

## GÖDEL'S COMPLETENESS THEOREM

0. Stated:
- 'sentence' = 'sentence of  $\mathcal{L}$ '.  
'theorem' = 'theorem of  $\mathcal{L}$  by the rules P, T, C, US, UG, and E'.
  - Every valid sentence is a theorem.
  - That is, for any given sentence there is either
    - a derivation of it from the null set or
    - a counterexample (that is, an interpretation under which it is false).
  - Since the system is sound, these are exclusive alternatives: there cannot be *both* a derivation of a sentence from the null set *and* a counterexample to it.
1. The general strategy:
- The idea behind the proof is to devise a technique that for any given sentence will produce either a derivation of it from the null set or a counterexample to it.
  - A sentence can be derived from the null set if a contradiction can be derived from its negation.
  - An interpretation under which the negation of a given sentence is true is an interpretation under which the sentence itself is false.
  - So it will suffice to find a technique by which either (i) a contradiction can be derived from the negation of a given sentence or (ii) an interpretation can be found under which the negation of the sentence is true.

2. The technique illustrated in two simple cases:

a. Is ' $\neg(\exists y)(x)(Fxy \leftrightarrow \neg Fxx)$ ' a theorem or is there a counterexample to it?

1. Deny: {1} (1)  $\neg\neg(\exists y)(x)(Fxy \leftrightarrow \neg Fxx)$  P

2. Put in PNF: {1} (2)  $(\exists y)(x)((Fxy \ \& \ \neg Fxx) \vee (\neg Fxy \ \& \ Fxx))$  1, PNF

3. Systematically remove quantifiers:

{3} (3)  $(x)((Fxa_0 \ \& \ \neg Fxx) \vee (\neg Fxa_0 \ \& \ Fxx))$  P

{3} (4)  $(Fa_0a_0 \ \& \ \neg Fa_0a_0) \vee (\neg Fa_0a_0 \ \& \ Fa_0a_0)$  3 US

4. If a truth-functional inconsistency appears, enter 'Q & -Q':

{3} (5) Q & -Q 4 T

5. Discharge all premisses:

{1} (6) Q & -Q 2,3,5 ES

$\Lambda$  (7) (1)  $\rightarrow$  (Q & -Q) 1,6 C

6. Infer the original sentence:

$\Lambda$  (8)  $\neg(\exists y)(x)(Fxy \leftrightarrow \neg Fxx)$  7 T

b. Is  $\neg(x)(\exists y)Fxy$  a theorem or is there a counterexample to it?

1. Deny:  $\neg\neg(x)(\exists y)Fxy$
2. Put in PNF:  $(x)(\exists y)Fxy$       0,1,2,3, . . .
3. Systematically remove quantifiers:
  - $(\exists y)Fa_0y$
  - $Fa_0a_1$
  - $(\exists y)Fa_1y$
  - $Fa_1a_2$
  - $(\exists y)Fa_2y$
  - $Fa_2a_3$
  - .
  - .
  - .

4. If no truth-functional inconsistency appears, then there is a counterexample in the domain of natural numbers:

D	$a_0$ $a_1$ $a_2$ $a_3$ . . .	F
$\omega$	0 1 2 3 . . .	{ <0,1>, <1,2>, <2,3>, . . . }
-	-	{ <x,y>   $x \in \omega$ & $y = x + 1$ }

3. *The principal metatheorem:* Any sentence that is not a theorem has a counterexample in the domain of natural numbers:
  - a. If the sentence under consideration contains  $n$  individual constants, let these be ' $a_0$ ', ' $a_1$ ', ' $a_2$ ', . . . ' $a_{n-1}$ '. Obviously this does not restrict the generality of our proof.
  - b. Negate the given sentence and put the negation in prenex normal form. (Lemma: For any given sentence there is an equivalent sentence in prenex normal form [PNF].)
  - c. Construct a sequence of sentences in the following way:
    - i. Every sentence in the sequence is either a QF or a general sentence, where a QF sentence is one that contains no quantifiers.
    - ii. Begin with the sentence in PNF.
    - iii. If a sentence of the sequence is of the form  $(\exists\alpha)\phi$ , let the next sentence be  $\phi \alpha/\beta$  where  $\beta$  is the first individual constant in the series ' $a_0$ ', ' $a_1$ ', ' $a_2$ ', . . . not yet occurring in the sequence.
    - iv. If a sentence of the sequence is a QF sentence or begins with a universal quantifier and if  $\beta$  is the first member of the series ' $a_0$ ', ' $a_1$ ', ' $a_2$ ', . . . for which there is a sentence of the form  $(\alpha)\phi$  not yet followed by  $\phi \alpha/\beta$ , enter  $\phi \alpha/\beta$  for the first such universally quantified sentence.

