


# Tropical Pacific climate mechanisms

(a very partial and personal view!!!)

Use ENSO as a “laboratory” to explore mechanisms

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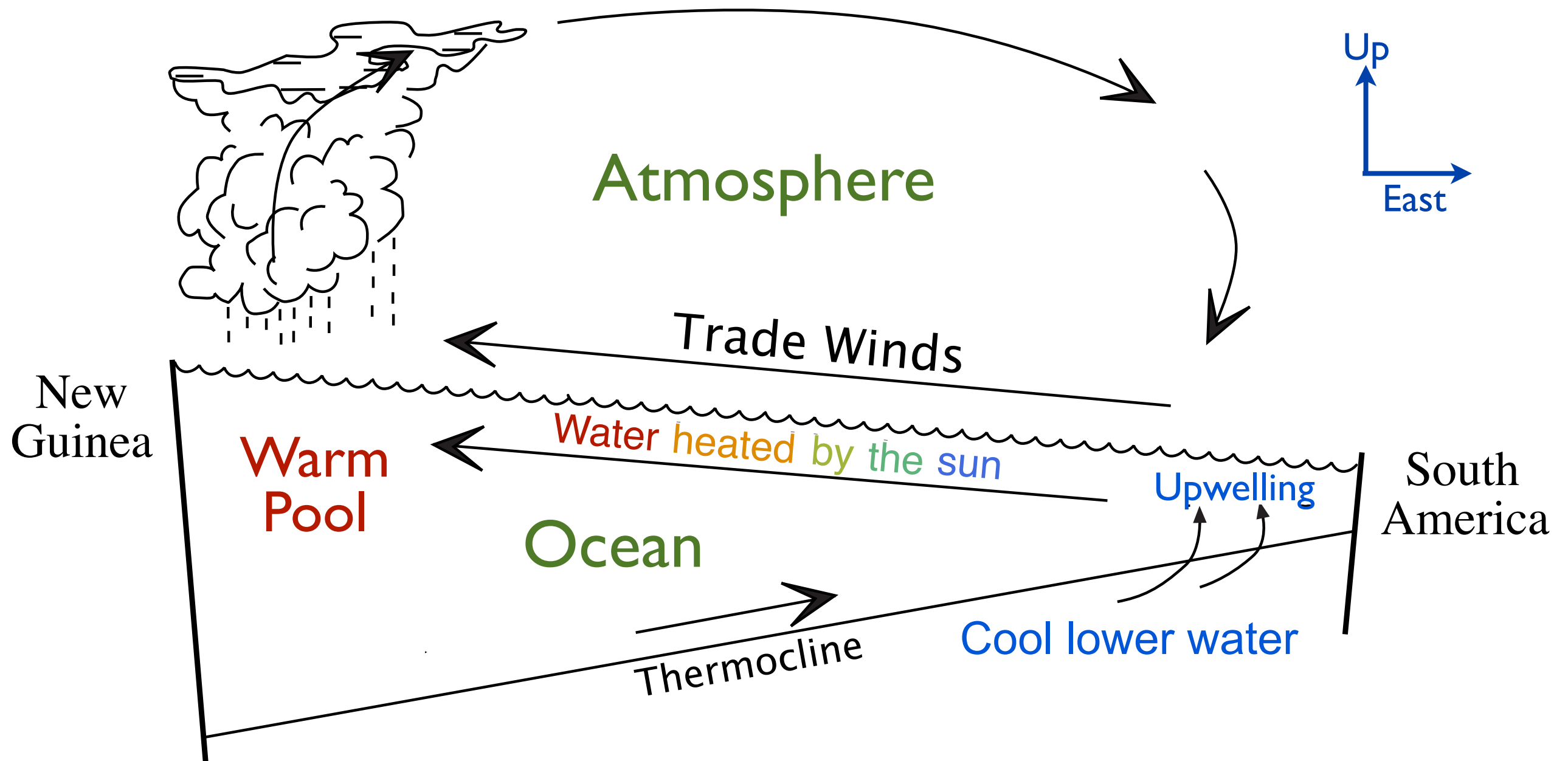
# What's special about the tropics?

- 
- Air temperature is near the threshold for convection ( $\sim 27^{\circ}\text{C}$ )  
 $\Rightarrow$  The tropical atmosphere responds rapidly to SST
  - Tropical ocean currents can spin up rapidly (downwind on Eq!)  
 $\Rightarrow$  Wind changes produce rapid ocean changes
  - The high speed and long zonal scale of equatorial waves allows efficient basinwide transmission of thermocline depth anomalies
  - The close connection between thermocline depth and the surface means SST can vary rapidly

Thus, small SST changes greatly affect the atmosphere,  
and small wind changes greatly affect the SST pattern: **Coupling**

- All this is less true of the extra-tropics:  
Other mechanisms by which SST affects the atmosphere -  
and winds affect the ocean - are slower and less effective.

# The tropical climate is coupled

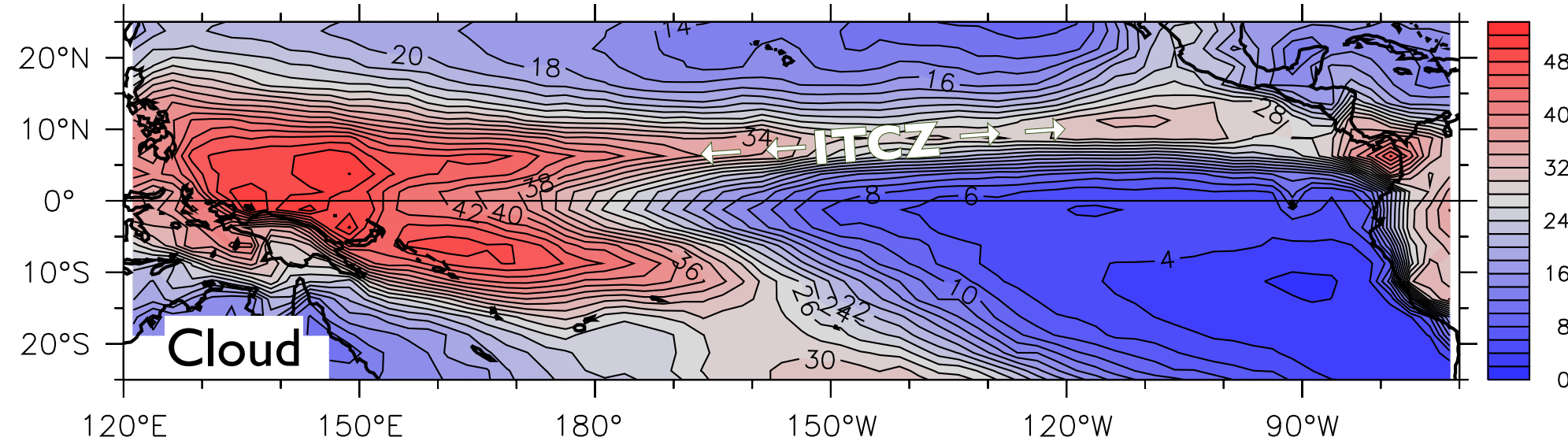


**A positive feedback!**

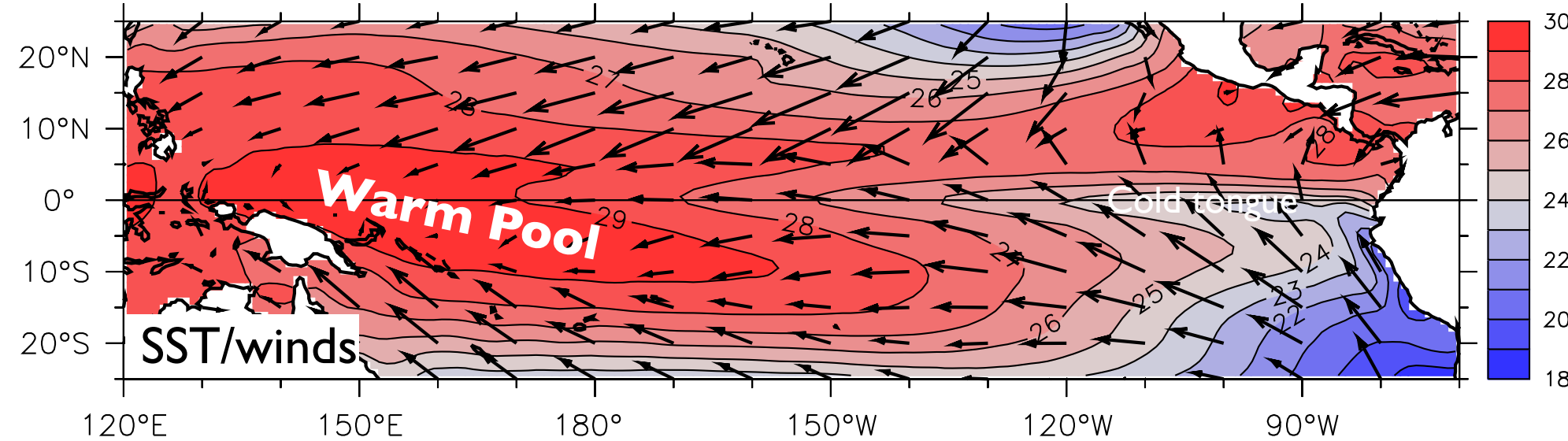
Why are there trade winds? Because the warmest water is in the west.  
Why is the warmest water in the west? Because there are trade winds.

# Close relation among SST, convection, winds, thermocline

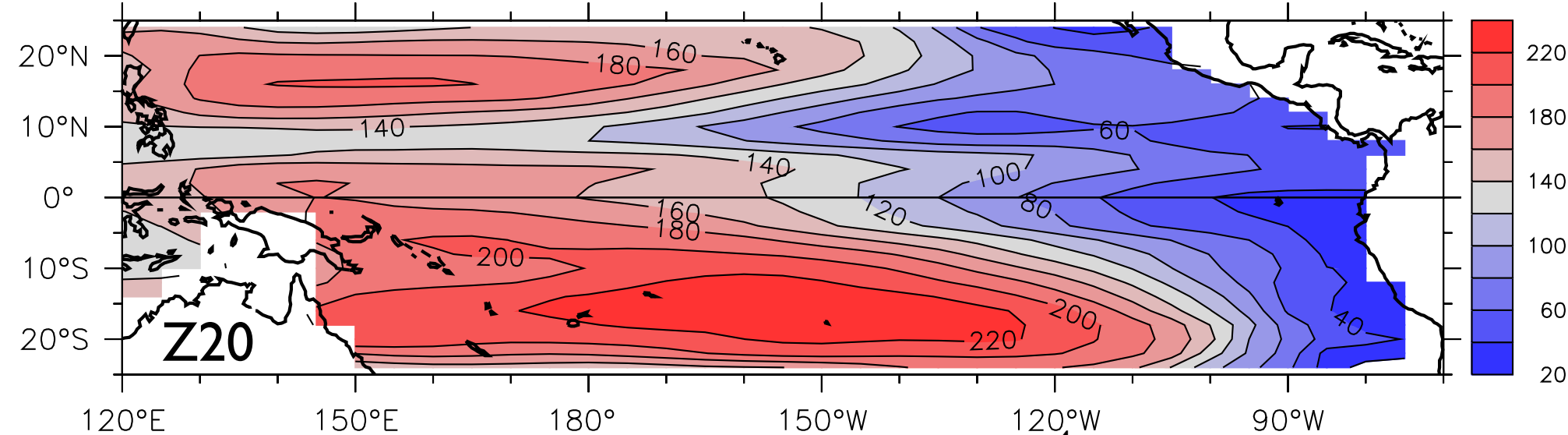
## High cloud fraction (deep convection) and surface winds



Warm air rises.  
⇒ Surface winds blow into warm SST.  
⇒ Rising air produces convection and rainfall.

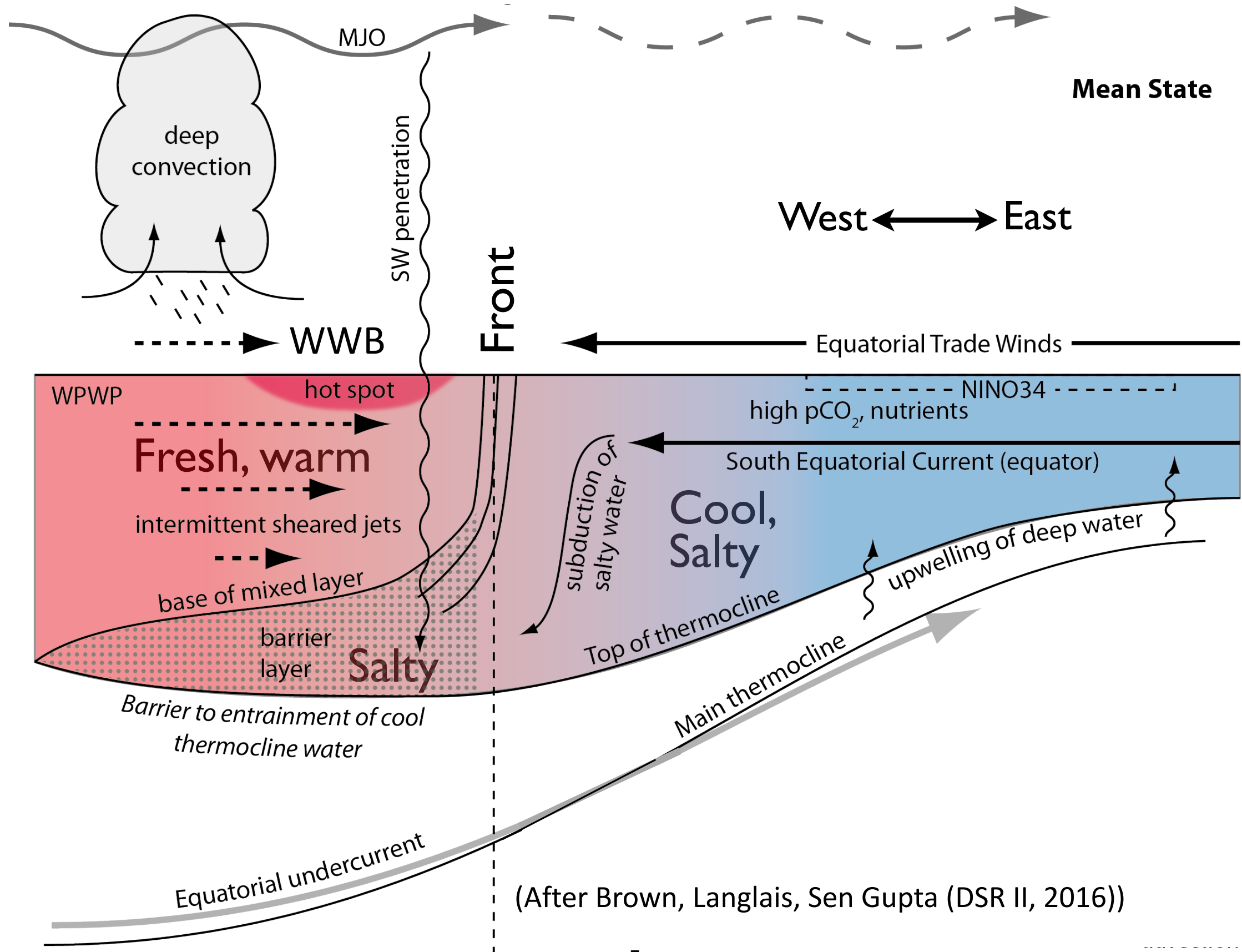


That happens everywhere, but the SST pattern organizes it over large regions in the tropics.

