



13.08 The Great Oxidation Event Transition

David C. Catling

University of Washington, Seattle, WA 98195

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Synopsis

The Great Oxidation Event (GOE) was an increase in the concentration of atmospheric oxygen from less than 1 part per million to 0.2-2% by volume some 2.4 billion years ago. In atmospheric chemistry, hydrogen-bearing reduced gases, such as methane and hydrogen, are adversaries of O₂. When the concentration of one goes up, the other declines. The redox chemistry of the pre-GOE atmosphere should have been dominated by methane and hydrogen. Before the GOE, oxygen was driven to trace levels by reaction with volcanic and metamorphic reductants, including dissolved cations (e.g., Fe²⁺) in surface waters and reducing gases (H₂, CH₄, CO, SO₂ and H₂S). A tipping point was reached when the flux of O₂ associated with the burial of organic carbon exceeded such O₂ losses. Oxidative weathering then became significant. Models suggest that a decline in the supply of reducing gases caused methane levels to fall even before the GOE. Loss of greenhouse gases such as methane and ethane plausibly caused global cooling. Multiple glaciations during 2.45-2.22 Ga suggest that the global climate and atmospheric composition oscillated until permanent oxygenation was established. Subsequent levels of O₂ were sufficient to protect the Earth's surface from harmful ultraviolet with a stratospheric ozone layer.

13.08.1 INTRODUCTION

In Chapter 13.05, Farquhar et al. described geologic evidence for a significant increase in atmospheric O₂ levels at 2.45-2.32 Ga, and in this chapter, I discuss why such a transition occurred. This chapter revolves around a basic principle of

atmospheric chemistry, namely, that the concentration of an atmospheric gas is controlled by a kinetic competition between production and loss. This competition is described by fluxes, which, for a particular gas, are the rate of input to the atmosphere and the rate of chemical consumption. So, in its simplest formulation, there are only two options for explaining the Great Oxidation Event (GOE): either an increase of the O_2 source flux or a decrease of the O_2 sink flux created an imbalance. Most hypotheses in the literature argue that such changes in fluxes were probably gradual but reached a “tipping point” when the O_2 source flux exceeded the consumption flux from reducing gases, allowing O_2 to rise to a new equilibrium level. The higher, post-GOE O_2 concentration was clearly determined by a new and different balance of fluxes. We can appreciate what controlled this new equilibrium with the naked eye. The ~ 2.3 Ga appearance of red-beds, which are continental sediments stained by red-colored iron oxides, shows that atmospheric O_2 was lost in a quantitatively significant way to oxidative weathering after the GOE but not before. The oxidative weathering flux must have been very small prior to the GOE given the absence of oxidation of continental surfaces in the Archean. To understand the change at the GOE, we start by describing the source and sink fluxes of O_2 as they are known today.

After describing general controls on O_2 , we discuss the other redox-sensitive gases in the atmosphere before and after the GOE. In the redox chemistry of the atmosphere, hydrogen-bearing reduced gases, such as CH_4 and H_2 , are the chemical adversaries of O_2 . Through a series of photochemical reactions, there is a rapid mutual annihilation between O_2 and the reducing gases, akin to combustion in its net effect. In general, the redox chemistry of the pre-GOE atmosphere is dominated by methane and hydrogen molecules that spend an average of $\sim 10^4$ years in the atmosphere before being destroyed by reactions, whereas O_2 behaves as a trace gas with a short lifetime in the Archean atmosphere of less than a day (Pavlov et al., 2001). This situation in the Archean is the opposite of the modern atmosphere where methane and hydrogen are short-lived molecules with average lifetimes with respect to photochemical destruction of ~ 10 and ~ 2 years (e.g., Warneck, 2000), while O_2 has a lifetime of about 2 million years with respect to geologic sinks (Sec. 13.08.2.4, below). Possibly, reduced biogenic sulfur gases were also important constituents of the late Archean and pre-GOE Paleoproterozoic atmosphere. Models suggest that the GOE occurred when the rate of supply of O_2 to the atmosphere rose above the rate of supply of reduced gases to the atmosphere (Claire et al., 2006; Goldblatt et al., 2006; Holland, 2009). Because the atmosphere has a relatively small mass compared to the size of typical fluxes, the oxic transition probably happened relatively quickly from a geological perspective. In photochemical simulations, the timescale is about 10^4 years (Claire, 2008), which is instantaneous in geologic time.

Finally, we discuss the implications of the GOE for episodes of climate cooling and establishing an ozone layer. In detailed models, there is a decline in the supply of reducing gases prior to the GOE, which means that the methane concentration in the pre-GOE atmosphere should have fallen to a low level before O_2 rose to a substantial concentration (Zahnle et al., 2006). The collapse in the concentration of methane—a greenhouse gas, along with its photochemical product, ethane—is generally consistent with low latitude glaciation (“Snowball Earth”) observed in the geologic record at ~ 2.4 Ga. In some geologic sections, such as the Huronian of Canada, evidence for three glaciations appears between 2.45–2.22 Ga. Some biogeochemical models are able to produce oscillations in climate and atmospheric chemistry before establishing a permanent rise of O_2 (Claire et al., 2006). In these models, oxygenation events fail and the atmosphere reverts back to being

weakly reducing before the Earth system attempts oxygenation again. Such behavior in models is entirely dependent upon how the output of biogenic, redox-sensitive gases is assumed to respond to the climate cooling associated the GOE.

Ozone (O_3) is chemically derived from molecular oxygen. Once oxygenation was permanent, even with the relatively low levels of 0.2-2% O_2 by volume that existed in the middle Proterozoic, there was sufficient O_2 to establish a stratospheric ozone layer (Kasting and Donahue, 1980). The ozone layer would have protected the Earth's surface from harmful ultraviolet in the range 200-300 nm. A counter-intuitive effect of the ozone layer was to decrease the net reaction rate in the troposphere between methane and oxygen by decreasing the input of ultraviolet photons. So, if methane fluxes were still relatively large from poorly oxygenated and euxinic marine waters in the Proterozoic, methane could have risen after the GOE to be a moderately significant greenhouse gas, although not to such large concentrations as in the Archean.

13.08.2 CONTROLS ON O_2 LEVELS

13.08.2.1 Hydrogen escape to space and oxidation

There are both abiotic and biological net sources of O_2 for the atmosphere, but the latter source is dominant today by a factor of hundreds. Although the current abiotic source of O_2 is trivial, it is determined by the magnitude of the escape rate of hydrogen to space, which is worth discussing in some detail because this flux was almost certainly much bigger before the GOE. An anoxic atmosphere is relatively rich in hydrogen-bearing reducing gases (such as methane, CH_4 , and hydrogen, H_2), which can drive relatively high rates of hydrogen escape. Hydrogen is the lightest gas and planets the size of the Earth or smaller cannot hold on to hydrogen and undergo cumulative oxidation. Today we observe the process of hydrogen escape on Saturn's moon, Titan, which has ~5% CH_4 in its lower atmosphere. We can also observe a halo of hydrogen around the modern Earth directly, some of which are escaping. The hydrogen atoms in the halo resonantly scatter Lyman-alpha (121.6 nm) ultraviolet radiation from the Sun and have been imaged by spacecraft (Fig. 1). Today, the hydrogen escape flux is very small. But it helps to understand the hydrogen escape process in order to appreciate its potential oxidizing effect on Earth's early atmosphere when the flux of hydrogen escape was surely larger.

While hydrogen can escape to space, oxygen tends to remain behind because it is heavier. Indeed, it is universally accepted that the escape of hydrogen relative to heavier oxygen is responsible for the oxidized state of the atmospheres of Mars (Hunten, 1979), Venus (Watson et al., 1984; Zahnle and Kasting, 1986), and the extremely tenuous O_2 -dominated atmospheres of several outer planet moons. The latter includes Ganymede (Hall et al., 1998) and Europa (Smyth and Marconi, 2006), which are satellites of Jupiter, and Rhea (Teolis et al., 2010), which is a moon of Saturn. Some theories of oxygenation of the Earth give hydrogen escape a similarly important role in oxidizing the Earth and enabling the build-up of photosynthetic oxygen (Catling et al., 2001; Kasting et al., 1993). While hydrogen escape is the accepted explanation for the oxidation state of atmospheres of other planets and satellites, its role in the oxidation state of the Earth's atmosphere remains an area of debate, however.

The photolysis of gases in Earth's upper atmosphere and the escape of hydrogen to space provide an oxidation flux (Holland, 1978, p.296). It is easy to see why. By the rules of redox chemistry, the hydrogen oxidation number *decreases* from +1 in atmospheric compounds (such as H₂O vapor, CH₄ or H₂S) to zero in H atoms that escape to space, which means that hydrogen gets reduced. Reduction is always accompanied by oxidation, so there must be an equivalent *increase* in oxidation number, i.e., oxidation, for other species on Earth when hydrogen escapes, irrespective of the details of the chemistry. While any particular redox reaction is redox-neutral, the oxidized and reduced components that are generated can be separated into different reservoirs. For the Earth as a whole, reduction of hydrogen to elemental form followed by hydrogen escape represents partitioning of the reduced component into space, leaving behind the oxidized component. The oxidation is irreversible because hydrogen that escapes into interplanetary space is lost forever from the Earth's gravitational field.

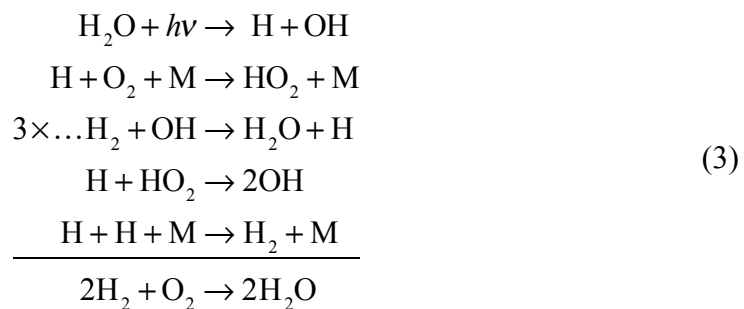
Oxygen-bearing gases can be photolyzed in the upper atmosphere to produce atomic oxygen (O) that can undergo atmospheric reactions to make abiotic molecular oxygen, O₂. For example, H₂O and CO₂ are photolyzed as follows,



Molecular oxygen, O₂, can be produced from the O atoms and hydroxyl (OH) radicals made from the reactions in eq. (1), as follows:



If hydrogen does not escape, O₂ is lost in catalytic cycles and water vapor is reformed, as follows:



Alternatively, if hydrogen escapes to space, the products of photolysis cannot recombine and O₂ is leftover. Thus, it is the escape of hydrogen to space that is essential for making O₂. So a net oxidation flux from photochemistry is always determined by the escape flux of hydrogen.

Under a wide variety of circumstances, which apply to the Archean atmosphere as well as the present one, the escape of hydrogen to space is constrained by two "bottlenecks" in the atmosphere, shown schematically in Fig. 2 (following Hunten, 1990). The first bottleneck is the *tropopause cold-trap*, which limits the transport of hydrogen within water vapor to the upper atmosphere. The Earth's tropopause is the minimum temperature in the vertical temperature profile that occurs

at the top of the Earth's troposphere. The troposphere extends vertically from the Earth's surface to ~17 km at the equator or to lower altitudes at other latitudes. The tropopause acts as a brake on vertical transport in the atmosphere because the energy balance in the atmosphere changes from convection-dominated in the troposphere to radiation-dominated just below the tropopause. Convection is sufficiently strong in the warm tropics to lift water vapor up and through the tropical tropopause. However, at the tropical tropopause, water vapor freezes into ice particles (hence the name *cold-trap*), so that very little water vapor enters the lower stratosphere. Consequently, the lower stratosphere is extremely arid with only ~3 ppmv H₂O. Other hydrogen-bearing constituents such as methane (CH₄) and diatomic hydrogen (H₂) have condensation temperatures that are far too low to be cold-trapped in Earth's atmosphere, so these gases mix through the tropopause and their abundance in the lower stratosphere is about the same as in the troposphere. Ultimately, stratospheric water vapor is broken down at high altitudes through photochemical reactions. The resulting hydrogen, along with the hydrogen derived from methane and molecular hydrogen, is transported upwards and mixed by eddies through the stratosphere and mesosphere.

The second bottleneck for hydrogen escape occurs when the upwardly mixed hydrogen reaches the *homopause*, around 100 km altitude. The homopause is the level above which gases separate out diffusively with altitude according to their mass. Consequently, the air above the homopause becomes increasingly enriched in molecules or atoms that have lower mass than the average. Hydrogen, being lighter than all other gases, rises buoyantly through the background air by molecular diffusion. Fortunately, the diffusion rate is readily calculable and sets a *diffusion-limited escape rate*, which is the maximum rate that hydrogen can be transported upwards by diffusion and escape (Hunten, 1973). Essentially, diffusion acts as another brake on the upward transport of hydrogen to space. Hydrogen is mostly broken down into atomic form and escapes efficiently from the *exobase*, which is the level in the atmosphere, typically around 400-500 km altitude, above which collisions between atoms and molecules are so infrequent that they can be neglected. This lack of collisions means that there is nothing to prevent hydrogen atoms with upward-directed velocities exceeding the escape velocity being lost permanently to interplanetary space from the exobase. So while the tropopause cold-trap modulates the amount of hydrogen that can reach the upper atmosphere and escape, molecular diffusion above the homopause sets the maximum rate that hydrogen can escape once it gets to ~100 km altitude. Hence, the cold-trap and diffusion are said to be the two "bottlenecks" on hydrogen escape (Hunten, 1990).

