

**NEIL CHUE HONG**  
THE FOUNDATIONS OF DIGITAL RESEARCH

# The Foundations of Digital Research



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Software Sustainability Institute

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Neil Chue Hong (@npch)



UNIVERSITY OF  
**Southampton**

[slideshare.net/npch/the-foundations-of-digital-research](http://slideshare.net/npch/the-foundations-of-digital-research)





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# Isn't software just data?

<http://beyond-impact.org/?p=175>

- Journal of Open Research Software
  - <http://openresearchsoftware.metajnl.com/>
- Role of Repositories
  - <http://www.era.lib.ed.ac.uk/handle/1842/5905>
- Publication for Discovery
  - JISC-funded Software Hub project

**Boundary**

What do we choose to keep:

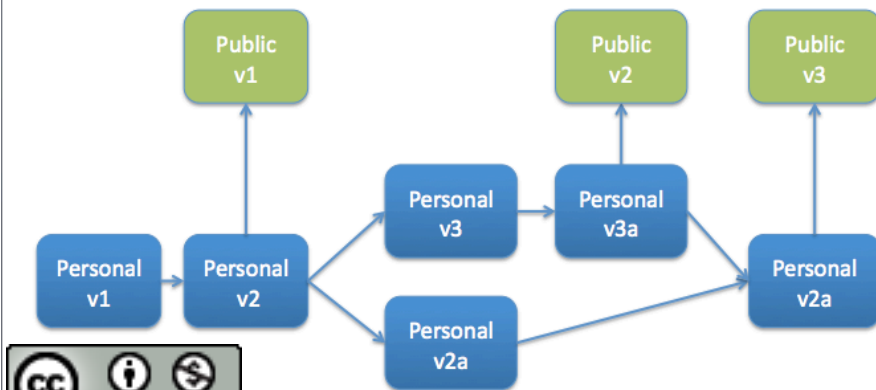
- Workflow?
- Software that runs workflow?
- Software referenced by workflow?
- Software dependencies?

What's the minimum citable part?

# Versioning

Why do we version?

- To indicate a change
- To allow sharing
- To confer special status



# Granularity

```

    81 #include <stdio.h>
    82 #include <stdlib.h>
    83
    84 void swap(int *x, int *y)
    85 {
    86     int temp;
    87     temp = *x;
    88     *x = *y;
    89     *y = temp;
    90 }
    91
    92 int choose_pivot(int i, int j)
    93 {
    94     return ((i+j) / 2);
    95 }
    96
    97 void quicksort(int list[], int m, int n)
    98 {
    99     int key, i, j, k;
   100     if (m < n)
   101     {
   102         k = choose_pivot(m, n);
   103         swap(list[m], list[k]);
   104         key = list[m];
   105         i = m+1;
   106         j = n;
   107         while (i <= j)
   108         {
   109             while (i <= n) && (list[i] <= key)
   110                 i++;
   111             while (j >= m) && (list[j] > key)
   112                 j--;
   113             if (i < j)
   114                 swap(list[i], list[j]);
   115         }
   116         // swap two elements
   117         swap(list[m], list[j]);
   118         // recursively sort the lesser list
   119         quicksort(list, m, j-1);
   120         quicksort(list, j+1, n);
   121     }
   122 }
   123
   124 void printlist(int list[], int n)
   125 {
   126     int i;
   127     for (i=0; i<n; i++)
   128         printf("%d\t", list[i]);
   129 }
   130
   131 void main()
   132 {
   133     const int MAX_ELEMENTS = 10;
   134     int list[MAX_ELEMENTS];
   135     int i = 0;
   136     // generate random numbers and fill the list
   137     for (i = 0; i < MAX_ELEMENTS; i++) {
   138         list[i] = rand();
   139     }
   140     printf("The list before sorting is:\n");
   141     printlist(list, MAX_ELEMENTS);
   142     // sort the list
   143     quicksort(list, 0, MAX_ELEMENTS-1);
   144     // print the result
   145     printf("The list after sorting using quicksort algorithm:\n");
   146     printlist(list, MAX_ELEMENTS);
   147 }
  
```

Function

Algorithm

Library / Suite / Package

And we haven't talked about authorship yet...

