

# *Solving Stable Matching Problems using Answer Set Programming*

SOFIE DE CLERCQ

*Dept. of Applied Mathematics, Computer Science & Statistics, Ghent University, Belgium*  
(e-mail: SofieR.DeClercq@ugent.be)

STEVEN SCHOCKAERT

*School of Computer Science & Informatics, Cardiff University, UK*  
(e-mail: SchockaertS1@cardiff.ac.uk)

MARTINE DE COCK

*Center for Data Science, UW Tacoma, US*  
*Dept. of Applied Mathematics, Computer Science & Statistics, Ghent University, Belgium*  
(e-mail: MDeCock@u.washington.edu)

ANN NOWE

*Computational Modeling Lab, Vrije Universiteit Brussel, Belgium*  
(e-mail: ANowe@vub.ac.be)

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## Abstract

Since the introduction of the stable marriage problem (SMP) by Gale and Shapley (1962), several variants and extensions have been investigated. While this variety is useful to widen the application potential, each variant requires a new algorithm for finding the stable matchings. To address this issue, we propose an encoding of the SMP using answer set programming (ASP), which can straightforwardly be adapted and extended to suit the needs of specific applications. The use of ASP also means that we can take advantage of highly efficient off-the-shelf solvers. To illustrate the flexibility of our approach, we show how our ASP encoding naturally allows us to select optimal stable matchings, i.e. matchings that are optimal according to some user-specified criterion. To the best of our knowledge, our encoding offers the first exact implementation to find sex-equal, minimum regret, egalitarian or maximum cardinality stable matchings for SMP instances in which individuals may designate unacceptable partners and ties between preferences are allowed.

**KEYWORDS:** Answer Set Programming, Logic Rules, Stable Marriage Problem, Optimal Stable Matchings

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## 1 Introduction

The stable marriage problem (SMP) is a well-known matching problem introduced by Gale and Shapley (1962). The input of an SMP instance consists of (i) a set of  $n$  men and  $n$  women, (ii) for each man a ranking of the women as preferred

partners, and (iii) for each woman a ranking of the men as preferred partners. A *blocking pair* of an SMP instance consists of a man and a woman who are in different marriages but both prefer each other to their actual partners. Given the problem, one can compute a *stable matching* or *stable set of marriages*, which is defined as a set of  $n$  couples (marriages) such that there are no blocking pairs. Due to its practical relevance, countless variants on the SMP have been investigated, enabling a wider range of applications. Examples of such applications include the kidney-exchange problem (Irving 2007), which matches donors in incompatible donor-recipient pairs to compatible recipients in other incompatible pairs and vice versa, and the hospital-resident problem (Manlove et al. 2002), which matches residents to the free positions in hospitals. In 2012, Roth and Shapley won the Nobel Prize for Economics for their theory of stable allocations and the practice of market design, which directly resulted from an application of the SMP.

In the literature, typically each time a new variant or generalization of the SMP or a different optimality criterion is considered, a new algorithm is developed; see e.g. (Gusfield 1987; Irving et al. 1987; McDermid and Irving 2012), or (Manlove 2013) for an overview. In this paper, we propose to use answer set programming (ASP) as a general vehicle for modeling a large class of extensions and variations of the SMP. We show how ASP encodings can be used to compute stable matchings, and how this encoding can be extended to compute optimal stable matchings. Although the SMP has been widely investigated, and efficient approximation or exact algorithms are available for several of its variants (Iwama et al. 2010; McDermid and Irving 2012), to the best of our knowledge, our encoding offers the first exact implementation to find sex-equal, minimum regret, egalitarian or maximum cardinality stable matchings for SMP instances with unacceptable partners and ties (see below).

In this paper, we will consider two well-known adaptations of the SMP. First, we will consider problem instances in which every person can specify a set of unacceptable partners. The second alteration consists of allowing ties in the preferences, i.e. one can be indifferent between some possible partners. In the literature, the SMP variant with unacceptable partners – or, equivalently, with incomplete preference lists – is abbreviated as SMI. The variant with ties is denoted as SMT and the variant which allows both extensions as SMTI. Note that the original SMP is a special case of the SMTI, i.e. the set of unacceptable partners is empty for each man and woman, and there are no ties. Therefore, our paper focusses on the SMTI variant, as it is the most general one.

Another way to generalize the SMP is by introducing optimality criteria for stable matchings. This is motivated by the fact that, if multiple stable matchings exist, some may be more interesting than others. In this paper, we focus on sex-equality, minimum regret, egalitarianity and maximum cardinality of the stable matchings, as these are commonly investigated optimality criteria in the matching literature. Note, however, that there exist several other optimality criteria in the context of matchings, such as *popularity* (Gärdenfors 1975), *Pareto optimality* (Gale and Sotomayor 1985; Roth and Sotomayor 1990) or profile-based notions such as rank-maximum (Irving 2003), greedy maximum and generous max-

imum (Irving 2003). As this list is non-exhaustive, we refer the interested reader to (Manlove 2013) for an overview.

The structure of the paper is as follows. First we give some background about the SMP and ASP in Section 2. Then we introduce our encoding of the SMTI with ASP and prove its correctness in Section 3.1. To illustrate the flexibility of the approach, we show how it can be used to tackle three-dimensional stable matching problems in Section 3.2. In Section 4, we first discuss several optimality criteria and then extend the encoding from Section 3.1, enabling us to find optimal stable matchings. We show how optimal stable matchings of an SMTI instance can be found by solving the corresponding induced disjunctive ASP program and prove the soundness of our approach. This paper is an extended version of (De Clercq et al. 2013) and additionally provides detailed examples, complete correctness proofs and an ASP encoding of the three-dimensional stable matching problem. The three-dimensional stable matching problem is very important for practical applications, such as the kidney exchange program (Biró and McDermid 2010).

## 2 Background

### 2.1 The Stable Marriage Problem

To solve the original SMP, Gale and Shapley (Gale and Shapley 1962) constructed an iterative algorithm —known as the Gale-Shapley algorithm, G-S algorithm or deferred-acceptance algorithm— to compute a particular stable matching of an SMP instance. The algorithm works as follows: in round 1 every man proposes to his first choice of all women. A woman, when being proposed, then rejects all men but her first choice among the subset of men who proposed to her. That first choice becomes her temporary husband. In the next rounds, all rejected men propose to their first choice among the subset of women by whom they were not rejected yet, regardless of whether this woman already has a temporary husband. Each woman, when being proposed, then rejects all men but her first choice among the subset of men who just proposed to her and her temporary mate. This process continues until all women have a husband. This point, when everyone has a partner, is always reached after a polynomial number of steps and the corresponding set of marriages is stable (Gale and Shapley 1962). It should be noted, however, that only one of the potentially exponentially many stable matchings is found in this way.

The classical SMP can be generalized by (i) allowing men and women to point out unacceptable partners, i.e. exclude them from their preference list and (ii) dropping the restriction that the number of men  $n$  equals the number of women  $p$ . In this variant, men and women can remain single in a stable matching. Intuitively, one prefers remaining single over being matched with an unacceptable partner. This variant is also referred to as the SMP with incomplete preference lists, abbreviated as SMI. A stable matching for an SMI instance always exists and can be found in polynomial time (Roth and Sotomayor 1990) by a slightly modified G-S algorithm. As we focus on an extension of the SMI, we refer to the online appendix for the formal definitions of the classical SMP and the SMI.

The SMI variant can further be generalized by additionally allowing ties in the preference lists. For this variant (SMTI) there are several ways to define stability, but we will use the notion of weak stability (Irving 1994). We denote a set of men as  $M = \{m_1, \dots, m_n\}$  and a set of women  $W = \{w_1, \dots, w_p\}$ . A set of marriages or a matching is a collection of man-woman pairs and singles (persons paired to themselves) such that every man and every woman occurs in just one pair.

*Definition 1 (SMTI)*

An instance of the SMTI is a pair  $(S_M, S_W)$  with  $S_M = \{\sigma_M^1, \dots, \sigma_M^n\}$  and  $S_W = \{\sigma_W^1, \dots, \sigma_W^p\}$ . For every  $i \in \{1, \dots, n\}$ ,  $\sigma_M^i$  is a list of disjoint subsets of  $\{1, \dots, p\}$ . Symmetrically  $\sigma_W^i$  is a list of disjoint subsets of  $\{1, \dots, n\}$  for every  $i \in \{1, \dots, p\}$ . We call  $\sigma_M^i$  and  $\sigma_W^i$  the preferences of man  $m_i$  and woman  $w_i$  respectively and we denote the length of the list  $\sigma_M^i$  as  $|\sigma_M^i|$ . If  $k \in \sigma_M^i(j)$ , woman  $w_k$  is in man  $m_i$ 's  $j^{\text{th}}$  most preferred group of women. All the women in that group are equally preferred by  $m_i$ . The case  $k \in \sigma_W^i(j)$  is similar. If there is no  $l$  such that  $j \in \sigma_M^i(l)$ , woman  $w_j$  is an unacceptable partner for man  $m_i$ , and similarly when there is no  $l$  such that  $j \in \sigma_W^i(l)$ . For every  $k$  in the set  $\sigma_M^i(|\sigma_M^i|)$ , man  $m_i$  equally prefers staying single to being paired to woman  $w_k$ , and symmetrically for the preferences of a woman  $w_i$ . This is the only set in  $\sigma_M^i$  that might be empty, and similar for  $\sigma_W^i$ . Man  $m$  and woman  $w$  form a blocking pair in a set of marriages  $S$  if  $m$  strictly prefers  $w$  to his partner in  $S$  and  $w$  strictly prefers  $m$  to her partner in  $S$ . A blocking individual in  $S$  is a person who strictly prefers being single to being paired to his partner in  $S$ . A weakly stable matching is a set of marriages without blocking pairs or individuals.