The theory of diffusion-limited escape gives a hydrogen escape rate, Φ_H , as follows (Walker, 1977):

$$\Phi_H \equiv \frac{b_H f_T}{H} = (\text{constant}) f_T \approx (2.5 \times 10^{13}) f_T \quad [\text{atoms cm}^{-2} \text{ sec}^{-1}] \quad (4)$$

Here, b_H is a binary diffusion parameter for hydrogen through air, where one uses an average of for H_2 and H , weighted by their probable mixing ratios at the homopause in order to obtain the constant in the second expression. The variable H is the atmospheric scale height for the background gas, given by $H = kT/(mg)$ where k is Boltzmann's constant ($1.38 \times 10^{-23} \text{ J K}^{-1}$), T is the mean atmospheric temperature at the homopause, m is mean molecular mass, and g is gravitational acceleration. The remaining term, f_T , is the total hydrogen mixing ratio at the homopause, given by the sum of the mixing ratios of hydrogen in all of its chemical forms, weighted by the number of hydrogen atoms each species contains, i.e.,

$$f_T = f_H + 2f_{H_2} + 2f_{H_2O} + 4f_{CH_4} + \dots \quad (5)$$

Here f_H is the mixing ratio of H atoms, f_{H_2} is the mixing ratio of H_2 molecules, f_{H_2O} is the mixing ratio of water vapor, and f_{CH_4} is the mixing ratio of methane. Because the total hydrogen mixing ratio is approximately conserved with altitude from the lower stratosphere to the homopause (as expected from theory and observation (Harries et al., 1996)), we can evaluate eq. (5) with values that are well known from measurements in the lower stratosphere.

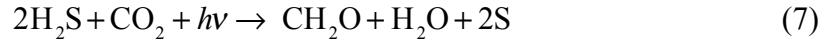
The current hydrogen escape rate and the associated oxidation rate can easily be calculated using the above theory. In today's lower stratosphere, the concentrations of hydrogen-bearing gases are 1.8 ppmv CH_4 , ~3 ppmv H_2O and 0.55 ppmv H_2 . Using eq. (5), the total mixing ratio of hydrogen, f_T , is 14.3×10^{-6} ($= [2(0.55) + 4(1.8) + 2(3)] \times 10^{-6}$). Consequently, the hydrogen escape rate from eq. (4) is $3.6 \times 10^8 \text{ atoms cm}^{-2} \text{ sec}^{-1}$. Given that the area of the Earth is $5.1 \times 10^{18} \text{ cm}^2$, the escape rate for the whole Earth is $(3.6 \times 10^8 \text{ atoms cm}^{-2} \text{ sec}^{-1}) \times (5.1 \times 10^{18} \text{ cm}^2) = 1.8 \times 10^{27} \text{ atoms sec}^{-1} = 3 \text{ kg H sec}^{-1} = 9.3 \times 10^{10} \text{ mol H atoms yr}^{-1} = 93,000 \text{ tonnes of H yr}^{-1}$. By redox balance, the escape of four moles of hydrogen atoms is equivalent to an oxidation flux of one mole of oxygen (which we can deduce through the schematic redox balance of $4H + O_2 = 2H_2O$). Consequently, the net oxidation flux for the whole Earth from today's escape of hydrogen to space is equivalent to $2.3 \times 10^{10} \text{ mol O}_2 \text{ yr}^{-1}$. This flux is negligible compared to the biological flux of O_2 ($\sim 10^{13} \text{ mole O}_2 \text{ yr}^{-1}$), which we discuss below. However, the hydrogen escape flux must inevitably have been larger in the pre-GOE atmosphere, which was redox-dominated by hydrogen-bearing species. A higher total mixing ratio of hydrogen in all its forms leads to a larger total hydrogen mixing ratio, f_T in eq. (5). Consequently, there is a proportionately greater hydrogen escape rate, Φ_H . For example, the anoxic Archean atmosphere probably had $\sim 10^3$ ppmv CH_4 (Kasting et al., 2001). In that case, the oxidation flux of hydrogen escape from eqs. (4) and (5) was significant and $\sim 7 \times 10^{12} \text{ mol O}_2 \text{ yr}^{-1}$.

13.08.2.2 The net source flux of oxygen

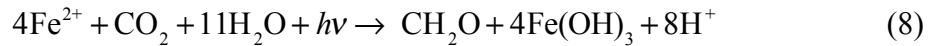
Molecular oxygen in the modern atmosphere is produced almost entirely as the waste product of *oxygenic photosynthesis*—the type of photosynthesis in which green plants, algae and cyanobacteria use sunlight to split water molecules. More generally, photosynthesis is the biological process by which carbon dioxide is chemically reduced with hydrogen with an overall scheme as follows:



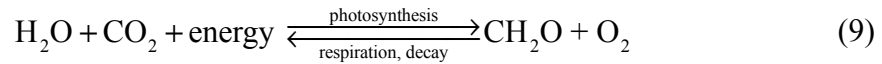
Here, A designates an atom that varies depending on the type of photosynthesis and “CH₂O” represents organic matter as carbohydrate. It is generally thought that *anoxygenic photosynthesis* (which does not release O₂) was ancestral to oxygenic photosynthesis because it is chemically simpler and because the genetics of microbes implies such a lineage (Xiong, 2006). The suspected evolutionary sequence is that the first photosynthetic microbes used H₂ (eq. (6) with no ‘A’). These were probably followed by microbes that used hydrogen sulfide, where “H₂A” as H₂S in eq. (6):



Photosynthesis that uses ferrous iron also evolved. Iron photosynthesis doesn’t quite fit the generic scheme above but can be written:

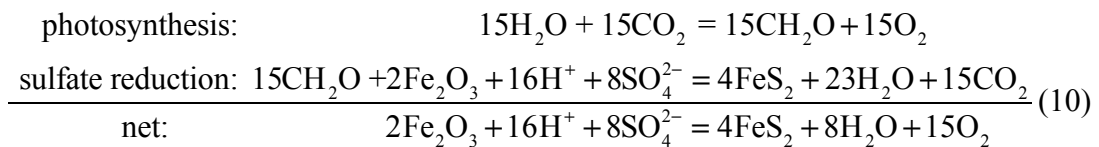


In oxygenic photosynthesis, water is split. So, in eq. (6) “H₂A” is H₂O and the waste product is O₂ derived from the O atoms in H₂O. The equation representing oxygenic photosynthesis and the reverse processes of respiration or oxidative decay can be simplified to:



In this equation, a mole of organic matter accompanies every mole of O₂ generated by photosynthesis. But within ~10² years, almost all the O₂ produced in photosynthesis is used up in oxidizing organic matter back to carbon dioxide in respiration or decay. Consequently, when we consider geologic timescales of millions of years, we can ignore this fast, gross cycle of zero sum photosynthesis and focus on the net production. In net production, about 0.1-0.2% of the organic carbon is buried, mostly in deltaic sediments of continental shelves (Berner, 1982), where it escapes the fate of being oxidized. The burial flux of organic carbon is estimated to be 10.0±3.3 Tmol C yr⁻¹ today (Holland, 2002), which, by the 1:1 stoichiometry of eq. (9), provides 10.0±3.3 Tmol O₂ yr⁻¹. (Here and elsewhere, 1 Tmol = 10¹² mol). Berner (2004), p. 42, gives a somewhat lower estimate of 5.3 Tmol C yr⁻¹ for the organic burial flux. For consistency with other estimates, we will follow Holland’s numbers.

In the modern O₂ balance, the burial of other reductants can also contribute to O₂ production, of which sulfur and iron are the most significant (Fig. 3). At the seafloor, bacteria use organic carbon to make pyrite (FeS₂) from the reduction of seawater sulfate that diffuses into the sediments. When the pyrite is subsequently buried, it effectively removes the reduced partner of O₂ from being oxidized and provides a source of O₂. Pyrite generation and burial can be written as follows (Berner, 2004):



Holland (2002) estimates that the pyrite burial flux contributes 7.8 ± 4.0 Tmol O₂ yr⁻¹. Other minerals that are important for the redox balance include sulfates, which can be buried as evaporite minerals on continents, and ferrous iron. Their fluxes can be estimated from examining the quantity of various redox-sensitive minerals in average sedimentary rocks, as shown in Table 1.

Table 1. The source flux of O₂ on modern geologic timescales (where the sedimentary sources follow Holland (2002)).

<i>Process</i>		<i>Stoichiometry</i>	<i>O₂ gain (Tmol/yr)</i>
Hydrogen escape		$2\text{H}_2\text{O} \rightarrow 4\text{H}(\uparrow\text{space}) + \text{O}_2$	0.02
	<i>wt% in new sediments</i>		
Carbon burial	0.6 ± 0.1	$\text{CO}_2 \rightarrow \text{C} + \text{O}_2$	10 ± 3.3
Pyrite burial	0.6 ± 0.2	$\text{Fe}(\text{OH})_3 + 2\text{H}_2\text{SO}_4 \rightarrow \text{FeS}_2 + 15/2\text{SO}_2 + 7/2\text{H}_2\text{O}$	7.0 ± 3.6
Pyrite burial	0.2 ± 0.1	$\text{FeO} + 2\text{SO}_2 \rightarrow \text{FeS}_2 + 5/2\text{O}_2$	0.8 ± 0.4
Sulfate burial	0.3 ± 0.1	$\text{SO}_2 + 1/2\text{O}_2 + \text{H}_2\text{O} \rightarrow \text{H}_2\text{SO}_4$	$-(0.3 \pm 0.1)$
Fe ²⁺ burial	1.5 ± 0.6	$1/2\text{Fe}_2\text{O}_3 \rightarrow \text{FeO} + 1/4\text{O}_2$	0.9 ± 0.4
Total rate of oxygen production =			18.4 ± 7.8

13.08.2.3 The oxygen sink fluxes

In recent geologic history, the flux of O₂ from the sources shown in Table 1 must be approximately balanced by sink fluxes so that the O₂ level remains relatively stable. The persistence of advanced animals that rely on high O₂ concentrations for the past several hundred million years suggests that there were not wildly fluctuating O₂ levels during this period. Fig. 3 depicts the various sink fluxes that remove O₂ from the atmosphere, which we now quantify.

The process of continental oxidative weathering occurs when O₂ dissolved in rainwater or rivers reacts with reduced minerals on the continents, such as exposed organic carbon, ferrous iron (Fe²⁺) minerals, and sulfides. Essentially, continental weathering reverses the chemical equations that liberate O₂ shown in Table 1. Examining average continental rock allows estimates for the rate of continental weathering of various minerals to be made. The estimates in Table 2 sum to a loss of 15.5 ± 6.7 Tmol O₂ yr⁻¹ during continental weathering.

Other sinks of O₂ arise from reactions with various reductants that include gases, such as H₂, CO, CH₄, H₂S, and SO₂, released from high temperature subaerial volcanism (where rocks melt) and metamorphism (where the rocks are heated and but do not melt), seafloor minerals encountered by O₂ dissolved in percolating seawater, and gases and dissolved minerals released from hydrothermal vents into the oceans. Table 2 lists various estimates from the literature for these sink fluxes.

Within the rather large uncertainty, the total source flux of 18.4 ± 7.8 Tmol O₂ yr⁻¹ from Table 1 agrees with the total sink flux of 22.1 ± 8.4 Tmol O₂ yr⁻¹ given in Table 2. The sink fluxes in Table 2 imply that about 70% of the O₂ in the atmosphere is eventually removed by oxidative weathering, while the remaining 30% is lost to metamorphism, subaerial volcanism, seafloor weathering, and submarine volcanism, going in order from the largest to smallest sink.

Table 2: Modern long-term sink fluxes for O₂. Sources are: 1. Holland (2002) 2. Catling and Kasting (2012) and references therein. 3. Fiebig et al. (2009). 4. Using the lower estimate of 1.25-2.5 Tmol CH₄/yr from Etiope et al. (2009) 5. Sleep (2005).

