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Sociology 590B

Office Hours: Fri 2-3pm & by appt
227 Savery Hall
Fall Quarter, 2018
Special Topics in Sociology

SEMINAR ON SOCIAL CAPITAL THEORY (REVISED)

This seminar provides an overview of social capital theory. The seminar emphasizes the sociological approach to social capital theory as outlined by James Coleman, but will also cover different sociological approaches, as well as the use of social capital in other disciplines. The seminar is designed to cover a balance of theoretical, methodological, and empirical issues, with an emphasis on quantitative research using survey data. The readings will be a mix of classical theoretical treatments of social capital theory and empirical research on the role of social capital in different substantive areas, including crime, labor markets, public health, and economic outcomes. An important objective of the course is to introduce students to significant theoretical problems that can be addressed empirically, and introduce some key methodological issues. There are no formal prerequisites for the course, but it is recommended that students have exposure to a basic social science course and basic methods and statistics.

The seminar begins with various definitions, conceptualizations, and typologies of social capital. It then moves to different theories of social capital, including Putnam, Bourdieu, and Coleman. It then discusses the role of social capital in the micro-macro debate, and the role of social capital in various substantive areas, including public health, neighborhoods and crime, labor markets, civic engagement, education, social networks, and economic development. Additional topics, such as measurement, immigration, trust, and norms and sanctions will be covered if time permits.

COURSE REQUIREMENTS:

1. An original seminar paper is required of all students. The paper should address a topic covered by the seminar and should attempt to synthesize and critically evaluate a broad body of literature. Each student will submit a two-page paper proposal by Wednesday Nov 7, which states the problem to be addressed, outlines the sections of the paper, and provides a reading list. The final paper is due Wednesday, Dec 12th at 5pm. Final papers may be emailed to me at matsueda@uw.edu
2. Students will prepare and lead discussions of course readings. One student will lead the discussion. This will involve being prepared to lead discussions on the core (starred) required readings. Occasionally, another student will prepare a précis on one of the noncore (nonstarred) required readings. The précis is a two page single-spaced summary of the essential details of the theory, data, methods, and arguments of the reading. The précis author will distribute the précis to the rest of the seminar by the end of the day on Tuesday prior to the seminar via email. All other seminar members will be required to read the précis, read the core required readings, and come to class prepared to ask questions and make critical remarks.
3. Course topics are listed in the schedule listed below. Topics can be added or deleted by consensus of the seminar members as we proceed into the quarter.

GRADING:

The seminar paper will determine approximately 60 percent of the grade; other written work (precis) will count about 20 percent; and oral contributions about 20 percent.

BOOKS:

Required:

Small, Mario Luis. 2009. *Unanticipated Gains: Origins of Network Inequality in Everyday Life*. Oxford, UK: Oxford University Press.

Lin, Nan. 2001. *Social Capital: A Theory of Social Structure and Action*. Cambridge, UK: Cambridge University Press.

Recommended:

Coleman, James S. 1990. *Foundations of Social Theory*. Cambridge, MA: Harvard University Press.

Further Reading:

Putnam, Robert D. 2000. *Bowling Alone: The Collapse and Revival of American Community*. New York: Simon and Schuster.

COURSE WEBSITE: <http://faculty.washington.edu/matsueda/courses/590/web590.htm>

TENTATIVE SCHEDULE AND READINGS

I. INTRODUCTION, OVERVIEW, AND ORGANIZATIONAL ISSUES (SEPT 26)

II. WHAT IS SOCIAL CAPITAL? (OCT 3) Discussion Leader: Betsy

Required:

*Portes, Alejandro. 1998. "Social Capital: Its Origins and Applications in Modern Sociology." *Annual Reviews in Sociology* 24: 1–24.

*Bourdieu, Pierre. 1986. "The Forms of Capital." Pp. 241-58 in *The Handbook of Theory: Research for the Sociology of Education*. Edited by J.G. Richardson. New York: Greenwood Press.

*Lin, Nan. 2001. *Social Capital: A Theory of Social Structure and Action*. Cambridge, UK: Cambridge University Press, chapters 1-2.

Putnam, Robert D. 2000. *Bowling Alone: The Collapse and Revival of American Community*. New York: Simon and Schuster, Chapter 1. **Précis: Maxine**

Recommended:

Coleman, James C. 1988. "Social Capital in the Creation of Human Capital." *American Journal of Sociology* 94:S95-S120.

