

2022 SIS MID Module 9

Lecture 6: Spatial Infectious Diseases

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Overview

The **aims** of infectious disease modeling include:

- ▶ Understanding the **mechanisms of spread**.
- ▶ Estimating the **durations of the latent and infectious** periods.
- ▶ Determining **strategies for disease control**.
- ▶ **Forecasting** the future space-time course of the epidemic, including estimating the cumulative number of cases.

The modeling of infectious disease data has a huge literature, though **spatial models** are less well-developed than **temporal models**.

Overview

- ▶ Keeling and Rohan (2008, Chapter 7) give an overview of spatial modeling.
- ▶ For directly transmitted diseases, individuals have to be in the same geographical location, and spread will occur when individuals move in space.
- ▶ The type of model used will depend on:
 - ▶ the host organism (human, animal, plant),
 - ▶ what is known about the organism's behavior, and
 - ▶ the geographical scale that is considered.
- ▶ A big distinction concerns the form of the data we receive; do we see individuals, with **point locations**, or **aggregated counts** with respect to some administrative regions?
- ▶ If infection can only be passed to a small number of individuals (as is the case for sexually transmitted diseases) then **network models** are advantageous, if the required data are available (which is rare).

Infectious Disease Data

- ▶ A starting dichotomy is in terms of **deterministic** versus **stochastic** models.
- ▶ The classic text on deterministic models is Anderson and May (1991).
- ▶ Books that consider both include Daley and Gani (1999) and Bailey (1975).
- ▶ Books on stochastic modeling include Becker (1989), Andersson and Britton (2000) and Halloran *et al.* (2010).
- ▶ The SIR model is popular.
- ▶ Let $x(t)$, $y(t)$, $z(t)$ be the number of **susceptibles**, **infectives**, **recovered** at time t in a **closed population**.

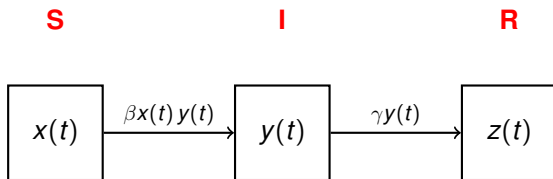


Figure 1: Solid arrows show the movement from S to I to R.

Categories of infectious disease transmission models

Deterministic Models based on Differential Equations:

- ▶ Computation is efficient so system can be complex.
- ▶ Fit to data using ordinary least squares or variants, inference dicey.
- ▶ Interpretable parameters.
- ▶ Poor for small populations or when the disease is rare.

Discrete-Time Stochastic Models:

- ▶ Fitting via likelihood/Bayes is relatively straightforward.
- ▶ Interpretability of parameters depends on the exact form.
- ▶ Computational efficiency not greatly affected by population size.
- ▶ Rigid data form (equally-spaced) typically required.

Continuous-Time Stochastic Models:

- ▶ Interpretable parameters.
- ▶ Computation not yet feasible in large populations.

Deterministic Models

- ▶ The law of **mass action** is central to modeling (both deterministic and stochastic).
- ▶ In a population context, **if the individuals in a population mix homogeneously, the rate of interaction between two different subsets of the population is proportional to the product of the numbers in each of the subsets concerned.**
- ▶ Groups of individuals defined by their disease status are described in continuous time (usually) via differential equations and there is no randomness — may be thought of as producing the mean of a random process.

Deterministic SIR model

- ▶ Kermack and McKendrick (1927) proposed the following classic **mass-action** equations to describe the dynamics of the general epidemic (where we assume frequency dependent transmission):

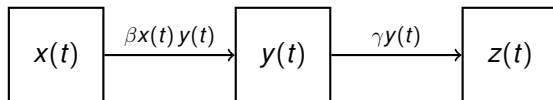
$$\frac{dx(t)}{dt} = -\beta x(t)y(t)$$

$$\frac{dy(t)}{dt} = \beta x(t)y(t) - \gamma y(t)$$

$$\frac{dz(t)}{dt} = \gamma y(t),$$

subject to initial conditions $(X(0), Y(0), Z(0))$ with $Z(0) = 0$.