<i>Loss Process</i>		<i>Stoichiometry</i>	<i>O₂ loss (Tmol/yr)</i>	<i>Ref.</i>
<i>Continental Weathering</i>				
	<i>Wt% in average rock undergoing weathering</i>			
Carbon weathering	0.45 ± 0.1	$C + O_2 \rightarrow CO_2$	7.5 ± 2.5	1
Sulfide weathering	0.6 ± 0.2	$FeS_2 + 15/2SO_2 + 7/2H_2O \rightarrow Fe(OH)_3 + 2H_2SO_4$	7.0 ± 3.6	1
Fe ²⁺ weathering	1.5 ± 0.6	$FeO + 1/4O_2 \rightarrow 1/2Fe_2O_3$	1.0 ± 0.6	1
Subtotal for continental oxidative weathering flux =			15.5 ± 6.7	
<i>Surface volcanism</i>				
	<i>Gas flux (Tmol/yr)</i>			
H ₂	1±0.5	$H_2 + 1/2O_2 \rightarrow H_2O$	0.5±0.3	2
CO	0.1±0.05	$CO + 1/2O_2 \rightarrow CO_2$	0.05±0.03	2
H ₂ S	0.03±0.015	$H_2S + 2O_2 \rightarrow H_2SO_4$	0.06±0.03	2
SO ₂	1.8±0.6	$H_2O + SO_2 + 1/2O_2 \rightarrow H_2SO_4$	0.9±0.3	2
Subtotal for surface volcanism =			1.5±0.7	
<i>Surface metamorphism</i>				
CH ₄ (abiotic)	0.3	$CH_4 + 2O_2 \rightarrow CO_2 + H_2O$	0.6	3
CH ₄ (thermogenic)	1.25	$CH_4 + 2O_2 \rightarrow CO_2 + H_2O$	2.5	4
Subtotal for metamorphic gases =			3.1	
<i>Submarine volcanism</i>				
H ₂	0.1±0.05	$H_2 + 1/2O_2 \rightarrow H_2O$	0.05±0.03	
H ₂ S	0.35±0.13	$H_2S + 2O_2 \rightarrow H_2SO_4$	0.7±0.4	
CH ₄ (ridge)	0.01±0.005	$CH_4 + 2O_2 \rightarrow CO_2 + H_2O$	0.02±0.01	
CH ₄ (off-axis)	0.25±0.12	$CH_4 + 2O_2 \rightarrow CO_2 + H_2O$	0.5±0.3	
Subtotal for submarine volcanic gases =			0.8±0.7	
<i>Seafloor oxidation and subduction</i>				
Fe ²⁺ conversion to magnetite		$12FeO + SO_4^{=}\rightarrow 4Fe_3O_4 + S^{=}$	1.2±0.3	5
Total sink from subaerial and submarine reductants =			22.1 ± 8.4	

13.08.2.4 General principles of oxygen change

For a steady concentration of O_2 in the atmosphere-ocean system, the O_2 source flux $F_{O_2\text{source}}$, must be equal to the O_2 sink flux, $F_{O_2\text{sink}}$. Oxygen levels will obviously increase if the source flux exceeds the sink flux. For specificity, it is advantageous to consider O_2 changes mathematically, in terms of a flux balance equation. If we denote the reservoir of O_2 in the atmosphere-ocean system as R_{O_2} , it will change with time t , as follows:

$$\begin{aligned}\frac{d(R_{O_2})}{dt} &= F_{O_2\text{source}} - F_{O_2\text{sink}} \\ &= F_{O_2\text{source}} - (F_{\text{volcanic}} + F_{\text{metamorphic}} + F_{\text{weathering}})\end{aligned}\quad (11)$$

Here, the O_2 source flux arises from the relatively limited number of processes shown in Table 1, principally, the burial of organic carbon. In contrast, the O_2 sink fluxes comprise a very large number of chemical reactions shown in Table 2. In the second line of eq. (11), we break up the sink fluxes into three types: F_{volcanic} , which is the flux of O_2 that reacts with reductants from subaerial and submarine volcanism, $F_{\text{metamorphic}}$, which is the flux of O_2 consumed by reductants from metamorphism, and $F_{\text{weathering}}$, which is the flux of O_2 consumed by reduced minerals in seafloor and continental weathering. Today, R_{O_2} is 3.8×10^7 Tmol O_2 and the various source and sink fluxes in Tmol yr^{-1} are shown in Tables 1 and 2. The average amount of time an O_2 molecule spends in the atmosphere-ocean system today is thus ~ 2 million years, which is simply R_{O_2} divided by the source flux from Table 1 of ~ 18 Tmol O_2 yr^{-1} . This timescale is clearly geologically short. In the past any sustained difference between $F_{O_2\text{source}}$ and $F_{O_2\text{sink}}$ would have changed R_{O_2} .

13.08.2.5 The definition of ‘anoxic’ versus ‘oxic’ atmospheres

Before considering the effect on the Earth’s atmospheric chemistry of the GOE, let us define the terms ‘anoxic’ and ‘oxic’ atmosphere. Oxygen is absent from the atmospheres of many other celestial bodies, such as the giant planets and Saturn’s largest moon, Titan. All of these atmospheres are ‘anoxic’. But they also share another characteristic, which is that their redox chemistry is dominated by H_2 or hydrogen-bearing reducing gases, such as CH_4 .

Hydrogen-bearing reducing gases (including hydrogen itself) behave as if they are the inverse of oxygen. Oxygen reacts rapidly with hydrogen-bearing reducing gases, so a decrease in the concentration of one allows an increase of the other.

Anoxic atmospheres are all relatively rich in hydrogen-bearing reducing gases. There is $82.2 \pm 2.6\%$ H_2 , $96.3 \pm 2.4\%$ H_2 , $\sim 82.5\%$ H_2 and $\sim 80\%$ H_2 in the atmospheres of Jupiter, Saturn, Uranus and Neptune, respectively, while Titan’s lower atmosphere has $\sim 5\%$ CH_4 . In contrast, Earth’s current atmosphere (21% O_2 , 1.8 ppmv CH_4) and that of Mars (0.13% O_2 , 15 ppmv H_2) are both oxidizing. Given our observations of planetary atmospheres, it is clearly useful to define an ‘anoxic’ atmosphere as rich in hydrogen-bearing reducing gases and an oxic atmosphere as poor in hydrogen-bearing reducing gases.

Our definition of anoxic versus oxic atmospheres helps us understand that the Archean atmosphere could have remained anoxic even if early oxygenic photosynthesis pumped O₂ into the atmosphere. Anoxia would have persisted if O₂ had been efficiently removed and overwhelmed by a flux of reducing gases into the atmosphere (including, in this tally, any flux of reducing cations in the ocean in communication with dissolved atmospheric O₂). Specifically, we can define an *oxygenation parameter* as follows (Catling and Claire, 2005):

$$K_{\text{oxy}} = \frac{\text{O}_2 \text{ source flux}}{\text{non-weathering O}_2 \text{ sink flux}} \quad (12)$$

$$= \frac{F_{\text{reductant_burial}}}{F_{\text{metamorphic}} + F_{\text{volcanic}}} ; \quad K_{\text{oxy}} > 1 \text{ gives an oxic atmosphere}$$

$$K_{\text{oxy}} < 1 \text{ gives an anoxic atmosphere}$$

If this parameter exceeds unity then O₂ in the atmosphere is quickly removed by reactions with reducing species. The result is that hydrogen-bearing reducing gases dominate the redox chemistry of the atmosphere even if there is a photosynthetic source of O₂. Detailed models that include photochemistry show that such a situation can occur (Claire et al., 2006; Pavlov et al., 2001; Zahnle et al., 2006).

13.08.3 ATMOSPHERIC CHEMISTRY THROUGH THE GREAT OXIDATION EVENT (GOE)

To understand the causes and consequences of the GOE, ideally, we would like to have time series concentrations of the redox-sensitive gases in the atmosphere (O₂, CH₄ and H₂) before and after the GOE. Information about the levels of other gases, such as CO₂, N₂O, and N₂, would also help us understand associated climate change. CO₂ and N₂O are greenhouse gases, while the concentration of N₂ modulates the size of the greenhouse effect through the pressure-broadening of infrared absorption lines (Goldblatt et al., 2009). However, apart from some constraints on O₂ levels, we have very few reliable geologic determinations of the amounts of other gases. Most of our inferences are very indirect. Table 3 contains estimates of either concentrations or limits on concentrations of various atmospheric gases and marine sulfate. The basis for these estimates is described in the next two subsections.

Table 3. A summary of our approximate knowledge of species concentrations before and after the Great Oxidation Event. (See text for sources). PAL = Present Atmospheric Level of a gas.

Species	Anoxic late Archean	Oxic Middle Proterozoic
Atmospheric O ₂	< 1 ppmv	0.2-2% by volume
Atmospheric CO ₂	≤4%	≤0.4%
Atmospheric CH ₄	~10 ³ ppmv	~10 ² ppmv
Atmospheric N ₂ O	unknown	speculatively ~10-20 PAL
Atmospheric N ₂	<2.1 PAL, probably lower than 1 PAL	unknown, speculatively similar to PAL
Marine SO ₄ ²⁻ (aq)	<0.2 mM	~1-4 mM

13.08.3.1 Constraints on atmospheric gases before the GOE

The strictest constraint on pre-GOE O₂ levels comes from mass-independent fractionation (MIF) of sulfur isotopes, which suggests that the O₂ level was less than about 1 ppmv in the Archean (Pavlov and Kasting, 2002; Zahnle et al., 2006). There are four stable isotopes of sulfur, ³²S, ³³S, ³⁴S and ³⁶S. In mass-dependent fractionation (MDF), isotopes are fractionated according to the difference between their masses. Consequently, in MDF, ³⁴S is fractionated twice as much with respect to ³²S as is ³³S because the ³⁴S-³²S mass difference is twice that of ³³S-³²S. MDF applies to most fractionation processes such as the diffusive separation of gases or the kinetics of microbial metabolism. Expressed in terms of the delta notation ($\delta^x\text{S} = [({}^x\text{S}/{}^{32}\text{S})_{\text{sample}}/({}^x\text{S}/{}^{32}\text{S})_{\text{standard}} - 1]$), variations in $\delta^{33}\text{S}$ are usually about half those in $\delta^{34}\text{S}$ for MDF, which defines a straight line relationship. In contrast, MIF is any fractionation that deviates from the linear relationship. In simple terms:

$$\delta^{33}\text{S} \approx 0.5(\delta^{34}\text{S}) \text{ is mass dependent fractionation (precisely, } \delta^{33}\text{S} = 0.515\delta^{34}\text{S})(13)$$

$$\delta^{33}\text{S} \neq 0.5(\delta^{34}\text{S}) \text{ is mass independent fractionation (precisely, } \delta^{33}\text{S} \neq 0.515\delta^{34}\text{S})(14)$$

The proportionality constant can be considered ~ 0.5 for pedagogical purposes but a more precise value is 0.515, as shown in parentheses above. Similarly, a MDF straight line defines the relationship of $\delta^{36}\text{S}$ and $\delta^{34}\text{S}$ as roughly $\delta^{36}\text{S} \approx 2\delta^{34}\text{S}$ or more precisely $\delta^{36}\text{S} = 1.90\delta^{34}\text{S}$.

A notation called “cap-delta” is used to express the magnitude of the MIF, and refers to a deviation from the MDF straight line, as follows:

$$\Delta^{33}\text{S} = \delta^{33}\text{S} - 0.515\delta^{34}\text{S} \quad (15)$$

$$\Delta^{36}\text{S} = \delta^{36}\text{S} - 1.9\delta^{34}\text{S} \quad (16)$$

A graph of $\Delta^{33}\text{S}$ for pyrites and sulfates over geologic time (see Chapter 13.05) shows an unambiguous step change around 2.4 Ga that has been interpreted as evidence for the rise of O₂ (Farquhar et al., 2000; Farquhar et al., 2010).

The relationship between MIF in sulfur isotopes and atmospheric redox chemistry arises because photochemistry is the only process capable of producing $\Delta^{33}\text{S}$ up to $\sim 12\%$, which is observed in the pre-GOE record. In the lab, large MIF is produced during photolysis of SO₂ and SO, as follows (Farquhar et al., 2001):



The magnitude of the MIF depends on the isotopologue of the sulfur gas, i.e., whether the gas is ³²SO₂, ³³SO₂, ³²SO, etc. (Danielache et al., 2008; Lyons, 2009). Little MIF is observed during the photolysis of H₂S. Given the strong absorption of short wavelength photons in the upper atmosphere by CO₂ and H₂O, for all practical purposes, the photons in the early atmosphere that induce photolysis in eqs. (17) and (18) have wavelengths of 190-220 nm. Such ultraviolet photons can penetrate the troposphere in an anoxic atmosphere that lacks a stratospheric ozone layer. The elemental sulfur produced in eq. (18) polymerizes in anoxic atmospheres to make S₈ aerosols that rain out. However, sulfate aerosols are also produced from oxidizing

pathways because CO_2 and H_2O are present in any realistic early Earth atmosphere and are a source of photochemical oxidants (eq. (1)). Consequently, sulfate and S_8 aerosols can rain out with MIF signatures of opposite sign in $\Delta^{33}\text{S}$ and can be segregated in the rock record because of different preservation pathways. Exactly how this process works is still a matter of research.