# 5 Stars of Software?



www.software.ac.uk

- Do we need a 5 stars for software?
  - Existence – there is accurate metadata that defines the software
  - Availability – you can access and run the software
  - Openness – the software has an open permissible license
  - Linked – the related data, dependencies and papers are indicated
  - Assured – the software provides ways of assuring its “correctness”



*c.f.*  
*5 Stars of Linked Data*  
*(Berners-Lee)*  
*5 Stars of Online Journals*  
*(Shotton)*





# NOAH CLEMONS

HOW TO ENFORCE REPRODUCIBILITY WITH YOUR EXISTING MKL CODE




# How to Enforce Reproducibility with your Existing Intel® Math Kernel Library Code

Noah Clemons  
Technical Consulting Engineer  
Intel® Developer Products Division

# 3 Types of Non-Reproducibility in Intel® Math Kernel Library

- Run to Run – same processor
- Runs between different Intel processors
- Runs between different IA-compatible processors

| <br>Maximum<br>Compatibility | For consistent results ...  | Function Call<br>mkl_cbwr_set( ... ) | Environment Variable<br>MKL_CBWR= |
|--|---|--------------------------------------|-----------------------------------|
|  | on Intel® or Intel®-compatible CPUs supporting SSE2 instructions or later | MKL_CBWR_COMPATIBLE                  | COMPATIBLE                        |
|  | on Intel® processors supporting SSE2 instructions or later                | MKL_CBWR_SSE2                        | SSE2                              |
|  | on Intel processors supporting SSE4.2 instructions or later               | MKL_CBWR_SSE4_2                      | SSE4_2                            |
|  | on Intel processors supporting Intel® AVX or later                        | MKL_CBWR_AVX                         | AVX                               |
| from run to run (but not processor-to-processor)   | MKL_CBWR_AUTO   | AUTO                                 |                                   |

# Example – Find out the best performing option from a pool of processors

For the best option given a pool of computing resources in a grid setting, you may launch a simple program as follows

```
#include <mkl.h>
int main(void) {
    int my_cbwr_branch;
    /* Find the available MKL_CBWR_BRANCH */
    my_cbwr_branch = mkl_cbwr_get_auto_branch();
    if (!mkl_cbwr_set(my_cbwr_branch)) {
        printf("Error in setting branch. Aborting...\n");
        return;}
    return my_cbwr_branch;
}
```

## The full list of options:

|            |    |
|------------|----|
| COMPATIBLE | 3  |
| SSE2       | 4  |
| SSE3       | 5  |
| SSSE3      | 6  |
| SSE4_1     | 7  |
| SSE4_2     | 8  |
| AVX        | 9  |
| AVX2       | 10 |

Examine all results and use `mkl_cbwr_set (<minimum_result>)`

For more information on Benchmarks and Optimization Notice go to <http://www.intel.com/performance>





# DAVID KETCHESON

REPRODUCING --  
WAKARI

AND EASILY MODIFYING -- CLAWPACK SIMULATIONS IN THE CLOUD WITH



**SEBASTIEN LI-THIAO-TE**  
LEPTON: LITERATE EXECUTABLE PAPERS

# Lepton : Literate Executable Papers

Lepton is a tool to **do research** as opposed to publishing reproducible research results. It deals with :

- **everyday tasks** such as programming and writing technical reports
- **reviewing** the methods and results by collaborators and in the long term
- **re-using** source code, input data, research results

Further references :

- **Website** <http://www.math.univ-paris13.fr/~lithiao/ResearchLepton/Lepton.html>  
with program for download, manual, faq, examples
- 2 conference papers
  - Sébastien Li-Thiao-Té. Literate program execution for reproducible research and executable papers. *Procedia Computer Science*, 9(0):439 – 448, 2012. ICCS 2012.
  - Sébastien Li-Thiao-Té. Literate program execution for teaching computational science. *Procedia Computer Science*, 9(0):1723 – 1732, 2012. ICCS 2012.
- A poster + demonstration at ICERM



