November 18, 2003 Chapter 18.2-18.5 Text Coherence

## **Overview**

- Leftovers: Centering Theory
- Leftout: FOPL
- Text coherence
- Coherence resolution
- Inference
- Inference-based coherence resolution
- Discourse structure

### Review: Pronoun resolution

- Some "hard constraints" on possible antecedents
- Many "soft" constraints, largely salience factors and parallelism
- "Soft" constraints can conflict
- Pronoun resolution algorithms must encode constraints and mediate between them.

## Centering Theory

- Discourse model keeps track of entity being "centered" on in each utterance.
- Every utterance  $(U_n)$  has:
  - $C_b(U_n)$  the 'backward looking center', which is the entity being centered on after  $U_n$  is interpreted.
  - (Exception: For the first utterance in a discourse is undefined.)
  - C<sub>f</sub>(U<sub>n</sub>) the 'forward looking centers', an ordered (e.g., by grammatical role) list of all the entities referred to in U<sub>n</sub>.

### Centering Theory

- Every utterance  $(U_n)$  has:
  - • •
  - $C_b(U_{n+1})$  = the highest ranked element of  $C_f(U_n)$ mentioned in  $U_{n+1}$  'by definition'.
  - $C_p(U_n)$  the *preferred center*, the highest ranking element of  $C_f(U_n)$ .

Intersentential relationships

	$C_b(U_2) = C_b(U_1)$	$C_b(U_2) \neq C_b(U_1)$
	or undefined $C_b(U_1)$	
$C_b(U_2) = C_p(U_2)$	Continue	Smooth-Shift
$C_b(U_2) \neq C_p(U_2)$	Retain	Rough-Shift

# Rules

- Rule 1: If any element of  $C_f(U_n)$  is realized by a pronoun in utterance  $U_{n+1}$ , then  $C_b(U_{n+1})$  must be too.
- Rule 2: Transition states are ordered:
  Continue > Retain > Smooth-Shift > Rough-Shift

# Algorithm

- Generate possible  $C_b$ - $C_f$  combinations for each possible set of reference assignments.
- Filter by constraints (e.g., syntactic coreference constraints, selectional restrictions, centering rules and constraints).
- Rank by transition orderings.
- Pick the reference assignment that gives the most preferred relation in Rule 2.

First Order Predicate Logic

- Representation of sentence meanings, assuming someone else has figured out lexical meanings
- $\rightarrow$  The meaning of life is life'
- Represent predicate-argument relations, boolean connectives between predicates, universal and existential quantification.
- Rules of reasoning over FOPL are statements well-studied

FOPL: Building blocks

- Predicate names
- Variables
- Boolean connectives:  $\land, \lor, \Rightarrow, \neg$
- Quantifiers:  $\forall, \exists$

# FOPL: Quantifier scope

- Quantifiers bind particular variables, and take scope over everything to the right of them in an expression (modulo parentheses)
- Quantifiers bind every instance of their variables in their scope.
- Variables outside the scope of any quantifier are 'free'

#### FOPL: Examples

- A dog barked:  $\exists x, e_i \operatorname{dog}(x), \operatorname{barked}(e_i, x), \operatorname{past}(e_i)$
- All dogs bark:  $\forall x \exists e_i \operatorname{dog}(x) \Rightarrow \operatorname{bark}(e_i, x)$
- Kim's friend left: ∃x, e<sub>i</sub> friend-of(x, k), leave(e<sub>i</sub>, x),
  past(e<sub>i</sub>)
- Everyone loves someone:  $\forall x \exists e_i, y \text{love}(e_i, x, y)$  $\exists y \forall x \exists e_i \text{love}(e_i, x, y)$

Text coherence

- John hid Bill's car keys. He was drunk.
- #John hid Bill's car keys. He likes spinach.

### *Coherence relations (1 of 2)*

- Result: Infer that the state or event asserted by  $S_0$  causes or could cause the state or event asserted by  $S_1$ .
- Explanation: Infer that the state or event asserted by  $S_1$  causes or could cause the state or event asserted by  $S_0$ .
- Parallel: Infer  $p(a_1, a_2, ...)$  from the assertion of  $S_0$  and  $p(b_1, b_2, ...)$  from the assertion of  $S_1$ , where  $a_i$  and  $b_i$  are similar, for all i.

## Coherence relations (2 of 2)

- Elaboration: Infer the same proposition P from the assertions of  $S_0$  and  $S_1$ .
- Occasion: A change of state can be inferred from the assertion of S<sub>0</sub>, whose final state can be inferred from S<sub>1</sub>, or a change of state can be inferred from the assertion of S<sub>1</sub>, whose initial state can be inferred from S<sub>0</sub>.

