

New Environmental Governance

BPOLST583/BIS 491

Autumn 2011

Wednesdays, 5:45 PM– 10:05 PM, UW1-031

Credit Hours: 5

Instructor: Nives Dolšak

Office hours: Wednesdays, 4:30 – 5:30 and by appointment; UW2-332

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Course Description

This course examines non-traditional modes of governance and public policy that involve collaborative efforts among non-governmental organizations, communities, firms, and governments. The learning is organized in 4 broad areas: (1) who is involved in these non-traditional environmental governance and management efforts; (2) why do these actors participate; (3) what institutional designs are employed and why; (4) what is the success of these efforts.

We will explore these questions at the local, state, national, and international levels and across issue areas ranging from water management, fisheries, forest management, growth management, and industrial pollution. We will draw upon studies of the U.S.A. and abroad, including developing countries. I run this course as a seminar; students are expected to read the assigned readings in advance, write a memo on the readings, and come prepared to discuss the readings in the class.

There are no prerequisites for this course. This will be a multidisciplinary course, drawing on literatures in political science and public policy.

Learning Objectives

1. Learning about the roles NGOs play in environmental governance and policy, including agenda setting, lobbying, negotiating, regime design, and capacity building;
2. Learning about collaborative environmental management, its processes, strengths, and weaknesses;
3. Learning about private governance, its roles, and effectiveness;
4. Development of analytical thinking skills and engagement with theoretical arguments;
5. Improvement of oral and written communication skills;
6. Development and design of an empirical research project proposal.

Evaluation and Grading

The grade for this course is assigned on the basis of the below assignments. More detailed description of assignments 2 and 3 will be available on the Blackboard site for this class.

1. Five memos, each 10 points max
[students select any 5 classes with assigned readings; submit via Blackboard Digital dropbox]

2. A report on a non-traditional environmental governance/management approach of student's choice (40 points max)
[oral presentation and written report due October 26];
3. Graduate Students: A research proposal (30 points max)
[oral presentation during class on November 23; Written, revised proposal due December 12, 11:00 PM]

Undergraduate students will not write the research proposal. They can either opt to write two additional memos (7 in total instead of 5) or write 5 memos including an additional reading that they will themselves identify for each of the 5 memos.

Memos

Students are expected to write a total of 5 memos, 800-1000 words long. In the memos, you will summarize and reflect on the readings assigned for the class for which you are submitting the memo. In addition, you will also identify an academic journal article and/or a report from a governmental agency, NGO, or a for-profit organization. You will submit the by 8:00 on Wednesday when the class meets. Please use the Blackboard "Digital Dropbox" tool. Make sure you use the **SEND** and NOT the "ADD" option. The latter merely stores your memo in your Digitaldropbox instead of sending it to mine. Reading and reflecting on the readings prior to the class significantly increases the quality of the class discussion. Further, front-loading some of the reading reflection enables us to usually complete the class work an hour earlier. This is especially helpful for a long evening class.

Required Readings

Textbooks:

1. Collaborative environmental management: What roles for government?, Tomas M. Koontz, Toddi A. Steelman, JoAnn Carmin, Katrina Smith Korfmacher, Cassandra Mosely and Craig W. Thomas, Resources for the Future Press, Washington, DC, USA, 2004.
2. Swimming Upstream: Collaborative Approaches to Watershed Management. Paul A. Sabatier, Will focht, Mark Lubell, Zev Trachtenberg, Arnoldt Vedlitz, and Marty Matlock, eds. 2005. MIT Press.

Other required readings will be available electronically on the Blackboard or through the UWB-CCC library electronic reserves.

Students can expect to read an average of 5-6 chapter-length readings per week (graduate students will be expected to identify an additional scholarly reading and include it in their memos/discussions).

On-line document access and assignment submission

I will use Blackboard to post course documents and grades for the class. Students will use Blackboard to sign-up for assignments and post them electronically. You will be able to access the course Blackboard site at <http://www.bb.bothell.washington.edu/> You will first have to create an account on Blackboard and then enroll in the course. When you create the account, please enter the address for the e-mail account you most frequently check. The title of this course on Blackboard is [BPOLST583A: ISSUES IN ENVIRONMENTAL POLICY, FALL 2011 \(BPOLST583A-F11\)](#). You can also find it under the instructor's

name. For information on how to create an account on Blackboard and how to enroll in the appropriate course, please see Blackboard resources page at (<http://www.uwb.edu/learningtech/blackboard/bb-student>).

Late Submission Policy

I will not accept late assignments. Students will have multiple opportunities to submit memos; plan ahead and build in a buffer. If a student is not able to give an oral presentation of a project, (s)he will lose the oral presentation points, but will still have the written assignment graded, if submitted by the deadline.