A weakly stable matching always exists for an instance of the SMTI and it can be found in polynomial time by arbitrarily breaking the ties (Iwama and Miyazaki 2008). However, as opposed to the SMI variant, the number of matched persons is no longer constant for every stable matching in this variant.

We introduce the following notations:

$$\begin{aligned} \text{acceptable}_M^i &= \underbrace{\sigma_M^i(1) \cup \sigma_M^i(2) \cup \dots \cup \sigma_M^i(|\sigma_M^i| - 1)}_{= \text{preferred}_M^i} \cup \underbrace{\sigma_M^i(|\sigma_M^i|)}_{= \text{neutral}_M^i} \\ &= \text{preferred}_M^i \cup \text{neutral}_M^i \end{aligned}$$

Furthermore  $\text{unacceptable}_M^i = \{1, \dots, p\} \setminus \text{acceptable}_M^i$ . We define the ordering  $\leq_M^{m_i}$  on  $\{w_j \mid j \in \text{acceptable}_M^i\} \cup \{m_i\}$  as  $x \leq_M^{m_i} y$  iff  $m_i$  prefers person  $x$  at least as much as person  $y$ . Note that  $m_i$  is included in its own preference ordering to encode the possibility of staying single. The strict ordering  $<_M^{m_i}$  is defined in the obvious way and analogous notations are used for  $\sigma_W^j$ .

*Example 1*

Suppose  $M = \{m_1, m_2\}$ ,  $W = \{w_1, w_2, w_3, w_4\}$  and  $S_M = \{\sigma_M^1 = (\{1, 3\}, \{4\}), \sigma_M^2 = (\{2, 3\}, \{\})\}$ . Hence man  $m_1$  prefers women  $w_1$  and  $w_3$  to woman  $w_4$ . There is a tie between woman  $w_1$  and  $w_3$  as well as between woman  $w_4$  and staying single. Woman  $w_2$  is unacceptable for man  $m_1$ . Man  $m_2$  prefers woman  $w_2$  and  $w_3$  to staying single, but finds  $w_1$  and  $w_4$  unacceptable. It holds that  $w_1 <_M^{m_1} m_1$ , i.e.  $m_1$  prefers

marrying  $w_1$  over staying single,  $acceptable_M^1 = \{1, 3, 4\}$ ,  $preferred_M^1 = \{1, 3\}$ ,  $neutral_M^1 = \{4\}$  and  $unacceptable_M^1 = \{2\}$ .

## 2.2 Answer Set Programming

Answer set programming or ASP is a form of declarative programming (Brewka et al. 2011). Its transparency, elegance and ability to deal with  $\Sigma_2^P$ -complete problems make it an attractive method for solving combinatorial search and optimization problems. An ASP program is a finite collection of first-order rules

$$A_1 \vee \dots \vee A_k \leftarrow B_1, \dots, B_m, \text{not } C_1, \dots, \text{not } C_n$$

where  $A_1, \dots, A_k, B_1, \dots, B_m, C_1, \dots, C_n$  are predicates, possibly negated by  $\neg$ , and *not* is the negation-as-failure operator, whose meaning is explained below. The semantics are defined by the *ground version* of the program, consisting of all ground instantiations of the rules w.r.t. the constants that appear in it (see e.g. (Brewka et al. 2011) for a good overview). This grounded program is a propositional ASP program. The building blocks of these programs are *atoms*, *literals* and *rules*. The most elementary are *atoms*, which are propositional variables that can be true or false. A *literal* is an atom or a negated atom, denoted with  $\neg$ . Beside strong negation, ASP uses a special kind of negation, namely *negation-as-failure* (naf), denoted with ‘*not*’. For a literal  $a$  we call ‘*not a*’ the naf-literal associated with  $a$ . The *extended literals* consist of all literals and their associated naf-literals. A *disjunctive rule* has the following form

$$a_1 \vee \dots \vee a_k \leftarrow b_1, \dots, b_m, \text{not } c_1, \dots, \text{not } c_n$$

where  $a_1, \dots, a_k, b_1, \dots, b_m, c_1, \dots, c_n$  are literals from a fixed set  $\mathcal{L}$ , determined by a fixed set  $\mathcal{A}$  of atoms. We call  $a_1 \vee \dots \vee a_k$  the head of the rule while the set of extended literals  $b_1, \dots, b_m, \text{not } c_1, \dots, \text{not } c_n$  is called the *body*. The rule above intuitively encodes that  $a_1, a_2, \dots$  or  $a_k$  is true when we have evidence that  $b_1, \dots, b_m$  are true and we have no evidence that at least one of  $c_1, \dots, c_n$  is true. When a rule has an empty body, we call it a *fact*; when the head is empty, we speak of a *constraint*. A rule without occurrences of *not* is called a *simple disjunctive rule*. A *simple disjunctive* ASP program is a finite collection of simple disjunctive rules and similarly a *disjunctive* ASP program  $\mathcal{P}$  is a finite collection of disjunctive rules. If each rule head consists of at most one literal, we speak of a *normal* ASP program.

We define an *interpretation*  $I$  of a disjunctive ASP program  $\mathcal{P}$  as a subset of  $\mathcal{L}$ . An interpretation  $I$  *satisfies* a simple disjunctive rule  $a_1 \vee \dots \vee a_k \leftarrow b_1, \dots, b_m$  when  $a_1 \in I$  or  $a_2 \in I$  or  $\dots$  or  $a_k \in I$  or  $\{b_1, \dots, b_m\} \not\subseteq I$ . An interpretation which satisfies all rules of a simple disjunctive program is called a *model* of that program. An interpretation  $I$  is an *answer set* of a simple disjunctive program  $\mathcal{P}$  iff it is a minimal model of  $\mathcal{P}$ , i.e. no strict subset of  $I$  is a model of  $\mathcal{P}$  (Gelfond and Lifschitz 1988). The *reduct*  $\mathcal{P}^I$  of a disjunctive ASP program  $\mathcal{P}$  w.r.t. an interpretation  $I$  is defined as the simple disjunctive ASP program  $\mathcal{P}^I = \{a_1 \vee \dots \vee a_k \leftarrow b_1, \dots, b_m \mid (a_1 \vee \dots \vee a_k \leftarrow b_1, \dots, b_m, \text{not } c_1, \dots, \text{not } c_n) \in \mathcal{P} \text{ and } c_1, \dots, c_n \in I\}$ .

$\mathcal{P}, \{c_1, \dots, c_n\} \cap I = \emptyset$ . An interpretation  $I$  of a disjunctive ASP program  $\mathcal{P}$  is an answer set of  $\mathcal{P}$  iff  $I$  is an answer set of  $\mathcal{P}^I$ .

*Example 2*

Let  $\mathcal{P}$  be the ASP program with the following 4 rules:

$$\begin{aligned} & \text{man}(\text{john}) \leftarrow, \quad \text{person}(\text{john}) \leftarrow, \quad \text{person}(\text{fiona}) \leftarrow \\ & \text{woman}(X) \vee \text{child}(X) \leftarrow \text{person}(X), \text{not man}(X) \end{aligned}$$

The first 3 rules are facts; hence their heads will be in any answer set. The fourth rule encodes that any person who is not a man, is a woman or child. The latter rule is grounded to 2 rules in which  $X$  is resp. replaced by *john* and *fiona*. We check that the interpretation  $I = \{\text{man}(\text{john}), \text{woman}(\text{fiona}), \text{person}(\text{john}), \text{person}(\text{fiona})\}$  is an answer set of the ground version of  $\mathcal{P}$  by computing the reduct  $\mathcal{P}^I$ . As the grounded rule with  $X = \text{john}$  is deleted since  $\text{man}(\text{john})$  is in  $I$ ,  $\mathcal{P}^I$  is:

$$\begin{aligned} & \text{man}(\text{john}) \leftarrow, \quad \text{person}(\text{john}) \leftarrow, \quad \text{person}(\text{fiona}) \leftarrow \\ & \text{woman}(\text{fiona}) \vee \text{child}(\text{fiona}) \leftarrow \text{person}(\text{fiona}) \end{aligned}$$

It is clear that  $I$  is a minimal model of this simple program, so  $I$  is an answer set of  $\mathcal{P}$ . By replacing  $\text{woman}(\text{fiona})$  by  $\text{child}(\text{fiona})$  in  $I$ , another answer set is obtained.

