

# Passage Retrieval & Re-ranking

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NLP Systems and Applications  
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# Reranking with Deeper Processing

- Passage Reranking for Question Answering Using Syntactic Structures and Answer Types
  - Atkolga et al, 2011
- Reranking of retrieved passages
  - Integrates
    - Syntactic alignment
    - Answer type
    - Named Entity information

# Motivation

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    - Retrieval match admits many possible answers
      - Need answer type to restrict
    - Question implies particular relations
      - Use syntax to ensure
  - Joint strategy required
    - Checking syntactic parallelism when no answer, useless
- Current approach incorporates all (plus NER)

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- Question analysis + Wordnet: QuAn-Wnet
  - Adds 10 synonyms of ngrams in QuAn
- Best performance: QuAn-Wnet (baseline)

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    - Where q/a words 'match'
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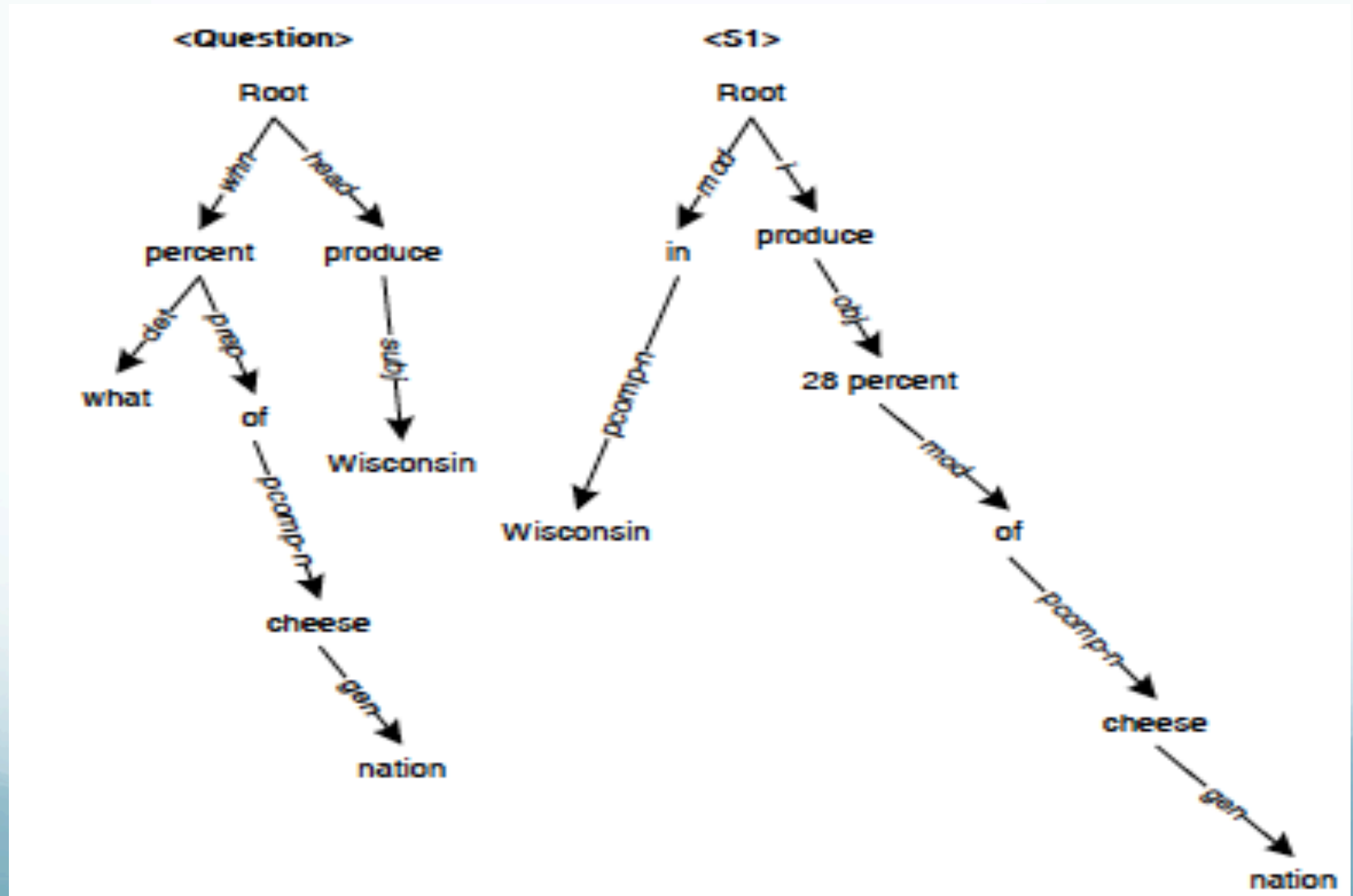
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  - Train path 'translation pair' probabilities
    - Use true Q/A pairs,  $\langle \text{path}_q, \text{path}_a \rangle$
    - GIZA++, IBM model 1
      - Yields  $\text{Pr}(\text{label}_a, \text{label}_q)$