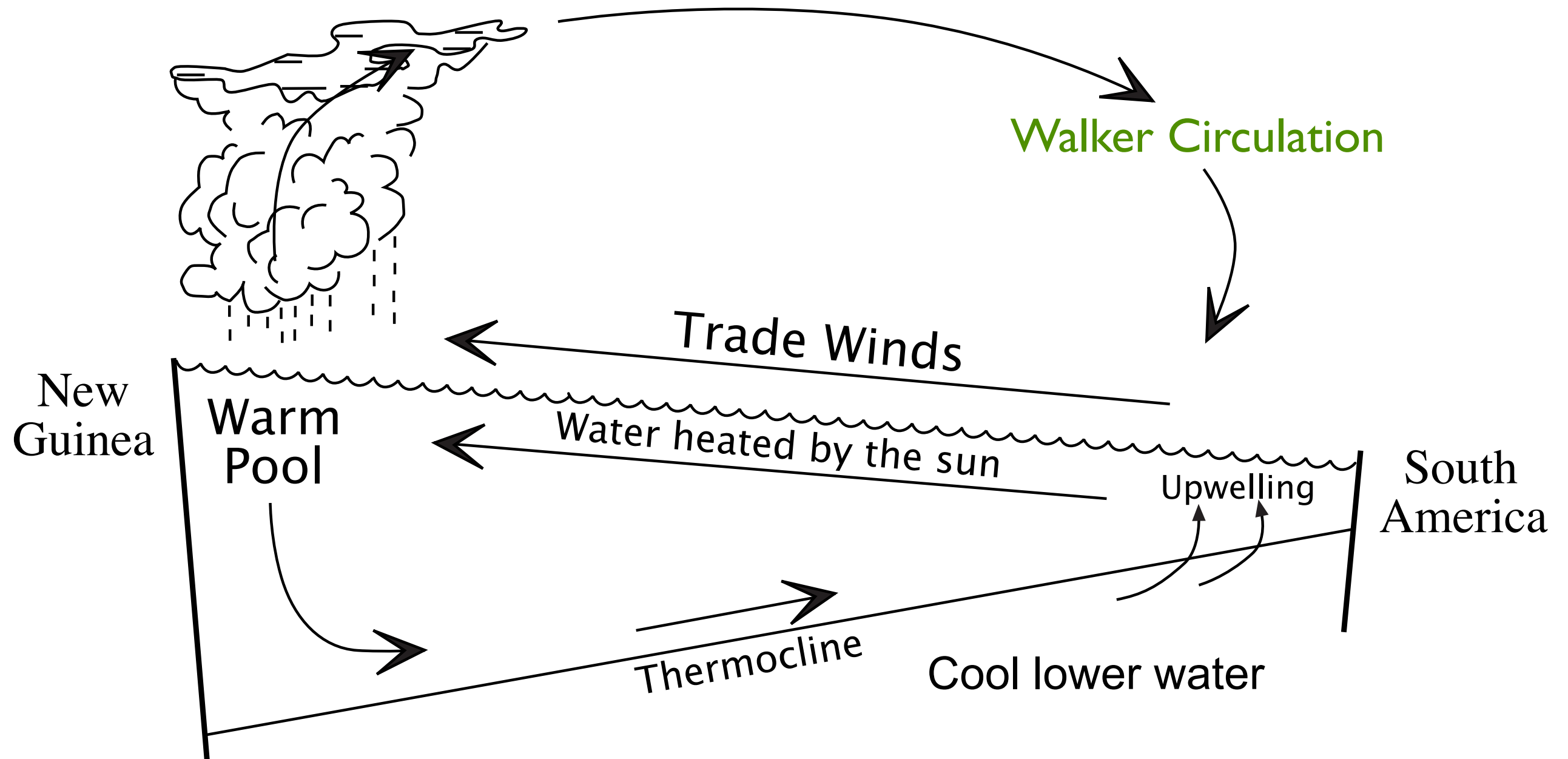


(Reynolds SST, ISCCP high clouds, Quikscat winds, XBT Z20)

# Lots of interesting stuff in an equatorial section ...



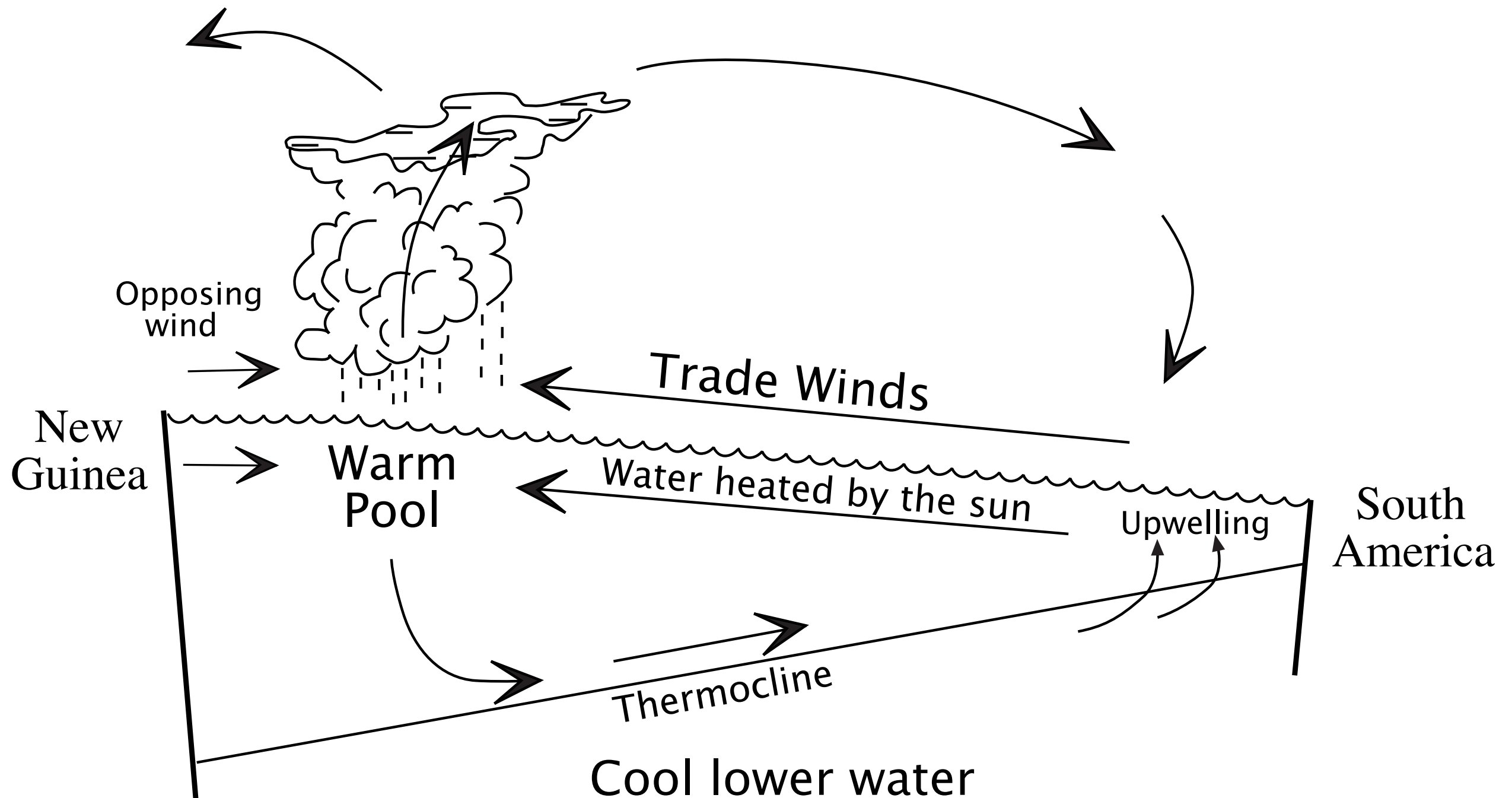
# Evolution of El Niño: The “normal” situation



Positive feedback: should be stable, but ....

# Schematic ocean-atmosphere interaction during El Niño onset:

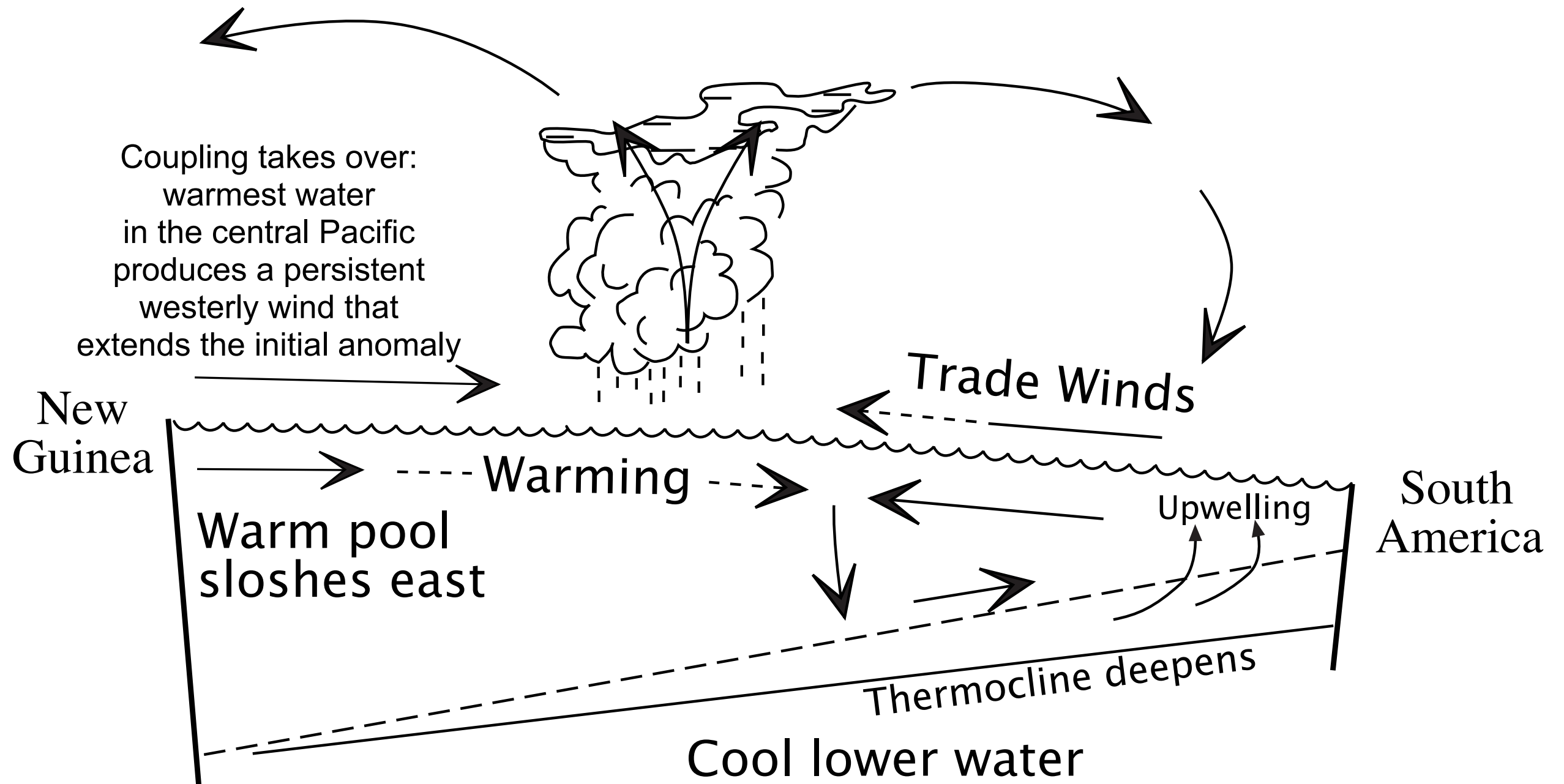
A “coupled collapse” as the warm pool sloshes east



Several hypotheses for the opposing winds (MJO),  
but once the warmest water shifts east, coupling takes over



# The peak of El Niño



Again a positive feedback. Why does it end?

