

Complexity Analysis

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Complexity

- Space
 - The amount of memory space needed to run the program.
- Time
 - The amount of computational time needed to run the program

We use insertion sort as an example
Pick an instance characteristic ... n
 $n = a.length$ (the number of elements to be sorted)

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Space Complexity for Insertion Sort

```
for (int i = 1; i < a.length; i++)  
{ // insert a[i] into a[0:i-1]  
  int t = a[i];  
  int j;  
  for (j = i - 1; j >= 0 && t < a[j];  
       j--)  
    a[j + 1] = a[j];  
  a[j + 1] = t;  
}
```

Fixed part:
independent of n
ex: instruction space
Variables: i, j, t
Variable part:
size dependent on n
ex: $a[]$
Space requirement=
Fixed + Variable
Focus on variable part:
 $a[] \rightarrow n$

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Time Complexity

- Count a particular operation
- Count number of steps
- Asymptotic complexity

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Comparison Count

```
for (int i = 1; i < a.length; i++)
{ // insert a[i] into a[0:i-1]
  int t = a[i];
  int j;
  for (j = i - 1; j >= 0 && t < a[j]; j--)
    a[j + 1] = a[j];
  a[j + 1] = t;
}
```

•Determine the number of comparison count as a function of n

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Comparison Count

```
for (j = i - 1; j >= 0 && t < a[j]; j--)
  a[j + 1] = a[j];
```

How many comparisons are made?
Number of compares depends on
a[], t and i

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Comparison Count

- Worst-case count = maximum count
- Best-case count = minimum count
- Average count

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Worst-Case Comparison Count

```
for (j = i - 1; j >= 0 && t < a[j]; j--)
  a[j + 1] = a[j];
```

a = [1, 2, 3, 4] and t = 0 => 4 compares
a = [1,2,3,...,n] and t = 0 => n compares

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Worst-Case Comparison Count

```
for (int i = 1; i < n; i++)
    for (j = i - 1; j >= 0 && t < a[j]; j--)
        a[j + 1] = a[j];
```

$$\begin{aligned} \text{total compares} &= 1 + 2 + 3 + \dots + (n-1) \\ &= (n-1)n/2 \end{aligned}$$

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In Class Exercise: Best Case Comparison Count

```
for (int i = 1; i < n; i++)
    for (j = i - 1; j >= 0 && t < a[j]; j--)
        a[j + 1] = a[j];
```

- a = [1, 2, 3, 4] and t = 5 => 1 compares
- a = [1,2,3,...,n] and t = n+1 =>1 compares
- Compute the total number of comparison

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Step Count

A step is an amount of computing that does not depend on the instance characteristic n

10 adds, 100 subtracts, 1000 multiplies can all be counted as a single step

n adds cannot be counted as 1 step

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Step per execution (s/e)

| | s/e |
|--|-----|
| for (int i = 1; i < a.length; i++) | 1 |
| {// insert a[i] into a[0:i-1] | 0 |
| int t = a[i]; | 1 |
| int j; | 0 |
| for (j = i - 1; j >= 0 && t < a[j]; j--) | 1 |
| a[j + 1] = a[j]; | 1 |
| a[j + 1] = t; | 1 |
| } | 0 |

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Step per execution

s/e isn't always 0 or 1

```
x = sum(a, n);
```

where n is the instance characteristic
and
sum adds a[0:n-1] has a s/e count of n
(a[0]+a[1]+a[2]+...+a[n-1])

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Step Count

| | s/e | steps |
|--|-----|-------|
| for (int i = 1; i < a.length; i++) | 1 | |
| { // insert a[i] into a[0:i-1] | 0 | |
| int t = a[i]; | 1 | |
| int j; | 0 | |
| for (j = i - 1; j >= 0 && t < a[j]; j--) | 1 | i + 1 |
| a[j + 1] = a[j]; | 1 | i |
| a[j + 1] = t; | 1 | |
| } | 0 | |