- ▶ **Per-contact infection rate** is β and the **recovery rate** is γ .
- ▶ Deterministic models can be embedded within a statistical framework for inference, or a stochastic approach can be taken from the onset.



Continuous-time stochastic SIR model

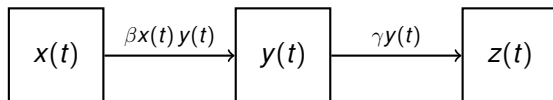
- ▶ In the **SIR** model, deterministic differential equations are replaced by probabilistic descriptions of the transitions.
- ▶ Continuous-time Markov chain $\{X(t), Y(t), t \geq 0\}$ with **transition probabilities** for a susceptible becoming infective and an infective becoming recovered being:

$$\Pr \left(\begin{bmatrix} X(t+h) \\ Y(t+h) \end{bmatrix} = \begin{bmatrix} x-1 \\ y+1 \end{bmatrix} \mid \begin{bmatrix} X(t) \\ Y(t) \end{bmatrix} = \begin{bmatrix} x \\ y \end{bmatrix} \right) = \beta h x y + o(h)$$

$$\Pr \left(\begin{bmatrix} X(t+h) \\ Y(t+h) \end{bmatrix} = \begin{bmatrix} x \\ y-1 \end{bmatrix} \mid \begin{bmatrix} X(t) \\ Y(t) \end{bmatrix} = \begin{bmatrix} x \\ y \end{bmatrix} \right) = \gamma h y + o(h)$$

where the remainder term $o(h)$ is small.

- ▶ The most appealing (at least to a statistician!) but quickly gets computationally hideous as populations increase in size given the usual surveillance data, see references in Fintzi *et al.* (2017).



Computation for compartmental models

To address the inference problem, various approaches have been suggested:

- ▶ For small populations, auxiliary variable approaches are tractable (Gibson and Renshaw, 1998; O'Neill and Roberts, 1999; O'Neill and Becker, 2001; Neal and Kypraios, 2015).
- ▶ Discrete approximations (Lekone and Finkenstädt, 2006).
- ▶ Diffusion process approximation (Cauchemez and Ferguson, 2008).
- ▶ Particle filtering, for likelihood or Bayesian inference (He *et al.*, 2010; Koepke *et al.*, 2016).
- ▶ Gaussian process approximate Bayesian inference (Jandarov *et al.*, 2014).
- ▶ Approximate Bayesian Computation (ABC) (McKinley *et al.*, 2009; Toni *et al.*, 2010; Neal, 2012).

The last three require simulation from the model, which is straightforward.

Disease mapping type models ignore the infectious aspect (Mugglin *et al.*, 2002; Knorr-Held and Richardson, 2003; Bauer *et al.*, 2016).

Discrete-Time Stochastic Models

An epidemic/endemic framework

- ▶ We describe in some detail, a statistical framework for analyzing spatio-temporal, aggregated infectious disease data originally proposed by Held *et al.* (2005).
- ▶ The framework was extended by Paul *et al.* (2008), Paul and Held (2011), Held and Paul (2012), Meyer and Held (2014) and Geilhufe *et al.* (2014)
- ▶ These models are implemented within the **surveillance** package in R (Meyer *et al.*, 2017) and have been applied to a variety of diseases; see, for example, Höhle *et al.* (2011) and Herzog *et al.* (2011).
- ▶ Notably the implementation does not provide a straightforward way to allow age/gender and space to be in the model, though Meyer and Held (2017) use survey information on contact rates in the epidemic/endemic model.

A Discrete Time SIR Model

- ▶ We focus on the situation in which we have disease counts Y_{it} in area i and in time observation period t .
- ▶ It is common to use time steps relative to the disease of interest, meaning that we are assuming the sum of incubation and infectious times is approximately that of the observation times.
- ▶ For example, for measles, the data are often aggregated over 1 or 2 week periods.
- ▶ Let S_{it} be the size of the susceptible population.
- ▶ We denote the force of infection (risk) of an individual who was susceptible at time $t - 1$ becoming infected by time t in area i , by λ .