The presence of MIF in sulfur isotopes has various implications for the ancient atmospheric composition. In an oxic atmosphere, such as today's, the sulfur-containing gases are oxidized into sulfate, which is an isotopically homogenous single species with no MIF that rains out and washes to the ocean. Photochemical models show that the presence of MIF in sulfur isotopes requires three conditions, as follows (Zahnle et al., 2006):

- 1) *Relatively abundant methane.* Photochemical models show that enough methane or hydrogen is needed as a reducing agent to form and precipitate S_8 from the upper troposphere (Ono et al., 2003). The presence of sulfur MIF doesn't distinguish whether the reducing gas was hydrogen or methane. Certainly, H_2 is an expected biogenic gas as well as methane in the Archean (Hoehler et al., 2001). However, if the H_2 concentration had been more than $\sim 4 \times 10^{-5}$ bar, it would be consumed by biology at the Earth's surface and converted into methane, according to experiments (Kral et al., 1998). Also, biological thermodynamics predict atmospheric concentration ratios of CH_4/H_2 in the range 10-30 (Kasting et al., 2001). Consequently, it is reasonable to conclude that sulfur MIF is indirect evidence that the Archean atmosphere was relatively rich in methane. Models suggest 10s-1000s ppmv, with the higher values giving larger rainout fluxes of S_8 , presumably linked to larger $\Delta^{33}\text{S}$ values.
- 2) *Ground-level $\text{O}_2 < 1$ ppmv.* An anoxic atmosphere is required to allow the formation of both sulfate and S_8 aerosols that carry an S-MIF signal (Pavlov and Kasting, 2002). Also, lack of stratospheric ozone is required to allow sufficiently shortwave photons to photolyze tropospheric sulfur-bearing gases.
- 3) *Sufficient input of sulfur-bearing gases to the atmosphere.* The production rate of polymers of elemental sulfur scales with the amount of sulfur gases. The formation of S_2 polysulfur depends approximately on the square of the concentration of S produced from eq. (18) because it requires a reaction of the form $\text{S} + \text{S}$. By extrapolation, the production of S_8 aerosols should depend on the eighth power of sulfur concentrations and so S_8 production is clearly going to be very sensitive to fluxes of sulfur-bearing gases, as shown in photochemical models (Zahnle et al., 2006). Such gases include SO_2 from volcanism and possibly other S-bearing gases, perhaps biogenic ones.

The inference of trace levels of atmospheric O_2 is consistent with conventional geologic indicators of negligible O_2 in the Archean. These include the absence of continental redbeds, the lack of oxidized paleosols, the presence of detrital minerals in riverbeds that would otherwise dissolve in oxygenated waters, and ferruginous conditions in the deep ocean that gave rise to banded iron formations on continental shelves (see Chapter 13.5).

The record of $\Delta^{33}\text{S}$ over geologic time may also tell us about concentrations of other gases besides O_2 and CH_4 . For example, if there had been very high CO_2 , which is an efficient Rayleigh scatterer of UV, there would have been insufficient shortwave photons reaching the lower atmosphere to produce sulfur-MIF. Farquhar et al. (2001) suggest that levels of pCO_2 less than 0.8 bar were required for the presence of sulfur-

MIF. This is consistent with a bound on the total atmospheric pressure of probably <1 bar deduced from fossil raindrop imprints at 2.7 Ga (Som et al., 2012).

In fact, there has been considerable debate about the amount of CO₂ versus methane in the Archean atmosphere. The general consensus is that Archean pCO₂ was higher than today but insufficient for CO₂-H₂O greenhouse warming of the early Earth without additional warming from other greenhouse gases such as methane ~10³ ppmv and its photochemical product ethane (Haqq-Misra et al., 2008). Trace levels of ethane derived from methane turn out to be important because ethane absorbs in the atmospheric window region 8-12 microns where most of the Earth's infrared radiation otherwise escapes to space. Of course, photochemical models show that higher levels of methane and its photochemical products are expected in an anoxic atmosphere (Pavlov et al., 2000; Zahnle et al., 2006). The methane is assumed to be biogenic, which may have been enhanced by the leaching of nickel from abundant nickel-rich ultramafic rocks on the early Earth (Konhauser et al., 2009). Nickel is a key cofactor in several methanogen enzymes.

In an early study of paleosols (fossilized soils), Rye et al. (1995), suggested that pCO₂ was no more than ~10 times present levels based on the absence of siderite (FeCO₃), which ought to form in anoxic porewaters in the presence of ferrous iron with higher pCO₂. However, Sheldon (2006) criticized this paper. He found that calculated pCO₂ would be comparable to modern levels (or even less) if up-to-date thermodynamic data were applied to the equilibrium between siderite and iron silicates proposed by Rye et al. Because of uncertainty about the exact silicate minerals in the equilibria, Sheldon suggested that the equilibria approach was flawed and that a mass balance approach was better. With mass balance, he derived an estimate of 23₊₃^{x3} PAL of CO₂ for 2.2 Ga paleosols, where his PAL (Present Atmospheric Level) was taken as 370 ppmv CO₂. Driese et al (2011) applied the same method to a 2.69 Ga paleosol to derive a pCO₂ of 10-50 PAL at that time.

The Driese et al. (2011) and Sheldon (2006) pCO₂ estimates come from a paleosol weathering equation of Holland and Zbinden (1988):

$$\frac{M}{t} \text{ (mol cm}^{-2} \text{ yr}^{-1}) = \left[\frac{K_{CO_2} \cdot r}{10^3} + \kappa \frac{D_{CO_2} \cdot \alpha}{L} \right] pCO_2 \quad (19)$$

On the right, the first term in the brackets accounts for CO₂ dissolved in rainwater, while the second term corresponds to diffusion of CO₂ through the soil. On the left is a column weathering rate where M is the number of moles of CO₂ per cm² required to produce the observed paleosol weathering profile and t is the soil formation time. The carbon dioxide partial pressure is pCO₂ in atm, K_{CO_2} is the Henry's Law constant for CO₂, r is the amount of rainfall absorbed by the soil (cm yr⁻¹), κ is a conversion constant that is the ratio of seconds in a year divided by the number of cm³ per mol of gas at standard temperature and pressure (= 1.43×10³ s·cm³/(mol yr)), D_{CO_2} is the diffusion coefficient for CO₂ in air (0.162 cm² s⁻¹), α is the ratio of the CO₂ diffusion coefficient in air to that in soil, and L is the total depth of the soil horizon. Holland and Zbinden assign an uncertainty of a factor of 10 in either direction on α whereas Sheldon suggests that the biggest uncertainty is a factor of 2 in either direction in t , and he sets $\alpha = 0.1$ with a variability of ±20 percent. Overall, the exact uncertainty on pCO₂ obviously depends on assumptions about r , α and t . But the general result is

that $p\text{CO}_2$ was probably up to 100 PAL but not higher in the late Archean. This constraint from paleosols is consistent with theoretical models that suggest greater hydrothermal alteration of the early seafloor basalt that may have removed CO_2 and limited its abundance (Zahnle and Sleep, 2002).

In summary, the pre-GOE atmosphere likely had < 1 ppmv O_2 , high levels of methane $\sim 10^3$ ppmv (but possibly falling to ~ 10 ppmv around 2.4 Ga), and levels of CO_2 up to 100 times the modern atmosphere's. Constraints on the amount of N_2 remain very limited at present. Evidence from Archean fossil raindrop imprints suggests that the total pressure, $p\text{N}_2$ was probably not much higher than today's level or perhaps lower (Som et al., 2012). A lower $p\text{N}_2$ might be expected because the biogenic release of N_2 to the atmosphere in the modern nitrogen cycle comes from nitrogen species that are oxidized prior to denitrification. This oxic part of the cycle would have been very limited when O_2 was only a trace gas.

13.08.3.2 Constraints on atmospheric gases after the GOE

The Canadian geologist Stuart Roscoe first noticed that large glaciation events were associated with atmospheric redox change around 2.4 Ga (Roscoe, 1969). Roscoe's fieldwork was in the 2.45-2.22 Ga Huronian Supergroup of Canada, which is a section of mainly sedimentary rocks that contains detrital minerals of pyrite and uraninite stratigraphically below three diamictites. The diamictites are interpreted as evidence for three glaciations. Reduced detrital minerals, which should dissolve in oxygenated waters, suggest anoxic conditions before the glaciations. In addition, pre-2.45 Ga paleosols have upward depletion of total iron, which suggests weathering under an anoxic atmosphere that was able to mobilize Fe^{2+} in solution (Prasad and Roscoe, 1996). Pre-2.45 Ga Huronian paleosols also have cerium profiles indicative of anoxic weathering (Murakami et al., 2001). In contrast, the top of the Huronian succession contains red beds and oxidized paleosols. To Roscoe, such an association suggested a causal link between the atmospheric oxygenation and glaciations. Evidence for Paleoproterozoic glaciation is found in Australia, India, Russia, Scandinavia, South Africa, and elsewhere in North America (Bekker et al., 2003; Eyles and Young, 1994), which implies that it was global in extent. Moreover, the global climate is inferred to have been extremely cold because magnetic studies in the South African glaciations suggest low latitudes (Evans et al., 1997; Evans, 2003).

A possible explanation of the glaciations is that the rise of O_2 could have diminished the greenhouse effect because an oxic atmosphere is chemically incompatible with comparatively large amounts of methane that should have existed in an anoxic atmosphere (Pavlov et al., 2000). A more nuanced view is that the gradual loss of reducing power in the late Archean atmosphere-ocean-crust system led to an increasing pool of seawater sulfate, smaller biogenic methane fluxes, and thus a decline of atmospheric methane levels that initiated the cooling of the Earth (Zahnle et al., 2006). Sulfur isotope evidence from the post-GOE glaciation supports this latter view (Papineau et al., 2007; Williford et al., 2011). Possibly, removal of CO_2 through silicate weathering prior to the glacial times may have also contributed because of the subaerial occurrence of large igneous provinces at 2.45 Ga (Kump and Barley, 2007; Melezhik, 2006). In any case, the three Paleoproterozoic glacial episodes suggest that the atmosphere may have oscillated in composition and climate before finally settling down to a permanently oxic state. Some biogeochemical models are able to reproduce such oscillations in atmospheric composition and climate (Claire et al., 2006). However, we note that the behavior of such models depends upon the

parameterization of the response of biogenic O_2 and CH_4 fluxes to global temperature change. There remains great uncertainty about the magnitude and scaling of such a biogenic response to global climate.

In general, the persistent absence of significant S-MIF throughout the latter part of the Paleoproterozoic suggests that atmospheric O_2 concentrations must have increased to > 1 ppmv. Although it is clear that the atmosphere was oxic, there are relatively few constraints on the exact O_2 levels. In the middle Proterozoic, the concentration of marine sulfate is inferred to have been at a level of a few mM compared to 28 mM today (Kah and Bartley, 2011; Kah et al., 2004; Shen et al., 2003) and it is likely that atmospheric O_2 levels stayed somewhere between ~ 0.2 -2% in absolute terms throughout much of the Proterozoic. It is only much later, by ~ 551 Ma, that there are estimates of atmospheric $O_2 > 3\%$ in absolute concentration. At that time, Canfield et al. (2007) calculate an O_2 level $> 3\%$ by assuming an O_2 demand for 500-1500 m water depths of sediments at the Avalon Peninsula, Newfoundland. This Neoproterozoic rise in O_2 is also supported by a significant jump in Mo and V enrichments in black shales (Scott et al., 2008) along with $\delta^{98}Mo$ (Dahl et al., 2010).

A non-intuitive consequence of the rise of O_2 in the Paleoproterozoic was a probable re-establishment of atmospheric methane concentrations (Catling et al., 2004; Claire et al., 2006; Goldblatt et al., 2006) that were enough to produce ~ 6 -7°C of greenhouse warming (Roberson et al., 2011). When O_2 rises and the stratospheric ozone layer is established, the net reaction between O_2 and methane in the troposphere slows down because the troposphere is shielded from shortwave UV (Catling et al., 2004; Claire et al., 2006; Goldblatt et al., 2006). Provided that there was a significant methane flux, the methane levels could have been as high as $\sim 10^2$ ppmv until the Neoproterozoic.

Today, there is an enormous flux of seafloor CH_4 that never reaches the atmosphere, estimated as a few tens of $Tmol\ CH_4\ yr^{-1}$ (Catling et al., 2007). This methane is consumed by microbial SO_4^{2-} reduction at the CH_4 - SO_4^{2-} transition zone in sediments (D'Hondt et al., 2002), so that the ocean is an inconsequential source of CH_4 for the modern atmosphere. But in a Proterozoic ocean with extensive euxinia, a big flux of methane could have vented from the seafloor to the atmosphere (Catling et al., 2002; Pavlov et al., 2003). Evidence for euxinia comes, in part, from molybdenum isotopes. Under high oxygen conditions, uptake of Mo on marine solids preferentially removes ^{95}Mo relative to ^{98}Mo , leaving an isotopically heavy ocean. However, under low oxygen conditions, seawater is ^{95}Mo -enriched. Sulfides in euxinic deep waters sequester Mo efficiently and can capture the marine Mo isotope composition. Rocks with ^{95}Mo -enrichment from the 1.7-1.4 Ga MacArthur Basin, Australia, suggest that the Mesoproterozoic deep ocean commonly had a euxinic area that was probably several percent compared to today's 0.3% (Arnold et al., 2004; Kendall et al., 2009; Kendall et al., 2011).