# Dependency Path Similarity



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**Figure 2. Dependency trees for the sample question and sentence S1 in Figure 1 generated by Minipar. Some nodes are omitted due to lack of space.**

Path_ID	Node1	Path	Node2
<b>Question:</b>			
<P <sub>Q1</sub> >	Wisconsin	<subj>	produce
<P <sub>Q2</sub> >	produce	<head, whn, prep, pcomp-n>	cheese
<P <sub>Q3</sub> >	nation	<gen>	cheese
<b>S1:</b>			
<P <sub>S1</sub> >	Wisconsin	<pcomp-n, mod, i>	produce
<P <sub>S2</sub> >	produce	<obj, mod, pcomp-n>	cheese
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  - Some paths match exactly
  - Many paths have partial overlap or differ due to question/declarative contrasts
- Approaches have employed
  - Exact match
  - Fuzzy match
  - Both can improve over baseline retrieval, fuzzy more

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$$\frac{1}{|path_a|} \prod_{label_{a_j}} \sum_{label_{q_t}} Pr(label_{a_j} | label_{q_t})$$

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$$\max_i \sum_{path_q, path_a \in Paths_{ACand_i}} scorePair(path_q, path_a)$$

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- QuAn-Elim:
  - Acts a passage answer-type filter
  - Excludes any passage w/o correct answer type

# Results

- Atype-DP-IP best

**Table 2.** Evaluation of Reranking Techniques. All results are averages from the testing datasets TREC 2000 and TREC 2001, evaluated on the top 100 retrieved passages.

<i>Model</i>	<i>MRR@1</i>	<i>MRR@5</i>	<i>MRR@10</i>	<i>MRR@20</i>	<i>MRR@50</i>	<i>MRR@100</i>
Q-BOW	0.168	0.266	0.286	0.293	0.299	0.301
QuAn-Wnet	0.193	0.289	0.308	0.319	0.324	0.325
Cui	0.202	0.307	0.325	0.335	0.339	0.341
Atype-DP	0.148	0.24	0.26	0.273	0.279	0.28
Atype-DP-IP	<b>0.261*</b>	<b>0.363*</b>	<b>0.38*</b>	<b>0.389*</b>	<b>0.393*</b>	<b>0.394*</b>
% Improvement over Cui	<b>+29.2</b>	+18.24	+16.9	+16.12	+15.9	+15.54
% Improvement over QuAn-Wnet	<b>+35.2</b>	+25.6	+23.4	+21.9	+21.3	+ 21.2

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# Results

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- QuAn-Elim: NOT significantly worse

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# Units of Retrieval

- *Simple is Best: Experiments with Different Document Segmentation Strategies for Passage Retrieval*
  - Tiedemann and Mur, 2008
- Comparison of units for retrieval in QA
  - Documents
  - Paragraphs
  - Sentences
  - Semantically-based units (discourse segments)
  - Spans

# Motivation

- Passage units necessary for QA
  - Focused sources for answers
  - Typically  $> 20$  passage candidates yield poor QA
- Retrieval fundamentally crucial
- Re-ranking passages is hard
  - Tellex et al experiments
    - Improvements for passage reranking, but
    - Still dramatically lower than oracle retrieval rates



Algorithm	Lucene		Strict PRISE		TREC
	MRR	% Inc.	MRR	% Inc.	% Inc.
IBM	0.326	49.20%	0.331	39.60%	44.3%
ISI	0.329	48.80%	0.287	41.80%	41.7%
SiteQ	0.323	48.00%	0.358	40.40%	56.1%

Algorithm	# Incorrect	% Incorrect	MRR
IBM	31	7.18%	0.851
SiteQ	32	7.41%	0.859
ISI	37	8.56%	0.852
Alicante	39	9.03%	0.816
MultiText	44	10.19%	0.845
bm25	45	10.42%	0.810
MITRE	45	10.42%	0.800
stemmed MITRE	63	14.58%	0.762

# Passages

- Some basic advantages for retrieval (vs documents)
  - Documents vary in
    - Length,
    - Topic term density,
    - Etc
      - across type
  - Passages can be less variable
    - Effectively normalizing for length

# What Makes a Passage?

- Sources of passage information
  - Manual:
    - Existing markup
      - E.g., Sections, Paragraphs
      - Issues: ?
        - Still highly variable:
          - Wikipedia vs Newswire
        - Potentially ambiguous:
          - blank lines separate .....
        - Not always available

# What Makes a Passage?

- Automatic:
  - Semantically motivated document segmentation
    - Linguistic content
    - Lexical patterns and relations
  - Fixed length units:
    - In words/chars or sentences/paragraphs
    - Overlapping?
    - Can be determined empirically
- All experiments use Zettair retrieval engine

# Coreference Chains

- Coreference:
  - NPs that refer to same entity
    - Create an equivalence class
  - Chains of coreference suggest entity-based coherence
- Passage:
  - All sentences spanned by a coreference chain
  - Can create overlapping passages
  - Built with cluster-based ranking with own coref. System
    - System has F-measure of 54.5%