A Discrete Time SIR Model

- ▶ Assuming a constant hazard of infection between time steps, the probability of a susceptible individual in area i and time $t - 1$ becoming infected by time t is determined by the hazard rate λ_{it} . That is,

$$\Pr(\text{infection in } (t - 1, t] \mid \text{no infection by } t - 1, \text{ area } i) = 1 - e^{-\lambda_{it}}.$$

- ▶ Additionally assume that time until infection is independent for all susceptible individuals; hence, the number of new infectives in area i at time t can be modeled as

$$Y_{it} \mid \lambda_{it} \sim \text{Binomial}(S_{i,t-1}, 1 - e^{-\lambda_{it}}).$$

- ▶ When λ_{it} is small, the Taylor expansion,

$$1 - \exp(-\lambda_{it}) \approx \lambda_{it}.$$

- ▶ When the number of susceptibles, $S_{i,t-1}$ is large, and the probability of infection is small, the binomial distribution can be approximated by a Poisson distribution so that

$$Y_{it} \mid \lambda_{it} \sim \text{Poisson}(S_{i,t-1} \lambda_{it}).$$

Measles in Germany

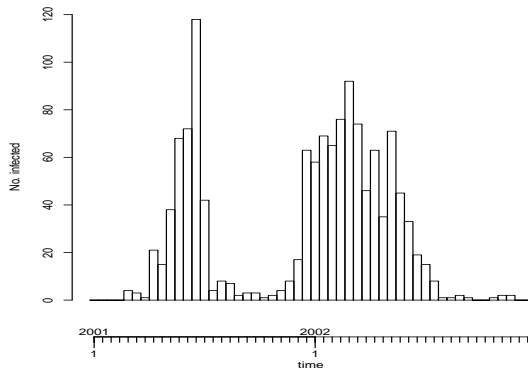


Figure 2: Weekly counts of measles infections by district in the Weser-Ems region of Lower Saxony, Germany, 2001–2002, from the surveillance package.

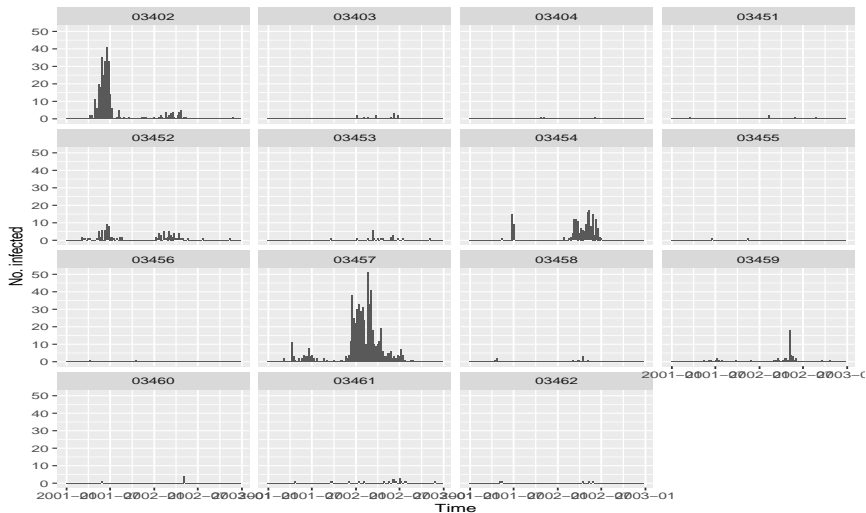


Figure 3: Time series of measles infections by district in the Weser-Ems region of Lower Saxony, Germany, 2001–2002. Only those areas with cases are shown (two areas have zero counts throughout).

