

# Discrete Optimization Lecture-15

Ngày 6 tháng 12 năm 2011

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- *Does the Digraph  $D$  have a Hamiltonian cycle?*

# Computing models

Our common computing, whether by a computer, calculator, cell-phone or by hand is an execution of sequential operations. Thus to multiply  $456301 * 432$  we will execute single digit multiplications and additions of single digits (including carry over).

There are alternative computing models. One of them is the **Non-Deterministic** computing model. In a deterministic computing model an algorithm will execute on a given instance the same steps in different runs. In a non-deterministic model it may execute different steps on the same instance.

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There are a few equivalent definitions of the **NP** (non-deterministic) computing model. Before discussing the definition we shall examine an example.

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- $\mathbb{B}$  returns true if and only if  $n$  is a true instance for  $\mathbb{A}$ .

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- Verify that  $G$  is connected and  $d_G(v_i) \equiv 0 \pmod 2$ .

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  - In the first example the first certificate actually identifies a divisor of the input  $n$ .
  - The second certificate does not help us find such a divisor.
  - But finding a certificate for the second verification is usually quite easy allowing us to implement the function `is_prime(n)` in SAGE and other mathematical packages.

# The Satisfiability Problem

Another computing model (heuristic) of the **NP** computing is the following example. Suppose you wish to decide whether a given boolean function  $f(x_1, x_2, \dots, x_n)$  in CNF is satisfiable.

- You start by choosing a variable  $x_1$  and spawn two threads: in one thread make  $x_1 = T$  and mark all clauses that are satisfied by this selection. In the second thread make  $x_1 = F$  and do the same.

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- Computation ends when a thread discovers a  $T$  assignment to all clauses.

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If  $f(x_1, x_2, \dots, x_n)$  is satisfiable then a path of length  $\leq n$  along the “correct” threads will identify the assignment for which  $f(x_1, x_2, \dots, x_n) = T$ .

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The non-deterministic nature of this process should be clear now. Assume that at every execution we flip a coin and if it falls on HEAD we assign  $x_i = T$  and if it is TAIL we assign  $x_i = F$ .

If we were “lucky” at every step we will identify the answer in no more than  $n$  steps. Also, every execution may execute different steps.

The generalization to any other decision problem is straight forward.

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- For example the Satisfiability problem belongs to **P-Space**.
- The space we need is for a single integer whose binary representation has length  $n$  (the number of variables in  $f(x_1, \dots, x_n)$ ).

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- For example the Satisfiability problem belongs to **P-Space**.
- The space we need is for a single integer whose binary representation has length  $n$  (the number of variables in  $f(x_1, \dots, x_n)$ ).
- As we shall see, this implies that **NP**  $\subseteq$  **P-Space**

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We can summarise:

The class **NP** contains the problems for which a given answer can be verified quickly.

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- This is easily verifiable using less than  $n$  additions. But we do not know how to find  $B$ .

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*He proved that every NP problem is polynomially reducible to the SAT problem.*

*This means that if you had a “black box” that could efficiently solve any instance of a SAT problem then you can efficiently solve any problem in NP.*

# NPC problems

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Currently, hundreds of such “black boxes” have been identified.

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Another striking example was the chromatic index of a graph. The problem is  $\chi_1(G) = \Delta(G)$  is known to be in **NPC**, Yet we identified an efficient algorithm that guaranteed a coloring by no more than  $\Delta(G) + 1$  colors.

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Finally, the problem is  $G_1$  isomorphic to  $G_2$  is clearly in **NP**. It is not known whether it is in **NPC** or in **P**. It is suspected that it might be “in between” the two classes if indeed **NP**  $\neq$  **P**.

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$G$  has a vertex cover of size  $\leq m$  if it has an independent set of size  $\geq |V(G)| - m$ .

So we can use the vertex cover black box to solve the independent set problem.

We can also use the independent set black box to solve the vertex cover problem. □

We conclude with two more problems, the set cover problem and the TSP. Let  $S$  be the set of all students in HUS. Given  $S_1, S_2, \dots, S_n$  subsets of students and an integer  $k$ .

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### Answer

*Indeed it is! and it is easy to reduce the vertex cover to it.*



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Except for the 3– colorability, all reductions we studied were quite simple. We will end our discussion by studying a more complicated example: the **TSP**. We first show that the problem:

Input: digraph  $G$ .

Output: YES if  $G$  has a Hamiltonian cycle.

Is in **NPC**.



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- We add two additional vertices:  
 $t, s: t \rightarrow s, s \rightarrow \{v_{0,1}, v_{0,3k+3}\}$  and  $\{v_{n-1,1}, v_{n-1,3k+3}\} \rightarrow t$ .

So far our graph has  $n(3k + 3) + 2$  vertices. It has many different Hamiltonian cycles,  $2^n$  of them.

There are  $2^n$  possible truth assignment to the variables  $x_1, x_2, \dots, x_n$ . The idea is to associate every truth assignment with one of the cycles and use the clauses to “force” a Hamiltonian cycle if and only if  $F(x_0, x_1, \dots, x_{n-1})$  is satisfiable.

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We also note that once a Hamiltonian cycle enters a path  $P_i$  it has to traverse the path in the same direction with no interruption.

Also since  $t \rightarrow s$  is the only edge entering  $s$  it must be contained in every Hamiltonian cycle.

We now add  $k$  vertices corresponding to the  $k$  clauses  $C_1, C_2, \dots, C_k$ . We use the following example to describe the edges connecting these vertices to the current vertices.

Assume that  $C_i = (x_3 \vee \neg x_9 \vee \neg x_{67})$ . We add the edges:

$V_{i,9} \rightarrow C_i, C_i \rightarrow V_{i,10}, C_i \rightarrow V_{i,27}, C_i \leftarrow V_{i,28}, C_i \rightarrow V_{i,201}, C_i \leftarrow V_{i,202}$ .



Assume that  $F(x_1, x_2, \dots, x_n)$  is satisfiable. For instance assume that  $x_0 = T, x_1 = T, x_2 = F$  etc. and assume that  $C_i = (x_0 \vee \neg x_i \vee x_j)$  is a clause which is satisfied by the choice  $x_0 = T$ .

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Conversely, if the graph is Hamiltonian, for every path  $P_i$  traversed by the cycle either the vertex  $c_i$  is traversed from right to left (we will assign it the value F) or from left to right (in which case it will be assigned F). It is easy to see that each clause  $C_i$  will be satisfied by this assignment.

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And now we learned that one, the assignment problem can be solved efficiently while the TSP problem is very difficult.