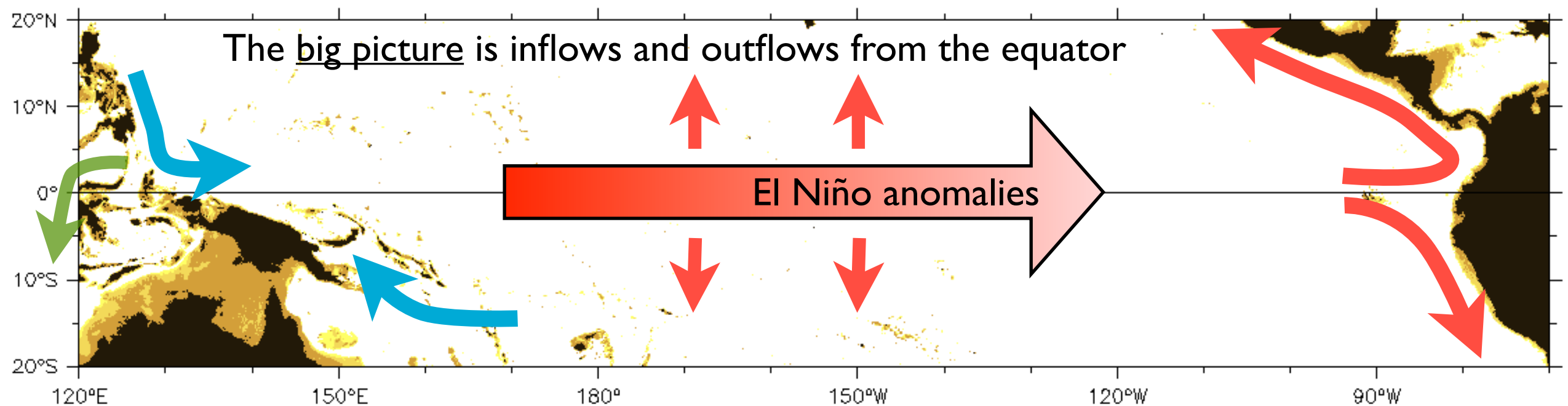


# The big picture of ENSO isn't zonal, it's inflows and outflows from the equator

The big picture is the accumulation (recharge) and discharge of mass and heat in the equatorial strip:

→ Exchanges of heat and mass with the subtropics

Most discharge during El Niño occurs in the east and central Pacific, but most recharge occurs in the LLWBCs.



# Two over-arching hypotheses

- Cyclic ENSO (McCreary → Cane/Zebiak → Jin)

⇒ ENSO is a self-sustained oscillation.

The Pacific ocean-atmosphere system has a natural frequency of oscillation (slightly perturbed by “weather”)

⇒ Predictability!

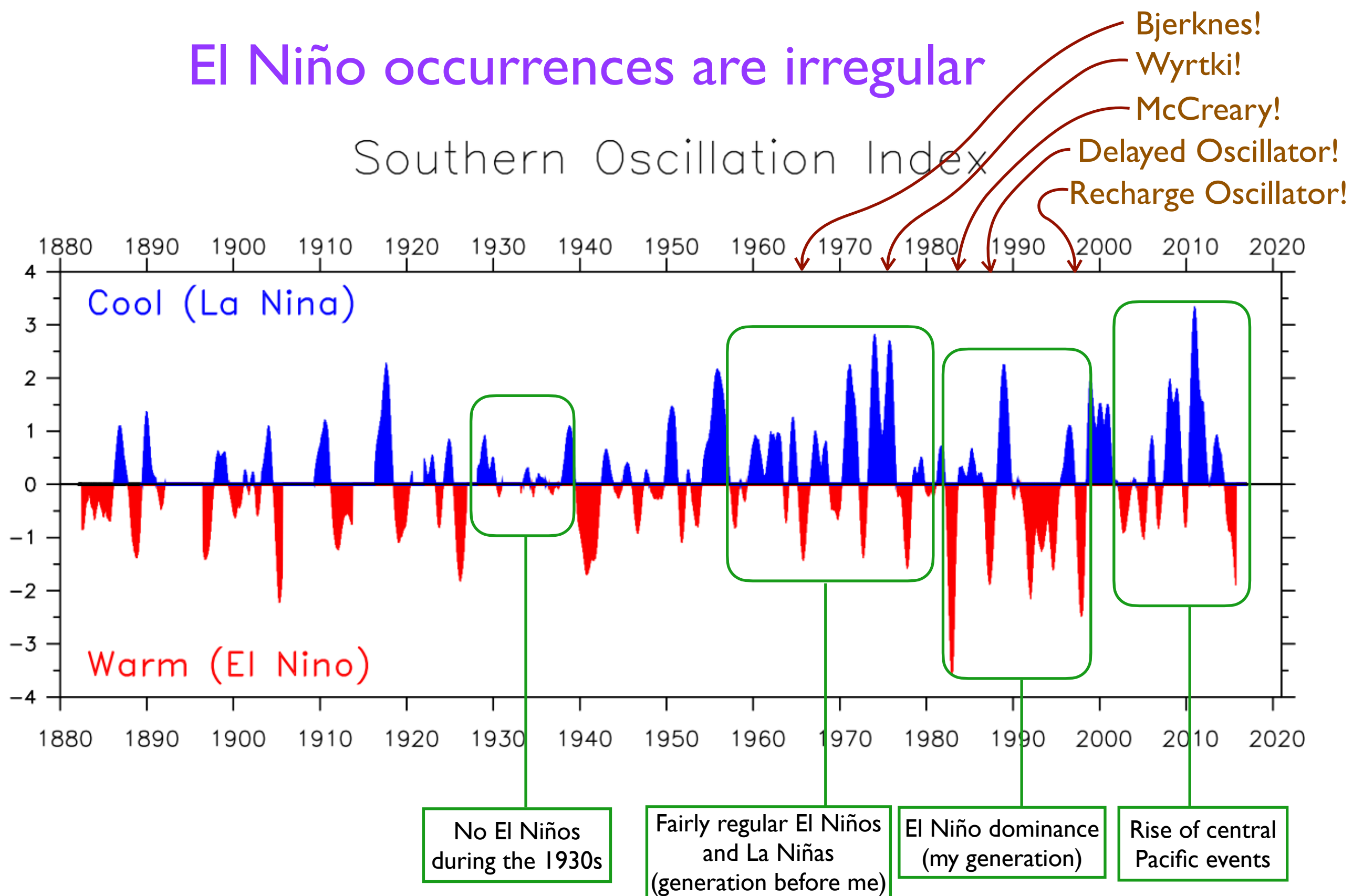
- Event-like ENSO (Wyrтки → Kessler?)

⇒ The basic state is stable, thus each event requires an external trigger. No persistent memory.

⇒ Implies limited predictability.

# El Niño occurrences are irregular

Southern Oscillation Index



# History of ideas about the physics of ENSO

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Bjerknes (1969)

Positive feedbacks maintain each state.

Wyrtki (1975, 86)

Buildup in the west Pacific precedes events. Other than that, events are independent.

McCreary (1983)

Oscillation can occur via western boundary reflection delay. Time-lagged negative feedback

Schopf/Suarez (88)  
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Delayed Oscillator paradigm. More realistic delay via coupled growth on the equator.

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Jin (1997)

Recharge/Discharge Oscillator.

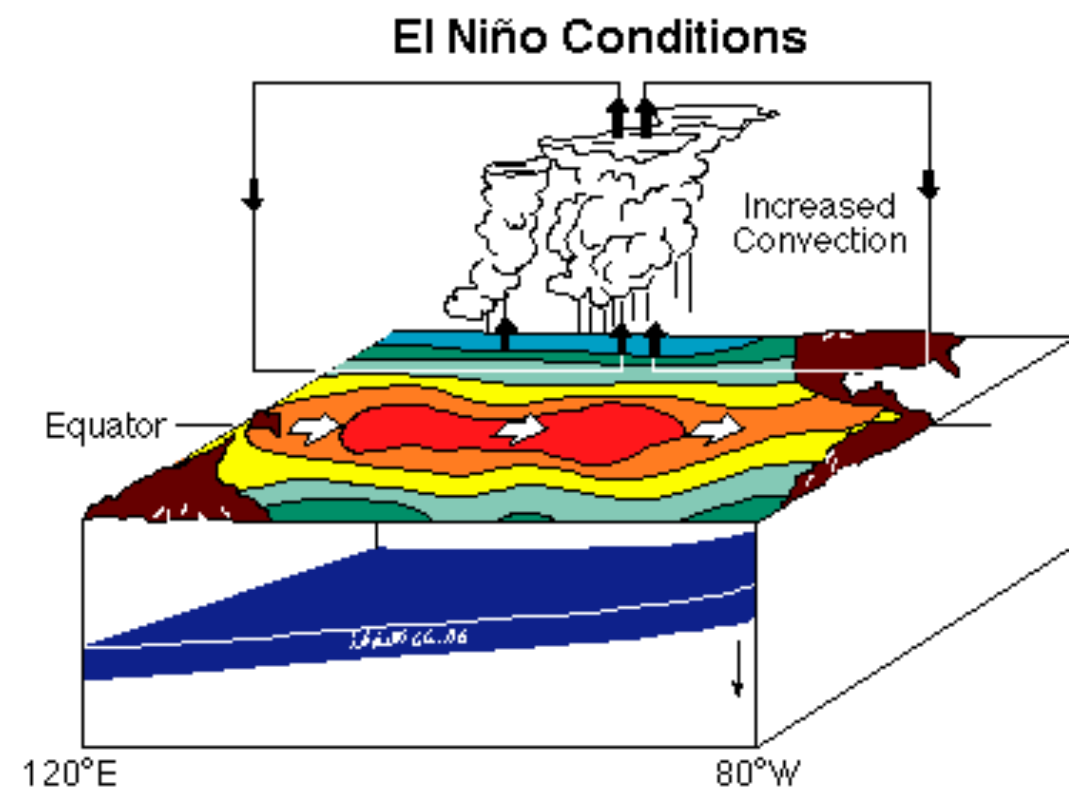
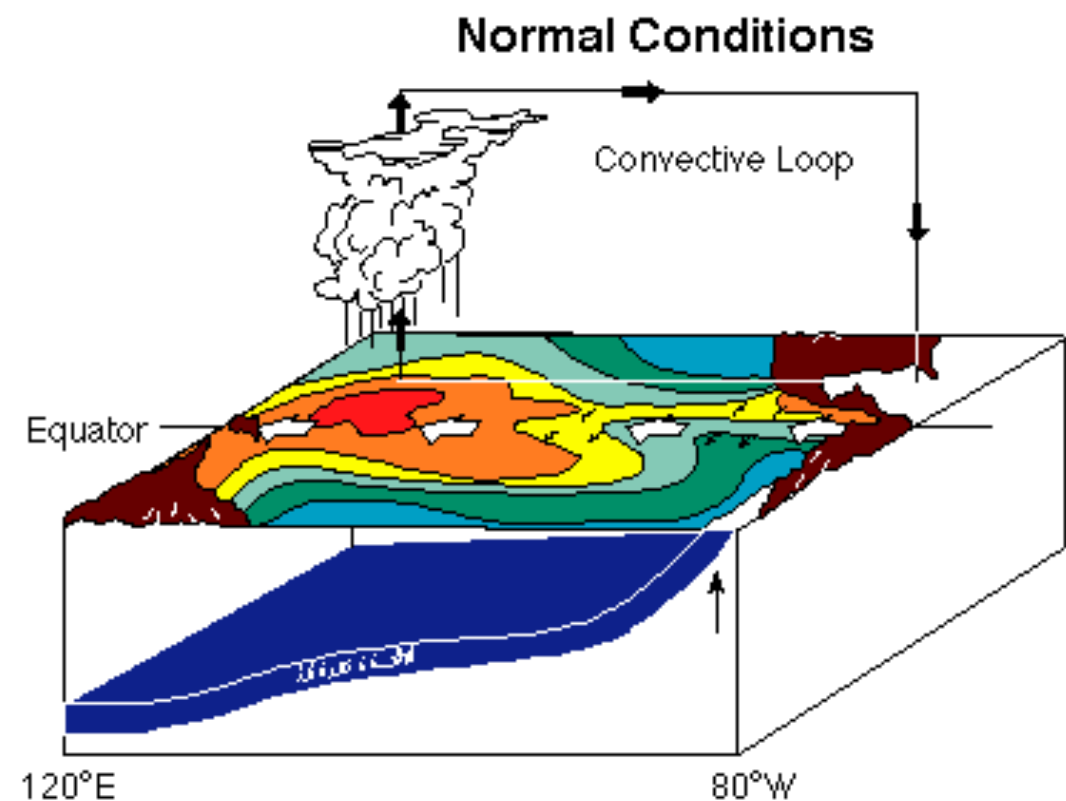
# Bjerknes: **positive feedbacks**

Convection occurs over warm SST  
→ Winds blow towards warm SST

During normal conditions,  
SST is warm in the west:  
→ produces convection there  
→ strengthens the trade winds  
→ strong upwelling in the east  
→ cools the surface: eastern Cold Tongue

During El Niño conditions,  
SST is warm across the basin:  
→ produces generalized convection  
→ weakens the trade winds  
→ suppresses upwelling

But, Bjerknes did not know how  
the phase could reverse.