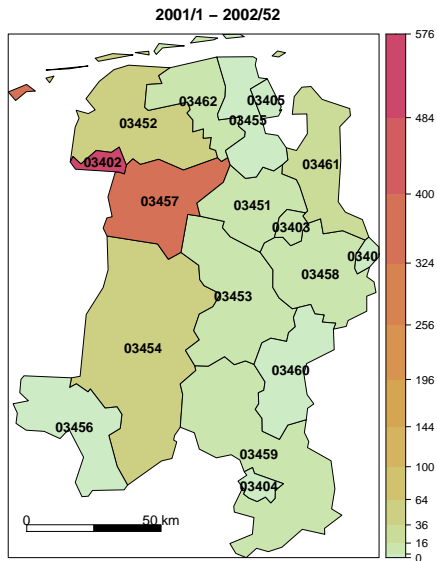


Figure 4: Map of measles infections by district in the Weser-Ems region of Lower Saxony, Germany, 2001–2002.

Decomposition of Risk

- ▶ In general, a susceptible may become infected from:
 - ▶ an infective in their own area,
 - ▶ an infective in another area, or
 - ▶ an environmental reservoir or infective external to the study region.
- ▶ One specific model (Held *et al.*, 2005) takes a linear combination of three terms that are labeled autoregressive, neighborhood and endemic.
- ▶ A competing risk framework gives,

$$\lambda_{it} = \lambda_{it}^{\text{AR}\star} + \lambda_{it}^{\text{NE}\star} + \lambda_{it}^{\text{EN}\star}$$

and under the approximation

$$\begin{aligned} 1 - \exp(-\lambda_{it}) &\approx \lambda_{it} \\ &= \lambda_{it}^{\text{AR}\star} + \lambda_{it}^{\text{NE}\star} + \lambda_{it}^{\text{EN}\star}. \end{aligned}$$

Decomposition of Risk

- ▶ For the self-area term:

$$\lambda_{it}^{\text{AR}\star} = c(S_{i,t-1}) \times \frac{y_{i,t-1}}{N_i} \times p,$$

where

- ▶ $c(S_{i,t-1})$ is the **rate of contact** between a susceptible and the infective,
- ▶ $y_{i,t-1}/N_i$ is the current **prevalence**,
- ▶ p is the **per-contact probability of infection**.
- ▶ Assume density dependent transmission, i.e., $c(S_{i,t-1}) \propto S_{i,t-1}$, and suppose $S_{i,t-1} \approx N_i$, then

$$\lambda_{it}^{\text{AR}\star} = \lambda_{it}^{\text{AR}} y_{i,t-1}$$

- ▶ Similarly, assume the model

$$\lambda_{it}^{\text{NE}\star} = \lambda_{it}^{\text{NE}} \sum_{i'=1}^n w_{i'i} y_{i',t-1}$$

- ▶ The **neighborhood rate** λ_i^{NE} determines the contribution from the neighboring areas.

An epidemic/endemic model

Under the model of Held *et al.* (2005) it is assumed that

$$Y_{it}|\mu_{it} \sim \text{Poisson}(\mu_{it}).$$

This was later extended to,

$$Y_{it}|\mu_{it} \sim \text{NegativeBinomial}(\mu_{it}, \psi)$$

to allow for overdispersion via:

$$\text{var}(Y_{it}) = \mu_{it}(1 + \mu_{it}/\psi),$$

with parameter $\psi > 0$.

In either case the mean μ_{it} is decomposed into the three terms:
autoregressive (AR), neighborhood (NE) and endemic (EN)

An epidemic/endemic model

Specifically,

$$\mu_{it} = \lambda_{it}^{\text{AR}} y_{i,t-1} + \lambda_i^{\text{NE}} \sum_{i'=1}^n w_{i'i} y_{i',t-1} + N_i \lambda_{it}^{\text{EN}}, \quad (1)$$

where:

- ▶ The **autoregressive rate** λ_i^{AR} dictates the contribution to the risk from the cases in area i in the previous time period.
- ▶ The **neighborhood rate** λ_i^{NE} determines the contribution from the neighboring areas.
- ▶ The **endemic component** λ_{it}^{EN} is a catch all term for contributions not catered for by the autoregressive and neighborhood components and, for example, includes seasonality. Note the N_i population multipliers.