Glanville, Jennifer L., and Elisa Jayne Bienenstock. 2009. "A Typology for Understanding the Connections Among Different Forms of Social Capital." *American Behavioral Scientist* 52:1507-1530.

Adler, Paul S., Seok-Woo Kwon. 2000. "Social Capital: The Good, the Bad, and the Ugly." Pp. 89-115 in *Knowledge and Social Capital: Foundations and Applications*. Edited by E.L. Lesser. Woburn, MA: Butterworth-Heinemann.

Putnam, Robert D. 1995. "Tuning In, Tuning Out: The Strange Disappearance of Social Capital in America." *Political Science and Politics* 28: 664–83.

III. SOCIAL CAPITAL, SOCIAL ORGANIZATION, AND THE MICRO-MACRO PROBLEM (OCT 10)

Discussion Leader: Devin

Required:

*Coleman, James C. 1990. *Foundations of Social Theory*. Cambridge: Harvard University Press. Chapters 1 and 12.

*Coleman, James S. 1987. "Microfoundations and Macrosocial Behavior." Pp. 153-173 in *The Micro-Macro Link*, edited by J.C. Alexander, B. Giesen, R. Munch, and N.J. Smelser. Berkeley, CA: University of California Press.

*Lin, Nan. 2001. *Social Capital: A Theory of Social Structure and Action*. Cambridge, UK: Cambridge University Press, chapters 8 and 9.

Opp, Karl-Dieter. 2011. "Modeling Micro-Macro Relationships: Problems and Solutions." *Journal of Mathematical Sociology* 35:209-34. **Précis: William**

Recommended:

Matsueda, Ross L. 2017. "The 2016 Sutherland Address: 'Toward an Analytical Criminology: The Micro-Macro Problem, Causal Mechanisms, and Public Policy.'" *Criminology* 55:493-519.

Raub, Werner, Vincent Buskens, and Marcel A.L.M. Van Assen. 2011. Micro-Macro Links and Microfoundations in Sociology." *The Journal of Mathematical Sociology* 35:1-25.

Buskens, Vincent Willem, and Werner Raub. 2012. *Micro-Macro Links and Microfoundations in Sociology* London: Routledge.

Alexander, Jeffrey C., et al. 1987. *The Micro-Macro Link*. Berkeley, CA: University of California Press.

Hechter, Michael, Ed. 1983. *The Microfoundations of Macrosociology*. Philadelphia: Temple University Press.

IV. SOCIAL NETWORKS & SOCIAL CAPITAL (Oct 17) Discussion Leader: William

Required:

*Lin, Nan. 2001. *Social Capital: A Theory of Social Structure and Action*. Cambridge, UK: Cambridge University Press, chapters 3-5 (pp. 29-77).

*Burt, Ronald S. 2000. "The Network Structure of Social Capital." *Research in Organizational Behavior* 22:345-423.

Ahuja, Gautam. 2000. "Collaboration Networks, Structural Holes, and Innovation: A Longitudinal Study." *Administrative Science Quarterly* 45:425-455. **Précis: Young Seok**

Recommended:

Lin, Nan. 1999. "Building a Network Theory of Social Capital." *Connections* 22:28-51.

Moody, James and Pamela Paxton. 2009. "Building Bridges: Linking Social Capital and Social Networks to Improve Theory and Research" *American Behavioral Scientist* 52:1491-1506.

Mouw, Ted. 2006. "Estimating the Causal Effect of Social Capital: A Review of Recent Research." *Annual Review of Sociology* 32:79-102.

Burt, Ronald S. 2001. "Structural Holes versus Network Closure as Social Capital." Pp. 31-56 in Nan Lin, Karen S. Cook, and Ronald S. Burt, Eds., *Social Capital: Theory and Research*. New Brunswick, NJ: Transaction Publishers.

Lin, Nan, Karen S. Cook, and Ronald S. Burt, Eds. 2001. *Social Capital: Theory and Research*. New Brunswick, NJ: Transaction Publishers.

V. A MIXED METHODS STUDY OF SOCIAL CAPITAL (OCT 24) Discussion Leaders: Betsy and Devin

Required:

*Small, Mario Luis. 2009. *Unanticipated Gains: Origins of Network Inequality in Everyday Life*. Oxford, UK: Oxford University Press.