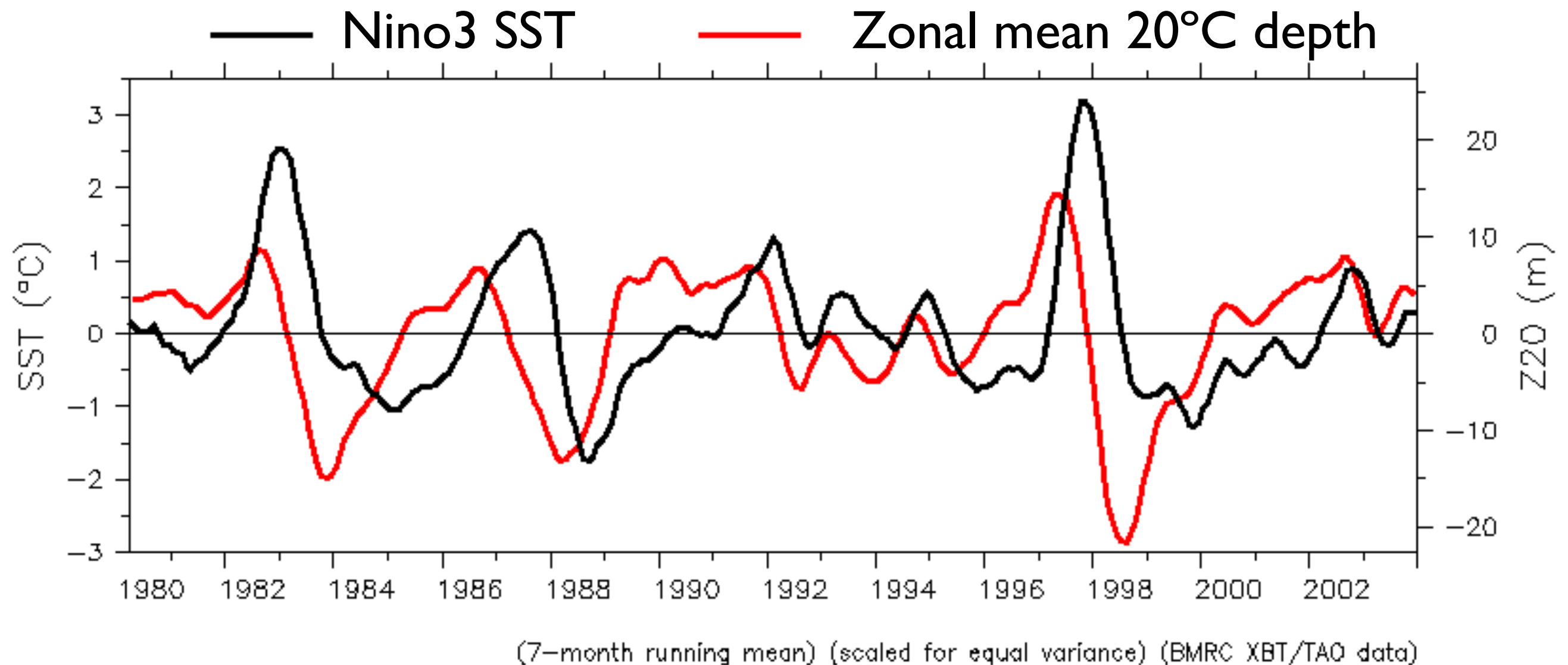


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| Wyrтки (1975, 86)                         | “Buildup” in the west Pacific precedes events. Other than that, events are independent.    |
| McCreary (1983)                           | Oscillation can occur via western boundary reflection delay. Time-lagged negative feedback |
| Schopf/Suarez (88)<br>Battisti/Hirst (89) | Delayed Oscillator paradigm. More realistic delay via coupled growth on the equator.       |
| Cane/Zebiak (87)                          | Delayed Oscillator forecast model with ocean memory contained in thermocline depth.        |
| Jin (1997)                                | Recharge/Discharge Oscillator.                                                             |

# Warm water volume increases before each event



Trade winds pile up warm water in the west Pacific.  
El Niño serves the **climate “purpose”** of episodically  
draining the warm pool.

Wyrтки (1975)

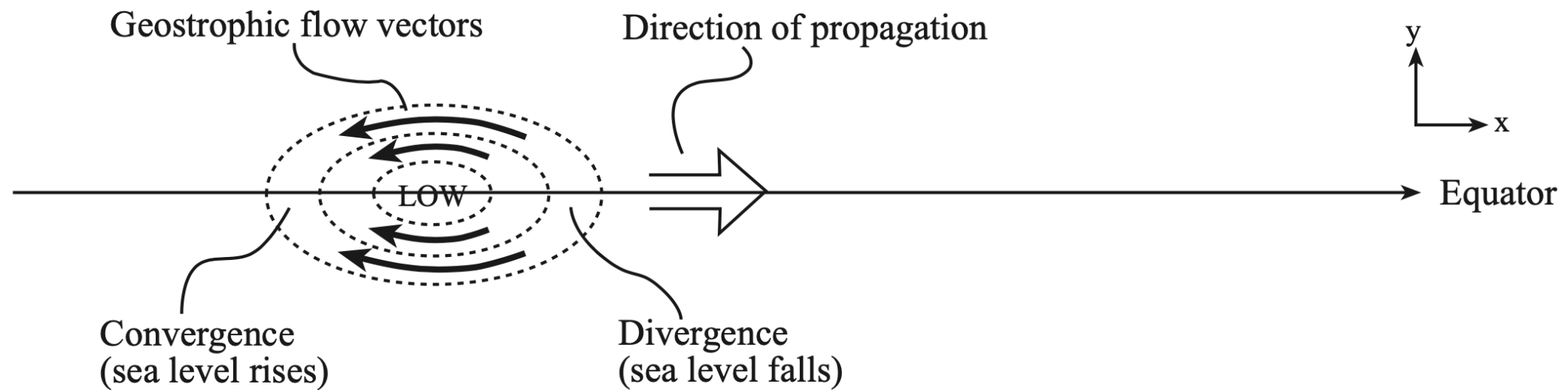


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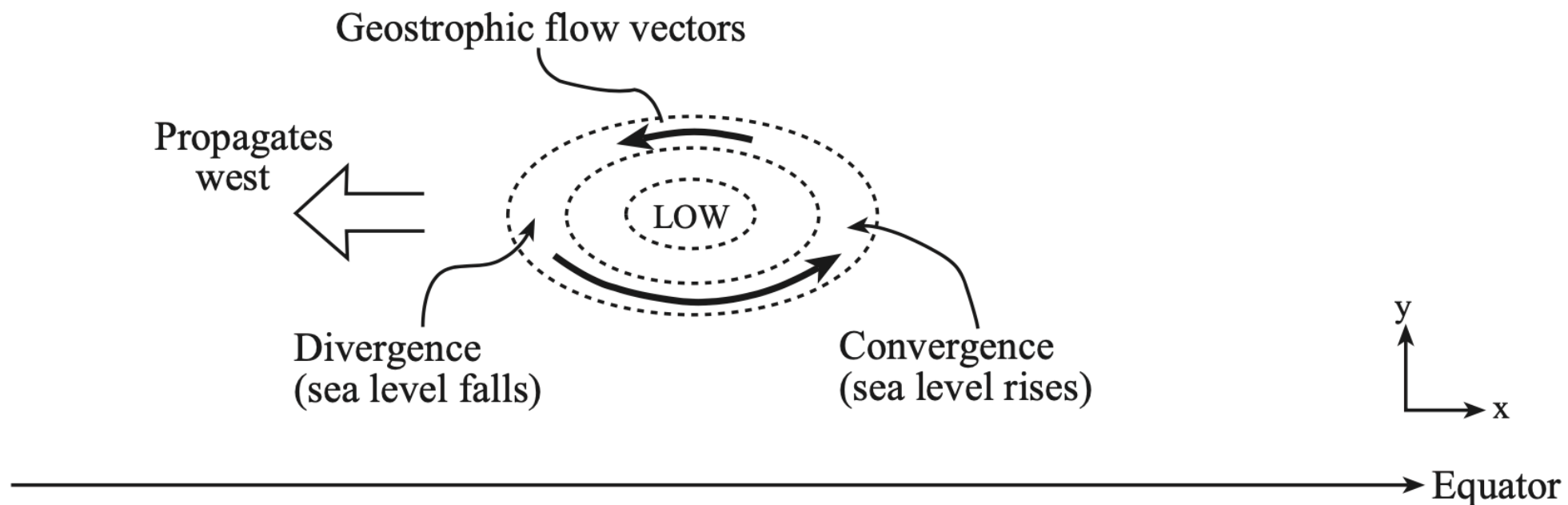
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# The mechanism of equatorial Kelvin wave propagation

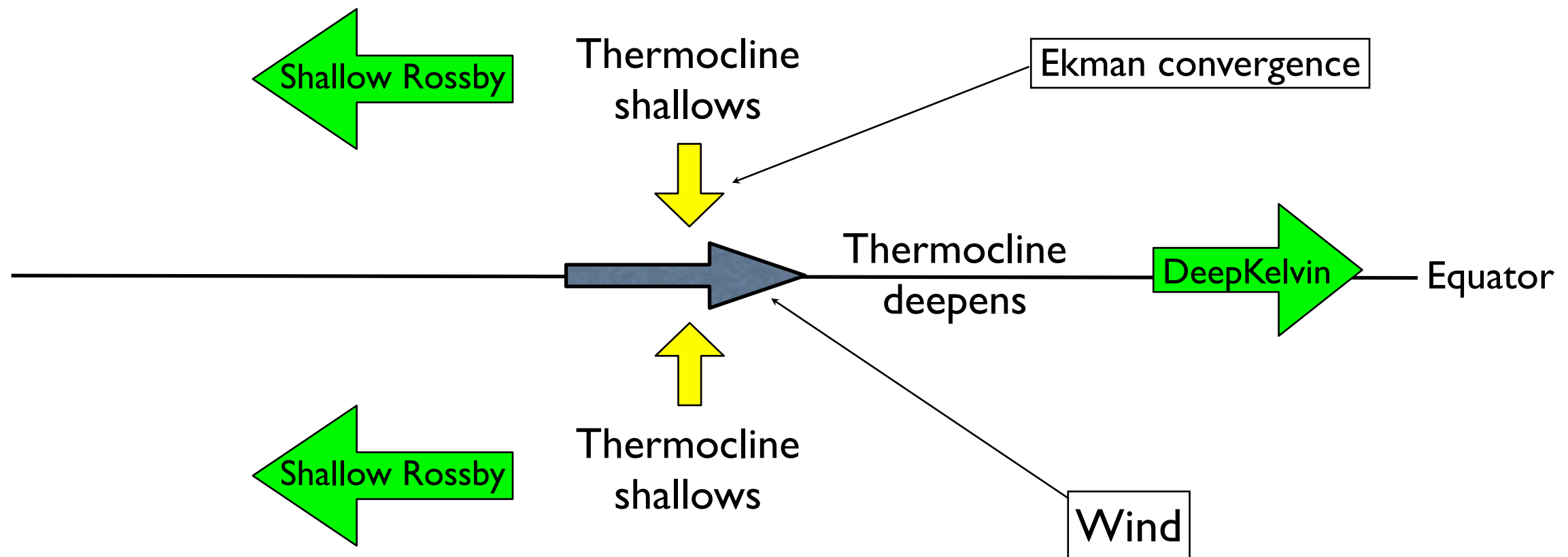


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## Rossby propagation of a sea level depression (North)

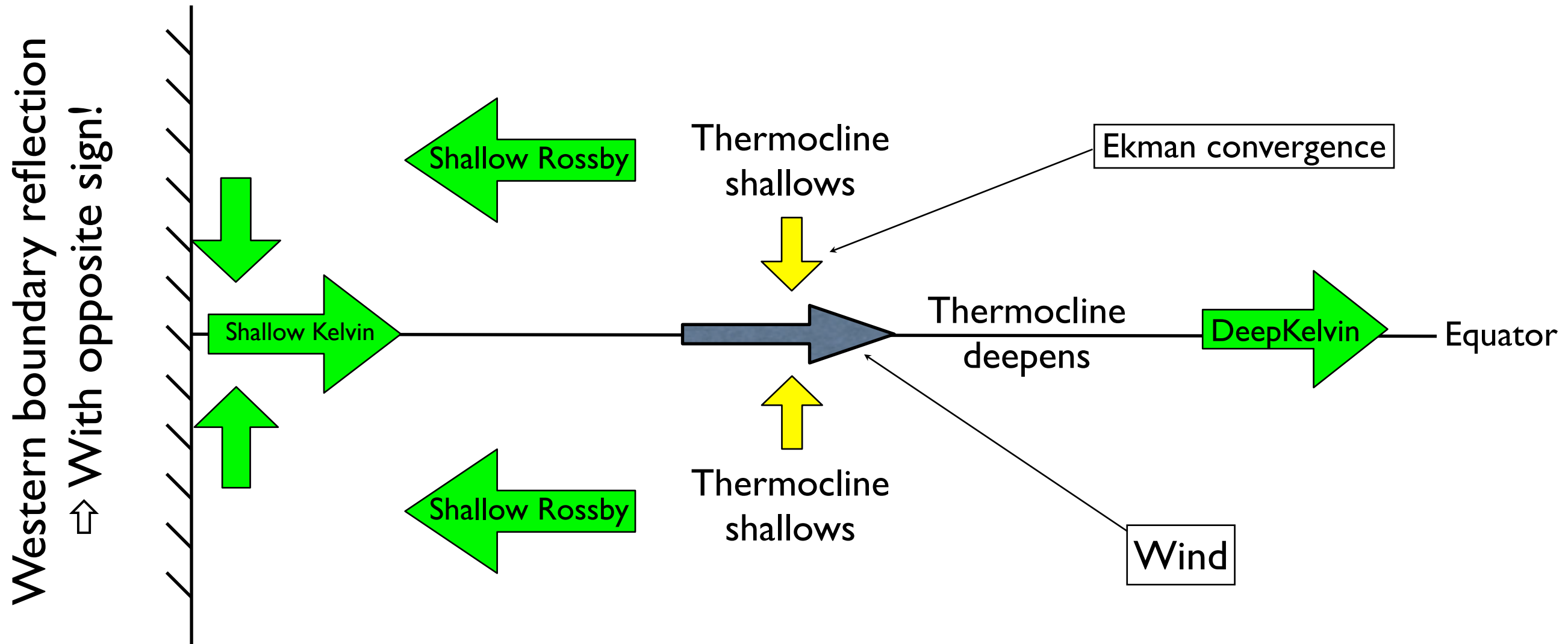


# Equatorial winds generate both Kelvin and Rossby waves



Kelvin waves:  $\sim 2.5$  m/s (6500 km/month)  
Rossby waves:  $\sim 0.8$  m/s (2000 km/month)