Another redox-sensitive greenhouse gas that may have been moderately important after the GOE is nitrous oxide, N_2O (Buick, 2007). The source of N_2O is denitrification, when bacteria convert oxidized nitrogen compounds into N_2 along with minor N_2O release. The conversion of N_2O to N_2 , which is the terminal step of denitrification, depends on an enzyme, nitric oxide synthase, which contains 12 Cu atoms in its complex. Proterozoic oceans with widespread euxinia would tend to scavenge Cu into copper sulfides. If the oceans were copper-depleted, possibly more nitrogen was released as N_2O by a factor of 10-20. The concentration of N_2O roughly scales with its flux to the atmosphere. An N_2O level 10-20 times that of today's 0.3 ppmv could provide 3-5°C of greenhouse warming (Roberson et al., 2011). Of course,

there are no direct constraints on N_2O levels, but the Mesoproterozoic is an era with no evidence of glaciation, which suggests an unusual duration of climate stability and persistent greenhouse warming.

Carbon dioxide levels in the mid-Proterozoic were probably lower than those in the Archean and Paleoproterozoic. Analysis of paleosols from around 1.1-1.0 Ga suggests CO_2 levels ≤ 10 PAL (Mitchell and Sheldon, 2010; Sheldon, 2006). Also, microfossil evidence for *in vivo* calcification of cyanobacteria at 1.2 Ga suggests $\text{pCO}_2 \leq 10$ PAL (Kah and Riding, 2007). In contrast, paleosol analysis around 1.8 Ga implies CO_2 levels up to ~ 50 PAL. Table 3 summarizes the various estimates of gas concentrations before and after the GOE.

13.08.4 EXPLAINING THE RISE OF O_2

A consideration of what we mean by anoxic versus oxic atmospheres (Sec. 13.08.2.4) allows us to deduce what was required for a rise of O_2 . If we examine eq. (11), it is clear that a positive value of $d[\text{O}_2]/dt$, i.e., an O_2 increase, only occurs when the source of O_2 exceeds the O_2 sink. Alternatively, in terms of the parameter K_{oxy} introduced in eq. (12), to transition from an anoxic to oxic atmosphere, there are only two choices: either the O_2 source ($F_{\text{reductant_burial}}$) increases or the non-weathering O_2 sink ($F_{\text{metamorphic}} + F_{\text{volcanic}}$) decreases. Consequently, various theories, described below, which attempt to explain the GOE, are all variants of these two themes.

13.08.4.1 Hypotheses for an increasing source of O_2

One group of hypotheses for the rise of O_2 concern the idea that the source of O_2 increased because of enhanced rates of burial of organic carbon. These ideas divide into two subgroups: A pulse of organic burial caused the rise of O_2 or a long-term trend of increasing organic carbon burial led to a tipping point. Sometimes, the latter concept is tied to models of continental growth, where it is argued that more continental margin area allowed for more organic burial either directly or through nutrient supply.

At one end of the spectrum is the idea that the rise of O_2 happened almost immediately (in geological time) after the origin of oxygenic photosynthesis (Kirschvink and Kopp, 2008). The counter-argument is that there are multiple lines of independent evidence for the production of O_2 several hundred million years before the rise of O_2 at 2.4 Ga. Geochemical evidence of small amounts of O_2 before the GOE include iron speciation in marine sediments (Kendall et al., 2010; Poulton et al., 2010; Reinhard et al., 2009), trace amounts of molybdenum and rhenium (Anbar et al., 2007; Wille et al., 2007), sulfur isotope fractionation associated with the presence of sulfate (Kaufman et al., 2007), and extremely ^{12}C -enriched organic carbon consistent with microbes that oxidize methane with oxidants derived from O_2 or O_2 itself (Hayes, 1994; Hayes and Waldbauer, 2006). Although controversial, organic biomarkers for cyanobacteria and steranes derived from steroids biosynthesized with O_2 are reported up to ~ 0.3 Gyr before the rise of O_2 (Eigenbrode et al., 2008; Waldbauer et al., 2009). Conical stromatolites back to 2.7 Ga also indicate cyanobacterial production of O_2 through their disrupted, curled and contorted laminae with enmeshed millimeter-scale bubbles (Bosak et al., 2009). Because one would need to dismiss all the above lines of evidence, the hypothesis of Kirschvink and Kopp (2008) has failed to garner much support in the Precambrian science community.

The idea that there was a pulse of burial of organic carbon arises from excursions in the carbon isotope record. Organic matter preferentially extracts the lighter isotope, ^{12}C , from the ocean, so that seawater carbon, as recorded in marine carbonates, should become more ^{12}C -depleted (or higher in $\delta^{13}\text{C}$) if organic burial rates increase. Two or three $\delta^{13}\text{C}$ excursions in carbonates occur during the period 2.4-2.06 Ga, including the global 2.2-2.06 Ga *Lomagundi* excursion, named after a district in Zimbabwe (now called Makonde) where ^{13}C -rich dolomites were first studied (Bekker et al., 2008; Bekker et al., 2006; Maheshwari et al., 2010; Melezhik and Fallick, 2010; Melezhik et al., 2007; Schidlowski et al., 1976). Karhu and Holland (1996) suggested that the Lomagundi carbonates indicated a pulse in organic carbon burial that may have caused the rise of O_2 because of associated O_2 release via eq. (9). However, improved radiometric dating shows that the Lomagundi excursion cannot have caused the GOE because it happened *after* the GOE at ~ 2.4 Ga. Instead, the excursion is probably an effect of the rise of O_2 .

The remaining possibility (on the O_2 source side) is that there was a gradual increase of organic carbon burial. Whether or not this happened depends upon an interpretation of the somewhat noisy record of $\delta^{13}\text{C}$ in carbonates and organic carbon, for which there are differing views. One pioneering study suggested a secular increase in organic carbon burial fluxes based on boxcar averaging of the $\delta^{13}\text{C}$ time series (DesMarais et al., 1992). However, the averaging started at 2.6 Ga when very negative $\delta^{13}\text{C}$ for organic carbon ($\delta^{13}\text{C}_{\text{org}}$) occur. These anomalously low $\delta^{13}\text{C}_{\text{org}}$ values were subsequently attributed to methanotrophy (Hayes, 1994; Hayes and Waldbauer, 2006; Hinrichs and Boetius, 2002). If so, the $\delta^{13}\text{C}_{\text{org}}$ represents diagenetic processing in sediments rather than an isotopic balance involving global marine seawater. Another model concerns a gradual decrease of the uptake of ^{12}C -depleted carbon into hydrothermal carbonates from the early to late Archean, which would allow the fraction of organic carbon burial to increase within the constraints of the $\delta^{13}\text{C}$ record (Bjerrum and Canfield, 2004). This model assumes a gradient in the $^{13}\text{C}/^{12}\text{C}$ ratio between the surface and deep seawater from a “biological pump”. However, $\delta^{13}\text{C}$ measurements in 3.46 Ga seafloor do not show such a gradient (Nakamura and Kato, 2004). Nonetheless, analysis of the carbon isotope data can produce a quite variable organic burial fraction over geologic time (see Chapter 13.09).

Continental shelves are places for burying organic carbon (Knoll, 1979), while continental weathering is a source of phosphorus, so some hypotheses of increasing organic burial tie organic burial to continental growth (Godderis and Veizer, 2000). Indeed, bursts of continental growth have been inferred from zircon U-Pb ages, and speculatively linked to O_2 increases (Campbell and Allen, 2008). However, such bursts are probably artefacts of crustal preservation from supercontinent formation (Hawkesworth et al., 2009). Indeed, the present continental crust volume was probably reached by ~ 2 -3 Ga (Hawkesworth et al., 2010), which, at least on the older end of these estimates, is 0.6 billion years before the GOE. For the younger end of this age range, the question is whether support is provided for a secular increase of organic burial by the $\delta^{13}\text{C}$ record or not.

13.08.4.2 Hypotheses for an decreasing sink of O_2

An alternative and fairly common interpretation of carbon isotopes is that there is no clear signal in the noisy record and that since 3.5 Ga the average $\delta^{13}\text{C}$

value of marine carbonates has remained roughly constant at $\sim 0\text{‰}$ while the average $\delta^{13}\text{C}_{\text{org}}$ has been about -25‰ (Schidlowski, 1988). In this view, the fraction of carbon buried as organic relative to marine carbonate has remained roughly constant (Holland, 1984, 2002, 2009; Kump and Barley, 2007; Kump et al., 2001).

The fraction of carbon buried as organic carbon can be estimated from mass balance. On timescales exceeding $\sim 10^5$ years, which is the residence time of carbon on the ocean, the total amount of carbon entering the atmosphere-ocean system must balance the total amount leaving. Because the carbon is buried either as carbonate or organic carbon, the burial fractions of each type of carbon, f_{carb} and f_{org} , respectively, will sum to unity:

$$f_{\text{carb}} + f_{\text{org}} = 1 \quad (20)$$

Similarly, the input and output flux must balance for the isotopic composition of carbon, however one expresses it, whether a $^{13}\text{C}/^{12}\text{C}$ ratio or $\delta^{13}\text{C}$. So, in steady state, the isotopic composition of carbon input from mantle and riverine sources, $\delta^{13}\text{C}_{\text{in}}$ will equal the output, $\delta^{13}\text{C}_{\text{out}}$. We can expand eq. (20) to include the isotopic composition of organic matter $\delta^{13}\text{C}_{\text{org}}$, and carbonates $\delta^{13}\text{C}_{\text{carb}}$, as follows:

$$\begin{aligned} \delta^{13}\text{C}_{\text{in}} = \delta^{13}\text{C}_{\text{out}} &= f_{\text{org}} \delta^{13}\text{C}_{\text{org}} + f_{\text{carb}} \delta^{13}\text{C}_{\text{carb}} \\ &= f_{\text{org}} \delta^{13}\text{C}_{\text{org}} + (1 - f_{\text{org}}) \delta^{13}\text{C}_{\text{carb}} = \delta^{13}\text{C}_{\text{carb}} - f_{\text{org}} \Delta_B \end{aligned} \quad (21)$$

Here, Δ_B is the difference between carbonate and organic carbon isotopic composition:

$$\Delta_B = \delta^{13}\text{C}_{\text{carb}} - \delta^{13}\text{C}_{\text{org}} \quad (22)$$

Let us consider the $\delta^{13}\text{C}$ values in the above equations. Today, volcanic gases show that mantle carbon has $\delta^{13}\text{C}$ around -5‰ . This input value appears to have been constant over geologic time because ancient carbon in peridotitic xenoliths have $\delta^{13}\text{C}$ of about -5‰ (Pearson et al., 2003), as does carbon in mantle-derived basalts and carbonatites (Mattey, 1987). Also, the riverine source from the weathering of organic carbon and carbonates has a mean $\delta^{13}\text{C}$ value of about -5‰ as well (Holser et al., 1988). So, $\delta^{13}\text{C}_{\text{in}} \approx -5\text{‰}$. Marine sediments throughout geologic time have $\delta^{13}\text{C}_{\text{carb}} \approx 0\text{‰}$. While $\delta^{13}\text{C}_{\text{org}}$ has considerable scatter, it averages around -25‰ (Schidlowski, 1988). Thus, from eq. (22), we obtain $\Delta_B \approx 25\text{‰}$. Substituting this and other values into eq. (21), we have $-5\text{‰} = 0 - f_{\text{org}}(25\text{‰})$. Solving for f_{org} , we get $f_{\text{org}} \sim 0.2$. Consequently, roughly 20% of incoming carbon is buried as organic carbon, while the remaining 80% fluxes out as carbonate carbon.

Given the relative constancy of average $^{13}\text{C}_{\text{carb}}$ and $\delta^{13}\text{C}_{\text{org}}$ since 3.5 Ga, some have argued for relative constancy of the ratio of the burial rates of organic and carbonate carbon (Holland, 1984, 2002, 2009; Kump and Barley, 2007; Kump et al., 2001). On the other hand, others have interpreted the data to suggest a larger magnitude of Δ_B in the Archean. For example, Hayes and Waldbauer (2006), suggest that f_{org} was ~ 0.15 throughout much of Earth's history, and rose to ~ 0.24 since 0.9 Ga. Canfield (2005) also favors lower f_{org} in the Archean (see also Chapter 13.09).

If there had been relative constancy of the organic burial fraction within the

error bars of the data and oxygen photosynthesis arose before 2.7 Ga, then the only way that Archean O_2 could remained a trace atmospheric gas is if there had been a large O_2 sink from a volcanic and metamorphic reductants (Catling et al., 2001; Holland, 2002; Kasting et al., 1993; Kump et al., 2001). Oxygen would have risen once the sink declined.

Following this line of logic, one group of hypotheses for the GOE suggest that there was a greater proportion of reducing gases in volcanic gases on the early Earth and that this fraction diminished in time. In other words, volcanic gases gradually became more oxidized (Holland, 2002; Kasting et al., 1993; Kump et al., 2001). Volcanic gases have a redox state that is controlled by the redox state of the mantle. Simply put, a more reducing mantle produces more reducing volcanic gases. So volcanic gases could have been more reducing in the Archean if the Archean mantle had been at a lower oxidation state than the Proterozoic mantle. However, analyses of redox-sensitive chromium and vanadium abundances in basalts (Canil, 2002; Delano, 2001; Li and Lee, 2004) indicate that the mantle's average oxidation state has remained roughly constant since ~ 3.5 Ga. This is somewhat surprising because it seems almost certain that the mantle has lost hydrogen to the atmosphere and then space (Catling et al., 2001; Kasting et al., 1993). However, the ability to lose reducing power and stay at the same oxidation state can be explained by the mantle's size and strong redox buffering (Lee et al., 2005).