Worst case analysis

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Step Count

```
for (int i = 1; i < a.length; i++)
{ 2i + 3 }
```

step count for

```
  for (int i = 1; i < a.length; i++)
is n
```

step count for body of for loop is
 $2(1+2+3+\dots+n-1) + 3(n-1)$
 $= (n-1)n + 3(n-1)$
 $= (n-1)(n+3)$

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| | s/e | frequency | total steps |
|--|-----|----------------|-------------|
| for (int i = 1; i < a.length; i++) | 1 | n | n |
| { // insert a[i] into a[0:i-1] | 0 | n-1 | 0 |
| int t = a[i]; | 1 | n-1 | n-1 |
| int j; | 0 | n-1 | 0 |
| for (j = i - 1; j >= 0 && t < a[j]; j--) | 1 | $(n-1)(n+2)/2$ | |
| a[j + 1] = a[j]; | 1 | $n(n-1)/2$ | |
| a[j + 1] = t; | 1 | n-1 | n-1 |
| } | 0 | n-1 | 0 |

Total : n^2+3n-3

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In Class Exercise:

Determine the **frequency counts** for all statements in the following program segment

```
for(i=1;i<=n;i++)
  for(j=1;j<=i;j++)
    for(k=1;k<=j;k++)
      X++;
```

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Asymptotic Complexity of Insertion Sort

- $(n-1)(n+3) \rightarrow O(n^2)$
- What does this mean?

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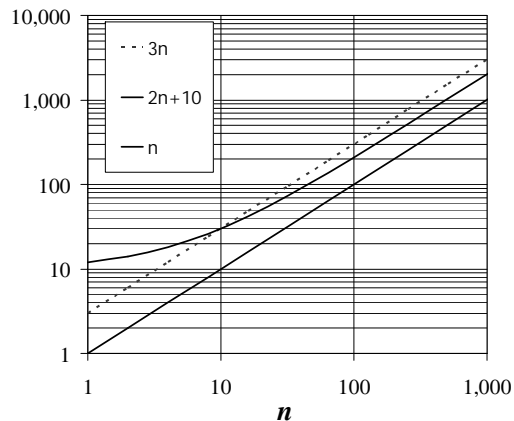
Big-Oh Notation

- Given functions $f(n)$ and $g(n)$, we say that $f(n)$ is $O(g(n))$ if there are positive constants c and n_0 such that

$$f(n) \leq cg(n) \text{ for } n \geq n_0$$

- Example: $2n + 10$ is $O(n)$

- $2n + 10 \leq cn$
- $(c - 2)n \geq 10$
- $n \geq 10/(c - 2)$
- Pick $c = 3$ and $n_0 = 10$



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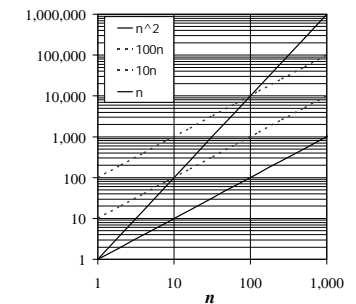
Big-Oh Example

Example: the function n^2 is not $O(n)$

$$- n^2 \leq cn$$

$$- n \leq c$$

the above inequality cannot be satisfied since c must be a constant



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Big-Oh and Growth Rate

- The big-Oh notation gives an upper bound on the growth rate of a function
- The statement " $f(n)$ is $O(g(n))$ " means that the growth rate of $f(n)$ is no more than the growth rate of $g(n)$
- We can use the big-Oh notation to rank functions according to their growth rate

| | $f(n)$ is $O(g(n))$ | $g(n)$ is $O(f(n))$ |
|-------------------|---------------------|---------------------|
| $g(n)$ grows more | Yes | No |
| $f(n)$ grows more | No | Yes |
| Same growth | Yes | Yes |

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Complexity of Insertion Sort

- Time or number of operations does not exceed $c \cdot n^2$ on any input of size n (n suitably large).
- Actually, the worst-case time is $\Theta(n^2)$ and the best-case is $\Theta(n)$
- So, the worst-case time is expected to quadruple each time n is doubled

The definition of $\Theta(n)$ will be discussed finally.

Complexity of Insertion Sort

- Is $O(n^2)$ too much time?
- Is the algorithm practical?