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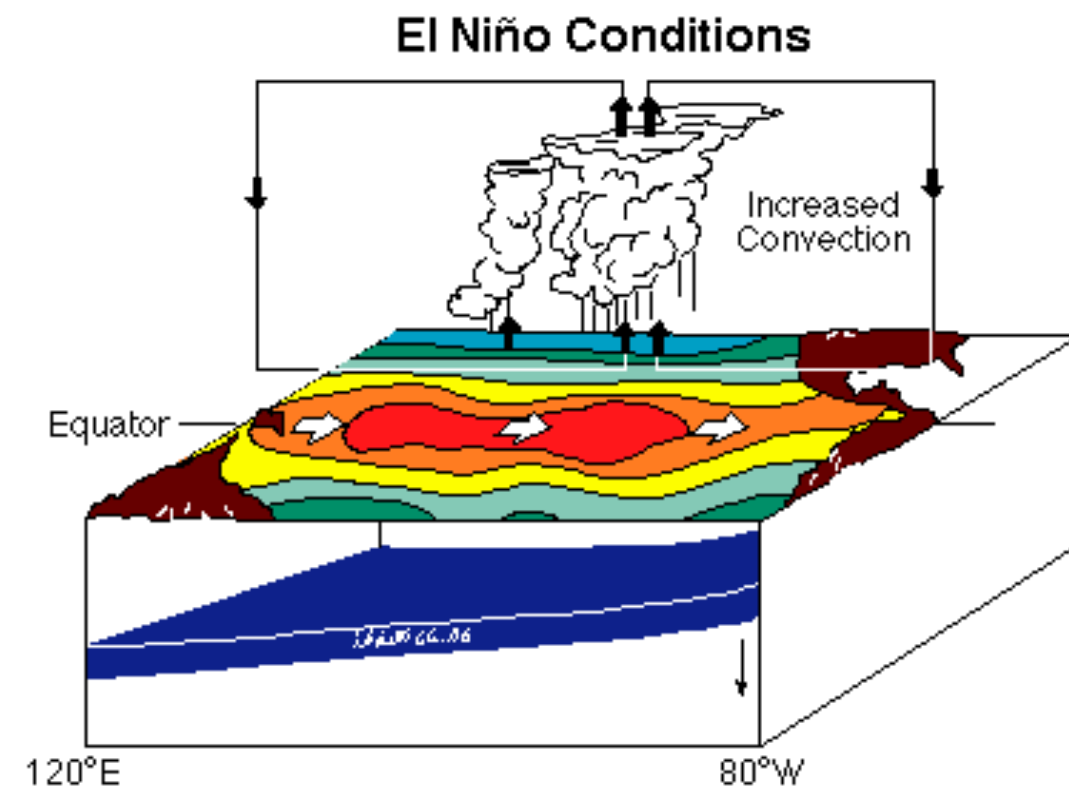
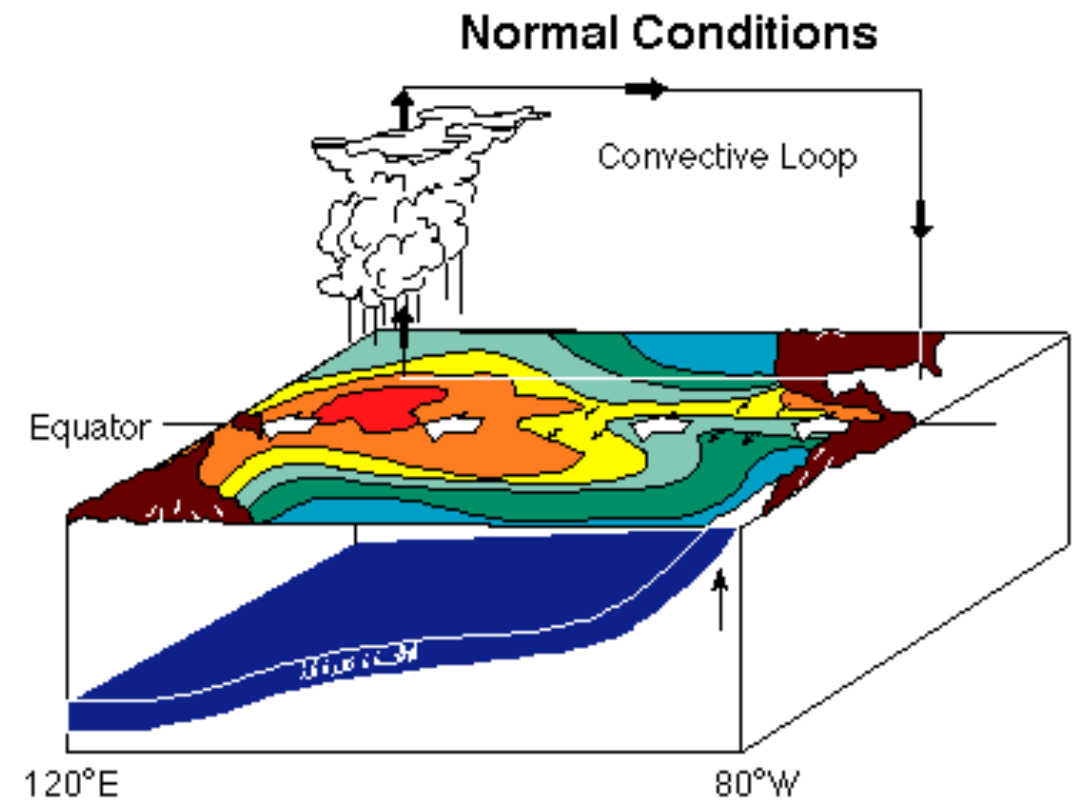
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# Delayed Oscillator Models incorporate both western boundary reflection and Bjerknes positive feedbacks

During normal conditions, easterly winds give Ekman divergence from the equator

- shallow the equatorial thermocline
- deepen the off-equatorial thermocline
- produce downwelling Rossby waves that propagate west
- reflect to deepen the equatorial thermocline
- begin to warm equatorial SST
- Bjerknes positive feedbacks increase the warming (slowly)
- system moves *gradually* towards El Niño: 3-4 year timescale



# Delayed Oscillator models

- Fundamental mechanism is the reflection of Rossby waves at the western boundary, returning to initiate the reversal of phase on the equator.
- Have forecast skill comparable to advanced GCMs. (Which is to say, not so much).
- Allow exploration of the parameter space of tropical climate: a useful paradigm for thinking about ENSO.
- Produce nearly symmetric and regular El Niños and La Niñas (unrealistic). Observational studies have shown that the D.O. mechanism works for the termination of an El Niño but not for its initiation.



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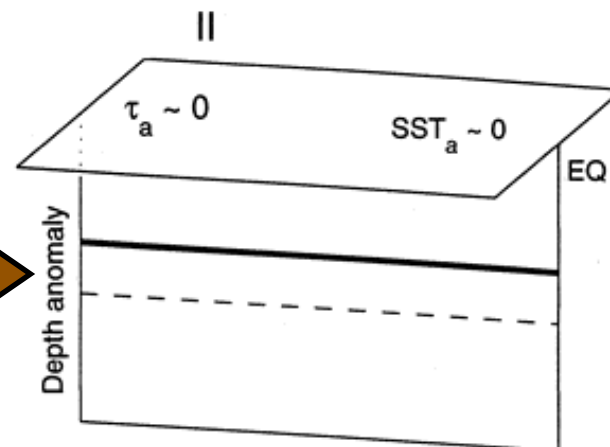
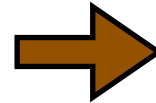
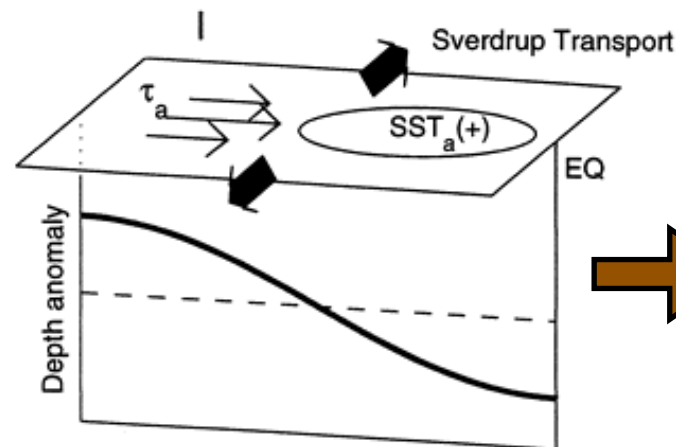
# The Recharge-Discharge Oscillator

- More general than the delayed oscillator since it does not depend on wave reflection times.
- Similar to Wyrтки's “buildup”, but each event leads explicitly to the opposite phase.
- Memory of the system contained in the zonal mean thermocline depth.
- Points to a convenient set of variables that can be evaluated from observations.

# The Jin (1997) recharge-discharge mode

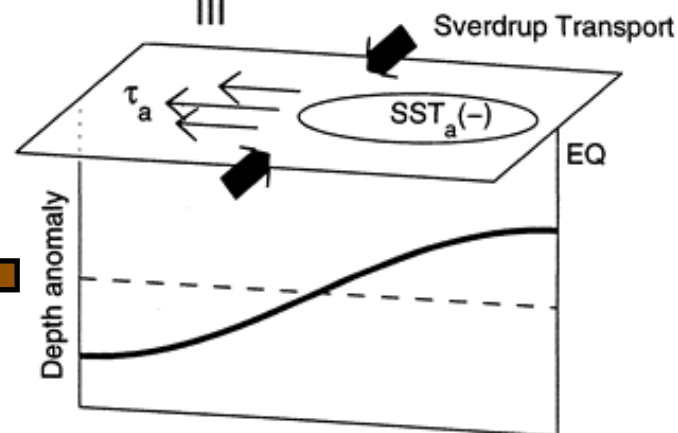
## El Niño

1. Warm SST in east  
→ westerly winds  
→ thermocline slope  
→ poleward transport



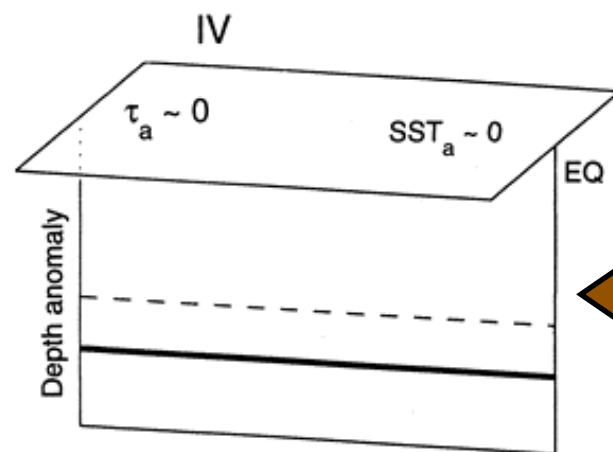
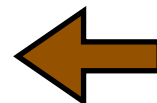
## Discharged

2. Poleward transport  
→ shoals thermocline  
→ weakens SST anomalies  
→ westerlies cease  
→ eastern SST begins to cool



## La Niña

3. Cool SST in east  
→ easterly winds  
→ thermocline slopes down to west  
→ equatorward transport



## Recharged

4. Equatorward transport  
→ deepens thermocline  
→ weakens SST anomalies  
→ easterlies cease  
→ eastern SST begins to warm

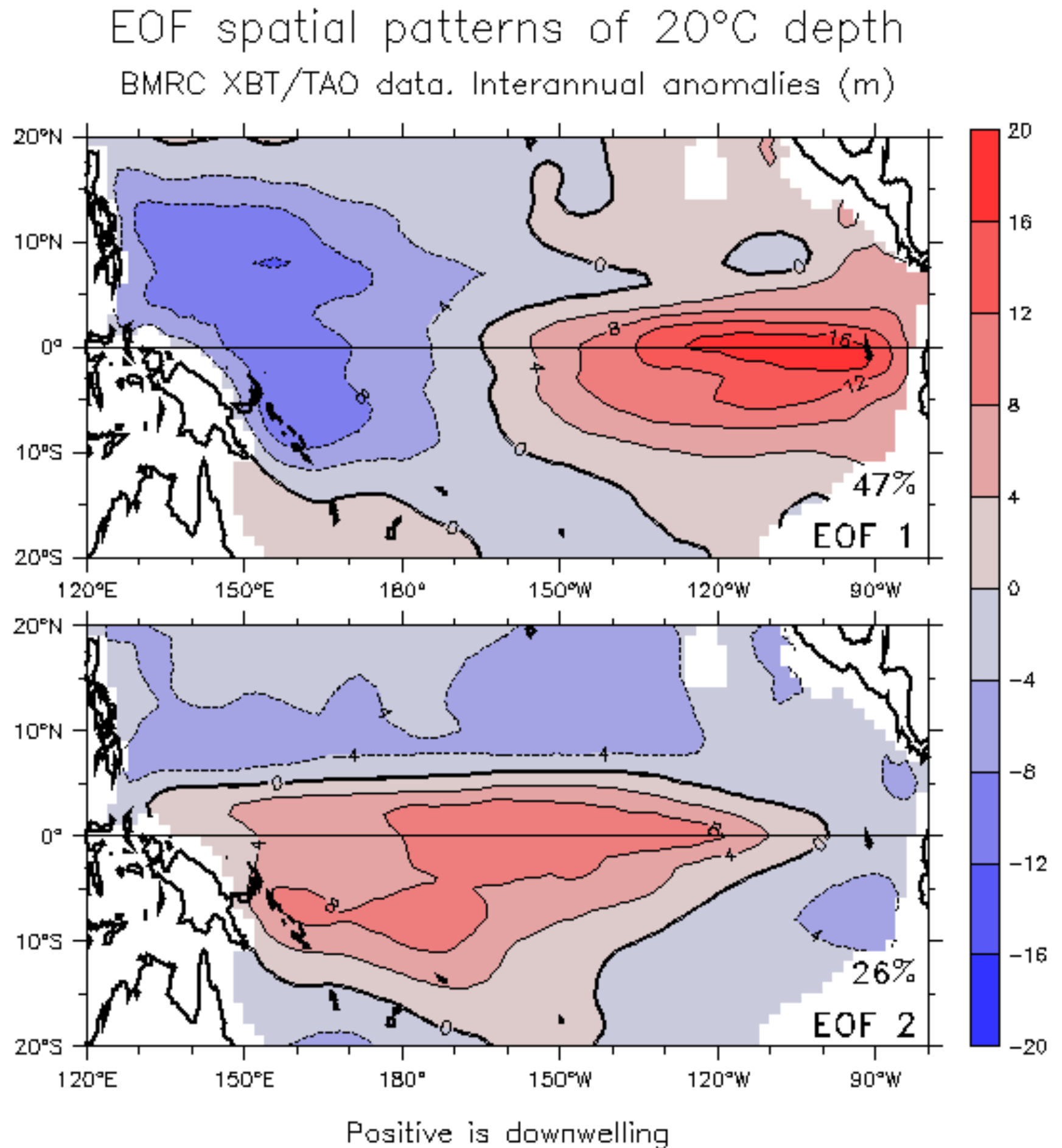


(After Meinen and McPhaden (2000))