To automatically compute the answer sets of the programs in this paper, we have used the ASP solver DLV ([www.dlvsystem.com](http://www.dlvsystem.com)), due to its ability to handle predicates, disjunction and numeric values, with built-in aggregate functions (Faber et al. 2008). The numeric values are only used for grounding.

### 3 Modeling the Stable Marriage Problem in ASP

#### 3.1 Modeling the SMTI in ASP

In this section we model the SMTI, using ASP. A few proposals of using non-monotonic reasoning for modeling the SMP have already been described in the literature. For instance, in (Marek et al. 1990) a specific variant of the SMP is mentioned (in which boys each know a subset of a set of girls and want to be matched to a girl they know) and in (Dung 1995) an abductive program is used to find a stable matching of marriages in which two fixed persons are paired, with strict, complete preference lists. To the best of our knowledge, beyond a few specific examples, no comprehensive study has been made of using ASP or related paradigms in this context. In particular, the generality of our ASP framework for weakly stable matchings of SMTI instances allows to easily adjust the encoding to variants of the SMP, such as the stable roommate problem (Gale and Shapley 1962), in which matches need to be found within one group instead of between two groups, or the three-dimensional stable matching problem (Ng and Hirschberg 1991), which matches triples between three groups instead of pairs between two.

The expression  $\text{accept}(m, w)$  denotes that a man  $m$  and a woman  $w$  accept each

other as partners. The predicate  $manpropose(m, w)$  expresses that man  $m$  is willing to propose to woman  $w$  and analogously  $womanpropose(m, w)$  expresses that woman  $w$  is willing to propose to man  $m$ . Inspired by the Gale-Shapley algorithm, we look for an ASP formalization to find the stable matchings.

*Definition 2 (ASP program induced by SMTI)*

The ASP program  $\mathcal{P}$  induced by an instance  $(\{\sigma_M^1, \dots, \sigma_M^n\}, \{\sigma_W^1, \dots, \sigma_W^p\})$  of the SMTI is the program containing for every  $i \in \{1, \dots, n\}, j \in \{1, \dots, p\}$  the following rules:

$$accept(m_i, w_j) \leftarrow manpropose(m_i, w_j), womanpropose(m_i, w_j) \quad (1)$$

$$accept(m_i, m_i) \leftarrow \{not\ accept(m_i, w_k) \mid k \in acceptable_M^i\} \quad (2)$$

$$accept(w_j, w_j) \leftarrow \{not\ accept(m_k, w_j) \mid k \in acceptable_W^j\} \quad (3)$$

and for every  $i \in \{1, \dots, n\}, j \in acceptable_M^i$ :

$$manpropose(m_i, w_j) \leftarrow \{not\ accept(m_i, x) \mid x \leq_M^{m_i} w_j \text{ and } w_j \neq x\} \quad (4)$$

and for every  $j \in \{1, \dots, p\}, i \in acceptable_W^j$ :

$$womanpropose(m_i, w_j) \leftarrow \{not\ accept(x, w_j) \mid x \leq_W^{w_j} m_i \text{ and } m_i \neq x\} \quad (5)$$

Intuitively (1) means that a man and woman accept each other as partners if they propose to each other. Due to (2), a man accepts himself as a partner (i.e. stays single) if no woman in his preference list is prepared to propose to him. Rule (4) states that a man proposes to a woman if he is not paired to a more or equally preferred woman. For  $j \in neutral_M^i$  the body of (4) contains  $not\ accept(m_i, m_i)$ . No explicit rules are stated about the number of persons someone can propose to or accept but as we will see below, in Proposition 1, this is unnecessary. Note that, for  $k = \max(n, p)$ , the number of grounded rules in the induced ASP program is  $\mathcal{O}(k^2)$ . We now illustrate our approach with an example.

*Example 3*

Consider the following instance  $(S_M, S_W)$  of the SMTI. Let  $M = \{m_1, m_2\}$  and  $W = \{w_1, w_2, w_3\}$ . Furthermore:

$$\begin{array}{ll} \sigma_M^1 = (\{1\}, \{2, 3\}, \{\}) & \sigma_W^1 = (\{1, 2\}, \{\}) \\ \sigma_M^2 = (\{2\}, \{1\}) & \sigma_W^2 = (\{1\}, \{\}) \\ & \sigma_W^3 = (\{2\}, \{1\}, \{\}) \end{array}$$

The ASP program induced by this SMTI instance is:

$$\begin{array}{l} accept(X, Y) \leftarrow manpropose(X, Y), womanpropose(X, Y) \\ manpropose(m_1, w_1) \leftarrow \\ manpropose(m_1, w_2) \leftarrow not\ accept(m_1, w_1), not\ accept(m_1, w_3) \\ manpropose(m_1, w_3) \leftarrow not\ accept(m_1, w_1), not\ accept(m_1, w_2) \\ accept(m_1, m_1) \leftarrow not\ accept(m_1, w_1), not\ accept(m_1, w_2), \\ not\ accept(m_1, w_3) \end{array}$$

$$\begin{aligned}
& \text{manpropose}(m_2, w_2) \leftarrow \\
& \text{manpropose}(m_2, w_1) \leftarrow \text{not accept}(m_2, w_2), \text{not accept}(m_2, m_2) \\
& \quad \text{accept}(m_2, m_2) \leftarrow \text{not accept}(m_2, w_2), \text{not accept}(m_2, w_1) \\
& \text{womanpropose}(m_1, w_1) \leftarrow \text{not accept}(m_2, w_1) \\
& \text{womanpropose}(m_2, w_1) \leftarrow \text{not accept}(m_1, w_1) \\
& \quad \text{accept}(w_1, w_1) \leftarrow \text{not accept}(m_1, w_1), \text{not accept}(m_2, w_1) \\
& \text{womanpropose}(m_1, w_2) \leftarrow \\
& \quad \text{accept}(w_2, w_2) \leftarrow \text{not accept}(m_1, w_2) \\
& \text{womanpropose}(m_2, w_3) \leftarrow \\
& \text{womanpropose}(m_1, w_3) \leftarrow \text{not accept}(m_2, w_3) \\
& \quad \text{accept}(w_3, w_3) \leftarrow \text{not accept}(m_1, w_3), \text{not accept}(m_2, w_3)
\end{aligned}$$

If we run the program in DLV, we get three answer sets, containing respectively:

- $\{\text{accept}(m_1, w_3), \text{accept}(m_2, w_1), \text{accept}(w_2, w_2)\}$ ,
- $\{\text{accept}(m_1, w_2), \text{accept}(m_2, w_1), \text{accept}(w_3, w_3)\}$ ,
- $\{\text{accept}(m_1, w_1), \text{accept}(m_2, m_2), \text{accept}(w_2, w_2), \text{accept}(w_3, w_3)\}$ .

These answer sets correspond to the three weakly stable matching of marriages of this SMTI instance, namely  $\{(m_1, w_3), (m_2, w_1), (w_2, w_2)\}$ ,  $\{(m_1, w_2), (m_2, w_1), (w_3, w_3)\}$  and  $\{(m_1, w_1), (m_2, m_2), (w_2, w_2), (w_3, w_3)\}$ .

The following proposition states that our ASP encoding is sound, i.e. that there is a bijective correspondence between the answer sets of the induced program and the weak stable matchings of the SMTI. The complete proof is provided in the online appendix.

*Proposition 1*

Let  $(S_M, S_W)$  be an instance of the SMTI and let  $\mathcal{P}$  be the corresponding ASP program. If  $I$  is an answer set of  $\mathcal{P}$ , then a weakly stable matching for  $(S_M, S_W)$  is given by  $\{(x, y) \mid \text{accept}(x, y) \in I\}$ . Conversely, if  $\{(x_1, y_1), \dots, (x_k, y_k)\}$  is a weakly stable matching for  $(S_M, S_W)$  then  $\mathcal{P}$  has the following answer set  $I$ :

$$\begin{aligned}
& \{\text{manpropose}(x_i, y) \mid i \in \{1, \dots, k\}, x_i \in M, y <_M^{x_i} y_i \vee y = y_i \neq x_i\} \\
& \cup \{\text{womanpropose}(x, y_i) \mid i \in \{1, \dots, k\}, y_i \in W, x <_W^{y_i} x_i \vee x = x_i \neq y_i\} \\
& \cup \{\text{accept}(x_i, y_i) \mid i \in \{1, \dots, k\}\}
\end{aligned}$$

A pair  $(m, w)$  is *stable* if there exists a stable matching that contains  $(m, w)$ . In (Manlove et al. 2002) it is shown that the decision problem ‘is the pair  $(m, w)$  stable?’ for a given SMTI instance is an NP-complete problem, even in the absence of unacceptability. It is straightforward to see that we can reformulate this decision problem as ‘does there exist an answer set of the induced normal ASP program  $\mathcal{P}$  which contains the literal  $\text{accept}(m, w)$ ?’ (i.e. brave reasoning), which is known to be an NP-complete problem (Baral 2003). Thus our model forms a suitable framework for these kind of decision problems concerning the SMTI.