Academic Conduct

See <http://www.uwb.edu/studentervices/academicconduct> for crucial information regarding academic integrity. The library also has an extremely useful website with resources at <http://libguides.uwb.edu/ai>. You are responsible for knowing what constitutes a violation of the University of Washington Student Code, and you will be held responsible for any such violations whether they were intentional or not.

Disability accommodations

Accommodation for disabled students is a campus priority. If you believe that you have a disability and would like academic accommodations, please contact Disability Support Services at 425.352.5307, 425.352.5303 TDD, 425.352.5455 FAX, or at dss@uwb.edu. <http://www.uwb.edu/studentervices/dss>.

Inclement Weather

Please check if the campus may be closed due to weather. Information on suspension of operations will be made public and available through the media. Students can learn of campus operations status from the website or by calling the Campus Information Hotline 425.352.3333. You may also sign up with an alert system that will contact you via email or text message if classes are canceled. For more information on the alert process, please see <http://www.uwb.edu/alert>. Class activities will be rescheduled as needed.

Student Support Services

Library: <http://library.uwb.edu>, 425-352-5340; *Writing Center:* www.uwb.edu/WritingCenter, 425-352-5253; *Quantitative Skills Center:* <http://www.uwb.edu/qsc>; 425-352-3170; *Student Success and Career Services:* <http://www.uwb.edu/studentervices/success-services>, 425-352-3776; *Student Counseling Services:* <http://www.uwb.edu/studentervices/counseling>, 425-352-3183.

WEEKLY SCHEDULE

September 28, 2011

Introduction: History and frameworks

Textbook, Chapter 1

Sabatier, P.A. et al. 2005. Collaborative Approaches to Watershed Management. In *Swimming Upstream: Collaborative Approaches to Watershed Management*. Pp. 3-22

Randolph, J. and M. Bauer. 1999. Improving environmental decision-making through collaborative methods. *Review of Policy Research*, vol 16 (3-4):168-191.

October 5, 2011

Governments

Textbook Chapters 4,5,6,8,9.

Graduate students are expected to identify an additional scholarly (peer-reviewed, journal) article on this topic and include it in their memo/come prepare to discuss it in the class.

October 12, 2011

NGOs

Textbook, chapter 3.

Elkington, J. and S. Beloe. 2010. The Twenty-First Century NGO. In *Good Cop Bad Cop: Environmental NGOs and their Strategies toward business*. Thomas P. Lyon, editor. Resource for the Future Press. Pp. 17-47.

Hoffman, A. and S. Bertels. 2010. Who is part of the Environmental Movement? In *Good Cop Bad Cop: Environmental NGOs and their Strategies toward business*. Thomas P. Lyon, editor. Resource for the Future Press. Pp. 48-72.

Ruta, G. 2010. Environmental Defense Fund. In *Good Cop Bad Cop: Environmental NGOs and their Strategies toward business*. Thomas P. Lyon, editor. Resource for the Future Press. Pp. 184-194.

Graduate students are expected to identify an additional scholarly (peer-reviewed, journal) article on this topic and include it in their memo/come prepare to discuss it in the class.

October 19, 2011

Firms

Percy, S.W. 2010. Cooperation: Learning from BP's Experience with NGOs. In *Good Cop Bad Cop: Environmental NGOs and their Strategies toward business*. Thomas P. Lyon, editor. Resource for the Future Press. Pp. 228-236. (ERR at UWB/CCC library)

Heinelt, H., B. Meinke and A. E. Toeller. 2003. EMAS: An Instrument for participatory Governance. In *Sustainability, Innovation, and Participatory Governance: A Cross-national Study of EU Eco-management and Audit Scheme*. Editors H. Heinelt and R. Smith. Ashgate publishing Limited. Pp. 267-298. (ERR at UWB/CCC library)

Sasser, E.N., A. Prakash, B. Cashore, and G. Auld. 2006. Direct Targeting as an NGO Political Strategy: Examining Private Authority Regimes in the Forestry Sector. *Business and Politics*, Vol. 8 (3):1-32.

Graduate students are expected to identify an additional scholarly (peer-reviewed, journal) article on this topic and include it in their memo/come prepare to discuss it in the class.

October 26, 2011

UWB Forum on Collaborative Environmental Governance

Guest Speakers

Student reports on non-traditional environmental governance/management approach

November 2, 2011

Community, Citizen Participation, and Transparency

Textbook, Chapters 2 and 7.

Trachtenberg, A. and W. Focht. Legitimacy and Watershed Collaborations: the Role of Public Participation. In *Swimming Upstream: Collaborative Approaches to Watershed Management*, Pp. 53-82.

Samuelson, et al. 2005. Citizen Participation and Representation in Collaborative Engagement Process. In *Swimming Upstream: Collaborative Approaches to Watershed Management*, Pp. 137-169.