# Lepton : Reproducible Research

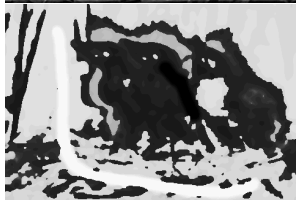
Lepton provides :

- provenance information
  - generated documents contain all the information required to reproduce the results
- executable papers
  - a Lepton file is a program and can be executed on the local machine
- coherence and correctness guarantees
  - Lepton executes commands and automatically embeds their output
  - no copy-and-paste
- literate programming features
  - everything in the same bundle,
  - related items placed in close proximity
  - meaningful chunks
- generated, up-to-date documentation
  - run benchmarks with scripts in any language
  - format the results into tables



# Lepton : In practice

## Image analysis



## Writing source code

### Code chunk 1: «header»

```
bp_typedef
#include <stdio.h>
#include <stdlib.h>
<<bp_typedef>>
```

### Code chunk 2:

```
<<bp_typedef>>
```

```
struct bp_node
{
    gsl_vector * belief;
    gsl_vector * evidence;
    gsl_vector * m_left;
    gsl_vector * m_right;
    gsl_vector * m_up;
    gsl_vector * m_down;
};
```

## Teaching statistics

### Code chunk 3: «R»

```
x = round(runif(5,0,10),1)
cat(x, "\n")
```

Interpret with R

```
1.7 6.2 4.5 7.3 0.8
```

Define the sample mean :

$$\bar{x} = \frac{\sum x_i}{n}$$

Example :

$$\bar{x} = \frac{(1.7 + 6.2 + 4.5 + 7.3 + 0.8)}{5}$$





# NICOLAS LIMARE

MY CHRISTMAS LIST FOR REPRODUCIBILITY



# BENJAMIN SEIBOLD

STARMAP - A SECOND ORDER STAGGERED GRID METHOD FOR SPHERICAL HARMONICS  
MOMENT EQUATIONS OF RADIATIVE TRANSFER



**MATTHIAS TROYER**  
PUBLISHING EXECUTABLE PAPERS



# Publishing executable papers

Matthias Troyer and Jan Gukelberger (ETH Zurich)  
Michael H. Freedman (Microsoft)

with help from the VisTrails team,  
especially David Koop, Emanuele Santos, and Juliana Freire

PHYSICAL REVIEW B **85**, 045414 (2012)



## Galois conjugates of topological phases

M. H. Freedman,<sup>1</sup> J. Gukelberger,<sup>2</sup> M. B. Hastings,<sup>1</sup> S. Trebst,<sup>1</sup> M. Troyer,<sup>2</sup> and Z. Wang<sup>1</sup>

<sup>1</sup>*Microsoft Research, Station Q, University of California, Santa Barbara, California 93106, USA*

<sup>2</sup>*Theoretische Physik, ETH Zurich, CH-8093 Zurich, Switzerland*

# Numerical experiments + theorem and proof

- Can we build quantum computers based on non-unitary conformal field theories?
- First reproducible numerical experiment, then theorem and proof.

PHYSICAL REVIEW B **85**, 045414 (2012)