An epidemic/endemic model

For the **autoregressive self area rate**, a general model is:

$$\log \lambda_{it}^{\text{AR}} = \alpha_0^{\text{AR}} + \mathbf{z}_{it}^{\text{T}} \boldsymbol{\beta}^{\text{AR}} + b_i^{\text{AR}},$$

where

- ▶ \mathbf{z}_{it} represent a $q \times 1$ vector of area-time specific bases,
- ▶ $\boldsymbol{\beta}^{\text{AR}}$ is a $q \times 1$ vector of association parameters, and
- ▶ $b_i^{\text{AR}} \sim_{iid} \text{N}(0, \sigma_{\text{AR}}^2)$ is an area-level autoregressive random effect.

For the **neighborhood area rate** a general model is:

$$\log \lambda_i^{\text{NE}} = \alpha_0^{\text{NE}} + b_i^{\text{NE}},$$

with

- ▶ $b_i^{\text{NE}} \sim_{iid} \text{N}(0, \sigma_{\text{NE}}^2)$ an area-level neighborhood random effect.

An epidemic/endemic model

For the **endemic component** we might include **seasonality**:

$$\log \lambda_{it}^{\text{EN}} = \alpha_0^{\text{EN}} + b_i^{\text{EN}} + \beta_{\sin} \sin \left(\frac{t}{52} 2\pi \right) + \beta_{\cos} \cos \left(\frac{t}{52} 2\pi \right),$$

where

- ▶ $b_i^{\text{EN}} \sim_{iid} \text{N}(0, \sigma_{\text{EN}}^2)$ is the area-level endemic random effect.
- ▶ Seasonality is modeled via the sin/cos terms.

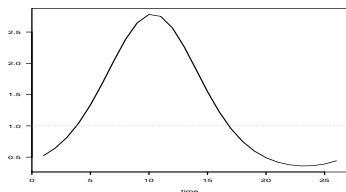


Figure 5: Seasonality estimate for measles data.

Modeling the weights

- ▶ The weights may be taken as simply 1 for neighbors (defined through a common boundary) and 0 otherwise.
- ▶ Paul *et al.* (2008) use,

$$w_{i'i} = \frac{1}{|\text{ne}(i')|} \quad \text{for } i' \in \text{ne}(i),$$

where $\text{ne}(i)$ is the **set of neighbors** of area i , and $w_{i'i} = 0$ for $i' \notin \text{ne}(i)$.

Modeling the weights

- ▶ Alternatively, the weights can be assumed to follow a **power law** (Meyer and Held, 2014),

$$w_{i'i} = \frac{o_{i'i}^{-\theta}}{\sum_{k=1}^n o_{ki}^{-\theta}}$$

where $o_{i'i}$ is the **number of areas that must be crossed** when moving between areas i and i' , and θ is a power which may be estimated.

- ▶ The limit $\theta \rightarrow \infty$ corresponds to first-order dependency, and $\theta = 0$ gives equal weight to all areas.
- ▶ The normalization ensures that $\sum_{k=1}^n w_{ki} = 1$ for all rows of the weight matrix (infecteds are being **allocated** to neighbors).
- ▶ The power law allows “contact” between areas that are a large distance apart since it is “heavy-tailed”.

Basic model

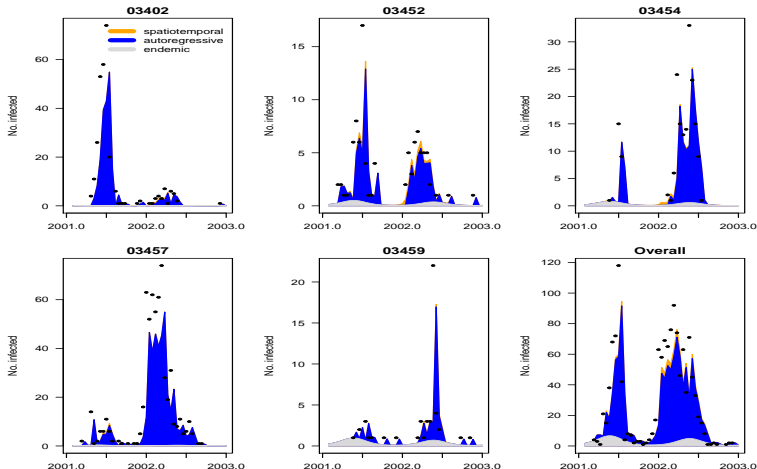


Figure 6: Fits from simple model with no random effects. The AR component dominates. We only show fits for areas with more than 50 cases, and for all areas combined.