VI. NEIGHBORHOOD SOCIAL CAPITAL, COLLECTIVE EFFICACY, AND CRIME (OCT 31) Discussion Leader: Maxine

Required:

*Sampson, Robert J., Raudenbush, Stephen W., and Earls, Felton. 1997. "Neighborhoods and Violent Crime: A Multilevel Study of Collective Efficacy." *Science*, 277:918-924.

*Wacquant, Loic J.D. 1998. "Negative Social Capital: State Breakdown and Social Destitution in America's Urban

Core.” *Netherlands Journal of Housing and the Built Environment*. 13:25-40.

*Browning, Christopher R., Calder, Catherine A., Soller, Brian, Jackson, Aubry L., and Dirlam, Jonathan. 2017. “Ecological Networks and Neighborhood Social Organization.” *American Journal of Sociology* 122:1939-88.

Sampson, Robert J. 2012. *Great American City: Chicago and the Enduring Neighborhood Effect*. Chicago: University of Chicago Press, Chapter 7, “Collective Efficacy.” **Précis: Devin**

Recommended:

Matsueda, Ross L. 2013. “Rational Choice Research in Criminology: A Multi-Level Framework.” Pp. 283-321 in *Handbook of Rational Choice Social Research*. Edited by R. Wittek, T. Snijders, and V. Nee. Palo Alto: Stanford University Press.

Sampson, Robert J. and Stephen W. Raudenbush. 1999. “Systematic Social Observation of Public Spaces: A New Look at Disorder in Urban Neighborhoods.” *American Journal of Sociology* 105(3): 603-651.

Messner, Steven F., Eric P. Baumer, and Richard Rosenfeld. 2004. “Dimensions of Social Capital and Rates of Criminal Homicide.” *American Sociological Review* 69:882-903.

Rosenfeld, Richard, Steven Messner, and Eric P. Baumer. 2011. “Social Capital and Homicide.” *Social Forces* 80:283-310.

Hagan, John. 1996. “New Kid in Town: Social Capital and the Life Course Effects of Family Migration on Children.” *American Sociological Review* 61:368-385.

VII. LABOR MARKETS AND SOCIAL CAPITAL (NOV 7) Discussion Leader: Young Seok

Required:

*Granovetter, Mark. 1973. “The Strength of Weak Ties.” *American Journal of Sociology* 78: 1360–80.

*Granovetter, Mark. 1995. *Getting a Job. A Study of Contacts and Careers*. Second Edition. Chicago: University of Chicago Press, chapters 1-7 (pp. 23-104).

*Lin, Nan. 2001. *Social Capital: A Theory of Social Structure and Action*. New York: Cambridge University Press, chapter 6-7, pp. 78-124.

Recommended:

Mouw, Ted. 2003. “Social Capital and Finding a Job: Do Contacts Matter?” *American Sociological Review* 68:868-898.

Lin, Nan, and Mary Dumin. 1986. “Access to Occupations Through Social Ties.” *Social Networks* 8:1986: 365-385.

Lin, Nan. 2000. “Inequality in Social Capital.” *Contemporary Sociology* 29:785-95.

Baker, Wayne, and Robert R. Faulkner. 2009. “Social Capital, Double Embeddedness, and Mechanisms of Stability and Change.” *American Behavioral Scientist* 52:1531-55.

VIII. SOCIAL CAPITAL AND PUBLIC HEALTH (Nov 21) Discussion Leader: Maxine

Required:

*Kawachi, Ichiro, and Lisa Berkman. 2000. “Social Cohesion, Social Capital, and Health.” Pp. 174-190 in *Social Epidemiology*. New York: Oxford University Press.

*Kawachi, Ichiro, Bruce P. Kennedy, and Roberta Glass. 1997. “Social Capital and Self-Rated Health: A Contextual Analysis.” *American Journal of Public Health* 89:1187-93.

*Chi, Donald L., and Richard M. Carpiano. 2013. “Neighborhood Social Capital, Neighborhood Attachment, and Dental Care Use for Los Angeles Family and Neighborhood Survey Adults.” *American Journal of Public Health*

103:e88-e95.

Recommended:

Kawachi, Ichiro, S.V. Subramanian, and Daniel Kim, Eds. 2008. *Social Capital and Health*. New York: Springer.