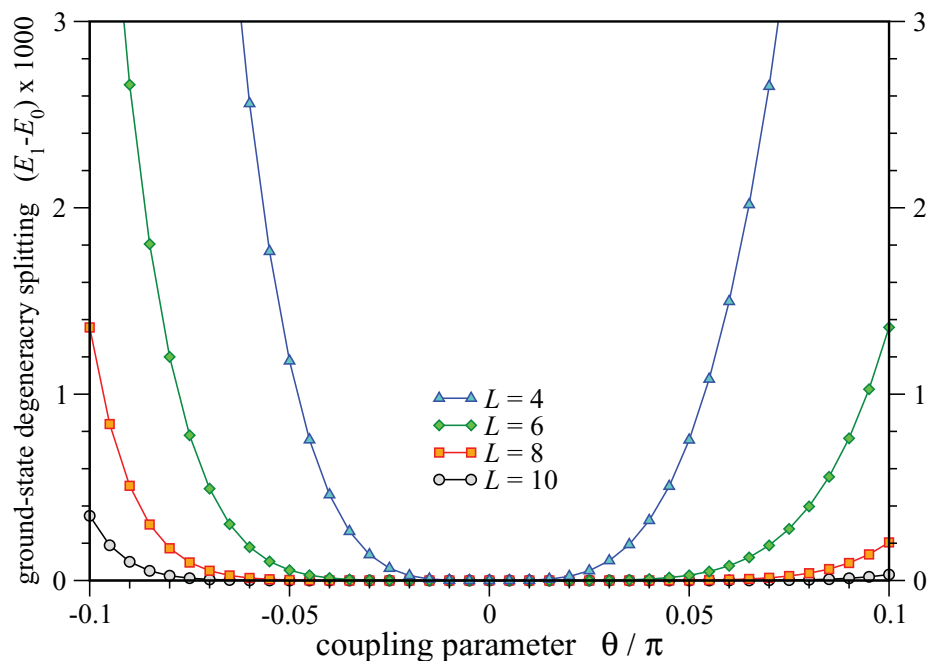


## Galois conjugates of topological phases

M. H. Freedman,<sup>1</sup> J. Gukelberger,<sup>2</sup> M. B. Hastings,<sup>1</sup> S. Trebst,<sup>1</sup> M. Troyer,<sup>2</sup> and Z. Wang<sup>1</sup>

<sup>1</sup>Microsoft Research, Station Q, University of California, Santa Barbara, California 93106, USA

<sup>2</sup>Theoretische Physik, ETH Zurich, CH-8093 Zurich, Switzerland



*Theorem IV.5.* Fixing the number  $n \geq 5$  and particle type  $\tau \otimes \tau$  of DFib anyons on  $S^2$  and any vertex normalization  $f$ , there can be no continuous uniform  $\Gamma$  family of (g.s. weakly) local normalizer operators  $O_\Gamma: \mathcal{H} \rightarrow \mathcal{H}$ , so that  $O_\Gamma G_{n,\Gamma,f}^{\mathcal{G}}$  is, for all anyon positions  $\Gamma$ , the ground-state manifold of a uniformly Lieb-Robinson and uniformly gapped family of Hermitian Hamiltonians  $H(\Gamma)$  defining a topological phase [see Eq. (1)].

FIG. 6. (Color online) Ground-state degeneracy splitting of the non-Hermitian doubled Yang-Lee model when perturbed by a string tension ( $\theta \neq 0$ ). This figure can be reproduced using the VisTrails<sup>33</sup> workflow Fig. 6 included in the Supplementary Material.<sup>37</sup>

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- The arXiv version has all data and workflow
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 All papers

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**Condensed Matter > Strongly Correlated Electrons**

## Galois Conjugates of Topological Phases

Michael H. Freedman, Jan Gukelberger, Matthew B. Hastings, Simon Trebst, Matthias Troyer, Zhenghan Wang

*(Submitted on 16 Jun 2011 (v1), last revised 5 Jul 2011 (this version, v3))*

Galois conjugation relates unitary conformal field theories (CFTs) and topological quantum field theories (TQFTs) to their non-unitary counterparts. Here we investigate Galois conjugates of quantum double models, such as the Levin-Wen model. While these Galois conjugated Hamiltonians are typically non-Hermitian, we find that their ground state wave functions still obey a generalized version of the usual code property (local operators do not act on the ground state manifold) and hence enjoy a generalized topological protection. The key question addressed in this paper is whether such non-unitary topological phases can also appear as the ground states of Hermitian Hamiltonians. Specific attempts at constructing Hermitian Hamiltonians with these ground states lead to a loss of the code property and topological protection of the degenerate ground states. Beyond this we rigorously prove that no local change of basis can transform the ground states of the Galois conjugated doubled Fibonacci theory into the ground states of a topological model whose Hermitian Hamiltonian satisfies Lieb-Robinson bounds. These include all gapped local or quasi-local Hamiltonians. A similar statement holds for many other non-unitary TQFTs. One consequence is that the "Gaffnian" wave function cannot be the ground state of a gapped fractional quantum Hall state.

