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1	pIRIR and IR-RF dating of archaeological deposits at Badahlin and Gu Myaung Caves – first
2	luminescence ages for Myanmar
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14	
15	Abstract
16	Reliable chronologies are essential for understanding the timing and routes of human dispersal through
17	Southeast Asia, both of which remain open questions. This study provides luminescence chronologies for two
18	archaeological sites in Myanmar—Badahlin Cave and Gu Myaung Cave—from which Palaeolithic artefacts have
19	been recovered. We applied single-grain post-infrared infrared stimulated luminescence (pIRIR) and multi-
20	grain infrared-radiofluorescence (IR-RF) dating methods to potassium-rich feldspar (K-feldspar) grains
21	extracted from the sedimentary deposits at these two sites. Luminescence characteristics of the K-feldspar
22	extracts showed that the procedures were well suited for dating. The single-grain pIRIR ages indicate human
23	occupation of Badahlin and Gu Myaung Caves by around $\sim$ 29 $-$ 30 ka and $\sim$ 25 $-$ 27 ka, respectively. We
24	obtained age overestimates using the IR-RF dating signal compared to pIRIR dating, which we attribute to
25	insufficient bleaching of the IR-RF signal at the time of sediment deposition and a, so far, unknown residual

26 dose.

27 Keywords: K-feldspar, single-grain post-IR IRSL, radiofluorescence, anomalous fading, Southeast Asia

### 28 1 Introduction

29 Badahlin and Gu Myaung Caves both lie at the foot of the Shan Plateau, which stretches eastward from central Myanmar into northern Thailand (Fig. 1). Badahlin Cave was first excavated in 1969 (Aung Thaw, 1971) when 30 stone artefacts and animal bones were recovered. Radiocarbon (<sup>14</sup>C) dating indicated a maximum age for the 31 32 cultural materials of ~13,400 years BP (Aung-Thwin, 2001). In 2013, we conducted a 2.5 m-deep excavation at 33 Badahlin Cave 2, which is separate from the site of Badahlin Cave 1 where the earlier excavations were undertaken. Gu Myaung Cave had not previously been excavated before 2013, when we conducted a 4 m-34 deep excavation. We collected three charcoal samples from the latter excavation, and these gave <sup>14</sup>C ages 35 ranging from ~2,854 to ~15,261 years cal BP (see details in Tab. S3). The <sup>14</sup>C ages for Badahlin Cave 1 and Gu 36 Myaung Cave provide preliminary—and likely minimum—age constraints for these two sites, which have 37 38 yielded Palaeolithic artefacts. Additional data are needed to test these chronologies and extend them into the 39 deeper deposits.







42 Figure 1. Map of central Myanmar showing the locations of both study sites.

43 Luminescence dating has been used previously to date archaeological sites in mainland Southeast Asia. 44 Although conventional optically stimulated luminescence (OSL) dating of quartz has been applied to some sites 45 (e.g., Demeter et al., 2015; Forestier et al., 2015; Moncel et al., 2016), the utility of quartz OSL is commonly 46 limited due to signal saturation or low intrinsic brightness of OSL signals (Roberts et al., 2005; Jacobs and Roberts, 2007). We encountered these difficulties using the quartz OSL signal during our initial tests of the 47 48 sediment samples collected from Badahlin and Gu Myaung Caves. Consequently, alternative minerals, 49 luminescence signals and dating procedures require investigation. In this study, we have used two luminescence dating techniques—single-grain post-infrared infrared stimulated luminescence (pIRIR) and 50 51 multi-grain infrared radiofluorescence (IR-RF)—both applied to sand-sized grains of potassium-rich feldspar (Kfeldspar). Here we report the preliminary results of our investigations to augment the <sup>14</sup>C chronologies for 52 53 these two human occupation sites. To our knowledge, these are the first luminescence ages for archaeological 54 sites in Myanmar (Aung et al., 2015).

55

#### 56 2 Site and sample descriptions

#### 57 2.1 Study sites

Badahlin Cave (21.13274°N, 96.34032°E) and Gu Myaung Cave (21.20156°N, 96.31926°E) are located in central
Myanmar (Fig. 1). They are formed in Permian and Permo-Triassic limestone, which contains a number of
karstic features (e.g., sink holes, phreatic tubes).