### 3.2 Modeling the 3-Dimensional SMTI in ASP

To illustrate further the flexibility of our ASP approach, we consider a variant of the SMP and show how small adaptations of the ASP encoding can solve this variant. Extending the SMP by adding another dimension to the problem was first proposed in (Knuth 1976). We work out the three-dimensional SMTI, where  $n$  men are to be matched with  $p$  women and  $r$  children. Definition 1 can straightforwardly be generalized to a three-dimensional instance  $(S_M, S_W, S_C)$ , in which preference lists of the men are defined over the set of woman-child pairs and similarly the women have preferences over man-child pairs and the children have preferences over man-woman pairs. In the three-dimensional case,  $\sigma_M^i$  becomes a list of disjoint subsets of  $\{1, \dots, p\} \times \{1, \dots, r\}$ , and analogously for  $\sigma_W^j$  and  $\sigma_C^k$ . Similarly as before, we can define the notions of *acceptable*<sub>M</sub><sup>i</sup> etc. Note that the orderings  $\leq_M^{m_i}$ ,  $\leq_W^{w_j}$  and  $\leq_C^{c_k}$  are resp. defined on pairs in  $W \times C$ ,  $M \times C$  and  $M \times W$ . A stable matching is now defined as a set of man-woman-child triples and singles, with the properties that no man, woman and child can be found such that each of them prefers the pair formed by the others above their current mates in the matching and no person prefers being single to being matched with its current mates. The practical relevance of this problem is pointed out in (Biró and McDermid 2010).

Extending the ASP program from Definition 2, we can write an ASP program induced by an instance of the three-dimensional matching problem.

*Definition 3 (ASP program induced by 3D SMTI)*

The ASP program  $\mathcal{P}$  induced by an instance  $(\{\sigma_M^1, \dots, \sigma_M^n\}, \{\sigma_W^1, \dots, \sigma_W^p\}, \{\sigma_C^1, \dots, \sigma_C^r\})$  of the 3-dimensional SMTI is the program containing the following rules for every  $i \in \{1, \dots, n\}$ ,  $j \in \{1, \dots, p\}$  and  $k \in \{1, \dots, r\}$ :

$$\begin{aligned} \text{accept}(m_i, w_j, c_k) &\leftarrow \text{manprop}(m_i, w_j, c_k), \text{womprop}(m_i, w_j, c_k), \\ &\quad \text{childprop}(m_i, w_j, c_k) \\ \text{accept}(m_i, m_i, m_i) &\leftarrow \{\text{not accept}(m_i, w_u, c_v) \mid (u, v) \in \text{acceptable}_M^i\} \\ \text{accept}(w_j, w_j, w_j) &\leftarrow \{\text{not accept}(m_u, w_j, c_v) \mid (u, v) \in \text{acceptable}_W^j\} \\ \text{accept}(c_k, c_k, c_k) &\leftarrow \{\text{not accept}(m_u, w_v, c_k) \mid (u, v) \in \text{acceptable}_C^k\} \end{aligned}$$

and for every  $i \in \{1, \dots, n\}$  and  $(j, k) \in \text{acceptable}_M^i$ :

$$\text{manprop}(m_i, w_j, c_k) \leftarrow \{\text{not accept}(m_i, x, y) \mid (x, y) \leq_M^{m_i}(w_j, c_k); (w_j, c_k) \neq (x, y)\}$$

and for every  $j \in \{1, \dots, p\}$  and  $(i, k) \in \text{acceptable}_W^j$ :

$$\text{womprop}(m_i, w_j, c_k) \leftarrow \{\text{not accept}(x, w_j, y) \mid (x, y) \leq_W^{w_j}(m_i, c_k); (m_i, c_k) \neq (x, y)\}$$

and for every  $k \in \{1, \dots, r\}$  and  $(i, j) \in \text{acceptable}_C^k$ :

$$\text{childprop}(m_i, w_j, c_k) \leftarrow \{\text{not accept}(x, u, c_k) \mid (x, y) \leq_C^{c_k}(m_i, w_j); (m_i, w_j) \neq (x, y)\}$$

Ng and Hirschberg (Ng and Hirschberg 1991) proved that deciding whether a stable matching exists for the three-dimensional problem – in the absence of unacceptability and ties – is an NP-complete problem. Completely analogously as for the two-sided SMP, one can prove that this encoding yields a bijective correspondence

between the answer sets of the ASP program and the stable matchings of the three-dimensional matching problem. Note that, for  $k = \max(n, p, r)$ , the number of grounded rules in the induced ASP program is  $\mathcal{O}(k^3)$ .

## 4 Selecting Optimal Stable Matchings

### 4.1 Notions of Optimality of Stable Matchings

When several stable matchings can be found for an instance of the SMP, some may be more interesting than others. The stable matching found by the G-S algorithm is *M-optimal* (Roth and Sotomayor 1990), i.e. every man likes this set at least as well as any other stable matching. Exchanging the roles of men and women in the G-S algorithm yields a *W-optimal* stable matching (Gale and Shapley 1962), optimal from the women's point of view.

While some applications may require us to favour either the men or the women, in others it makes more sense to treat both parties equally. To formalize some commonly considered notions of fairness and optimality w.r.t. the SMP, we define the cost  $c_x(y)$  of being matched with  $y$  for an individual  $x$ , where  $c_x(y) = k$  if  $y$  is  $x$ 's  $k^{\text{th}}$  preferred partner. More precisely, for a man  $m_i$ , we define  $c_{m_i}(y) = |\{z : z <_M^{m_i} y\}| + 1$  for every  $y \in \text{acceptable}_M^i$ ; for a woman  $w_j$ ,  $c_{w_j}$  is defined analogously. So in case of ties we assign the same list position to equally preferred partners, as illustrated in Example 4.

#### Example 4

Let  $x = m_1$  be a man with preference list  $\sigma_M^1 = (\{1\}, \{2, 3\}, \{4\})$  then  $c_x(w_1) = 1$ ,  $c_x(w_2) = c_x(w_3) = 2$  and  $w_4$  yields  $c_x(w_4) = 4$ . The cost for being single would be 4, i.e.  $c_x(m_1) = 4$ , since  $m_1$  prefers women  $w_1, w_2$  and  $w_3$  to being single, but is indifferent between being paired to  $w_4$  or staying single.

#### Definition 4 (Optimal Stable Matchings)

Let  $S$  be a set of marriages and let  $S(x)$  denote the partner of  $x$  in  $S$ .

- The sex-equality cost of  $S$  is  $c_{sexeq}(S) = |\sum_{x \in M} c_x(S(x)) - \sum_{x \in W} c_x(S(x))|$ ,
- the egalitarian cost of  $S$  is  $c_{weight}(S) = \sum_{x \in M \cup W} c_x(S(x))$ ,
- the regret cost of  $S$  is  $c_{regret}(S) = \max_{x \in M \cup W} c_x(S(x))$ , and
- the cardinality cost of  $S$  is  $c_{singles}(S) = |\{z : (z, z) \in S\}|$ .

$S$  is a sex-equal stable matching iff  $S$  is a stable matching with minimal sex-equality cost. Similarly,  $S$  is an egalitarian (resp. minimum regret, maximum cardinality) stable matching iff  $S$  is a stable matching with minimal egalitarian (resp. regret or cardinality) cost.

A *sex-equal stable matching* assigns an equal importance to the preferences of the men and women, i.e. the men are as pleased with the matching as the women. An *egalitarian stable matching* is a stable matching in which the preferences of every individual are considered to be equally important, i.e. it minimizes the difference in happiness of all the men and women. In (Xu and Li 2011) the use of an egalitarian stable matching is proposed to optimally match virtual machines (VM) to servers in

order to improve cloud computing by equalizing the importance of migration overhead in the data center network and VM migration performance. A *minimum regret stable matching* is optimal for the person who is worst off, i.e. there does not exist a stable matching such that the person who is most displeased with the matching is happier than the most displeased person in the minimum regret stable matching. A *maximal or minimal cardinality stable matching* is a stable matching with resp. as few or as many singles as possible. Examples of practical applications include an efficient kidney exchange program (Roth et al. 2005), which matches donors of incompatible pairs to recipients of other incompatible pairs and vice versa, and the National Resident Matching Program ([www.nrmp.org](http://www.nrmp.org)) (Manlove et al. 2002), which matches healthcare professionals to graduate medical education and advanced training programs. Maximizing cardinality guarantees that as many recipients as possible will get a compatible donor and as many healthcare professionals as possible will get a position.