Lynch, John, et al. 2001. "Income Inequality, the Psychosocial Environment, and Health: Comparisons of Wealthy Nations." *The Lancet* 358:194-200.

Smith, Nathan Daniel Lucia, and Ichiro Kawachi. 2014. "State-Level Social Capital and Suicide Mortality in the 50 U.S. States." *Social Science & Medicine* 120:269-277.

Kim D, Subramanian SV, Kawachi I. 2006. Bonding Versus Bridging Social Capital and Their Associations with Self Rated Health: A Multilevel Analysis of 40 US Communities. *J Epidemiol Community Health*. 60:116–22.

Carpiano, Richard M. 2006. "Toward a Neighborhood Resource-Based Theory of Social Capital for Health: Can Bourdieu and Sociology Help?" *Social Science & Medicine* 62:165-75.

**IX. EMBEDDEDNESS (NOV 28) Discussion Leader: Young Seok
& DISCUSSION OF SEMINAR PAPERS**

*Granovetter, Mark. 1985. "Economic Action and Social Structure: The Problem of Embeddedness." *American Journal of Sociology* 91: 481–510.

X. DEMOCRACY AND CIVIC ENGAGEMENT (DEC 2) Discussion Leader: William

Required:

*Newton, Kenneth. 1997. "Social Capital and Democracy." *American Behavioral Scientist* 40:575-586.

*Sampson, Robert J., Doug McAdam, Heather MacIndoe, and Simón Weffer-Elizondo. 2005. "Civil Society Reconsidered: The Durable Nature and Community Structure of Collective Civic Action." *American Journal of Sociology* 111:673-714.

*Putnam, Robert D. 1993. "The Prosperous Community: Social Capital and Public Life." *The American Prospect* 4:35-42.

Bowles, Samuel, and Herbert Gintis. 2002. "Social Capital and Community Governance." *The Economic Journal* 112:F419-F436 **Précis: Betsy**

Recommended:

Brehm, John, and Wendy Rahn. 1997. "Individual-Level Evidence for the Causes and Consequences of Social Capital." *American Journal of Political Science* 41:999-1023.

Putnam, Robert D. 2000. *Bowling Alone: The Collapse and Revival of American Community*. New York: Simon and Schuster. Chapters 2-9, Chapters 16-22, and Chapter 24.

ADDITIONAL TOPICS:

XI. SOCIAL CAPITAL AND IMMIGRATION

Required:

*Portes, Alejandro, and Julia Sensenbrenner. 1993. "Embeddedness and Immigration: Notes on the Social Determinants of Economic Action." *American Journal of Sociology* 98:1320-1350.

*Palloni, Massey, et al. 2001. "Social Capital and International Migration: A Test Using Information on Family Networks." *American Journal of Sociology* 106:1262-98.

Sanders, Jimmy M., and Victor Nee. 1996. "Immigrant Self-Employment: The Family as Social Capital and the Value of Human Capital." *American Sociological Review* 61:231-49.

Recommended:

Phillips, Julie A., and Douglas S. Massey. 2000. "Engines of Immigration: Stocks of Human and Social Capital in Mexico." *Social Science Quarterly* 81:33-48.

XII. SOCIAL CAPITAL AND EDUCATION

Required:

*Morgan, Stephen L., and Aage B. Sørensen. 1999. "Parental Networks, Social Closure, and Mathematics Learning: A Test of Coleman's Social Capital Explanation of School Effects." *American Sociological Review* 64:661-681.

*Carbonaro, William J. 1999. "Opening the Debate: On Closure and Schooling Outcomes: Comment on Morgan and Sørensen." *American Sociological Review* 64:682-686.

*Hallinan, Maureen T., and Warren N. Kubitschek. 1999. "Conceptualizing and Measuring School Social Networks: Comment on Morgan and Sørensen." *American Sociological Review* 64:687-693.

*Morgan, Stephen L., and Aage Sørensen. 1999. "Theory, Measurement, and Specification Issues in Models of Network Effects on Learning: Reply to Carbonaro and to Hallinan and Kubitschek." *American Sociological Review* 64:694-700.

Offer, Shira, and Barbara Schneider. 2007. "Children's Role in Generating Social Capital." *Social Forces* 85:1125-1142.