Badahlin Cave was formed phreatically and consists of several inter-connecting chambers. The cave roof is ~30 m thick and speleothems are abundant, with occasional roof collapses facilitating entry routes for humans into the cave complex. We excavated a location close to the wall of one of the chambers to a depth of ~2.5 m (Fig. 2a); excavation details will be presented in a forthcoming archaeological report. The deposit is composed mainly of dark sandy silt that becomes enriched in clay with increasing depth; the sediment layers dip at a low angle towards the cave wall. The deposit is moist throughout, with a slight increase in moisture with depth. In



Figure 2. Section drawings of excavated sections at Badahlin Cave (A) and Gu Myaung Cave (B), showing pIRIR ages (red), IR-RF ages (IRSAR, orange) and
 calibrated <sup>14</sup>C age ranges (green). The pIRIR and IR-RF ages (± 1o uncertainties) are in ka, and the <sup>14</sup>C age ranges (95.4% confidence interval) are in ka cal BP.

the upper part of the sequence (to a depth of ~1 m), the sediment layers are interspersed by thin flowstones.
Calcified sediment and limestone inclusions were also found occasionally, the latter being usually cobble to
pebble sized and irregularly shaped. Two excavations were undertaken: squares A, B and E relate to the first of
these, and squares C and D to the second.

74 Gu Myaung Cave is also a phreatically formed limestone cave, which overlooks the Panlaung River. We 75 excavated just inside the cave mouth to a depth of ~4 m (Fig. 2b); excavation details will be provided in an 76 archaeological report currently being prepared. The upper 1.5 m of deposit consists mostly of horizontally 77 layered sediment (grey-brown silt with some clay and pebbles), and hearth features with abundant white ash 78 and charcoal. Boundaries between layers are well-defined, probably due to the short time since deposition and the lack of post-depositional disturbance. The number of hearth features declines below a depth of 1.5 m, 79 80 resulting in a less heterogeneous and structured deposit. Below a depth ~2 m, no stratigraphic features are 81 readily apparent, and the sediments are enriched with clay.

#### 82 2.2 Sediment samples

The samples were collected using light-safe steel tubes, 22 cm in length and 5 cm in diameter. In situ 83 84 measurements of the gamma-ray dose rate were made at each sample location by inserting a NaI(TI) detector 85 into the empty tube holes, and additional bags of sediment were collected from these holes for beta dose rate 86 and moisture content measurements in the laboratory. Samples from Badahlin Cave were collected from 87 relatively moist sediments in square A of the northeast section of the excavation, from 80, 150 and 240 cm depth below surface (Fig. 2a). Samples from Gu Myaung Cave were collected from the sediments (silty sand 88 89 with some gravels) in the northeast section of the excavation, from 140 cm and 202 cm depth below surface 90 (Fig. 2b). The uppermost sample at each site coincides with the depth of the lowest artefact found during 91 excavation. The other samples were collected from the underlying archaeologically sterile layers and were analysed to investigate the longer-term depositional histories of these cave sites. 92

93 3 Methods

#### 94 **3.1 Sample preparation and instrumentation**

Samples were prepared using standard methods—including wet-sieving, HCl acid and  $H_2O_2$  treatments, and density separations—to isolate sand-sized grains (90–125  $\mu$ m and 180–212  $\mu$ m in diameter) of quartz and K-

97 feldspar. Our initial investigations of individual quartz grains (180–212  $\mu$ m in diameter) revealed that their 98 inherent OSL signals (i.e., their response to a test dose) were very weak or their natural OSL signals were in 99 saturation. Accordingly, we concentrated thereafter on K-feldspar grains of the same or smaller (90–125  $\mu$ m) 100 grain size, isolating them using a sodium polytungstate solution with a density of 2.58 g/cm<sup>3</sup> and then etching 101 them in 10% HF acid for 10 min to remove the alpha-dosed outer layer.