Bevington, D. 2009. Never Mind the Nationals: The Headwaters Forest Campaign. In *The Rebirth of Environmentalism: grassroots Activism from the Spotted Owl to the Polar Bear*. Pp. 41-109. (ERR at UWB/CCC library)

Graduate students are expected to identify an additional scholarly (peer-reviewed, journal) article on this topic and include it in their memo/come prepare to discuss it in the class.

November 9, 2011

Civics Education, Workshop by CityClub

November 16, 2011

Collaborative Environmental Management in Developing Countries

Wamukota A.W., J.E. Cinner, T.R. McClanahan. 2011. "Co-management of coral reef fisheries: A critical evaluation of the literature." *Marine Policy*, Vol. 36 (2012):481-488.

Abers, Rebecca Neaera. 2007. "Organizing for Governance: Building Collaboration in Brazilian River Basins." *World Development* Vol. 35, No. 8, pp. 1450–1463.

Schultz, Lisan, Andreas Duit, and Carl Folke. 2011. "Participation, Adaptive Co-management, and Management Performance in the World Network of Biosphere Reserves." *World Development* Vol. 39, No. 4, pp. 662–671.

Bene, Christophe, et al. 2009. "Power Struggle, Dispute and Alliance Over Local Resources: Analyzing 'Democratic' Decentralization of Natural Resources through the Lenses of Africa Inland Fisheries." *World Development* Vol. 37, No. 12, pp. 1935–1950.

Graduate students are expected to identify an additional scholarly (peer-reviewed, journal) article on this topic and include it in their memo/come prepare to discuss it in the class.

November 23, 2011

Research Proposal Workshop, a two page outline and presentation due in class.

De Vaus, David E. 2004. "The Context of Design." Chapter 1. In *Research Design in Social Research*. Sage Publications, Thousand Oaks, CA. Page 1-16.

De Vaus, David E. 2004. "Tools for Research Design." Chapter 2. In *Research Design in Social Research*. Sage Publications, Thousand Oaks, CA. Page 17-33.

De Vaus, David E. 2004. "Causation and the Logic of Research Design." Chapter 1. In *Research Design in Social Research*. Sage Publications, Thousand Oaks, CA. Page 34-52.

Babbie, Earl. 2010. "Indexes, Scales, and Typologies." Chapter 6. In *The Practice of Social Research*. 12th Edition. Wadsworth Cengage Learning. Belmont, CA. Page 160-186.

Babbie, Earl. 2010. "The Logic of Sampling." Chapter 7. In *The Practice of Social Research*. 12th Edition. Wadsworth Cengage Learning. Belmont, CA. Page 187-228.

November 30, 2011

Effectiveness of collaborative environmental management

Lubell, M., W. D. Leach, and P. A. Sabatier. 2009. Collaborative Watershed Partnerships in the Epoch of Sustainability. In *Toward Sustainable Communities: Transition and Transformations in Environmental Policy*. 2nd ed. D. Mazmanian and M. E. Kraft, eds. Pp. 255-288.

Sabatier et al. 2005. Theoretical Framework Explaining Partnership Success. In *Swimming Upstream: Collaborative Approaches to Watershed Management*, Pp. 173-201.

Leach, W.D. and P. Sabatier. 2005. Are Trust and Social Capital the Keys to Success? Watershed Partnerships in California and Washington. In *Swimming Upstream: Collaborative Approaches to Watershed Management*, Pp. 233-258.

Lubell, M. 2005. Do Watershed Partnerships Enhance Beliefs Conducive to Collective Action. In *Swimming Upstream: Collaborative Approaches to Watershed Management*, Pp. 201-232.

Graduate students are expected to identify an additional scholarly (peer-reviewed, journal) article on this topic and include it in their memo/come prepare to discuss it in the class.

December 7, 2011

Effectiveness of collaborative environmental management

Cundill, Georgina and Christo Fabricius. 2009. "Monitoring in adaptive co-management: Toward a learning based approach." *Journal of Environmental Management* 90 (2009) 3205–3211.

Evans, Louisa, Nia Cherrett, Diemuth Pemsli. 2011. "Assessing the impact of fisheries co-management interventions in developing countries: A meta-analysis." *Journal of Environmental Management* 92 (2011) 1938-1949.

Heylings, P. and M. Bravo. 2007. "Evaluating governance: A process for understanding how co-management is functioning, and why, in the Galapagos Marine Reserve." *Ocean & Coastal Management* 50 (2007) 174–208

Engel, Barbara, Marianne Penker, Andreas Muhar, and Rachael Williams. 2011. "Benefits, efforts and risks of participants in landscape co-management: An analytical framework and results from two case studies in Austria." *Journal of Environmental Management* 92 (2011) 1256-1267.

Graduate students are expected to identify an additional scholarly (peer-reviewed, journal) article on this topic and include it in their memo/come prepare to discuss it in the class.