Comments: 16 pages, 8 figures  
 Subjects: **Strongly Correlated Electrons (cond-mat.str-el)**; Mesoscale and Nanoscale Physics (cond-mat.mes-hall); Mathematical Physics (math-ph)

Journal reference: Phys. Rev. B 85, 045414 (2012)  
 DOI: [10.1103/PhysRevB.85.045414](https://doi.org/10.1103/PhysRevB.85.045414)  
 Cite as: [arXiv:1106.3267 \[cond-mat.str-el\]](https://arxiv.org/abs/1106.3267)  
 (or [arXiv:1106.3267v3 \[cond-mat.str-el\]](https://arxiv.org/abs/1106.3267v3) for this version)

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**Ancillary files** (details):

- honey\_gap\_L.vtl
- ladder\_E\_around\_theta0.vtl
- ladder\_dyl\_gap\_theta.vtl
- ladder\_dyl\_spectrum\_sweep.vtl
- ladder\_gap\_L.vtl
- (2 additional files not shown)

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Condensed Matter > Strongly Correlated Systems

## Galois Conjugates of Topological Phases

Michael H. Freedman, Jan Gukelberger, Michael Troyer, Zhenghan Wang

(Submitted on 16 Jun 2011 (v1), last revised 5 Jul 2011 (v3))

Galois conjugation relates unitary conformal quantum field theories (TQFTs) to their non-unitary Galois conjugates of quantum double models. Galois conjugated Hamiltonians are typical state wave functions still obey a generalized topological protection. The key question at non-unitary topological phases can also appear in non-unitary Hamiltonians. Specific attempts at constructing ground states lead to a loss of the code protecting degenerate ground states. Beyond this we can transform the ground states of the Galois conjugated model into the ground states of a topological model with a gap. These include all gapped states. The statement holds for many other non-unitary topological phases. "Gaffnian" wave function cannot be the ground state.

Comments: 16 pages, 8 figures  
 Subjects: **Strongly Correlated Electron Physics** (cond-mat.mes-hall);  
 Journal reference: Phys. Rev. B 85, 045414 (2012)  
 DOI: [10.1103/PhysRevB.85.045414](https://doi.org/10.1103/PhysRevB.85.045414)  
 Cite as: [arXiv:1106.3267](https://arxiv.org/abs/1106.3267) [cond-mat] (or [arXiv:1106.3267v3](https://arxiv.org/abs/1106.3267v3) [cond-mat])

### non-Hermitian DYL model

| $\theta / \pi$ | $L=4$ (blue triangles) | $L=6$ (green diamonds) | $L=8$ (orange squares) | $L=10$ (grey circles) |
|----------------|------------------------|------------------------|------------------------|-----------------------|
| -0.1           | ~2.8                   | ~1.4                   | ~0.8                   | ~0.4                  |
| -0.05          | ~1.2                   | ~0.5                   | ~0.2                   | ~0.1                  |
| 0              | 0                      | 0                      | 0                      | 0                     |
| 0.05           | ~1.2                   | ~0.5                   | ~0.2                   | ~0.1                  |
| 0.1            | ~2.8                   | ~1.4                   | ~0.8                   | ~0.4                  |

FIG. 6. (color online) Ground-state degeneracy splitting of the non-Hermitian doubled Yang-Lee model when perturbed by a string tension ( $\theta \neq 0$ ).