1. [Jim McClements en Susan Sandvig-Shobe]<sub>i</sub> hebben een onrechtmatig argument gebruikt.
2. [De Nederlandse scheidsrechter]<sub>j</sub> [Jacques de Koning]<sub>j</sub> bevestigt dit.
3. [Kuipers]<sub>k</sub> versloeg zondag in een rechtstreeks duel [Shani Davis]<sub>m</sub>.
4. Toch werd [hij]<sub>k</sub> in de rangschikking achter [de Amerikaan]<sub>m</sub> geklasseerd.
5. [De twee hoofdarbiteren]<sub>i</sub> verklaarden dat [Kuipers']<sub>k</sub> voorste schaats niet op de grond stond.

**Cluster i (1,5):** [Jim McClements en Susan Sandvig-Shobe]  
[De twee hoofdarbiteren]

**Cluster j (2):** [De Nederlandse scheidsrechter]  
[Jacques de Koning]

**Cluster k (3-5):** [Kuipers] [hij] [Kuipers']

**Cluster m (3,4):** [Shani Davis] [de Amerikaan]

# TextTiling (Hearst)

- Automatic topic, sub-topic segmentation
  - Computes similarity between neighboring text blocks
    - Based on tf-idf weighted cosine similarity
  - Compares similarity values
    - Hypothesizes topic shift at dips b/t peaks in similarity
- Produces linear topic segmentation
- Existing implementations

# Window-based Segmentation

- Fixed width windows:
  - Based on words? Characters? Sentences?
    - Sentences required for downstream deep processing
- Overlap? No overlap?
  - No overlap is simple, but
    - Not guaranteed to line up with natural boundaries
      - Including document boundaries
  - Overlap -> Sliding window



# Evaluation

- Indexing and retrieval in Zettair system
  - CLEF Dutch QA track
- Computes
  - Lenient MRR measure
    - Too few participants to assume pooling exhaustive
  - Redundancy: Average # relevant passage per query
  - Coverage: Proportion of Qs w/at least one relpass
  - MAP
- Focus on MRR for prediction of end-to-end QA

# Baselines

- Existing markup:
  - Documents, paragraphs, sentences
- MRR-IR; MRR-QA (top 5); CLEF: end-to-end score
- Surprisingly good sentence results in top-5 and CLEF
  - Sensitive to exact retrieval weighting

	#sent	cov	red	<i>MRR</i>		CLEF
				IR	QA	
sent	16,737	0.784	2.95	0.490	<b>0.487</b>	<b>0.430</b>
par	80,046	0.842	4.17	0.565	0.483	0.416
doc	618,865	0.877	6.13	0.666	0.457	0.387

# Semantic Passages

- Contrast:
  - Sentence/coref: Sentences in coref. chains -> too long
    - Bounded length
  - Paragraphs and coref chains (bounded)
  - TextTiling (CPAN) – Best : beats baseline

	#sent	<i>MRR</i>		CLEF
		<i>IR</i>	<i>QA</i>	
sent/coref	490,968	<b>0.604</b>	0.469	0.405
sent/coref (200-1000)	76,865	0.535	0.462	0.395
par+coref (200-1000)	82,378	0.560	<b>0.493</b>	0.426
par+coref (200-400)	67,580	0.555	<b>0.489</b>	0.422
<b>TextTiling</b>	<b>107,879</b>	<b>0.586</b>	$\Delta$ <b>0.503</b>	<b>0.434</b>

# Fixed Size Windows

- Different lengths: non-overlapping
- 2-, 4-sentence units improve over semantic units

	#sent	<i>MRR</i>		CLEF
		<i>IR</i>	<i>QA</i>	
2 sentences	33468	0.545	$\Delta$ 0.506	0.443
3 sentences	50190	0.554	0.504	0.436
4 sentences	66800	0.581	$\Delta$ 0.512	0.447
5 sentences	83575	0.588	0.493	0.422
6 sentences	100110	0.583	0.489	0.423

# Sliding Windows

- Fixed length windows, overlapping
- Best MRR-QA values
  - Small units with overlap
  - Other settings weaker

	#sent	<i>MRR</i>		CLEF
		<i>IR</i>	<i>QA</i>	
2 sent (sliding)	29095	0.548	$\Delta$ <b>0.516</b>	<b>0.456</b>
3 sent (sliding)	36415	0.549	<b>0.484</b>	0.411
4 sent (sliding)	41565	0.546	0.476	0.409
5 sent (sliding)	45737	0.534	0.465	0.403
6 sent (sliding)	49091	0.528	0.454	0.390

# Observations

- Competing retrieval demands:
  - IR performance
    - vs
  - QA performance
- MRR at 5 favors:
  - Small, fixed width units
    - Advantageous for downstream processing too
  - Any benefit of more sophisticated segments
    - Outweighed by increased processing