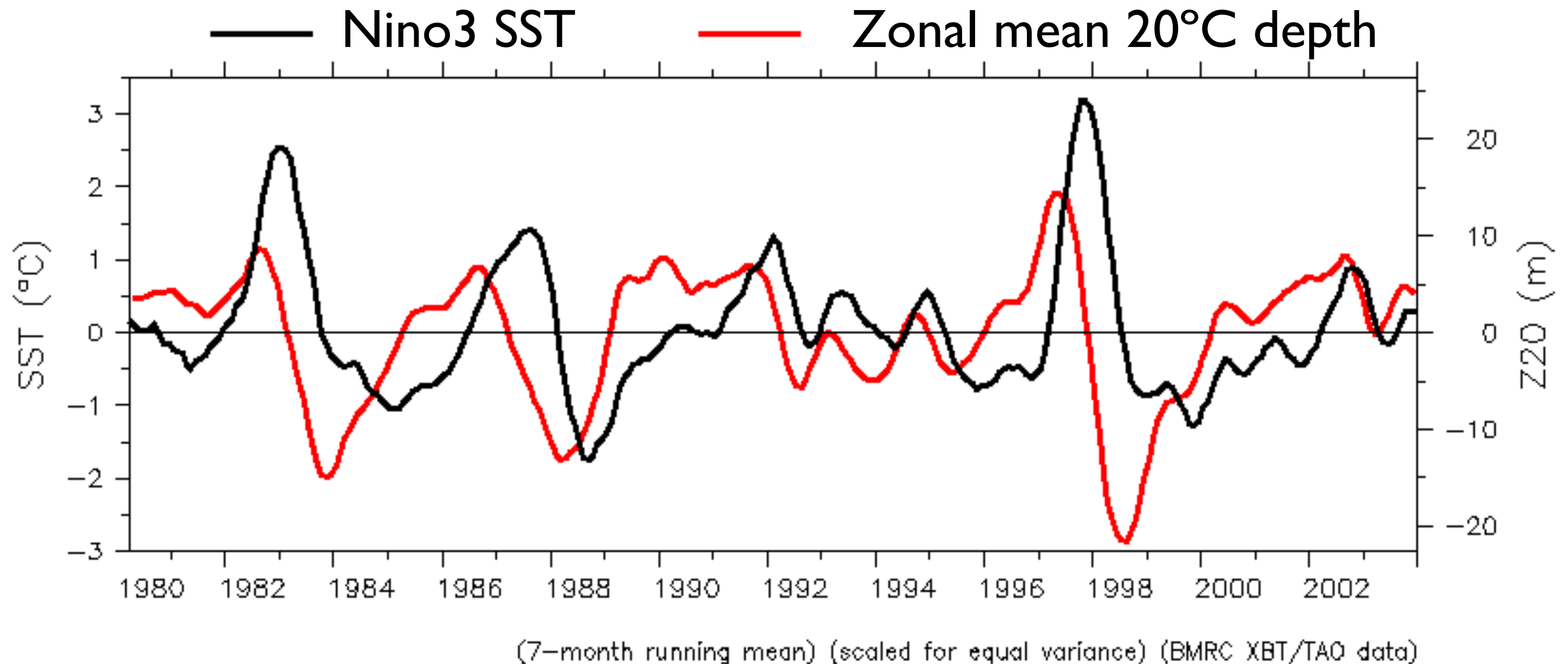
## Another symmetric oscillator

Like the D.O. but considers steady balances, ignores wave transients.  
Delay comes from adjustment and advective timescales, not waves.

Spatial pattern of thermocline variability is called the “tilting mode” (EOF 1) and “zonal mean mode” (EOF 2).



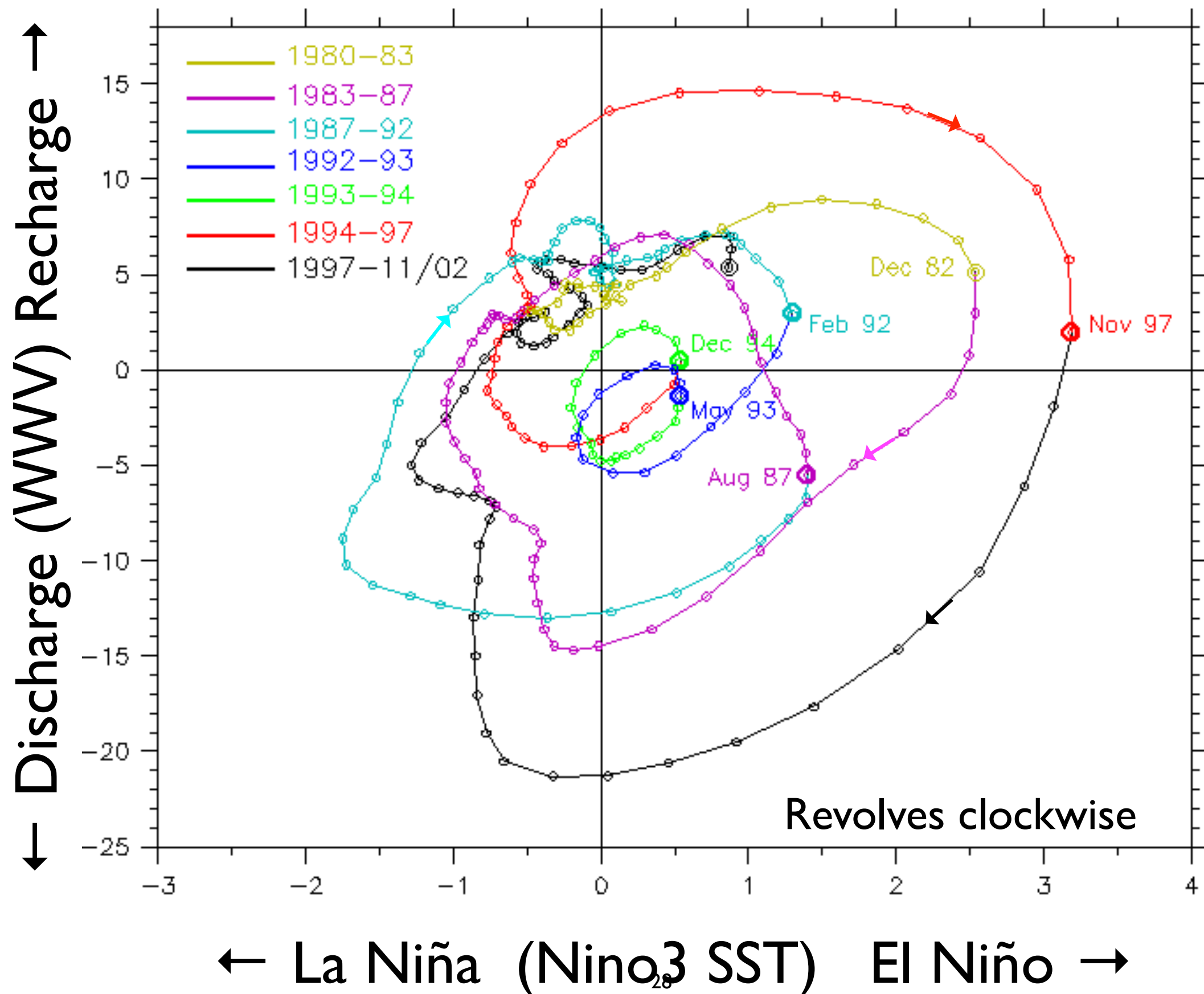
# Warm water volume increases before each event



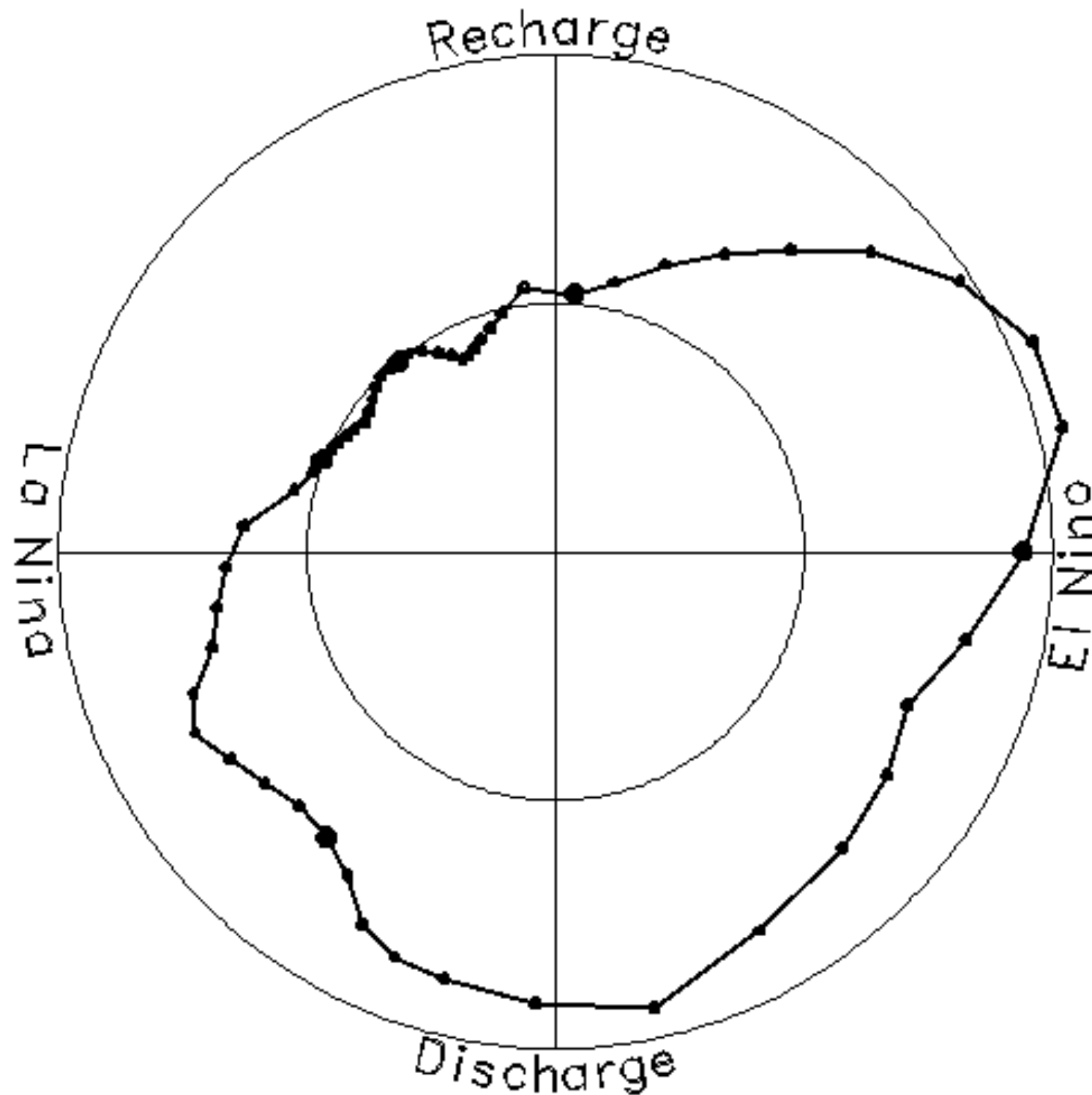
Trade winds pile up warm water in the west Pacific.  
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draining the warm pool.

Wyrтки (1975)

# Nino3 SST and Warm Water Volume Phase Ellipses



# A mean ENSO cycle. Nino3 SST vs Upper Layer Volume



Observed mean amplitude and angular speed. Monthly and yearly ticks  
Mean cycle length is 4.75 years. Circles are 1 and 2 Std Dev



## Conclude:

Observations suggest that an El Niño event leaves the system in a cool state with slightly increased warm water volume, and this state can persist for years, losing memory of previous conditions.

⇒ ENSO is not a self-sustained oscillation.

The evolution of ENSO since 1980 appears consistent with the idea that the basic state is stable or nearly so, thus warm events must be produced by forcing external to the cycle itself.

Possibilities include:

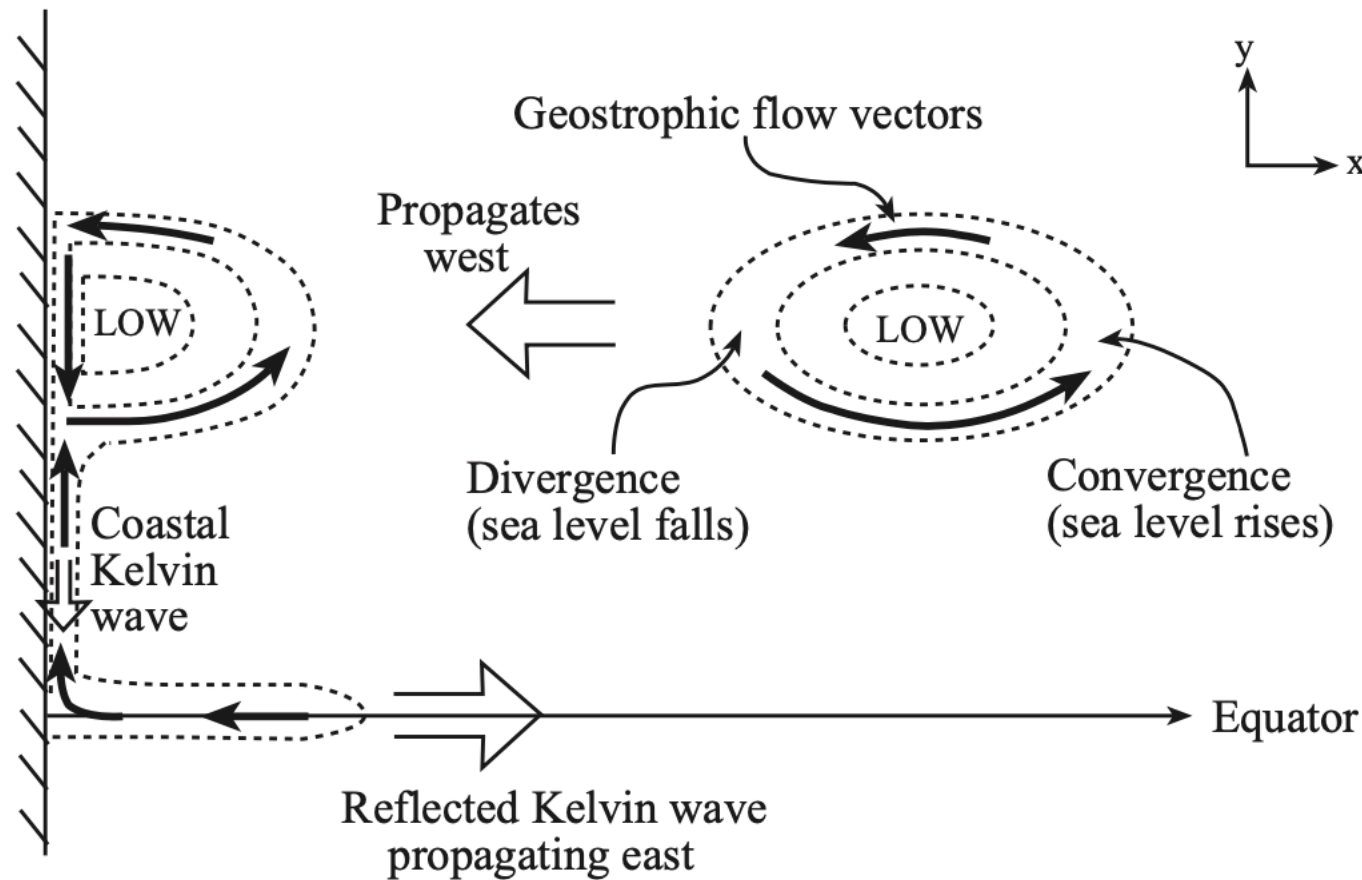
- MJO (generated over the Indian Ocean, propagates east to Pacific)
- Events triggered in the trade-wind system of the central N. Pacific
- Stochastic events within the equatorial Pacific

# Overall conclusion

- The tropical climate system is coupled (much less true of extra-tropics)  
Small SST changes can greatly affect the atmosphere in the tropics; conversely, small changes in the wind can greatly affect the SST pattern.
- The tropical climate system is coupled
- El Niño events are self-limited, but the connection, if any, between events remains unclear
- Many important processes omitted here!  
MJO, extra-tropical forcing mechanisms, other kinds of feedbacks, processes of thermocline-surface communication, role of the diurnal cycle, ...