Recommended:

Morgan, Stephen L., and Jennifer J. Todd. 2009. "Intergenerational Closure and Academic Achievement in High School: A New Evaluation of Coleman's Conjecture." *Sociology of Education* 82(3): 267-285.

Kim, Doo Hwan, and Barbara Schneider. 2005. "Social Capital in Action: Alignment of Parental Support in Adolescents' Transition to Postsecondary Education." *Social Forces* 84:1181-1206.

Crosnoe, Robert, and Barbara Schneider. 2010. Social Capital, Information, and Socioeconomic Disparities in Math Course Work. *American Journal of Education* 117:79-107.

XIII. MEASUREMENT OF SOCIAL CAPITAL

Required:

*Van Der Gaag, Martin, and Tom A.B. Snijders. 2005. "The Resource Generator: Social Capital Quantification with Concrete Items." *Social Networks* 27:1-29.

* Paxton, Pamela. 1999. "Is Social Capital Declining in the United States? A Multiple Indicator Assessment." *American Journal of Sociology* 105:88-127.

Harpham, Trudy, Emma Grant, and Elizabeth Thomas. 2002. "Measuring Social Capital within Health Surveys: Key Issues." *Health Policy and Planning* 17:106-111.

Recommended:

Lin, Nan, Yang-chih Fu, and Ray-May Hsung. 2001. "The Position Generator: Measurement Techniques for Investigations of Social Capital." Pp. 57-81 in Nan Lin, Karen S. Cook, and Ronald S. Burt, Eds., *Social Capital: Theory and Research*. New Brunswick, NJ: Transaction Publishers.

Matsueda, Ross L., and Kevin Drakulich. 2016. "Measuring Collective Efficacy: A Multi-Level Measurement Model for Nested Data." *Sociological Methods and Research* 45(2): 191-230.

Sampson, Robert J., Jeffrey D. Morenoff, and Felton Earls. 1999. "Beyond Social Capital: Spatial Dynamics of Collective Efficacy for Children." *American Sociological Review* 64(5) 633-660.

Marauam. Deepa, and Michael F. Cassidy. 2001. "A Dimensional Approach to Measuring Social Capital: Development and Validation of a Social Capital Inventory." *Current Sociology* 49:59-102.

XIV. MICRO-ECONOMIC UTILITY MODELS OF SOCIAL CAPITAL

Required:

*Glaeser, Edward L., David Laibson, and Bruce Sacerdote. 2002. "An Economic Approach to Social Capital." *Economic Journal* 112: 437-58.

*Durlauf, Steven N., and Marcel Fafchamps. 2005. "Social Capital." In *Handbook of Economic Growth*. Vol. 1B, edited by P. Aghion and S. N. Durlauf, 1640-99. Amsterdam: Elsevier.

*Granovetter, Mark. 1985. "Economic Action and Social Structure: The Problem of Embeddedness." *American Journal of Sociology* 91: 481-510.

Sobel, Joel. 2002. "Can We Trust Social Capital?" *Journal of Economic Literature* 40:139-54.

Recommended:

Durlauf, S. (2002). "On the Empirics of Social Capital." *Economic Journal* 112:459-79

Glaeser, Edward L. and DiPasquale, D. 1999. "Incentives and Social Capital: Are Homeowners Better Citizens?" *Journal of Urban Economics*. 45:354-84.

XV. SOCIAL CAPITAL AND ECONOMIC DEVELOPMENT

Required:

*Woolcock, Michael. 1998. "Social Capital and Economic Development: Toward a Theoretical Synthesis and Policy Framework." *Theory and Society* 27:151-208.

*Fukuyama, Francis. 2002. "Social Capital and Development: The Coming Agenda." *SAIS Review* 22:23-37

Evans, Peter. 1996. "Government Action, Social Capital and Development: Reviewing the Evidence on Synergy." *World Development* 24:119-1132.

Recommended:

Bebbington, Anthony, Scott Guggenheim, Elizabeth Olson, and Michael Woolcock. 2004. "Exploring Social Capital Debates at the World Bank." *The Journal of Development Studies* 40: 33-64.

Dasgupta, Partha, and Ismail Serageldin, Eds. 2000. *Social Capital: A Multi-Faceted Perspective*. Washington, DC: The World Bank.

Gittel, Ross, and Avis Vidal. 1998. *Community Organizing: Building Social Capital as a Development Strategy*. Thousand Oaks, CA: Sage Publications.