Practical Complexities

10^9 instructions/second

| | | | | |
|--------|--------|---------|----------|---------|
| 1000 | 1mic | 10mic | 1milli | 1sec |
| 10000 | 10mic | 130mic | 100milli | 17min |
| 10^7 | 1milli | 20milli | 17min | 32years |

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Impractical Complexities

109 instructions/second

| n | n^4 | n^{10} | 2^n |
|--------|--------------------------|-------------------------------|--------------------------------|
| 1000 | 17min | 3.2×10^{13} years | 3.2×10^{283} years |
| 10000 | 116 days | ??? | ??? |
| 10^6 | 3×10^7 years | ?????? | ?????? |

Faster Computer v.s Better algorithm



Algorithmic improvement more useful than hardware improvement.

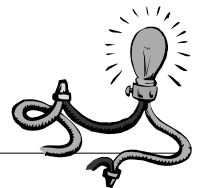
E.g. 2^n to n^3

Relatives of Big-Oh



- **big-Omega**
 - $f(n)$ is $\Omega(g(n))$ if there is a constant $c > 0$ and an integer constant $n_0 \geq 1$ such that $f(n) \geq c \cdot g(n)$ for $n \geq n_0$
- **big-Theta**
 - $f(n)$ is $\Theta(g(n))$ if there are constants $c' > 0$ and $c'' > 0$ and an integer constant $n_0 \geq 1$ such that $c' \cdot g(n) \leq f(n) \leq c'' \cdot g(n)$ for $n \geq n_0$
- **little-oh**
 - $f(n)$ is $o(g(n))$ if, for any constant $c > 0$, there is an integer constant $n_0 \geq 0$ such that $f(n) \leq c \cdot g(n)$ for $n \geq n_0$
- **little-omega**
 - $f(n)$ is $\omega(g(n))$ if, for any constant $c > 0$, there is an integer constant $n_0 \geq 0$ such that $f(n) \geq c \cdot g(n)$ for $n \geq n_0$

Intuition for Asymptotic Notation



- **Big-Oh**
 - $f(n)$ is $O(g(n))$ if $f(n)$ is asymptotically **less than or equal** to $g(n)$
- **big-Omega**
 - $f(n)$ is $\Omega(g(n))$ if $f(n)$ is asymptotically **greater than or equal** to $g(n)$
- **big-Theta**
 - $f(n)$ is $\Theta(g(n))$ if $f(n)$ is asymptotically **equal** to $g(n)$
- **little-oh**
 - $f(n)$ is $o(g(n))$ if $f(n)$ is asymptotically **strictly less** than $g(n)$
- **little-omega**
 - $f(n)$ is $\omega(g(n))$ if $f(n)$ is asymptotically **strictly greater** than $g(n)$

Example

$$f(n) = 2n^2 + n + 4$$

$$g(n) = n^2$$

$$f(n) = \theta(g(n))$$

$$c' = 1, c'' = 7$$

$$1 * g(n) \leq f(n) \leq 7 * g(n), \text{ for } n \geq 1$$

$$1 * 1^2 \leq 2 * 1^2 + 1 + 4 \leq 7 * 1^2$$

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Example

$$f(n) = a_m n^m + a_{m-1} n^{m-1} + \dots + a_1 n + a_0$$
$$f(n) = O(n^m)$$

$$f(n) = a_m n^m + a_{m-1} n^{m-1} + \dots + a_1 n + a_0$$

$$= \sum_{i=0}^m a_i n^i$$

$$\leq \sum_{i=0}^m |a_i| n^i$$

$$\leq n^m \cdot \sum_{i=0}^m |a_i| n^{i-m}$$

$$\leq n^m \cdot \sum_{i=0}^m |a_i|$$

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Homework

Determine the frequency counts for all statements and analysis the complexity for the program segment

```
for(int i=0; i<n; i++)
{ // n is number of elements stored in array
  for (int j=0; j<n-i; j++)
  {
    if(array[j]>array[j+1])
      Swap(array[j],array[j+1]);
  }
}
```

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