The mantle redox state is expressed in terms of oxygen fugacity \mathcal{F}_{O_2} . The term 'fugacity' generally represents the effective partial pressure of a gas in thermodynamic equilibrium with a particular mineral assemblage. But O_2 itself is not a gas species in rock. Instead, the dissociation of H_2O , CO_2 , and SO_2 or the reduction of Fe^{3+} supplies oxidizing power, for which \mathcal{F}_{O_2} is a theoretical index of the redox state (Frost, 1991). Petrologists define various synthetic mineral assemblages to characterize oxygen fugacities. These assemblages do not exist in nature, but are used to express an equivalent \mathcal{F}_{O_2} for natural assemblages. A common synthetic assemblage is the quartz-fayalite-magnetite (QFM or FMQ) buffer:



The oxygen fugacity for this reaction is calculated as $\mathcal{F}_{O_2} = 10^{-8.5}$, using a temperature and pressure-dependent parameterization (Mueller and Saxena, 1977) with conditions appropriate for surface volcanism of 5 atm pressure and $1200^\circ C$, or 1473.15 K (Holland, 1984).

Volcanic gases can be assumed to attain a redox state defined by the mantle oxygen fugacity. For example, the ratio of volcanic H_2 to steam will be determined by an equilibrium reaction as follows:



Here, K_{H_2O} is an equilibrium constant defined through the following:

$$K_{H_2O} = \frac{\mathcal{F}_{H_2} \cdot \mathcal{F}_{O_2}^{0.5}}{\mathcal{F}_{H_2O}} \Rightarrow r_{H_2} = \frac{\mathcal{F}_{H_2}}{\mathcal{F}_{H_2O}} = \frac{K_{H_2O}}{\mathcal{F}_{O_2}^{0.5}} \quad (25)$$

In the second equality, r_{H_2} is ratio of the hydrogen and water fugacities, $\mathcal{F}_{\text{H}_2} / \mathcal{F}_{\text{H}_2\text{O}}$. Gibbs free energy values (available online from the US National Institute of Standards and Technology (NIST)) allow $K_{\text{H}_2\text{O}}$ to be calculated as 1.31×10^{-6} . Consequently, substituting $\mathcal{F}_{\text{O}_2} = 10^{-8.5}$ in eq. (25), we derive a modern value of r_{H_2} as ~ 0.02 , which is similar to typical measured volcanic gas compositions (Holland, 1978). The geochemical studies mentioned above suggest that the average oxygen fugacity \mathcal{F}_{O_2} in the Archean mantle differed from the modern mantle by no more than $0.3 \log_{10}$ units. If \mathcal{F}_{O_2} were $0.3 \log_{10}$ units lower than $10^{-8.5}$, r_{H_2} would only increase to 0.03. Volcanic gases with $\sim 3\%$ of the hydrogen as H_2 compared to H_2O instead of $\sim 2\%$ today would make a negligible difference to the redox balance of the early atmosphere. Much larger changes in oxygen fugacity are necessary for significant effect. For example, if the Archean mantle \mathcal{F}_{O_2} had been $10^{-11.5}$ atm, i.e., $3 \log_{10}$ units lower than today's $10^{-8.5}$, about three-quarters of volcanic hydrogen would have been emitted as H_2 rather than water vapor, providing a huge sink for O_2 . But the geochemical data do not allow for such drastic \mathcal{F}_{O_2} changes.

Although the mantle redox state has apparently remained roughly constant through time, it is still possible for the redox state of volcanic gases to have changed through other mechanisms. For example, consider the H_2/CO_2 flux ratio. The flux of H_2 is a sink on O_2 whereas the flux of CO_2 is related to the O_2 source flux, because O_2 derives from carbon fixation and subsequent organic burial. In terms of fugacities, we can write,

$$\frac{\text{volcanic flux of } \text{H}_2}{\text{volcanic flux of } \text{CO}_2} \approx \text{fugacity ratio} = \left(\frac{\mathcal{F}_{\text{H}_2}}{\mathcal{F}_{\text{H}_2\text{O}}} \right) \left[\frac{\mathcal{F}_{\text{H}_2\text{O}}}{\mathcal{F}_{\text{CO}_2}} \right] \approx r_{\text{H}_2} \left[\frac{\text{H}_2\text{O flux}}{\text{CO}_2 \text{ flux}} \right] \quad (26)$$

From eq. (26), we can see that if the flux ratio of $\text{H}_2\text{O}/\text{CO}_2$ through volcanoes changed in the past, the gas flux ratio of H_2/CO_2 would change even if r_{H_2} (the ratio of fugacities $\mathcal{F}_{\text{H}_2} / \mathcal{F}_{\text{H}_2\text{O}}$) had remained constant. Holland (2009) has put forward the hypothesis that carbon accumulated in the surface reservoir over time from outgassing so that the $\text{H}_2\text{O}/\text{CO}_2$ flux ratio through volcanoes decreased. In that case, the proportion of H_2 relative to the carbon outgassing would have been greater in the past, providing a larger sink on O_2 . Holland also suggests that the $\text{SO}_2/\text{H}_2\text{O}$ flux ratio increased with time because of greater geologic cycling of sulfur. Certainly, after sulfate became abundant, subduction recycling of sulfur should have increased, because we know that some seawater sulfur cycles through subduction zone volcanoes today. However, increased sulfur cycling is probably a positive feedback of the GOE, rather than its cause, because marine sulfate derives from the oxidation of sulfide. The history of carbon cycling is more complex. Some carbon cycle models establish a maximum surface reservoir of carbon by about 3 Ga (Zahnle and Sleep, 2002), which is much earlier than the GOE but there are many variables in such models.

Kump and Barley (2007) provide an alternative way to effect a change in the redox properties of volcanic gases. They suggest that the predominant style of volcanism evolved from submarine to subaerial. Submarine volcanism has a large area of relatively low temperature, off-axis emissions that are more reducing than gases from high temperature subaerial volcanism. Also, if there were shallower mid-ocean ridges, thermodynamics predicts a larger Fe^{2+} flux from hydrothermal vents compared to today, especially when seawater is depleted in sulfate (Kump and

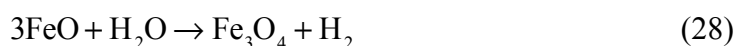
Seyfried, 2005). Today, the subaerial volcanic sink on O₂ is twice that of the submarine volcanic gas. Together the subaerial and submarine volcanic sinks are a factor of ~4 smaller than the O₂ flux released from organic burial according to inventories in Table 2 versus Table 1. So the proposal of Kump and Barley (2007) requires large changes in the balance of volcanic emissions between subaerial and submarine. Another proposal on a similar theme is that the change in degassing pressure between submarine and subaerial volcanism shifted the redox state of the gases, based on model calculations (Gaillard et al., 2011). However, gas composition is sensitive to temperature, so the effect of reequilibration with lower temperature surroundings as gases emerge from magmas requires further investigation.

A final and similar idea for a decreasing sink on O₂ is that the proportion of reducing gases in the metamorphic gas flux decreased from the Archean to the Proterozoic (Catling et al., 2001; Claire et al., 2006). Such gases, by definition, arise from regions in the crust that do not melt, and so they are not constrained by the mantle redox.

A couple of chemical examples show how the crust could have lost hydrogen and become progressively oxidized. Some Archean banded iron formations (BIFs) (see Chapter 13.07) contain siderite (FeCO₃), which decomposes to magnetite during thermal metamorphism, as follows (McCollom, 2003)



In addition, serpentinization in hydrothermal systems generates hydrogen, which we can write schematically as:



Methane is often released from rocks where such serpentinization occurs because microbes convert the hydrogen into methane (Chapelle et al., 2002; Sherwood Lollar et al., 2006). Serpentinization certainly occurred in Archean greenstone belts, which are elongate regions with an abundance of altered mafic to ultramafic igneous rocks. In eqs. (27) and (28), ferrous iron is oxidized to ferric iron and hydrogen-bearing gas is released. In the anoxic Archean atmosphere, high levels of methane or hydrogen would have necessarily caused a significant flux of hydrogen to escape to space (see Sec. 13.08.2.1). Subsequent metamorphic processing of the more oxidized crust would produce less reducing metamorphic gases as a matter of Le Chatelier's principle during each geologic cycle.

The proposal that the crust oxidized as a result of hydrogen loss is supported by inventories of oxidized and reduced species in the continental crust (Fig. 4). If photosynthesis were solely responsible for the oxidation state of the crust, the number of moles of excess oxygen in ferric iron, sulfate and free oxygen should be balanced by an equal number of moles of organic carbon (see eq. (9)). The continental crust, ocean and atmosphere contain $\sim 2.5 \times 10^{21}$ mol of excess O₂ equivalents (Catling et al., 2001), which is mostly in the form of ferric iron that started out as ferrous iron. However, the quantity of reduced carbon in the crust is much smaller, $< 1.3 \times 10^{21}$ mol (Wedepohl, 1995). Hydrogen escape to space is a plausible explanation for the missing reductant because the expected quantity of oxidation is the correct size. If the concentration of methane in the Archean atmosphere had been $\sim 10^3$ ppmv, as calculated in photochemical models, the hydrogen escape rate would have been $\sim 7 \times 10^{12}$ mol O₂ yr⁻¹ from the theory of Sec. 13.08.2.1. Over 0.4 b.y., the escape of

hydrogen at this rate would produce an irreversible quantity of 2.8×10^{21} mol O₂ equivalents—more than enough to account for the oxidation of the crust. Extra oxidation could have been taken up by the mantle with little effect on its overall redox state.

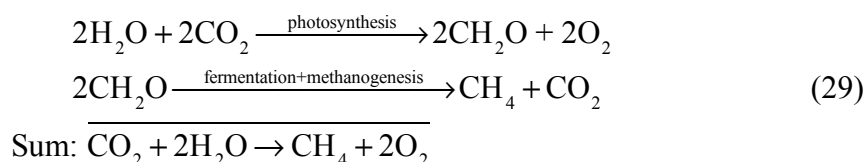
The overall result of a decline in the proportion of reducing gases in volcanic or metamorphic gases is the same: a transition to an oxic atmosphere. Claire et al. (2006) describe results of a box model that tracks the comings and goings of redox fluxes in the Earth system. The model includes parameterized photochemistry that allows calculation of the concentrations of atmospheric O₂ and methane. In this box model, crustal fugacity controls the oxidation state of metamorphic gases and, for numerical simplicity, the model converts organic carbon to Fe²⁺ equivalents via a process of greenschist metamorphism, $6\text{Fe}_2\text{O}_3 + \text{C} \rightarrow 4\text{Fe}_3\text{O}_4 + \text{CO}_2$. The model can be run either assuming that the volcanic gas sink diminished or that the metamorphic gas sink declined. Fig. 5 shows that either scenario can generate an oxic transition. Common characteristics of such models are that there is negligible oxidative weathering prior to the GOE and that a transition to an oxic atmosphere occurs when K_{oxy} (as defined in eq. (12)) reaches unity.

13.08.5 CHANGES IN ATMOSPHERIC CHEMISTRY AND CLIMATE ASSOCIATED WITH THE RISE OF O₂

13.08.5.1 The collapse of methane at the GOE

Earlier (Sec. 13.08.3.2), we discussed the possibility that a collapse of atmospheric methane levels was responsible for Paleoproterozoic glaciation. This would be consistent with increasing oxidation in the Earth system, particularly greater concentrations of sulfate in the ocean and diminished methane fluxes to the atmosphere as a result of methanotrophy using sulfate (Catling et al., 2007).

We can consider the biogeochemistry and associated atmospheric change in more detail. In the Archean microbial ecosystem models of Kasting (2001) and Claire et al. (2006), the key redox-sensitive biogenic gases emitted to the atmosphere are O₂ and CH₄. Once oxygenic photosynthesis arose, it was the main source of sedimentary organic matter, which could be fermented by a consortium of microbes to make methane. The net reaction can be deduced as follows:



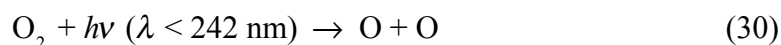
Thus, oxygenic photosynthesis followed by fermentation and methanogenesis produces fluxes of O₂ (ϕ_{O_2}) and CH₄ (ϕ_{CH_4}) in the ratio $\phi_{\text{O}_2}/\phi_{\text{CH}_4} = 2$. Of course, methane is a relatively inert molecule whereas O₂ reacts with a plethora of gases and soluble cations, particularly on a reducing early Earth. Consequently, we would not expect a simple 2:1 mutual destruction of O₂ and CH₄ but rather an atmosphere with negligible O₂ and relatively abundant methane, as data and models suggest. However,

once standing pools of oxidants, such as marine sulfate became more available, ϕ_{CH_4} should have dropped relative to ϕ_{O_2} because of methanotrophy (Valentine, 2002).