*Remark 1*

It might be somewhat confusing that the term *utilitarian* is more frequently used in sociological and economical contexts for an optimization of the overall happiness (here called egalitarian), while *egalitarian* is more used for an optimization which minimizes the unhappiness of the individuals (tending more to minimum regret). However, we will use the cost terms as defined above, since these are standard in the context of the SMP.

For an overview of literature results concerning the computational complexity of finding optimal stable matchings in the SMP, SMI, SMT and SMTI, we refer to the online appendix.

Note that other notions of preferred matchings have been described in the literature, such as *popularity* (Gärdenfors 1975), *Pareto optimality* (Gale and Sotomayor 1985; Roth and Sotomayor 1990) or profile-based notions such as rank-maximum (Irving 2003), greedy maximum and generous maximum (Irving 2003). For more details on these and other optimality criteria, we refer the interested reader to (Manlove 2013) for an overview.

#### 4.2 Finding Stable Matchings using Disjunctive Naf-free ASP

As we discuss in Section 4.3, we can extend our ASP encoding of the SMTI such that the optimal stable matchings correspond to the answer sets of an associated ASP program. In particular, we use the saturation technique (Eiter and Gottlob 1995) to filter non-optimal answer sets. Intuitively, the idea is to create a program with 3 components: (i) a first part describing the solution candidates, (ii) a second part also describing the solution candidates since comparison of solutions requires multiple solution candidates within the same answer set whereas the first part in itself produces one solution per answer set, (iii) a third part comparing the solutions described in the first two parts and selecting the preferred solutions by saturation. It is, however, known that the presence of negation-as-failure can cause problems when applying saturation. This is due to the fact that rules containing naf-literals

can be altered in the reduct. To address this issue, we use saturation in combination with a disjunctive naf-free ASP program instead of the ASP program in Definition 2. To this end, we use a SAT encoding (Janhunen 2004) of the ASP program in Definition 2 and define a disjunctive naf-free ASP program in Definition 5 which selects particular models of the SAT problem.

Note that our original normal program is absolutely tight, i.e. there is no infinite sequence  $l_1, l_2, \dots$  of literals such that for every  $i$  there is a program rule for which  $l_{i+1}$  is a positive body literal and  $l_i$  is in the head (Erdem and Lifschitz 2003). We use the completion to derive an ASP encoding for finding optimal stable matchings. The completion of a normal ASP program is a set of propositional formulas. For every atom  $a$  with  $a \leftarrow body_i$  ( $i \in \{1, \dots, k\}$ ) all the program rules with head  $a$ , the propositional formula  $a \equiv body'_1 \vee \dots \vee body'_k$  is in the completion of that program, where  $body'_i$  is the conjunction of literals derived from  $body_i$  by replacing every occurrence of ‘not’ with ‘ $\neg$ ’. If an atom  $a$  of the program does not occur in any rule head, then  $a \equiv \perp$  is in the completion of the program. Similarly the completion of the program contains the propositional formula  $\perp \equiv body'_1 \vee \dots \vee body'_l$  where the disjunction extends over all the program constraints  $\leftarrow body_i$  ( $i \in \{1, \dots, l\}$ ). Because our program is absolutely tight, we know that every propositional model of the completion will correspond to an answer set of the original program and vice versa (Erdem and Lifschitz 2003). When applied to the induced normal ASP program in Definition 2, the completion contains the following formulas, for all  $i \in \{1, \dots, n\}$  and  $j \in \{1, \dots, p\}$ :

$$\begin{aligned} accept(m_i, w_j) &\equiv manpropose(m_i, w_j) \wedge womanpropose(m_i, w_j), \\ accept(m_i, m_i) &\equiv \bigwedge_{k \in acceptable_M^i} \neg accept(m_i, w_k), \\ accept(w_j, w_j) &\equiv \bigwedge_{k \in acceptable_W^j} \neg accept(m_k, w_j), \end{aligned}$$

and for all  $i \in \{1, \dots, n\}$  and  $j \in acceptable_M^i$ :

$$manpropose(m_i, w_j) \equiv \bigwedge_{x \leq_M^{m_i} w_j, x \neq w_j} \neg accept(m_i, x),$$

and similarly for all  $j \in \{1, \dots, p\}$  and  $i \in acceptable_W^j$ :

$$womanpropose(m_i, w_j) \equiv \bigwedge_{x \leq_W^{w_j} m_i, x \neq m_i} \neg accept(x, w_j),$$

and for all  $i \in \{1, \dots, n\}$  and  $j \in unacceptable_M^i$ :

$$manpropose(m_i, w_j) \equiv \perp,$$

and similarly for all  $j \in \{1, \dots, p\}$  and  $i \in unacceptable_W^j$ :

$$womanpropose(m_i, w_j) \equiv \perp.$$

Using these formulas, which form the completion of the normal ASP program

from Definition 2, we can define an equivalent disjunctive ASP program without negation-as-failure.

*Definition 5 (Induced disj. naf-free ASP program)*

The disjunctive naf-free ASP program  $\mathcal{P}_{disj}$  induced by an SMTI instance  $(S_M, S_W)$  contains the following rules for every  $i \in \{1, \dots, n\}, j \in \{1, \dots, p\}$ :

$$\begin{aligned} & \neg \text{accept}(m_i, w_j) \vee \text{manpropose}(m_i, w_j) \leftarrow \\ & \neg \text{accept}(m_i, w_j) \vee \text{womanpropose}(m_i, w_j) \leftarrow \\ & \text{accept}(m_i, w_j) \vee \neg \text{manpropose}(m_i, w_j) \vee \neg \text{womanpropose}(m_i, w_j) \leftarrow \end{aligned}$$

For every  $i \in \{1, \dots, n\}, l \in \text{unacceptable}_M^i, j \in \text{acceptable}_M^i, x \leq_M^{m_i} w_j, x \neq w_j$   $\mathcal{P}_{disj}$  contains:

$$\begin{aligned} & \bigvee_{k \in \text{acceptable}_M^i} \text{accept}(m_i, w_k) \vee \text{accept}(m_i, m_i) \leftarrow \\ & \neg \text{accept}(m_i, m_i) \vee \neg \text{accept}(m_i, w_j) \leftarrow \\ & \neg \text{manpropose}(m_i, w_j) \vee \neg \text{accept}(m_i, x) \leftarrow \\ & \bigvee_{x \leq_M^{m_i} w_j, x \neq w_j} \text{accept}(m_i, x) \vee \text{manpropose}(m_i, w_j) \leftarrow \\ & \neg \text{manpropose}(m_i, w_l) \leftarrow \end{aligned}$$

and symmetrical for  $j \in \{1, \dots, p\}$  and  $\text{womanpropose}$ .

Note that, for  $k = \max(n, p)$ , the number of grounded rules in the induced naf-free program is  $\mathcal{O}(k^3)$ . The following lemma follows from the fact that the completion corresponds to the original program (Erdem and Lifschitz 2003).

*Lemma 1*

Let  $\mathcal{P}$  be the normal ASP program from Definition 2 and  $\mathcal{P}_{disj}$  the disjunctive ASP program from Definition 5. It holds that for any answer set  $I$  of  $\mathcal{P}$  there exists an answer set  $I_{disj}$  of  $\mathcal{P}_{disj}$  such that the atoms of  $I$  and  $I_{disj}$  coincide. Conversely for any answer set  $I_{disj}$  of  $\mathcal{P}_{disj}$  there exists an answer set  $I$  of  $\mathcal{P}$  such that the atoms of  $I$  and  $I_{disj}$  coincide.

### 4.3 ASP Program to Select Optimal Solutions

Let  $(S_M, S_W)$  be an SMTI instance with  $S_M = \{\sigma_M^1, \dots, \sigma_M^n\}$  and  $S_W = \{\sigma_W^1, \dots, \sigma_W^p\}$ , and let  $\mathcal{P}_{norm}$  be the induced normal ASP program from Definition 2. Our technique for extending this program to a program that can respectively optimize for the sex-equality, egalitarian, minimum regret and maximum cardinality criterion is in each case very similar. We start by explaining it for the case of sex-equality. Our first step is to add a set of rules that compute the sex-equality cost of a set of marriages. For every man  $m_i$  and every  $x \in \text{acceptable}_M^i \cup \{m_i\}$  we use the following rule to determine the cost for  $m_i$ :

$$\text{mancost}(i, c_{m_i}(x)) \leftarrow \text{accept}(m_i, x) \quad (6)$$

and similarly for every  $w_j$  and every  $x \in \text{acceptable}_W^j \cup \{w_j\}$ :

$$\text{womancost}(j, c_{w_j}(x)) \leftarrow \text{accept}(x, w_j) \quad (7)$$

We also use the following rules with  $i$  ranging from 1 to  $n$  and  $j$  from 1 to  $p$ :

$$\text{manweight}(Z) \leftarrow \#sum\{B, A : \text{mancost}(A, B)\} = Z, \#int(Z) \quad (8)$$

$$\text{womanweight}(Z) \leftarrow \#sum\{B, A : \text{womancost}(A, B)\} = Z, \#int(Z) \quad (9)$$

$$\text{sexeq}(Z) \leftarrow \text{manweight}(X), \text{womanweight}(Y), Z = X - Y$$

$$\text{sexeq}(Z) \leftarrow \text{manweight}(X), \text{womanweight}(Y), Z = Y - X \quad (10)$$

Note that  $\#sum$ ,  $\#max$ ,  $\#int$  and  $\#count$  are DLV aggregate functions (Faber et al. 2008).