Model Comparison

- ▶ The above model is very flexible and so in practice many models may be fitted.
- ▶ In terms of interpretation it is difficult to assess what is “big”
- ▶ Interval estimates on regression coefficients β may be examined to assess significance (do the intervals contain zero?).
- ▶ Seeing if random effects are needed is more difficult.
- ▶ Using AIC or BIC is not straightforward in a mixed model framework – alternatives include DIC and WAIC, or CPO (all available in INLA, but the epidemic/endemic models can't be fitted in this software).
- ▶ Paul and Held (2011) compare models by assessing one-step ahead predictions (with the point to be predicted removed) with the observed value.

Relationship to TSIR Model

- ▶ In the Xia *et al.* (2004) time series SIR (TSIR) framework with a **gravity model** for movement between areas:

$$\begin{aligned}E[Y_{it}|y_{i,t-1}] &= \lambda_{it} \\ \lambda_{it} &= \frac{\beta_t S_{it}(y_{i,t-1} + \delta_{it})^\alpha}{N_i} \\ \delta_{it} &\sim \text{Gamma}(m_{it}, 1) \\ E[\delta_{it}] &= m_{it} \\ &= \theta N_{it}^{\tau_1} \sum_{i'=1}^n \frac{y_{i',t-1}^{\tau_2}}{d_{i'i}^\rho}\end{aligned}$$

- ▶ So with $\alpha = \tau_1 = \tau_2 = 1$ and $S_{it} \approx N_i$, we could write

$$\lambda_{it} = \lambda_t^{\text{AR}} y_{i,t-1} + \lambda^{\text{NE}} N_{it} \sum_{i'=1}^n \frac{y_{i',t-1}}{d_{i'i}^\rho}$$

where $\lambda_t^{\text{AR}} = \beta_t$, $\lambda^{\text{NE}} = \theta$ and we have a distance-based weighting scheme.

Space-Time Models for Point Data

A model for plantation data

- ▶ We now turn our attention to the situation in which point data are available.
- ▶ Brown *et al.* (2014) describe a **spatial susceptible-infectious (SI) model** in which the intensity at time t and location \mathbf{x}_i is

$$\lambda(\mathbf{x}_i, t) = \mu + \sum_{j: \tau_j < t} \theta f(\mathbf{x}_i - \mathbf{x}_j; \sigma)$$

where τ_j is the infection time of individual j and

$$\theta f(\mathbf{x}_i - \mathbf{x}_j; \sigma)$$

is the transmission rate from individual j to individual i , and μ is the environmental contribution.

A model for plantation data

- ▶ The data concern plant infections transmitted by aphids and the Gaussian function is chosen to represent spatial connection:

$$f(d; \sigma) = (2\pi\sigma^2)^{-1/2} \exp\left(-\frac{d^2}{2\sigma^2}\right).$$

- ▶ As usual with models such as these, the likelihood is not straightforward to calculate, and an auxiliary variable method is used.

A model for plantation data

Point data:

- ▶ A Bayesian approach to inference is taken.
- ▶ The likelihood, given known infection times τ_1, \dots, τ_n (total observation period is $[0, T]$), is

$$L(\mu, \theta, \sigma^2) = \left[\prod_{i: \tau_i \leq T} \exp \left\{ - \int_0^{\tau_i} \lambda(\mathbf{x}_i, t) dt \right\} \lambda(\mathbf{x}_i, \tau_i) \right] \\ \times \prod_{i: \tau_i > T} \exp \left\{ - \int_0^{\tau_i} \lambda(\mathbf{x}_i, t) dt \right\}$$

- ▶ The data are interval censored (plants are surveyed on six occasions) and so the unobserved times are imputed via an auxiliary variable scheme.
- ▶ Much of Brown *et al.* (2014) concerns computation.