Coherence resolution

- Determine the relationships between sentences or discourse segments
- Discover inferences that should be made
- Useful for IR, text summarization, pronoun resolution

## Inference

• Sound inference, e.g., modus ponens (deduction):

$$\begin{array}{c} \alpha \Rightarrow \beta \\ \alpha \\ \hline \beta \end{array}$$

• Unsound inference, e.g., abduction:

$$\begin{array}{c} \alpha \Rightarrow \beta \\ \beta \end{array}$$

• Associate 'unsound' conclusions with some kind of weight or cost, and make the DEFEASIBLE.

## Inference-Based Coherence Resolution

- Establish axioms
  - Pertaining to coherence relations
  - Encoding world knowledge
- Represent discourse segments in the same formalism as the axioms
- Establish coherence by creating a chain of reasoning linking the sentence interpretations that is rooted the assertion of a coherence relation
- In the process, posit unprovable assumptions
- $\rightarrow$  inference

#### Coherence relation axioms

[1]  $\forall e_i, e_j \text{ Explanation}(e_i, e_j) \Rightarrow \text{CoherenceRel}(e_i, e_j)$ [2]  $\forall e_i, e_j \text{ Result}(e_i, e_j) \Rightarrow \text{CoherenceRel}(e_i, e_j)$ 

[3]  $\forall e_i, e_j \text{ cause}(e_j, e_i) \Rightarrow \text{Explanation}(e_i, e_j)$ [4]  $\forall e_i, e_j \text{ cause}(e_i, e_j) \Rightarrow \text{Result}(e_i, e_j)$ 

. . .

. . .

#### World knowledge axioms

- [5]  $\forall x, y, e_i \operatorname{drunk}(e_i, x) \Rightarrow$  $\exists e_j, e_k \operatorname{diswant}(e_j, y, e_k) \land \operatorname{drive}(e_k, x) \land \operatorname{cause}(e_i, e_j)$
- [6]  $\forall x, y, e_j, e_k \operatorname{diswant}(e_j, y, e_k) \land \operatorname{drive}(e_k, x) \Rightarrow$   $\exists z, e_l, e_m \operatorname{diswant}(e_l, y, e_m) \land \operatorname{have}(e_m, x, z) \land$  $\operatorname{carkeys}(z, x) \land \operatorname{cause}(e_j, e_l)$
- [7]  $\forall x, y, z, e_l, e_m \operatorname{diswant}(e_l, y, e_m) \land \operatorname{have}(e_m, x, z) \Rightarrow$  $\exists e_n \operatorname{hide}(e_n, y, x, z) \land \operatorname{cause}(e_l, e_n)$
- [8]  $\forall e_i, e_j, e_k \text{ cause}(e_i, e_j) \land \text{ cause}(e_j, e_k) \Rightarrow$  $\text{cause}(e_i, e_k)$

Translation of two statements

[9]  $\exists e_1, ck \text{ hide}(e_1, j, b, ck) \land \text{carkeys}(ck, b)$ [10]  $\exists e_2 \text{ drunk}(e_2, h)$ 

#### Reasoning from coherence to the statements

- [A] Assume coherence, i.e., Coherence-Rel $(e_1, e_2)$
- [B] Infer Explanation $(e_1, e_2)$  [1],[A]
- [C] Infer cause $(e_2, e_1)$  [3],[B]
- [D] Infer cause $(e_2, e_3) \land (e_3, e_1)$  [8],[C]
- [E] Infer cause $(e_2, e_4) \land (e_4, e_3)$  [8],[D]
- [F] Infer diswant( $e_3, j, b$ )  $\wedge$  have( $e_5, b, ck$ ) [9],[D],[7]

#### Reasoning from coherence to the statements

- [G] Infer diswant( $e_3, j, e_6$ )  $\land$  drive( $e_6, b$ ) [9],[8],[F]
- [H] Infer drunk $(e_2, b)$  [5],[8],[G]
  - But [H] equals [10], if b = h (pronoun resolution)
  - Chain included [1],[9], and [10], so the discourse was coherent.
  - Along the way, we inferred things not explicitly stated in the dicourse: John did not want Bill to drive; This is why John hid Bill's keys.

### Reasoning from coherence to the statements

- Serious search problem
- ... managing the size of the search space
- ... choosing the best possibility
- Hobbs et al (1993) deal with this by assigning assumption costs to each inference.

#### Discourse structure

- Always looking for coherence between adjacent pairs of sentences would give incorrect results.
- Instead, search for structure in discourse, and look for coherence between adjacent discourse segments.
- 'Parsing' discourse structure (a side effect of the above) is useful for summarization, IR, etc. Possibly also for pronoun resolution.

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