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Condensed Matter > Strongly Correlated Electron Systems

## Galois Conjugates of Topological Phases

Michael H. Freedman, Jan Gukelberger, Ilya P. Pryor, Zhenghan Wang

(Submitted on 16 Jun 2011 (v1), last revised 5 Jul 2011 (v3))

Galois conjugation relates unitary conformal quantum field theories (TQFTs) to their non-unitary Galois conjugates of quantum double models. Galois conjugated Hamiltonians are typical state wave functions still obey a generalized topological protection. The key question at the heart of topological phases can also appear in non-unitary Hamiltonians. Specific attempts at constructing ground states lead to a loss of the code protecting ground states. Beyond this we can transform the ground states of the Galois conjugated model into the ground states of a topological model with a gap. These include all gapped states. The statement holds for many other non-unitary Galois conjugated Hamiltonians. A "Gaffnian" wave function cannot be the ground state.

Comments: 16 pages, 8 figures  
Subjects: Strongly Correlated Electron Physics (cond-mat.mes-hall); Quantum Entanglement (quant-ph)

Journal reference: Phys. Rev. B 85, 045414 (2012)  
DOI: 10.1103/PhysRevB.85.045414  
Cite as: arXiv:1106.3267 [cond-mat.mes-hall] (or arXiv:1106.3267v3 [cond-mat.mes-hall])

### non-Hermitian DYL model

ground-state degeneracy splitting  $(E_1 - E_0) \times 1000$

**FIG. 6. (color online) Ground-state degeneracy splitting  $(E_1 - E_0)$  versus  $\theta$  for the non-Hermitian DYL model. The splitting is shown for three different system sizes:  $L=4$  (green circles),  $L=8$  (red squares), and  $L=10$  (black circles). The splitting increases as  $\theta$  moves away from 0, and the splitting is larger for larger system sizes.**

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## Galois Conjugates of Top

Michael H. Freedman, Jan Gukelberger, I Troyer, Zhenghan Wang

(Submitted on 16 Jun 2011 (v1), last revised 5 Jul 2011 (v2))

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### non-Hermitian DYL model

**FIG. 6. (color online) Ground-state degeneracy splitting  $(E_1 - E_0)$  versus  $\theta/\pi$  for the non-Hermitian DYL model. The plot shows four curves for system sizes  $L = 10$  (black squares),  $L = 8$  (blue circles),  $L = 6$  (green triangles), and  $L = 4$  (purple diamonds). The splitting is zero at  $\theta/\pi = 0$  and increases as  $|\theta/\pi|$  increases. The curves for larger  $L$  show a more pronounced minimum at  $\theta/\pi = 0$ .**

# Publishers were excited!

- This is how it should be!
- Start a trial project to see how it can be made to work!





# Publishing requires compromises (1)

- No stable URL or DOI for supplementary material
- No link from the figure, but only a reference