The ‘ $A$ ’ mentioned as variable in  $\#sum$  indicates that a cost must be included for every person (otherwise the cost is included only once when persons have the same cost). Rule (8) determines the sum of the male costs and similarly (9) determines the sum of the female costs. According to Definition 4 the absolute difference of these values yields the sex-equality cost, as determined by rules (10). Since numeric variables are restricted to positive integers in DLV, we omit conditions as ‘ $X \geq Y$ ’ or ‘ $X < Y$ ’. The program  $\mathcal{P}_{norm}$  extended with rules (6) – (10) is denoted  $\mathcal{P}_{ext}^{sexeq}$ .

We construct a program  $\mathcal{P}_{sexeq}$ , composed by subprograms, that selects optimal solutions. Let  $\mathcal{P}'_{disj}$  be the disjunctive naf-free ASP program, induced by the same SMTI instance, in which a prime symbol is added to all literal names (e.g.  $\text{accept}$  becomes  $\text{accept}'$ ). Define a new program  $\mathcal{P}'_{ext}^{sexeq}$  with all the rules of  $\mathcal{P}'_{disj}$  in which every occurrence of  $\neg \text{atom}$  is changed into  $\text{natom}$  for every atom  $\text{atom}$ , i.e. replace all negation symbols by a prefix ‘ $n$ ’. For every occurring atom  $\text{atom}$  in  $\mathcal{P}'_{ext}^{sexeq}$ , add the following rule to exclude non-consistent solutions:

$$\text{sat} \leftarrow \text{atom}, \text{natom} \quad (11)$$

For instance, the rule  $\text{sat} \leftarrow \text{accept}'(m_1, w_1), \text{naccept}'(m_1, w_1)$  is added. Finally add rules (6) – (10) with prime symbols to the literal names to  $\mathcal{P}'_{ext}^{sexeq}$  but replace rule (8) and rule (9) by:

$$\text{mansum}'(n, X) \leftarrow \text{mancost}'(n, X)$$

$$\text{mansum}'(J, Z) \leftarrow \text{mansum}'(I, X), \text{mancost}'(J, Y), Z = X + Y, \#succ(J, I)$$

$$\text{manweight}'(Z) \leftarrow \text{mansum}'(1, Z)$$

$$\text{womansum}'(p, X) \leftarrow \text{womancost}'(p, X)$$

$$\text{womansum}'(J, Z) \leftarrow \text{womansum}'(I, X), \text{womancost}'(J, Y), Z = X + Y,$$

$$\#succ(J, I)$$

$$\text{womanweight}'(Z) \leftarrow \text{womansum}'(1, Z) \quad (12)$$

The DLV aggregate function  $\#succ(J, I)$  is true whenever  $J + 1 = I$ . We replace the rules with the aggregate function  $\#max$  by these rules to make sure the saturation happens correctly. When saturation is used, the DLV aggregate functions  $\#max$ ,  $\#sum$  and  $\#count$  would not yield the right criterion values. Moreover, DLV does not accept these aggregate functions in saturation because of the cyclic dependency of literals within the aggregate functions created by the rules for saturation. These

adjusted rules, however, will not pose any problems because of the successive way they compute the criterion values. This becomes more clear in the proof of Proposition 2. We define the ASP program  $\mathcal{P}_{sexeq}$  as the union of  $\mathcal{P}_{ext}^{sexeq}$ ,  $\mathcal{P}_{ext}^{!sexeq}$  and  $\mathcal{P}_{sat}$ . The ASP program  $\mathcal{P}_{sat}$  contains the following rules to select minimal solutions based on sex-equality:

$$sat \leftarrow sexeq(X), sexeq'(Y), X \leq Y \quad (13)$$

$$\leftarrow not\ sat \quad (14)$$

$$mancost'(X, Y) \leftarrow sat, manargcost'_1(X), manargcost'_2(Y)$$

$$womancost'(X, Y) \leftarrow sat, womanargcost'_1(X), womanargcost'_2(Y) \quad (15)$$

$$manpropose'(X, Y) \leftarrow sat, man(X), woman(Y)$$

$$womanpropose'(X, Y) \leftarrow sat, man(X), woman(Y)$$

$$accept'(X, X) \leftarrow sat, man(X)$$

$$accept'(X, X) \leftarrow sat, woman(X)$$

$$accept'(X, Y) \leftarrow sat, man(X), woman(Y) \quad (16)$$

and analogous to (16) a set of rules with prefix 'n' for the head predicates. Finally we add the facts  $manargcost'_1(1..n) \leftarrow$ ,  $manargcost'_2(1..(p+1)) \leftarrow$ ,  $womanargcost'_1(1..p) \leftarrow$ ,  $womanargcost'_2(1..(n+1)) \leftarrow$ ,  $man(x) \leftarrow$  for every man  $x$  and  $woman(x) \leftarrow$  for every woman  $x$  to  $\mathcal{P}_{sat}$ . The rule  $manargcost'_1(1..n) \leftarrow$  is DLV syntax for the  $n$  facts  $manargcost'_1(1) \leftarrow, \dots, manargcost'_1(n) \leftarrow$ . Intuitively the rules of  $\mathcal{P}_{sat}$  express the key idea of saturation. First every answer set is forced to contain the atom  $sat$  by rule (14). Then the rules (15) – (16) and the facts make sure that any answer set should contain all possible literals with a prime symbol that occur in  $\mathcal{P}_{sexeq}$ . Rule (13) will establish that only optimal solutions will correspond to minimal models and thus lead to answer sets. For any non-optimal solution, the corresponding interpretation containing  $sat$  will never be a minimal model of the reduct. It is formally proven in Proposition 2 below that  $\mathcal{P}_{sexeq}$  produces exactly the stable matchings with minimal sex-equality cost.

Furthermore, only small adjustments to  $\mathcal{P}_{sexeq}$  are needed to create programs  $\mathcal{P}_{weight}$ ,  $\mathcal{P}_{regret}$ , and  $\mathcal{P}_{singles}$  that respectively produce egalitarian, minimum regret and maximum cardinality stable matchings. Indeed, the ASP program  $\mathcal{P}_{weight}$  can easily be defined as  $\mathcal{P}_{sexeq}$  in which the predicates  $sexeq$  and  $sexeq'$  are respectively replaced by  $weight$  and  $weight'$  and the rules (10) are replaced by (17), determining the egalitarian cost of Definition 4 as the sum of the male and female costs:

$$weight(Z) \leftarrow manweight(X), womanweight(Y), Z = X + Y \quad (17)$$

Similarly the ASP program  $\mathcal{P}_{regret}$  is defined as  $\mathcal{P}_{sexeq}$  in which the predicates  $sexeq$  and  $sexeq'$  are resp. replaced by  $regret$  and  $regret'$  and rules (8) – (10) are replaced by the following rules:

$$manregret(Z) \leftarrow \#max\{B : mancost(A, B)\} = Z, \#int(Z) \quad (18)$$

$$womanregret(Z) \leftarrow \#max\{B : womancost(A, B)\} = Z, \#int(Z) \quad (19)$$

$$regret(X) \leftarrow manregret(X), womanregret(Y), X > Y$$

$$\text{regret}(Y) \leftarrow \text{manregret}(X), \text{womanregret}(Y), X \leq Y \quad (20)$$

Rule (18) determines the regret cost but only for the men. Similarly (19) determines the regret cost for the women. The regret cost as defined in Definition 4 is the maximum of these two values, determined by the rules in (20). Again we adjust rules (18) and (19) for the program part  $\mathcal{P}_{ext}^{\text{regret}}$  by replacing them with a variant based on the successor function:

$$\begin{aligned} \text{manmax}'(n, X) &\leftarrow \text{mancost}'(n, X) \\ \text{manmax}'(J, X) &\leftarrow \text{manmax}'(I, X), \text{mancost}'(J, Y), X \geq Y, \#succ(J, I) \\ \text{manmax}'(J, Y) &\leftarrow \text{manmax}'(I, X), \text{mancost}'(J, Y), X < Y, \#succ(J, I) \\ \text{manregret}'(Z) &\leftarrow \text{manmax}'(1, Z) \\ \text{womanmax}'(p, X) &\leftarrow \text{womancost}'(p, X) \\ \text{womanmax}'(J, X) &\leftarrow \text{womanmax}'(I, X), \text{womancost}'(J, Y), X \geq Y, \#succ(J, I) \\ \text{womanmax}'(J, Y) &\leftarrow \text{womanmax}'(I, X), \text{womancost}'(J, Y), X < Y, \#succ(J, I) \\ \text{womanregret}'(Z) &\leftarrow \text{womanmax}'(1, Z) \end{aligned} \quad (21)$$