Extra  
slides  
below

## Rossby reflection to equatorial Kelvin waves at a western boundary



An example of a Rossby wave (sea level depression) arriving at a western boundary. The Rossby wave is transporting mass eastward.

To satisfy the mass constraint, the needed inflow comes via northward coastal transport as a coastal Kelvin wave, which carries the signal equatorward.

Arriving at the equator, this forms the western boundary condition for an equatorial Kelvin wave that propagates east along the equator. (With no damping, this would then reflect again at the eastern boundary as above).

# How does the thermocline communicate with the atmosphere? (b)

The diurnal cycle is surprisingly important

## Diurnal cycle composite at 2°N, 140°W.

Wind and current vectors, temperature shading.

Afternoon trapping, then downward propagation of T and u (and implied mixing) into the evening.

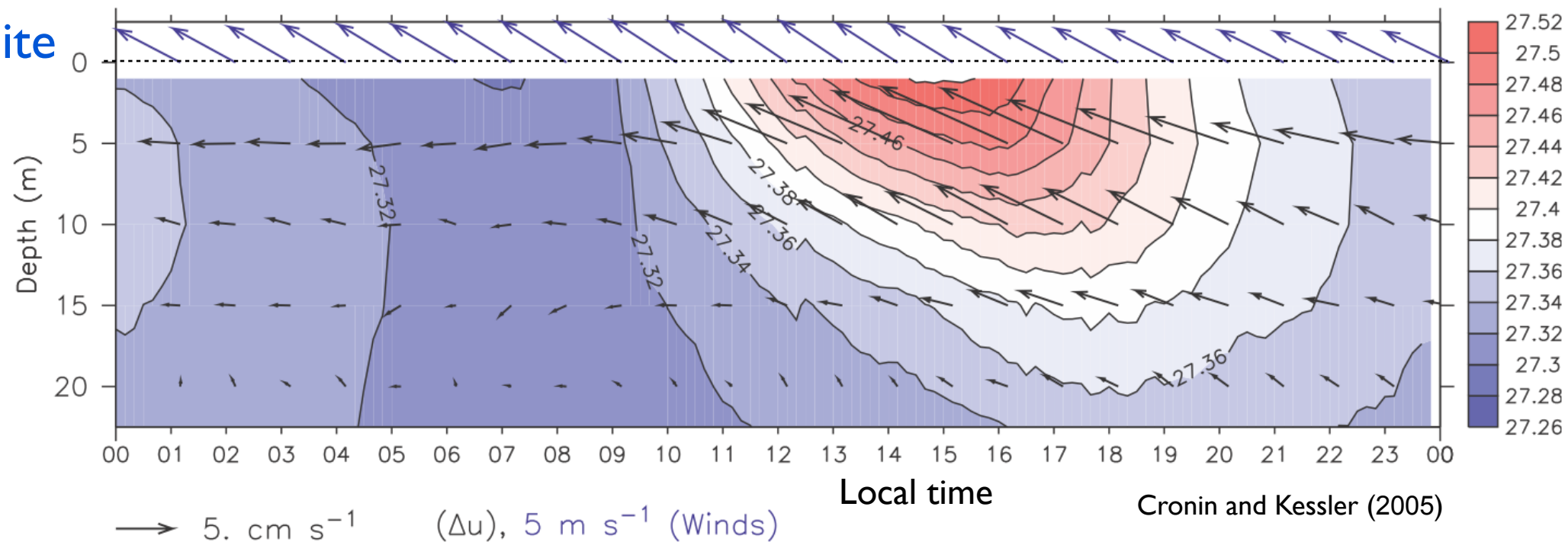
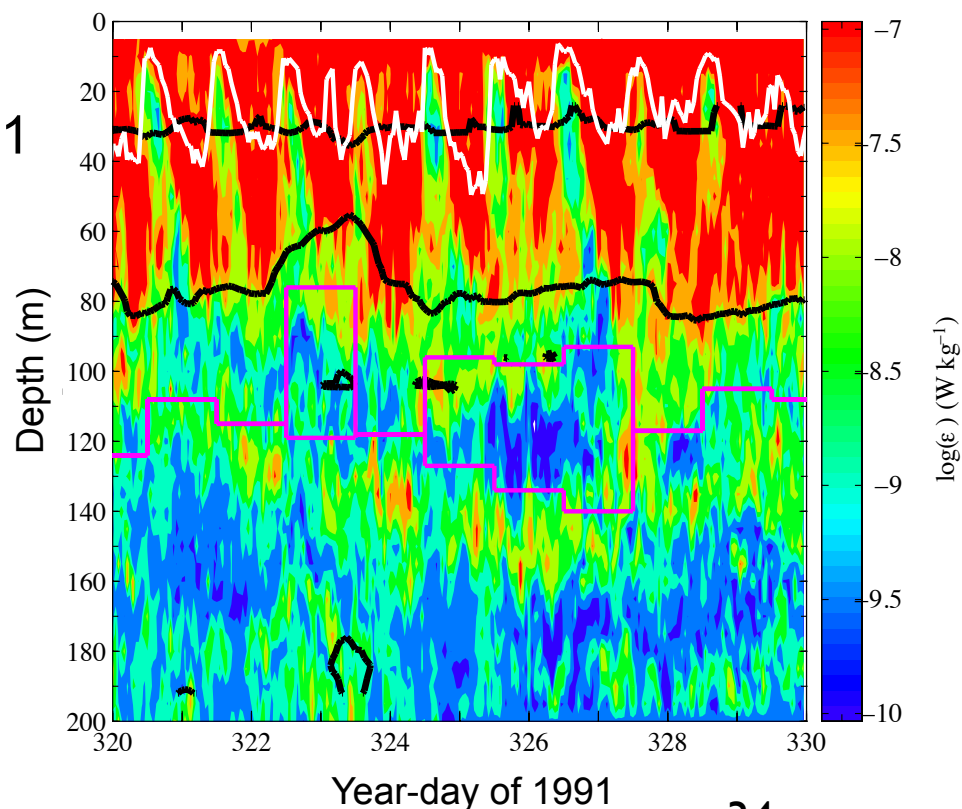


FIG. 5. Mean diurnal composite (24 May 2004–7 Oct 2004) of wind (blue vectors), temperature (color shading), and currents relative to 25 m (black vectors). The vector scale is shown at the bottom.

Turbulent dissipation during 10 days of 1991  
White = Mixed layer  
Red = Turbulent  $\epsilon$   
TIWE (Lien)

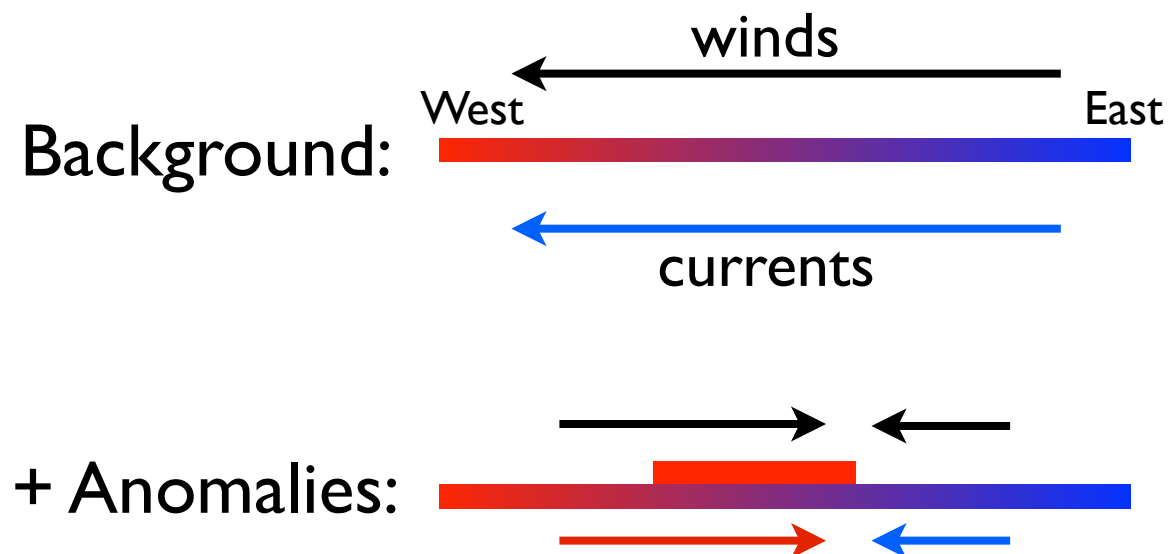


Much of the work of heat and momentum transmission to the thermocline is accomplished by the diurnal cycle.

(Schudlich, Danabasoglu, Large, Lien/D'Asaro, Cronin/Kessler)

# Other feedbacks: Some examples

## Zonal advective and WES feedbacks



In response to a (warm) SST anomaly (however created):

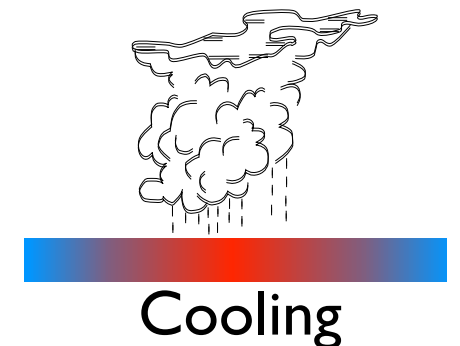
**Zonal advection:** anomalous currents advect the background cooler-to-east SST, amplifying the original anomaly.

**Wind-Evaporation-SST:** wind anomalies due to a warm SST patch also mean that the wind speed is smaller than the background, reducing evaporation and warming SST locally.

## Heat flux damping

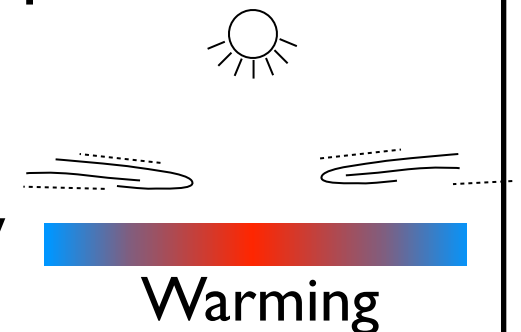
In an unstable (warm) atmosphere:

A warm SST patch leads to convection, increased cloudiness, reduced SW flux, decreased SST. (Negative feedback)



In a stable (cool) atmosphere:

A warm SST patch leads to reduced stratiform cloudiness, increased SW flux, increased SST. (Positive feedback)

