K-12, undergraduate science majors, non-science majors,

and /or graduate students) as participants in the proposed activities as appropriate.

• Participate in the recruitment, training, and/or professional development of K-12

science and math teachers.

• Develop research-based educational materials or contribute to databases useful in

teaching (e.g., K-16 digital library).

• Partner with researchers and educators to develop effective means of

incorporating research into learning and education.

• Encourage student participation at meetings and activities of professional

societies.

• Establish special mentoring programs for high school students, undergraduates,

graduate students, and technicians conducting research.

• Involve graduate and post-doctoral researchers in undergraduate teaching

activities.

• Develop, adopt, adapt or disseminate effective models and pedagogic approaches

to science, mathematics and engineering teaching.

**Broaden Participation of Underrepresented Groups**

***Examples of Activities:***

• Establish research and education collaborations with students and/or faculty who

are members of underrepresented groups.

• Include students from underrepresented groups as participants in the proposed

research and education activities.

• Establish research and education collaborations with students and faculty from

non-Ph.D.-granting institutions and those serving underrepresented groups.

• Make campus visits and presentations at institutions that serve underrepresented

groups.

• Establish research and education collaborations with faculty and students at

community colleges, colleges for women, undergraduate institutions, and

EPSCoR institutions.

• Mentor early-career scientists and engineers from underrepresented groups who

are submitting NSF proposals.

• Participate in developing new approaches (e.g., use of information technology and

connectivity) to engage underserved individuals, groups, and communities in

science and engineering.

• Participate in conferences, workshops and field activities where diversity is a

priority.

**Enhance Infrastructure for Research and Education**

***Examples of Activities:***

• Identify and establish collaborations between disciplines and institutions, among

the U.S. academic institutions, industry and government and with international

partners.

• Stimulate and support the development and dissemination of next-generation

instrumentation, multi-user facilities, and other shared research and education

platforms.

• Maintain, operate and modernize shared research and education infrastructure,

including facilities and science and technology centers and engineering research

centers.

• Upgrade the computation and computing infrastructure, including advanced

computing resources and new types of information tools (e.g., large databases,

networks and associated systems, and digital libraries).

• Develop activities that ensure that multi-user facilities are sites of research and

mentoring for large numbers of science and engineering students.

**Broad Dissemination to Enhance Scientific and Technological Understanding**

***Examples of Activities:***

• Partner with museums, nature centers, science centers, and similar institutions to

develop exhibits in science, math, and engineering.

• Involve the public or industry, where possible, in research and education

activities.

• Give science and engineering presentations to the broader community (e.g., at

museums and libraries, on radio shows, and in other such venues.).

• Make data available in a timely manner by means of databases, digital libraries, or

other venues such as CD-ROMs.

• Publish in diverse media (e.g., non-technical literature, and websites, CD-ROMs,

press kits) to reach broad audiences.

• Present research and education results in formats useful to policy-makers,

members of Congress, industry, and broad audiences.

• Participate in multi- and interdisciplinary conferences, workshops, and research

activities.

• Integrate research with education activities in order to communicate in a broader

context.

**Benefits to Society**

***Examples of Activities:***

• Demonstrate the linkage between discovery and societal benefit by providing

specific examples and explanations regarding the potential application of research

and education results.

• Partner with academic scientists, staff at federal agencies and with the private

sector on both technological and scientific projects to integrate research into

broader programs and activities of national interest.

• Analyze, interpret, and synthesize research and education results in formats

understandable and useful for non-scientists.

• Provide information for policy formulation by Federal, State or local agencies.