102 All pIRIR measurements were carried out on Risø TL/OSL-20 readers equipped with bialkali photomultiplier 103 tubes (Electron Tubes Ltd 9235QB), blue-transmission filter packs (Schott BG 39 and Corning 7-59), infrared light-emitting diodes (LEDs), infrared lasers and calibrated <sup>90</sup>Sr/<sup>90</sup>Y beta sources. Grains were loaded on to discs 104 105 drilled with 100 holes, each 300 µm in depth and 300 µm in diameter, and each hole was calibrated for its beta 106 dose rate. For relevant experiments, samples were bleached using an external solar simulator (Dr Hönle UVACUBE 400). The IR-RF measurements were performed on a Freiberg Instruments Lexsyg research reader 107 equipped with a near-IR-sensitive photomultiplier tube (Hamamatsu H7421-50), a Chroma-D850/40 108 109 interference filter, a light source with different wavelengths (LEDs and IR laser, Richter et al., 2013) and a  $^{90}$ Sr/ $^{90}$ Y beta source (Richter et al., 2012). The Lexsyg also has an in-built solar simulator (Richter et al., 2013), 110 111 which we used for all bleaching steps during IR-RF measurements. All IR-RF measurements were performed on small aliquots ~2 mm in diameter, which consisted of ~70-230 grains in a single layer (dependent on grain 112 113 size).

#### 114 **3.2 Environmental dose rates**

Beta dose rates for dried and powdered sub-samples of the sediments collected in the field were measured 115 using a Risø GM-25-5 beta counter (Bøtter-Jensen and Mejdahl, 1988) and the procedures described in Jacobs 116 and Roberts (2015). The gamma dose rates were measured in the field using an Exploranium GR-320 EnviSpec 117 118 gamma spectrometer, and the cosmic-ray dose rates were estimated following Prescott and Hutton (1994). 119 Adjustments were made for beta-dose attenuation and for the effect of moisture content on the external beta, 120 gamma and cosmic-ray dose rates; the measured (field) water contents were used for each sample and assigned an uncertainty of  $\pm$  25% (at 1 $\sigma$ ) to accommodate any likely variations over the period of sample 121 burial. The internal dose rate can be a significant contributor to the total dose rate for K-feldspar: we assumed 122 an internal potassium content of 10  $\pm$  2% (Smedley et al., 2012) and an internal Rb content of 400  $\pm$  100  $\mu$ g/g 123

124 (Huntley and Hancock, 2001), resulting in estimated internal dose rates of  $0.38 \pm 0.08$  and  $0.67 \pm 0.12$  Gy/ka 125 for the 90–125 and 180–212  $\mu$ m grains, respectively.

#### 126 **3.3 pIRIR measurement procedures**

The pIRIR signal measured at elevated temperature has been reported to suffer much less from anomalous fading than the conventional infrared stimulated luminescence (IRSL) signal measured at 50°C, and to possibly not fade at all (e.g., Li and Li, 2011; J. P. Buylaert et al., 2012b; Li et al., 2014; Roberts et al., 2015). However, it is also harder to bleach in natural sunlight than either the IRSL signal or the quartz OSL signal (e.g., Li and Li, 2011; Colarossi et al., 2015). Single-grain measurements, therefore, provide a useful means of examining the extent of bleaching of individual grains in any particular sample and to check if significant post-depositional mixing has occurred (e.g., Reimann et al., 2012; Trauerstein et al., 2014; Blegen et al., 2015; Rhodes, 2015).