Photochemical models show that when the $\phi_{\text{O}_2}/\phi_{\text{CH}_4}$ flux ratio increases, an atmosphere can flip to a permanently oxic state. Fig. 6 shows the results of a photochemical model, which was run as a thought experiment. In this model, the ground-level methane abundance was held constant at 100 ppmv, while the ground-level O_2 mixing ratio was increased. The model calculated the fluxes of CH_4 and O_2 needed to maintain the prescribed atmosphere. This model used fixed outgassing fluxes of $\sim 1 \text{ Tmol S yr}^{-1}$ (with $\text{SO}_2:\text{H}_2\text{S}$ in 10:1 ratio), $2.7 \text{ Tmol H}_2 \text{ yr}^{-1}$, and $0.3 \text{ Tmol CO yr}^{-1}$. This outgassing sink on oxygen adds up to only $\sim 2.15 \text{ Tmol O}_2 \text{ yr}^{-1}$ but one can think of it as a net flux that ignores the zero sum of an organic burial flux that would be of order $\sim 10 \text{ Tmol O}_2 \text{ yr}^{-1}$ and a complementary $\sim 10 \text{ Tmol O}_2 \text{ yr}^{-1}$ geologic gas sink. Fig. 6 also shows the rainout fluxes of SO_4^{2-} and S_8 aerosols generated by the photochemistry. When the O_2 mixing ratio increases above 10^{-6} , i.e., $>1 \text{ ppm}$, we see that the S_8 fallout flux drops off, which we interpret as related to the loss of mass-independent fractionation (MIF) of sulfur isotopes (as described in Sec. 13.08.3.1). The plus symbols (“+”) indicate the $\phi_{\text{O}_2}/\phi_{\text{CH}_4}$ flux ratio, which maps to the right hand vertical axis. In Fig. 6, shaded regions require biogenic fluxes that Zahnle et al. (2006) argue are unreasonable. On the anoxic part of the graph, $\phi_{\text{O}_2}/\phi_{\text{CH}_4}$ is approximately 2 but not precisely because there are fluxes of redox-sensitive rainout species, principally hydrogen peroxide and formaldehyde, which have to be tallied up to describe the atmosphere’s redox budget precisely. Nonetheless, examination of Fig. 6 shows that oxic solutions on the right have higher $\phi_{\text{O}_2}/\phi_{\text{CH}_4}$ ratios than the anoxic solutions on the left. But the change is not much. An increase in the flux ratio $\phi_{\text{O}_2}/\phi_{\text{CH}_4}$ of less than 3% creates a flip between an anoxic atmosphere with O_2 mixing ratio $\leq 2 \times 10^{-7}$ and an oxic atmosphere with O_2 mixing ratio $\geq 6 \times 10^{-4}$. Effectively, the increase in $\phi_{\text{O}_2}/\phi_{\text{CH}_4}$ is equivalent to creating an imbalanced O_2 source where O_2 source exceeds the outgassing flux of reductants.

13.08.5.2 The formation of an ozone shield

After the GOE, the Earth was protected from shortwave ultraviolet by a stratospheric ozone layer. Stratospheric ozone (O_3) is derived from molecular oxygen. The photolysis of O_2 in the stratosphere produces oxygen atoms, which then combine with other O_2 molecules to make ozone, as follows:



In eq. (31), ‘M’ is any air molecule—usually the most abundant one, N_2 . ‘M’ removes energy liberated by the reaction, which is dissipated in molecular collisions. Ozone atoms formed in eq. (31) are able to absorb ultraviolet photons when they photolyze. Such absorption protects the Earth’s surface from the biologically harmful range of ultraviolet wavelengths of 200-300 nm.

The ozone column abundance, i.e., the number of molecules above a unit area of the Earth’s surface, is related to how much UV can be absorbed. This column abundance has a non-linear dependence on O_2 levels. Fig. 7 shows the results of

photochemical modeling (Kasting and Donahue, 1980). A relatively minor O₂ concentration $\geq 1\%$ of the modern atmospheric level is sufficient to generate an ozone column that protects the surface from harmful ultraviolet. Thus, the small amount of O₂ expected after the GOE was enough to give rise to significant ozone shielding. This presumably would have expanded ecological niches for land-based life.

13.08.6 CONCLUSIONS

The concentration of an atmospheric gas is controlled by a kinetic competition between its production and loss. The Great Oxidation Event (GOE) occurred because there was imbalance between the O₂ source flux and the O₂ sink flux, which led to an accumulation of O₂ in the atmosphere. Several lines of evidence suggest that O₂ was being produced several hundred million years before the GOE at 2.4 Ga (see Chapter 13.05). However, the Archean atmosphere would have remained anoxic if O₂ consumption fluxes exceeded the long-term source of O₂ from the flux of organic carbon burial. In an anoxic atmosphere, the loss of O₂ to continental oxidative weathering is negligible, so the dominant sinks on O₂ in the Archean must have been reactions with volcanic and metamorphic reductants, including reducing gases (H₂, CH₄, CO, SO₂, H₂S) and dissolved reducing cations (e.g., Fe²⁺) in direct communication with the atmosphere. A “tipping point” would have been reached when the flux of O₂ from organic carbon burial exceeded the O₂ loss to volcanic and metamorphic sinks. After the GOE, oxidative weathering was a significant new sink on O₂ and helped set its new level.

In the redox chemistry of the atmosphere, hydrogen-bearing reduced gases, (such CH₄ and H₂) are the chemical adversaries of O₂. When the concentration of one goes up, the other declines, and vice-versa. Photochemical reactions cause rapid mutual annihilation between O₂ and the reducing gases. In general, we expect the redox chemistry of the pre-GOE atmosphere to have been dominated by methane and hydrogen (even though they were both probably at levels below a percent), whereas O₂ would have been a trace gas at less than 1 ppmv. It is opposite to the redox control in the modern atmosphere. Models suggest that the GOE occurred when the rate of supply rate of supply of reduced gases to the atmosphere dropped below the O₂ flux to the atmosphere (Claire et al., 2006; Goldblatt et al., 2006; Holland, 2009).

The GOE appears in the rock record at the same time as global cooling. In detailed models of the GOE, there is a decline in the supply of reducing gases prior to the GOE, which means that the methane concentration in the pre-GOE atmosphere falls to low levels even before O₂ rises to a substantial concentration (Zahnle et al., 2006). The loss of greenhouse gases such as methane and its photochemical product, ethane, are plausibly responsible for global cooling at ~2.4 Ga. It is possible that the global climate and atmospheric composition oscillated until conditions of permanent oxygenation were established, which would account for multiple glaciations during 2.45-2.22 Ga.

Moderate levels of O₂ established in the Proterozoic (probably somewhere between 0.2 and 2% O₂ by volume) were still sufficient to establish a stratospheric ozone (O₃) layer (Kasting and Donahue, 1980) and protect the Earth’s surface from harmful ultraviolet in the range 200-300 nm. A counter-intuitive effect of the ozone layer was to decrease the net reaction rate in the troposphere between methane and oxygen. Provided that methane fluxes were still relatively large from a poorly oxygenated and euxinic Proterozoic ocean, methane should have risen after the GOE to be a moderately significant greenhouse gas during middle Proterozoic.

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Figure Captions.

Figure 1 Hydrogen escape from the atmosphere is a directly observable phenomenon on the modern Earth. Above is an ultraviolet image of the Earth's dark hemisphere with the Sun behind it, taken from NASA's Dynamic Explorer 1 spacecraft at 19,700 km altitude above 13°N, on February 16, 1982. The extended glow around the planet comes from hydrogen atoms that scatter Lyman- α radiation from the Sun. A northern auroral oval and equatorial glow are caused by emission from atomic oxygen and molecular nitrogen. Isolated points are stars that are bright in the ultraviolet. (Courtesy of NASA).

Figure 2 A conceptual diagram showing “bottlenecks” for hydrogen escape from the Earth's atmosphere. At the tropopause, most water vapor condenses and the dominant form of hydrogen just above the tropopause is in the form of methane, even on the modern Earth. Hydrogen in all its forms is mixed up through the stratosphere and mesosphere but its transport is then rate-limited by diffusion through the thermosphere to the boundary with space, the exobase. By the time the hydrogen gets to the exobase, it is mostly in atomic form.

Figure 3 Schematic diagram showing the long-term sources and sinks of oxygen fluxes.

Figure 4 The inventory of oxygen in the Earth's crust. “Hard rocks” are high grade metamorphic rocks and igneous rocks. “Soft rocks” are sedimentary rocks. The imbalance between oxygen and reduced carbon shows that there is missing reductant. (Based on tabulated values in Catling et al. (2001) and references therein).

Figure 5 Results from the box model of Claire et al. (2006). **A,B**: The upper row shows the results when the model assumes that metamorphic reducing gases are the primary sink on Archean O₂. **C,D**: The lower row shows results where the model assumes that volcanic gases are the main O₂ sink. Qualitatively, there is no difference to the results from either assumption. Fluxes are as follows: F_W = continental oxidative weathering, F_E = hydrogen escape to space, F_V = volcanic sink, and F_M = metamorphic sink. K_{OXY} is the oxygenation parameter defined in the text, which reaches unity when the atmosphere goes through its “Great Oxidation Event” (GOE).

Figure 6 Atmospheric fluxes of chemical species as a function of the ground-level O₂ mixing ratio. Mixing ratio is equivalent to a partial pressure in bars for a 1 bar atmosphere. Results are shown from a photochemical “thought experiment” where the ground-level CH₄ mixing ratio is fixed to 100 ppmv and volcanic outgassing fluxes of sulphur gases and reducing gases are also fixed (see text). Plus symbols (“+”) map to the right-hand vertical axis and show the net ratio of the biogenic O₂ flux (ϕ_{O_2}) to CH₄ flux (ϕ_{CH_4}) as a function of the ground-level O₂ mixing ratio. The shaded regions represent cases when the biogenic O₂ fluxes are either implausibly high or low. (From Catling et al. (2007)).

Figure 7 The ozone (O_3) column abundance shown as the dashed line as a function of the concentration of ground-level atmospheric oxygen. The O_2 level is expressed as a ratio to the Present Atmospheric Level (PAL). Today's typical ozone column abundance is shown as a dotted line. The ozone layer's protective absorption of biologically harmful ultraviolet radiation becomes significant at $\sim 10^{-2}$ PAL.

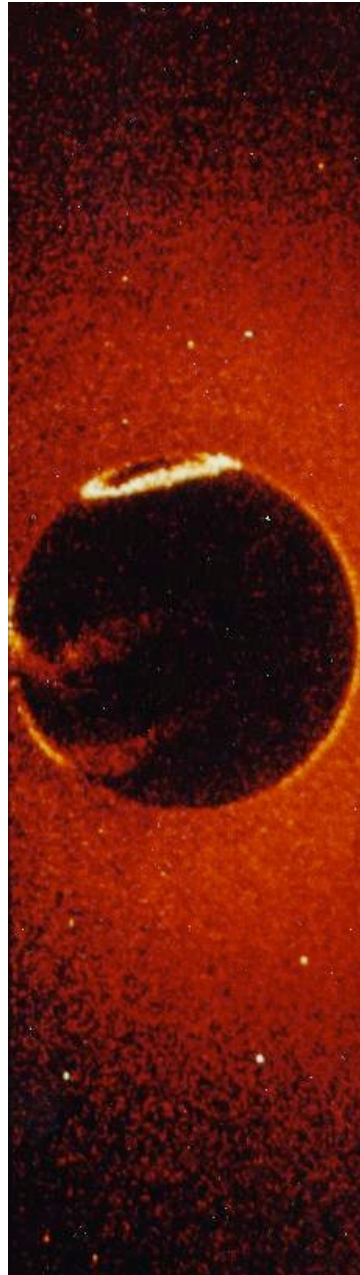


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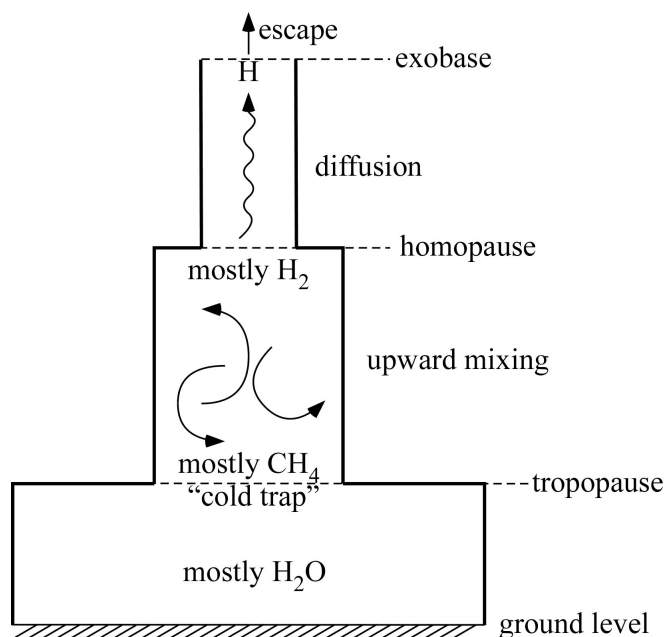