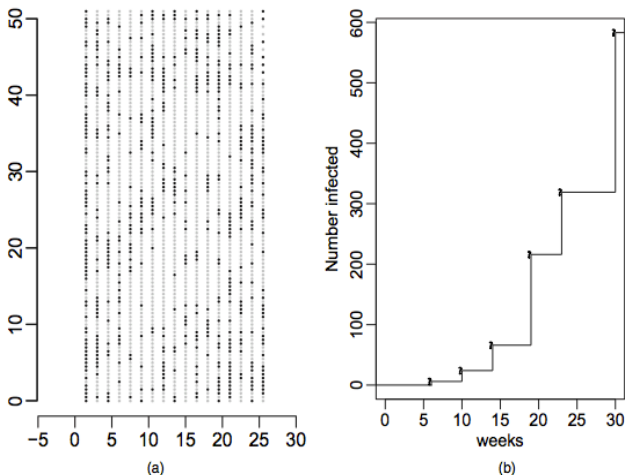


Fig. 1. (a) Location of 1742 sugar canes which are infected (●) or uninfected (○) at the end of the study period, along with (b) the cumulative number of infections over time

Figure 7: Raw data, from Brown *et al.* (2014).

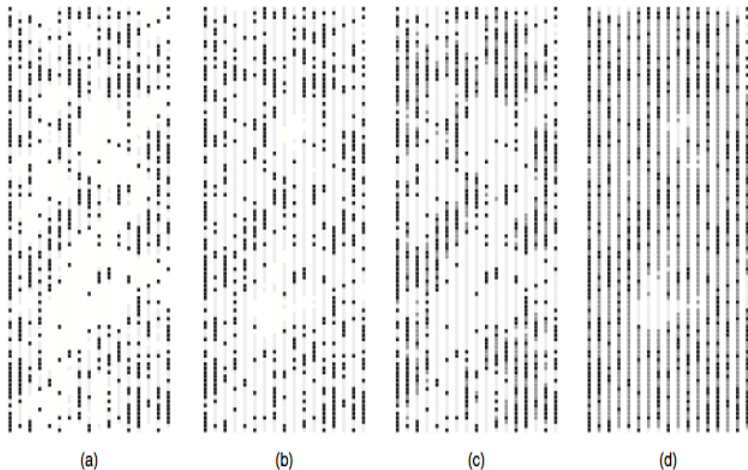


Fig. 5. Probabilities forecast at week 30 of each plant being infected by (a) week 35, (b) week 40, (c) week 50 and (d) week 60: the probabilities are 0–19% (□), 20–79% (▒), 80–99% (▓) and 100% (■) (colour versions are available in the on-line supplement)

Figure 8: Predictions, from Brown *et al.* (2014).

- ▶ **Animal disease epidemics:** A number of authors have considered data in the form of the infectious status of farms.
- ▶ For example, data on foot and mouth disease (FMD) have been analyzed by a number of authors including Keeling *et al.* (2001), Lawson and Zhou (2005), Diggle (2006) and Jewell *et al.* (2009).
- ▶ In the latter, a **Susceptible-Infected-Notified-Removed** model is assumed.
- ▶ Likelihood is constructed from a time inhomogenous Poisson point process with rates that depend on the states of each farm over time.
- ▶ Spatial transmission is modeled using a Cauchy-type kernel and computation is via RJMCMC, again with auxiliary variables.

Conclusions

- ▶ This lecture has largely concentrated on spatio-temporal models for **aggregated count data** (though we touched on point data at the end) – with such data much fine detail is lost and so biologically motivated models are difficult to fit.
- ▶ The full SIR formulation (and its spin offs, such as SEIR) are computationally hard to fit since the likelihood is analytically intractable.
- ▶ Spatio-temporal methods may be used to assess the effect of intervention programs, see for example Azman *et al.* (2012).
- ▶ The space-time models within the `surveillance` package do not currently allow adjustment for age and gender, i.e. different transmission dynamics for different stratum, which is problematic.
- ▶ Much work to be done!!!

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