Finally we define the ASP program  $\mathcal{P}_{singles}$  as  $\mathcal{P}_{sexreq}$  in which the predicates *sexreq* and *sexreq'* are resp. replaced by *singles* and *singles'*. Furthermore we replace rules (6) – (10) by (22), determining the number of singles:

$$\text{singles}(Z) \leftarrow \#count\{B : \text{accept}(B, B)\} = Z, \#int(Z) \quad (22)$$

This time we adjust rule (22) for the program part  $\mathcal{P}_{ext}^{\text{singles}}$  as follows:

$$\begin{aligned} \text{single}'(p+i, 1) &\leftarrow \text{accept}'(m_i, m_i), \quad \text{single}'(p+i, 0) \leftarrow \text{naccept}'(m_i, m_i) \\ \text{single}'(j, 1) &\leftarrow \text{accept}'(w_j, w_j), \quad \text{single}'(j, 0) \leftarrow \text{naccept}'(w_j, w_j) \\ \text{singlesum}'(n+p, X) &\leftarrow \text{single}'(n+p, X) \\ \text{singlesum}'(J, Z) &\leftarrow \text{singlesum}'(I, X), \text{single}'(J, Y), Z = X + Y, \#succ(J, I) \\ \text{singles}'(Z) &\leftarrow \text{singlesum}'(1, Z) \end{aligned} \quad (23)$$

Note that, for  $k = \max(n, p)$ , the number of grounded rules in the induced ASP program is  $\mathcal{O}(k^3)$  for minimum regret and maximum cardinality, but  $\mathcal{O}(k^4)$  for sex-equality and egalitarianity. The latter programs have a higher number of grounded rules because of how the weights are counted in the first and second program part. We illustrate our method with an example.

#### Example 5

We reconsider Example 3. This SMTI instance had 3 stable matchings of marriages:

- $S_1 = \{\text{accept}(m_1, w_3), \text{accept}(m_2, w_1), \text{accept}(w_2, w_2)\}$ ,
- $S_2 = \{\text{accept}(m_1, w_2), \text{accept}(m_2, w_1), \text{accept}(w_3, w_3)\}$ ,
- $S_3 = \{\text{accept}(m_1, w_1), \text{accept}(m_2, w_2), \text{accept}(w_2, w_2), \text{accept}(w_3, w_3)\}$ .

It is easy to compute the respective regret costs as  $c_{\text{regret}}(S_1) = 2$  and  $c_{\text{regret}}(S_2) = c_{\text{regret}}(S_3) = 3$ . The corresponding ASP program selecting this minimum regret stable matching is the program consisting of the rules in Example 3 in addition to:

$$\text{man}(m_1) \leftarrow, \quad \text{man}(m_2) \leftarrow,$$



$$\begin{aligned}
& woman(w_1) \leftarrow, \quad woman(w_2) \leftarrow, \quad woman(w_3) \leftarrow \\
& mancost(1, 1) \leftarrow accept(m_1, w_1), \quad womancost(1, 1) \leftarrow accept(m_1, w_1) \\
& mancost(1, 2) \leftarrow accept(m_1, w_2), \quad womancost(1, 1) \leftarrow accept(m_2, w_1) \\
& mancost(1, 2) \leftarrow accept(m_1, w_3), \quad womancost(1, 2) \leftarrow accept(w_1, w_1) \\
& mancost(1, 4) \leftarrow accept(m_1, m_1), \quad womancost(2, 1) \leftarrow accept(m_1, w_2) \\
& mancost(2, 2) \leftarrow accept(m_2, w_1), \quad womancost(2, 2) \leftarrow accept(w_2, w_2) \\
& mancost(2, 1) \leftarrow accept(m_2, w_2), \quad womancost(3, 2) \leftarrow accept(m_1, w_3) \\
& mancost(2, 2) \leftarrow accept(m_2, m_2), \quad womancost(3, 1) \leftarrow accept(m_2, w_3) \\
& \qquad \qquad \qquad womancost(3, 3) \leftarrow accept(w_3, w_3)
\end{aligned}$$

$$\begin{aligned}
& manregret(Z) \leftarrow \#max\{B : mancost(A, B)\} = Z, \#int(Z) \\
& womanregret(Z) \leftarrow \#max\{B : womancost(A, B)\} = Z, \#int(Z) \\
& regret(X) \leftarrow manregret(X), womanregret(Y), X > Y \\
& regret(Y) \leftarrow manregret(X), womanregret(Y), X \leq Y
\end{aligned}$$

$$\begin{aligned}
& naccept'(M, W) \vee manpropose'(M, W) \leftarrow man(M), \\
& \qquad \qquad \qquad woman(W) \\
& naccept'(M, W) \vee womanpropose'(M, W) \leftarrow man(M), \\
& \qquad \qquad \qquad woman(W)
\end{aligned}$$

$$\begin{aligned}
& accept'(M, W) \vee nmanpropose'(M, W) \vee nwomanpropose'(M, W) \leftarrow man(M), \\
& \qquad \qquad \qquad woman(W)
\end{aligned}$$

$$\begin{aligned}
& accept'(m_1, w_1) \vee accept'(m_1, w_2) \vee accept'(m_1, w_3) \vee accept'(m_1, m_1) \leftarrow \\
& \quad accept'(m_2, w_1) \vee accept'(m_2, w_2) \vee accept'(m_2, m_2) \leftarrow \\
& \quad \quad naccept'(m_1, m_1) \vee naccept'(m_1, w_1) \leftarrow \\
& \quad \quad naccept'(m_1, m_1) \vee naccept'(m_1, w_2) \leftarrow \\
& \quad \quad naccept'(m_1, m_1) \vee naccept'(m_1, w_3) \leftarrow \\
& \quad \quad naccept'(m_2, m_2) \vee naccept'(m_2, w_1) \leftarrow \\
& \quad \quad naccept'(m_2, m_2) \vee naccept'(m_2, w_2) \leftarrow \\
& \quad accept'(m_1, w_1) \vee accept'(m_2, w_1) \vee accept'(w_1, w_1) \leftarrow \\
& \quad \quad accept'(m_1, w_2) \vee accept'(w_2, w_2) \leftarrow \\
& \quad accept'(m_1, w_3) \vee accept'(m_2, w_3) \vee accept'(w_3, w_3) \leftarrow \\
& \quad \quad naccept'(w_1, w_1) \vee naccept'(m_1, w_1) \leftarrow \\
& \quad \quad naccept'(w_1, w_1) \vee naccept'(m_2, w_1) \leftarrow \\
& \quad \quad naccept'(w_2, w_2) \vee naccept'(m_1, w_2) \leftarrow \\
& \quad \quad naccept'(w_3, w_3) \vee naccept'(m_1, w_3) \leftarrow \\
& \quad \quad naccept'(w_3, w_3) \vee naccept'(m_2, w_3) \leftarrow \\
& \quad nmanpropose'(m_1, w_2) \vee naccept'(m_1, w_1) \leftarrow \\
& \quad nmanpropose'(m_1, w_2) \vee naccept'(m_1, w_3) \leftarrow
\end{aligned}$$