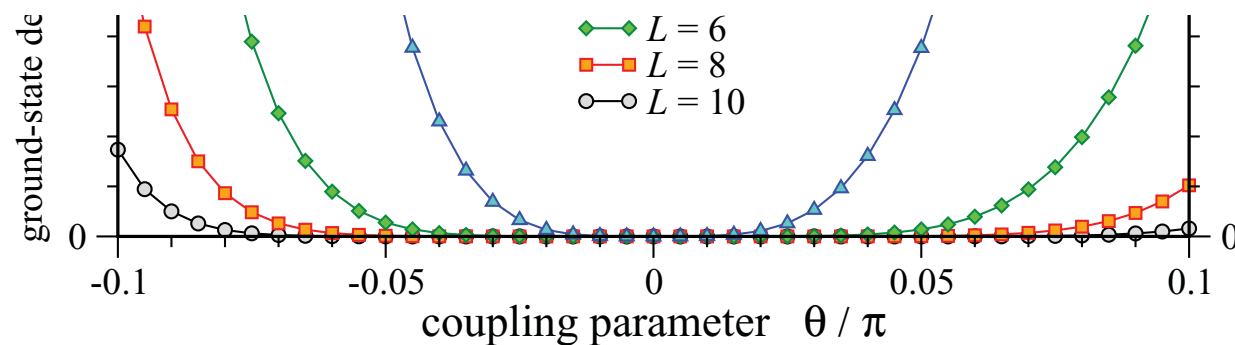


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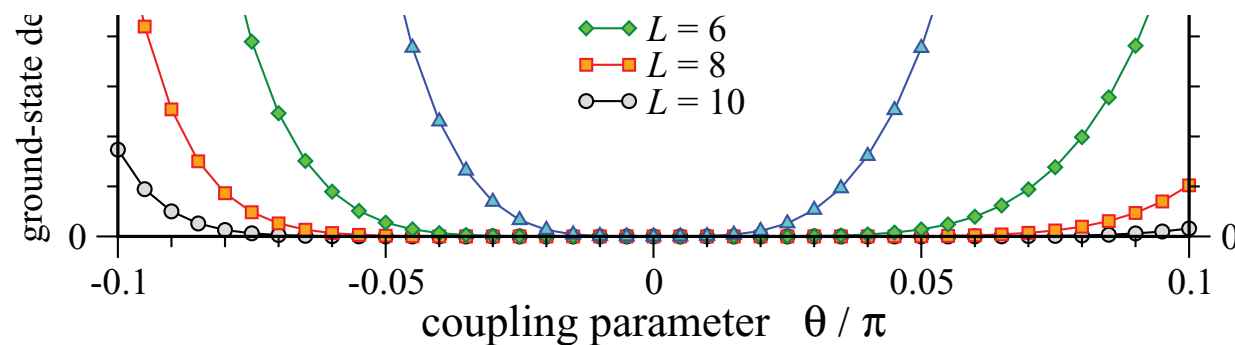


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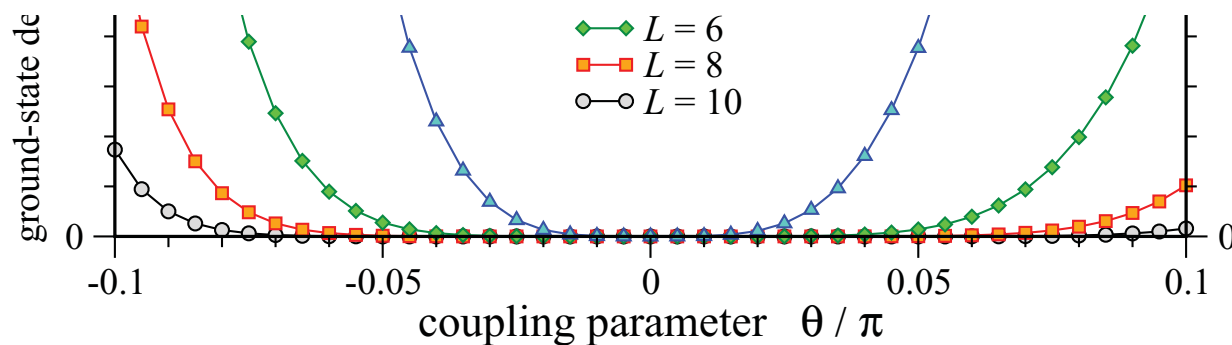


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Phys. Rev. B 85, 045414 (2012) [15 pages]

## Galois conjugates of topological phases

Abstract    References    Citing Articles (2)    **Supplemental Material**

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- proj\_ladder\_gap.zip
- figure7b.vtl
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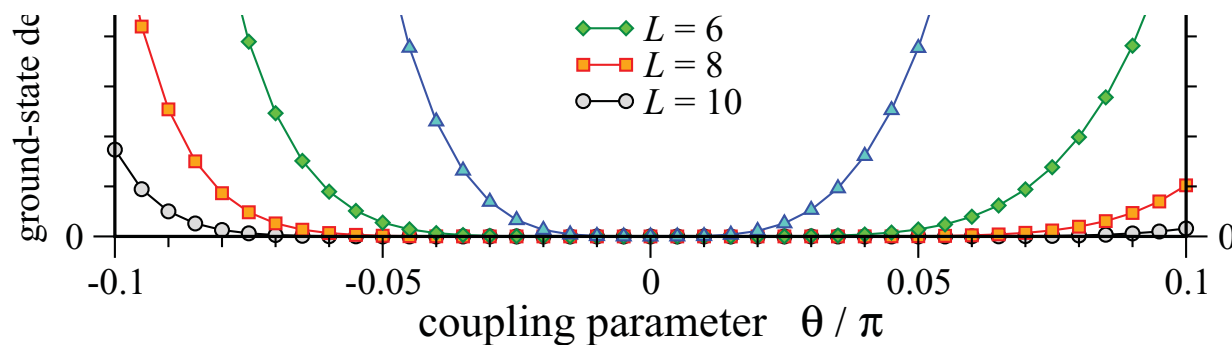