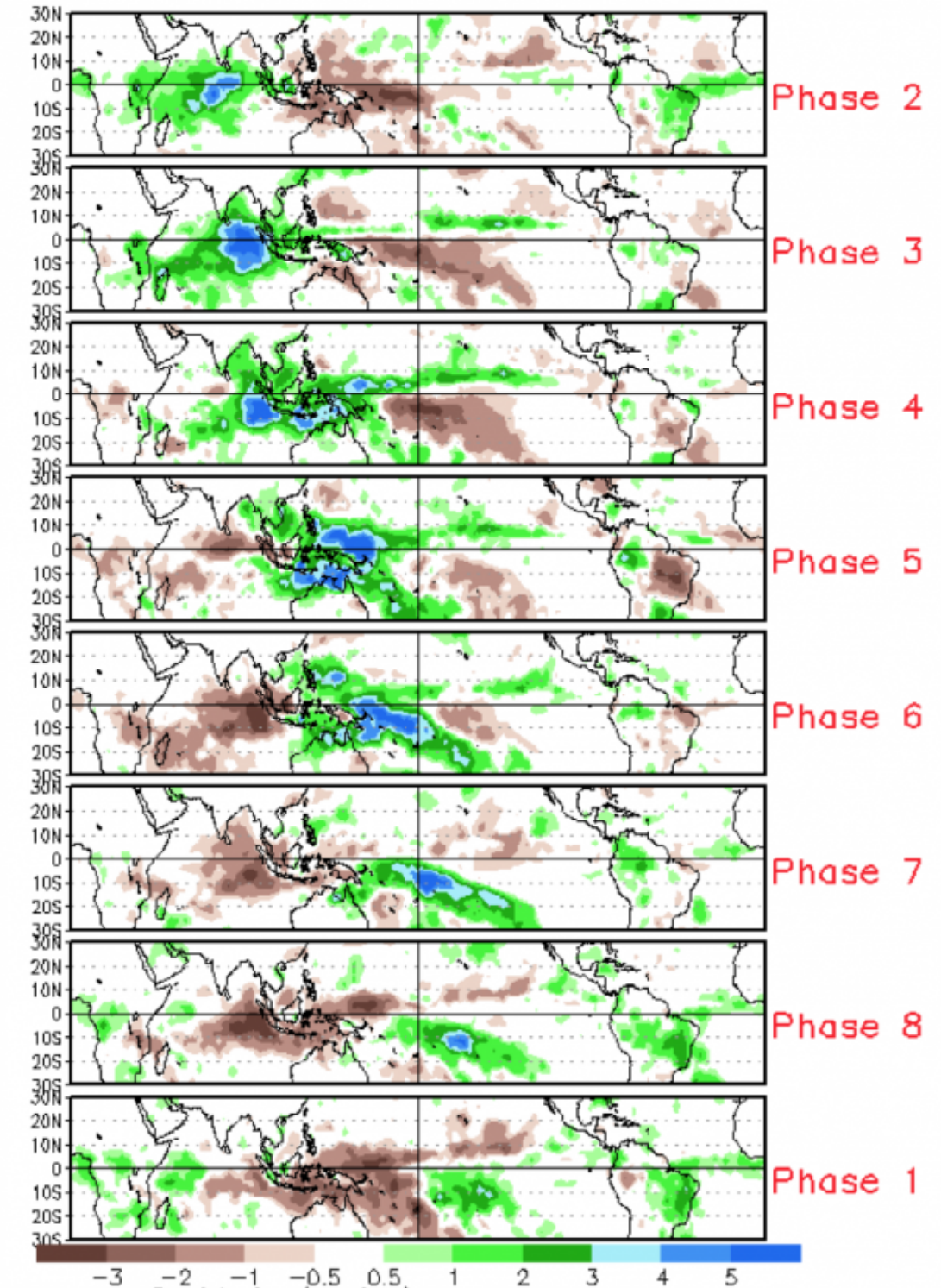
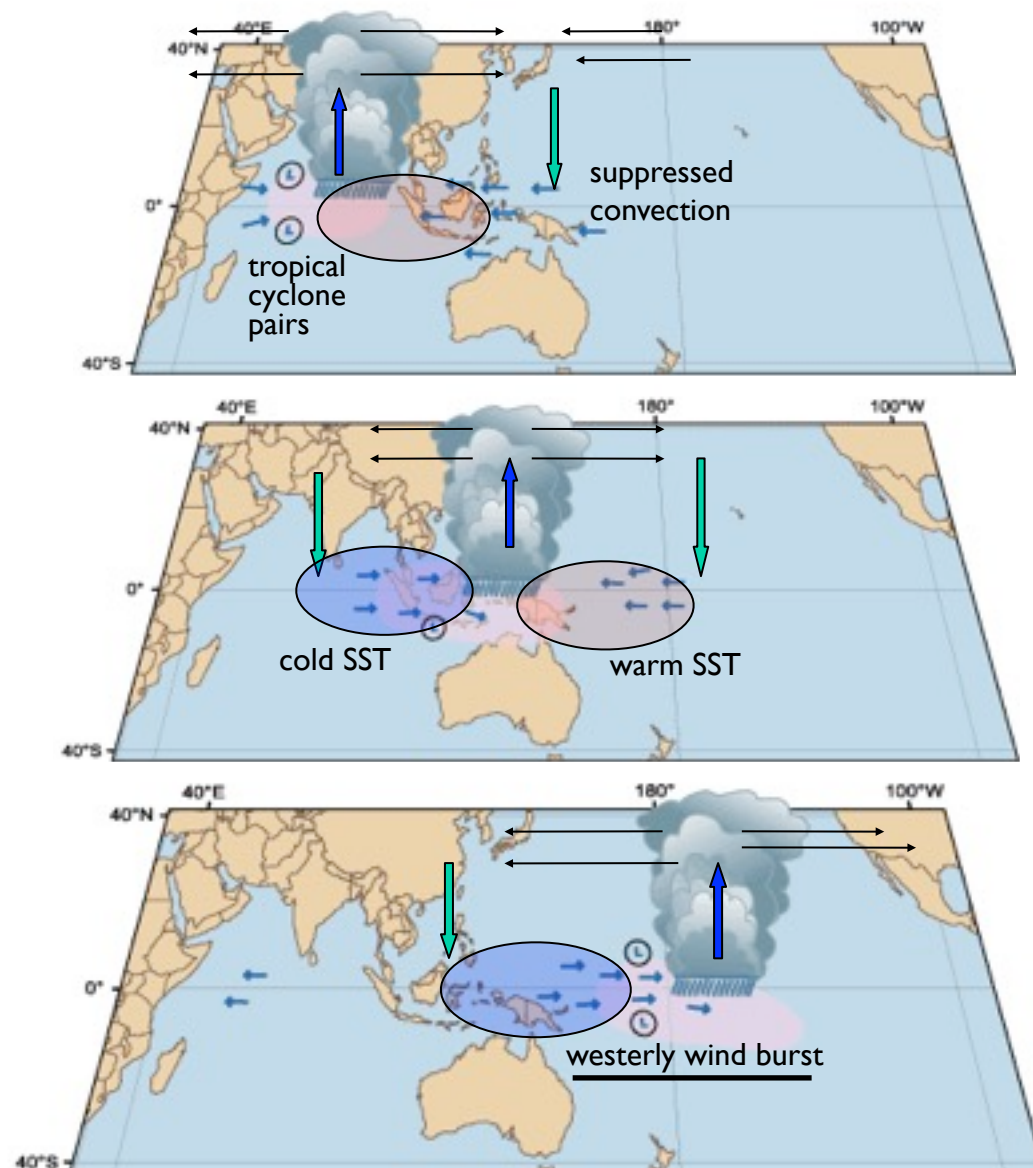


HF “damping” can be a positive or negative feedback



# The Madden-Julian Oscillation (MJO)

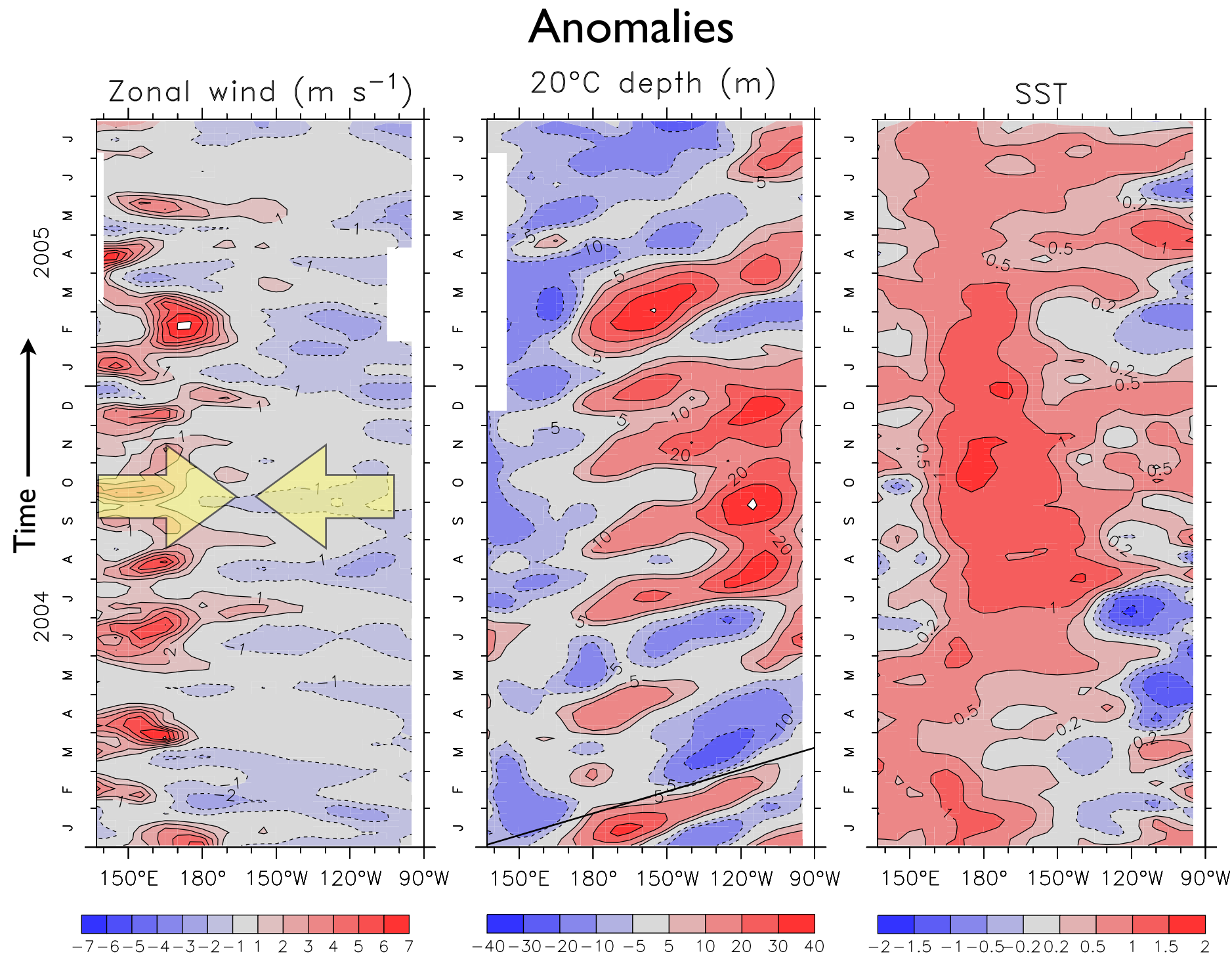
30-50 day convection event  
propagating from the Indian Ocean into the western Pacific.  
Brings heavy rain and strong westerly winds.  
El Niño trigger?







# Signals spread efficiently across the Pacific equator



Red = westerly wind

Red = deep thermocline

Red = warm SST

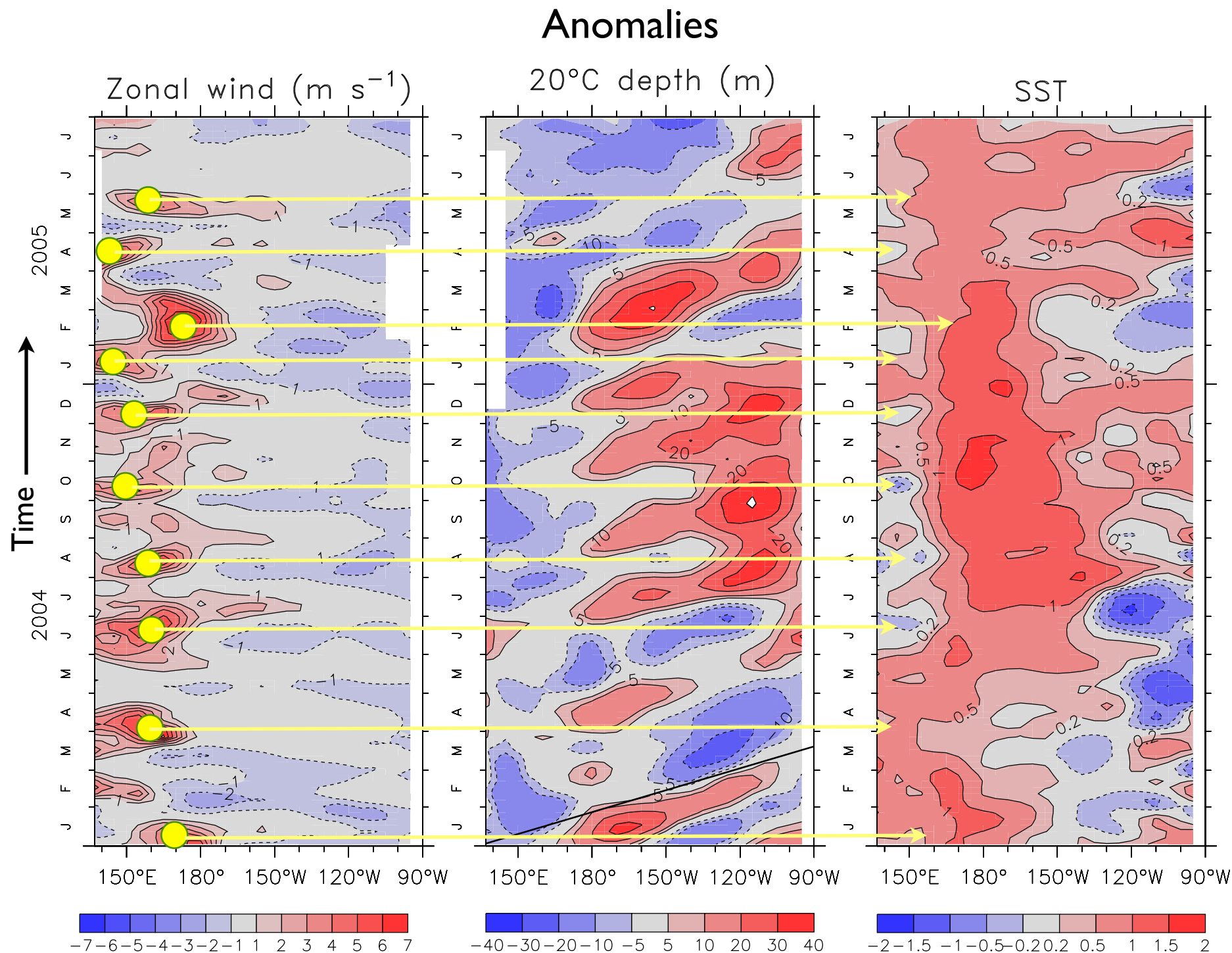
The response to episodic westerly wind anomalies in the western Pacific:

- Winds blow into warm SST.
  - Westerly winds come in bursts lasting ~30 days.
  - Each wind event cools locally (mostly by evaporation).
  - Each event also generates an eastward-propagating Kelvin wave.
- Although eastern Pacific winds remain easterly throughout, the thermocline deepens due to persistent remote forcing.
- Shallow eastern thermocline cools local SST.





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