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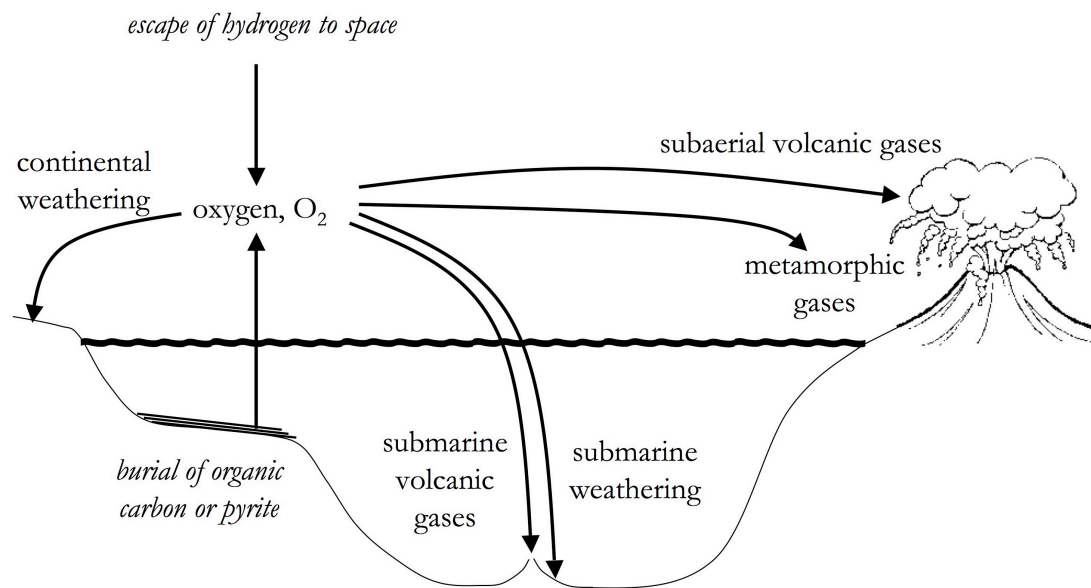


Figure 3 Schematic diagram showing the long-term sources and sinks of oxygen fluxes.

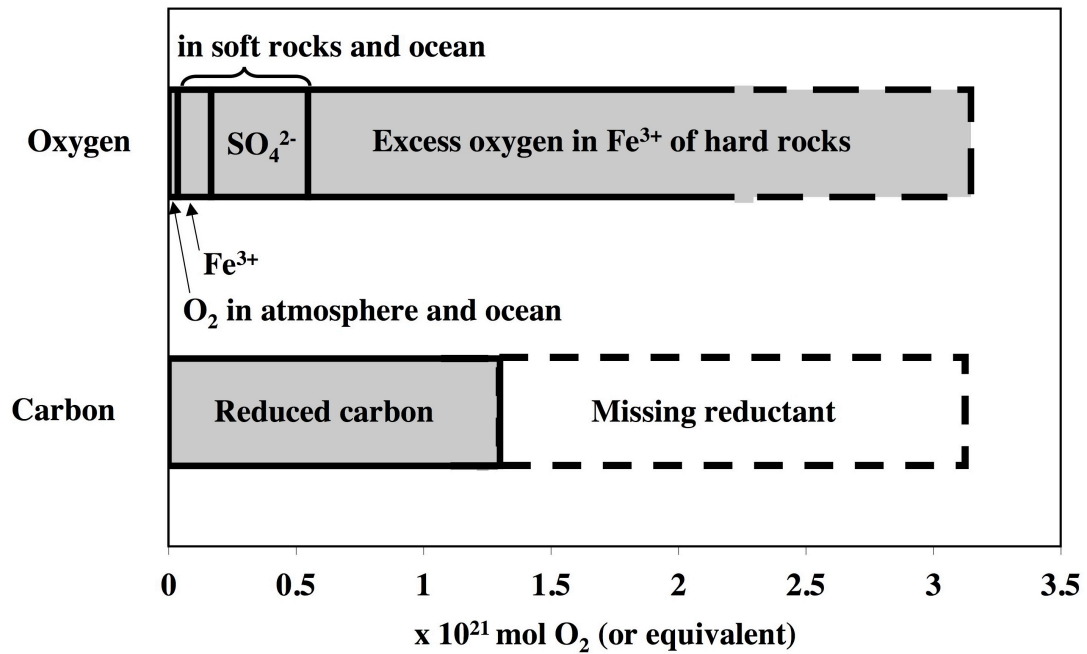


Figure 4 The inventory of oxygen in the Earth's crust. "Hard rocks" are high-grade metamorphic rocks and igneous rocks. "Soft rocks" are sedimentary rocks. The imbalance between oxygen and reduced carbon shows that there is missing reductant. (Based on tabulated values in Catling et al. (2001) and references therein).

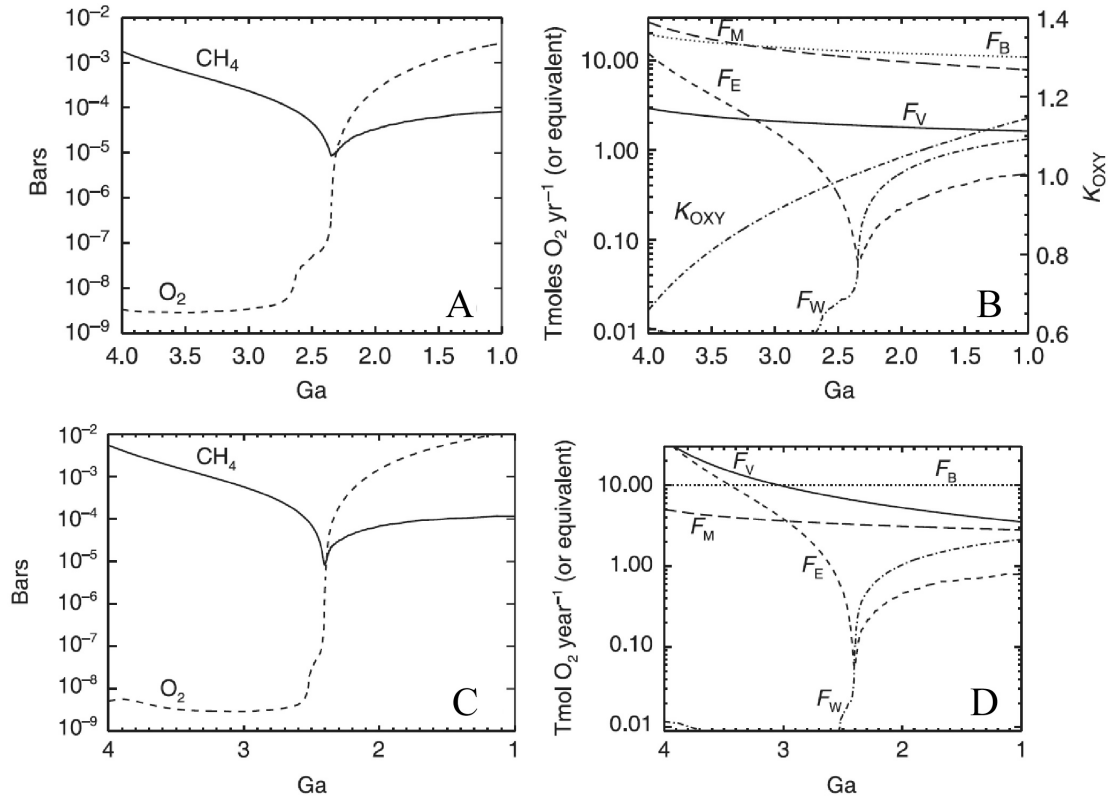


Figure 5 Results from the box model of Claire et al. (2006). **A,B:** The upper row shows the results when model assumes that metamorphic reducing gases are the primary sink on Archean O₂. **C,D:** The lower row shows results where the model assumes that volcanic gases are the main O₂ sink. Qualitatively, there is no difference to the results from either assumption. Fluxes are as follows: F_W = continental oxidative weathering, F_E = hydrogen escape to space, F_V = volcanic sink, and F_M = metamorphic sink. K_{OXY} is the oxygenation parameter defined in the text, which reaches unity when the atmosphere goes through its “Great Oxidation Event” (GOE).

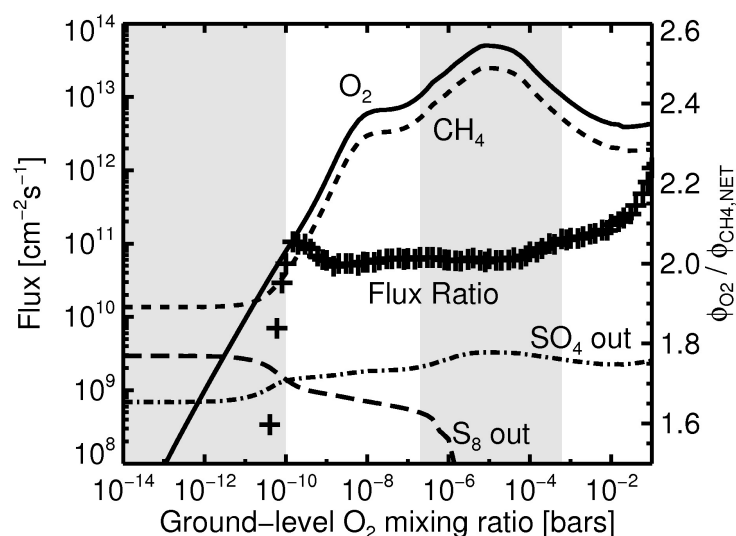


Figure 6 Atmospheric fluxes of chemical species as a function of the ground-level O_2 mixing ratio. Mixing ratio is equivalent to a partial pressure in bars for a 1 bar atmosphere. Results are shown from a photochemical “thought experiment” where the ground-level CH_4 mixing ratio is fixed to 100 ppmv and volcanic outgassing fluxes of sulphur gases and reducing gases are also fixed (see text). Plus symbols (“+”) map to the right-hand vertical axis and show the net ratio of the biogenic O_2 flux (ϕ_{O_2}) to CH_4 flux (ϕ_{CH_4}) as a function of the ground-level O_2 mixing ratio. The shaded regions represent cases when the biogenic O_2 fluxes are either implausibly high or low. (From Catling et al. (2007)).

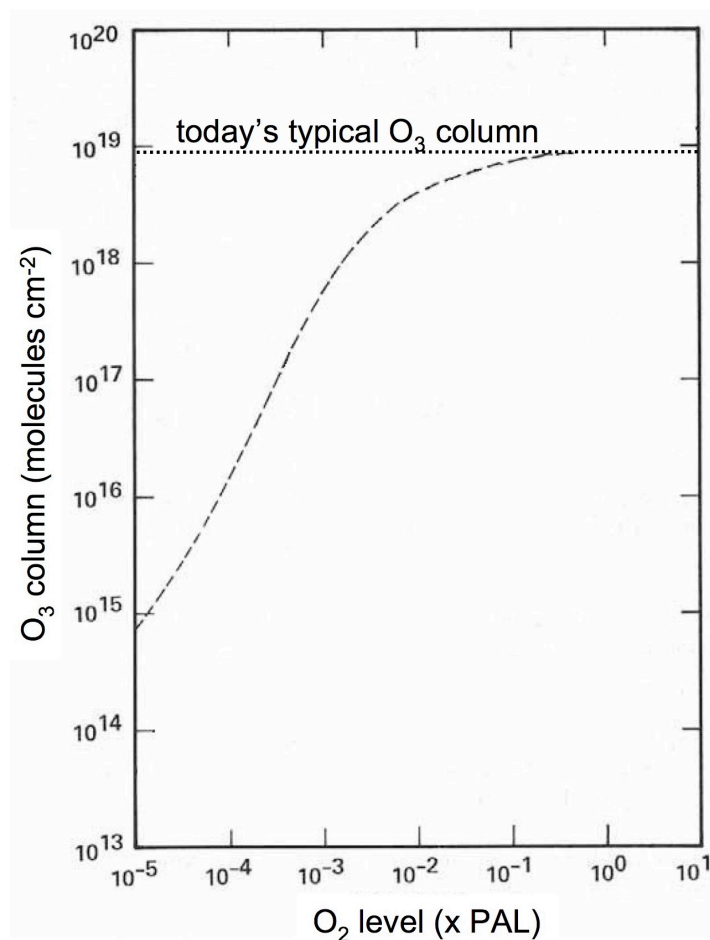


Figure 7 The ozone (O₃) column abundance shown as the dashed line as a function of the concentration of ground-level atmospheric oxygen. The O₂ level is expressed as a ratio to the Present Atmospheric Level (PAL). Today's typical ozone column abundance is shown as a dotted line. The ozone layer's protective absorption of biologically harmful ultraviolet radiation becomes significant at $\sim 10^{-2}$ PAL.