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## Galois conjugates of topological phases

| Abstract   | References | Citing Articles (2) | Supplemental Material |
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- proj\_ladder\_gap.zip
- figure7b.vtl
- proj\_ladder\_thetasweep.zip
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- proj\_honey\_gap\_vs\_L.zip
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# Publishing requires compromises (2)

- The workflow needs to fetch the raw data, but
  - No stable URL or DOI for supplementary material
  - Even unstable URL only know **after** publication
- How did we solve it?

# Publishing requires compromises (2)

- The workflow needs to fetch the raw data, but
  - No stable URL or DOI for supplementary material
  - Even unstable URL only know **after** publication
- How did we solve it?
- Journal of Statistical Mechanics (JSTAT), an IOP journal
  - Production editor started publication process before the lunch break and sent us the URL
  - We had an hour to prepare final workflows and sent them back
  - He finished publication process after returning from lunch

# Publishing requires compromises (2)

- The workflow needs to fetch the raw data, but
  - No stable URL or DOI for supplementary material
  - Even unstable URL only know **after** publication
- How did we solve it?
- Journal of Statistical Mechanics (JSTAT), an IOP journal
  - Production editor started publication process before the lunch break and sent us the URL
  - We had an hour to prepare final workflows and sent them back
  - He finished publication process after returning from lunch
- Physical Review, an APS journal
  - Editors told us to give up
  - Production manager informed us that we can replace the supplementary material anytime after publication without leaving a trace ....
  - We then just sent the working workflows with the right URLs for data after publication



# Our next approach

- Publishers desire reproducible papers but are not yet ready to handle executable papers in the publication process
- Our intermediate solution:
  - Publish raw data and workflows through our institutional library and obtain DOIs
  - Refer to that data from the paper and just include a backup copy with the papers





**YIHUE XIE**

REPRODUCIBLE RESEARCH WITH KNITR AND R

# knitr: Starting From Reproducible Homework

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ICERM, 12/12/12

knitr = knit + R

Sweave = S + weave

my homework & solutions in past three years at Iowa State<sup>1</sup>

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<sup>1</sup>e.g. <https://github.com/yihui/stat579/downloads>

I love  $\text{\LaTeX}$  more than anyone else, but do not tie users to  $\text{\LaTeX}$

I love  $\text{\LaTeX}$  more than anyone else, but do not tie users to  $\text{\LaTeX}$   
(but do keep them away from Word)

# Markdown  
## Markdown  
**\*\*Markdown\*\***  
*\_Markdown\_*  
- markdown  
- markdown

The value of  $\pi$  is `pi`, and a Monte Carlo estimate is:

```
```{r}
est_pi = function(n) {
  x = runif(n, -1, 1)
  y = runif(n, -1, 1)
  4 * mean(x^2 + y^2 <= 1)
}
est_pi(10000)
```
```



The value of  $\pi$  is 3.1416, and a Monte Carlo estimate is:

```
est_pi = function(n) {  
  x = runif(n, -1, 1)  
  y = runif(n, -1, 1)  
  4 * mean(x^2 + y^2 <= 1)  
}  
est_pi(5000)  
  
## [1] 3.128
```

reproducible homework (happier students, happier professors)

evidence that we underestimated the power and imagination of students: <http://www.rpubs.com>

written in R, but not for R only (bash scripts, C++, ...)

If reproducible homework comes, can reproducible research be far behind?

IN CODE WE TRUST