$$\begin{aligned}
& nmanpropose'(m_1, w_3) \vee naccept'(m_1, w_1) \leftarrow \\
& nmanpropose'(m_1, w_3) \vee naccept'(m_1, w_2) \leftarrow \\
& \quad manpropose'(m_1, w_1) \leftarrow \\
& accept'(m_1, w_1) \vee accept'(m_1, w_3) \vee manpropose'(m_1, w_2) \leftarrow \\
& accept'(m_1, w_1) \vee accept'(m_1, w_2) \vee manpropose'(m_1, w_3) \leftarrow \\
& \quad nmanpropose'(m_2, w_1) \vee naccept'(m_2, w_2) \leftarrow \\
& nmanpropose'(m_2, w_1) \vee naccept'(m_2, m_2) \leftarrow \\
& \quad manpropose'(m_2, w_2) \leftarrow \\
& accept'(m_2, w_2) \vee accept'(m_2, m_2) \vee manpropose'(m_2, w_1) \leftarrow \\
& nwomanpropose'(m_1, w_1) \vee naccept'(m_2, w_1) \leftarrow \\
& nwomanpropose'(m_2, w_1) \vee naccept'(m_1, w_1) \leftarrow \\
& \quad accept'(m_1, w_1) \vee womanpropose'(m_2, w_1) \leftarrow \\
& \quad accept'(m_2, w_1) \vee womanpropose'(m_1, w_1) \leftarrow \\
& \quad \quad womanpropose'(m_1, w_2) \leftarrow \\
& nwomanpropose'(m_1, w_3) \vee naccept'(m_2, w_3) \leftarrow \\
& \quad womanpropose'(m_2, w_3) \leftarrow \\
& \quad \quad accept'(m_2, w_3) \vee womanpropose'(m_1, w_3) \leftarrow \\
& \quad \quad \quad nmanpropose'(m_2, w_3) \leftarrow \\
& \quad \quad \quad \quad nwomanpropose'(m_2, w_2) \leftarrow \\
& \\
& sat \leftarrow manpropose'(X, Y), nmanpropose'(X, Y), man(X), \\
& \quad \quad woman(Y) \\
& sat \leftarrow womanpropose'(X, Y), nwomanpropose'(X, Y), \\
& \quad \quad man(X), woman(Y) \\
& sat \leftarrow accept'(X, Y), naccept'(X, Y), man(X), woman(Y) \\
& sat \leftarrow accept'(X, X), naccept'(X, X), man(X) \\
& sat \leftarrow accept'(X, X), naccept'(X, X), woman(X) \\
& \\
& mancost'(1, 1) \leftarrow accept'(m_1, w_1), \quad womancost'(1, 1) \leftarrow accept'(m_1, w_1) \\
& mancost'(1, 2) \leftarrow accept'(m_1, w_2), \quad womancost'(1, 1) \leftarrow accept'(m_2, w_1) \\
& mancost'(1, 2) \leftarrow accept'(m_1, w_3), \quad womancost'(1, 2) \leftarrow accept'(w_1, w_1) \\
& mancost'(1, 4) \leftarrow accept'(m_1, m_1), \quad womancost'(2, 1) \leftarrow accept'(m_1, w_2) \\
& mancost'(2, 2) \leftarrow accept'(m_2, w_1), \quad womancost'(2, 2) \leftarrow accept'(w_2, w_2) \\
& mancost'(2, 1) \leftarrow accept'(m_2, w_2), \quad womancost'(3, 2) \leftarrow accept'(m_1, w_3) \\
& mancost'(2, 2) \leftarrow accept'(m_2, m_2), \quad womancost'(3, 1) \leftarrow accept'(m_2, w_3) \\
& \quad \quad \quad womancost'(3, 3) \leftarrow accept'(w_3, w_3) \\
& \\
& manmax'(2, X) \leftarrow mancost'(2, X) \\
& manmax'(J, X) \leftarrow manmax'(I, X), mancost'(J, Y), X \geq Y, \#succ(J, I)
\end{aligned}$$

$$\begin{aligned}
& \text{manmax}'(J, X) \leftarrow \text{manmax}'(I, X), \text{mancost}'(J, Y), X \geq Y, \#succ(J, I) \\
& \text{manregret}'(Z) \leftarrow \text{manmax}'(1, Z) \\
& \text{womanmax}'(3, X) \leftarrow \text{womancost}'(3, X) \\
& \text{womanmax}'(J, X) \leftarrow \text{womanmax}'(I, X), \text{womancost}'(J, Y), X \geq Y, \\
& \quad \#succ(J, I) \\
& \text{womanmax}'(J, X) \leftarrow \text{womanmax}'(I, X), \text{womancost}'(J, Y), X \geq Y, \\
& \quad \#succ(J, I) \\
& \text{womanregret}'(Z) \leftarrow \text{womanmax}'(1, Z) \\
& \text{regret}'(X) \leftarrow \text{manregret}'(X), \text{womanregret}'(Y), X > Y \\
& \text{regret}'(Y) \leftarrow \text{manregret}'(X), \text{womanregret}'(Y), X \leq Y \\
& \text{sat} \leftarrow \text{regret}(X), \text{regret}'(Y), X \leq Y \\
& \quad \leftarrow \text{not sat} \\
& \text{manargcost}'_1(1..2) \leftarrow, \quad \text{womanargcost}'_1(1..3) \leftarrow \\
& \text{manargcost}'_2(1..4) \leftarrow, \quad \text{womanargcost}'_2(1..3) \leftarrow \\
& \quad \text{mancost}'(X, Y) \leftarrow \text{sat}, \text{manargcost}'_1(X), \text{manargcost}'_2(Y) \\
& \quad \text{womancost}'(X, Y) \leftarrow \text{sat}, \text{womanargcost}'_1(X), \text{womanargcost}'_2(Y) \\
& \text{manpropose}'(X, Y) \leftarrow \text{sat}, \text{man}(X), \text{woman}(Y) \\
& \text{nmanpropose}'(X, Y) \leftarrow \text{sat}, \text{man}(X), \text{woman}(Y) \\
& \text{womanpropose}'(X, Y) \leftarrow \text{sat}, \text{man}(X), \text{woman}(Y) \\
& \text{nwomanpropose}'(X, Y) \leftarrow \text{sat}, \text{man}(X), \text{woman}(Y) \\
& \quad \text{accept}'(X, Y) \leftarrow \text{sat}, \text{man}(X), \text{woman}(Y) \\
& \quad \text{accept}'(X, X) \leftarrow \text{sat}, \text{man}(X) \\
& \quad \text{accept}'(X, X) \leftarrow \text{sat}, \text{woman}(X) \\
& \quad \text{naccept}'(X, Y) \leftarrow \text{sat}, \text{man}(X), \text{woman}(Y) \\
& \quad \text{naccept}'(X, X) \leftarrow \text{sat}, \text{man}(X) \\
& \quad \text{naccept}'(X, X) \leftarrow \text{sat}, \text{woman}(X)
\end{aligned}$$

Computing the unique answer set of this disjunctive ASP program with DLV and filtering it to the literals *accept* and *regret*, yields  $\{\text{accept}(m_2, w_1), \text{accept}(m_1, w_3), \text{accept}(w_2, w_2), \text{regret}(2)\}$ , corresponding exactly to the minimum regret stable matching  $S_1$  of the SMTI instance and the corresponding regret cost.

We prove that there exists a bijective correspondence between the answer sets of the induced disjunctive ASP program and the optimal stable matchings of the SMTI (see the online appendix).

*Proposition 2*

Let the criterion *crit* be an element of  $\{\text{sexeq}, \text{weight}, \text{regret}, \text{singles}\}$ . For every answer set  $I$  of the program  $\mathcal{P}_{\text{crit}}$  induced by an SMTI instance the set  $S_I = \{(m, w) \mid \text{accept}(m, w) \in I\}$  forms an optimal stable matching of marriages w.r.t. criterion *crit* and the optimal criterion value is given by the unique value  $v_I$  for

which  $\text{crit}(v_I) \in I$ . Conversely for every optimal stable matching  $S = \{(x_1, y_1), \dots, (x_k, y_k)\}$  with optimal criterion value  $v$  there exists an answer set  $I$  of  $\mathcal{P}_{\text{crit}}$  such that  $\{(x, y) \mid \text{accept}(x, y) \in I\} = \{(x_i, y_i) \mid i \in \{1, \dots, k\}\}$  and  $v$  is the unique value for which  $\text{crit}(v) \in I$ .

*Remark 2*

If we remove from  $\mathcal{P}_{\text{sexeq}}$  the rules (9) – (10) and replace rule (13) by the rule  $\text{sat} \leftarrow \text{manweight}(X), \text{manweight}'(Y), X \leq Y$ , then we obtain the M-optimal stable matchings. Analogously we can obtain the W-optimal stable matchings.

If a criterion is to be maximized, the symbol  $\leq$  in rule (13) is simply replaced by  $\geq$ . E.g. for  $\text{crit} = \text{singles}$  we will get minimum cardinality stable matchings.

## 5 Conclusion

We have shown how ASP programs can be used to encode a number of variations and generalizations of the SMP. Apart from the availability of efficient ASP solvers, the main advantage of our approach is its flexibility, allowing us to find solutions for a wide range of stable matching problems. We can, for instance, compute stable matchings of variants such as the three-dimensional stable matching problem, as well as select stable matchings based on optimality criteria, even for problems with unacceptable partners and ties. We have illustrated our method for sex-equality, egalitarianity, minimum regret and maximum cardinality, but the approach can readily be adapted to other optimality criteria (e.g. popular matchings) or to different matching problems (e.g. the roommate problem). To the best of our knowledge, no other exact algorithms exist to find an optimal stable matching for an SMP instance with ties, regardless of the presence of unacceptability and regardless of whether the optimality notion is sex-equality, egalitarianity, minimum regret or maximum cardinality. Therefore, our encoding offers the first exact implementation for solving the aforementioned problems.

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