- d. *Proof theory:* This sequence has been so constructed that the negation of its first sentence can be derived if a truth-functional inconsistency appears among the QF sentences after some finite number of sentences have been entered:
- i. The sentences entered by (ii) and (iii) above are premisses of a derivation; those entered by (iv) are justified by US.
  - ii. After a truth-functional inconsistency appears, delete the remainder of the sequence and enter:
    - $(\phi \ \& \ - \ \phi)$  by T, where  $\phi$  is a sentential letter.
    - $(\phi \ \& \ - \ \phi)$  by ES until each premiss except the first has been discharged.
    - $(1) \rightarrow (\phi \ \& \ - \ \phi)$  by C
    - $\neg (1)$  by T
 The last line (as well as the one before it) is derived from  $\Lambda$  and hence is a theorem.
- e. Suppose, now, that our original sentence is not a theorem.
- To show:* that it has a counterexample in the domain of natural numbers.
- f. It follows from (e) that a truth-functional inconsistency cannot be derived from the PNF of its negation.
  - g. Hence if the sequence of sentences we constructed is *finite*, the QF sentences it contains are truth-functionally consistent. (The sequence will be finite only if its first sentence contains no universal quantifier.)
  - h. If it is *infinite*, no initial segment of the sequence contains QF sentences that are truth-functionally inconsistent; for a derivation is a *finite* sequence of sentences. Hence, by the compactness theorem for the sentential calculus, the entire infinite subsequence of QF sentences is truth-functionally consistent.
  - i. Thus, there is an assignment of truth-values to the atomic constituents of the QF sentences under which all the QF sentences in the sequence are true simultaneously. (Those that are assigned truth under this assignment I shall call ‘true’; those assigned falsity, ‘false’.)
  - j. *Model theory:* Construct the following interpretation of  $\mathcal{L}$ .
    - i. The domain of interpretation is the set of natural numbers.
    - ii. Assign to each individual constant the number named by its subscript: 0 to ‘ $a_0$ ’, 1 to ‘ $a_1$ ’, 2 to ‘ $a_2$ ’, and so forth.
    - iii. The sentential letters occurring in our original sentence have already been assigned truth-values.
    - iv. Assign to each predicate of degree 1 that set whose members are exactly those numbers named by the subscripts of the individual constants in the true atomic sentences that contain the predicate.
    - v. Assign to each predicate of degree  $n$ , where  $n > 1$ , a set of  $n$ -tuples, one  $n$ -tuple for each true atomic sentence that contains the predicate. The  $n$ -tuple corresponding to the sentence contains as elements exactly the numbers named by the subscripts of the individual constants in the sentence. The  $k^{\text{th}}$  element of the  $n$ -tuple is the number named by the subscript of the  $k^{\text{th}}$  individual constant in the atomic sentence. (Thus one of the triples in the set assigned to ‘ $F^3$ ’ when ‘ $F^3 a_0 a_4 a_7$ ’ is true is  $\langle 0, 4, 7 \rangle$ .)

- k. All of the sentences in our sequence are true under this interpretation:
    - i. The proof is by weak induction on the number of quantifiers in the sentence.
    - ii. *Basis:* All sentences in the sequence with 0 quantifiers are true since they are QF sentences.
    - iii. *Inductive step:*
      - (1) Suppose that all sentences in the sequence with  $n$  quantifiers are true.
      - (2) Suppose that  $(\exists\alpha)\phi$  begins with  $n + 1$  quantifiers. Then  $(\exists\alpha)\phi$  is immediately succeeded in the sequence by  $\phi\alpha/\beta$ , which contains  $n$  quantifiers and hence, by the hypothesis of the induction, is true. So  $(\exists\alpha)\phi$  is true.
      - (3) Suppose that  $(\alpha)\phi$  begins with  $n + 1$  quantifiers. Then the sequence contains an infinite subsequence of sentences of the form  $\phi\alpha/\beta$ , where  $\beta$  is in turn ' $a_0$ ', ' $a_1$ ', ' $a_2$ ', ... There is one such sentence for every member of the domain, and each is true by the hypothesis of the induction since it contains  $n$  quantifiers. So  $(\alpha)\phi$  is true.
      - (4) So all sentences with  $n + 1$  quantifiers are true.
    - iv. Thus all sentences in the sequence are true under the given interpretation.
  - l. The first member of the sequence is a sentence in PNF that is equivalent to the negation of our original sentence. Since the rules of derivation of  $\mathcal{L}$  are sound and since the first member of the sequence is true under the given interpretation, the negation of our original sentence must also be true under this interpretation.
  - m. So our original sentence has a counterexample in the domain of natural numbers.
4. Corollary: Every valid sentence is a theorem:
- a. Suppose that  $\phi$  is valid.
  - b. Then there is no interpretation under which  $\phi$  is false.
  - c. Hence there is no interpretation in the domain of natural numbers under which  $\phi$  is false.
  - d. So, by the principal metatheorem,  $\phi$  is a theorem.
5. Corollary: Every sentence that is valid in the domain of natural numbers is universally valid (Löwenheim-Skolem theorem):
- a. Suppose that  $\phi$  is valid in the domain of natural numbers.
  - b. Then there is no interpretation in the domain of natural numbers under which  $\phi$  is false.
  - c. So, by the principal metatheorem,  $\phi$  is a theorem.
  - d. Therefore, (since the rules of derivation for  $\mathcal{L}$  are sound)  $\phi$  is universally valid.
6. An equivalent formulation of the Löwenheim-Skolem theorem: Every sentence that is satisfiable is satisfiable in the domain of natural numbers.