134 In this study, individual grains of K-feldspar were dated using a two-step single-grain pIRIR procedure (Blegen 135 et al., 2015; details given in Table S1). In this procedure, the initial infrared stimulation is performed at 200°C 136 for 100 s using infrared LEDs to stimulate all grains simultaneously; the pIRIR dating signals from individual 137 grains are then measured at 275°C using a focused infrared laser beam for stimulation. A preheat of 320°C for 60 s was applied to the natural, regenerative and test doses; we used a test dose ~40% of the size of the 138 139 expected natural dose. These parameters were chosen on the basis of dose recovery tests (Galbraith et al., 1999), as described below. To eliminate grains with luminescence properties unsuitable for the pIRIR 140 141 procedure, we applied standard single-grain rejection criteria, following Blegen et al. (2015). Grains were 142 rejected if: 1) the test dose signal measured during the natural dose cycle  $(T_n)$  was within  $3\sigma$  of the background 143 count; 2) the relative error on  $T_n$  exceeded 20%; 3) the 'recycling ratio' (i.e., the ratio between the sensitivity-144 corrected signals for a duplicate regenerative dose) was inconsistent with unity at  $2\sigma$ ; 4) the extent of 145 'recuperation' (i.e., the ratio between the sensitivity-corrected zero dose and natural dose signals) was greater 146 than 5%; 5) the natural signal was equal to or higher than the saturation level of the dose response curve (DRC); and 6) the DRC could not be reliably fitted using a saturating exponential or an exponential-plus-linear 147 148 function. For all accepted grains (i.e., those remaining after applying these rejection criteria), the equivalent 149 dose (D<sub>e</sub>) was estimated by projecting the sensitivity-corrected natural signal of each grain on to its 150 corresponding sensitivity-corrected DRC.

#### 151 3.4 IR-RF measurement procedures

Radioluminescence (RL) or radiofluorescence (RF) was first proposed as a dating technique in the late 1990s (Trautmann et al., 1998, 1999a) and recently revised by Frouin et al. (2017). The method is based on the fluorescence signal emitted during irradiation (Trautmann et al., 1999b), which has been suggested to not fade (Krbetschek et al., 2000; Novothny et al., 2010). If so, then the IR-RF signal would provide a validation test of the pIRIR results. In this study, we applied the original single-aliquot IR-RF procedure of Erfurt and Krbetschek (2003) and the updated procedure of Frouin et al. (2017) to multi-grain aliquots of K-feldspar for all samples.

158 Erfurt and Krbetschek's procedure—hereafter referred to as IRSAR—has four steps: 1) measure the natural IR-159 RF signal; 2) perform a prolonged solar bleach to reset the IR-RF signal; 3) wait for the superposing 160 phosphorescence signal to decay; and 4) irradiate and measure the regenerated IR-RF signal (Table S1). All measurements made using the IRSAR procedure were carried out at room temperature. The revised IR-RF 161 procedure proposed by Frouin et al. (2017)—hereafter referred to as RF<sub>70</sub>—has a similar structure as the IRSAR 162 163 procedure, but samples are measured and irradiated at an elevated temperature (70°C). To reach this 164 temperature on the Lexsyg system, discs are required to be held at 70°C for 2 min before making IR-RF measurements. For both procedures, we adopted the solar bleach settings of Frouin et al. (2015) (Tab. S1). 165

The  $D_e$  value for each aliquot was obtained by sliding the regenerated IR-RF signal on to the natural IR-RF signal horizontally and vertically to minimise the residuals using the **R** function *analyse\_IRSAR.RF()* in the **R** (Luminescence' package (Kreutzer et al., 2017).

#### 169 4 Results

### 170 4.1 Environmental dose rates

171 The total dose rates for all samples are summarised in Table 1, with the details given in Table S2. The total 172 dose rates for samples from Badahlin Cave range from ~2.4 to ~3.9 Gy/ka, with much lower dose rates for the 173 samples from Gu Myaung Cave (~1.3 Gy/ka). The dose rates are dominated by the beta dose rate (0.6-2.1 Gy/ka), with gamma dose rates of 0.3–1.3 Gy/ka, internal dose rates of 0.4–0.7 Gy/ka (depending on grain size) 174 175 and cosmic-ray dose rates of 0.02 Gy/ka or less (the latter at Badahlin Cave because of rock shielding). The 176 total dose rates for Badahlin Cave are two- or three-fold higher than for Gu Myaung Cave, which we attribute 177 primarily to the greater amount of low-radioactivity carbonate in the latter samples. This is reflected in the U 178 and Th concentrations measured by field gamma spectrometry, which differ significantly between the two

sites: ~ 5  $\mu$ g/g U and ~7  $\mu$ g/g Th at Badahlin Cave, compared to ~0.4  $\mu$ g/g U and ~3.3  $\mu$ g/g Th at Gu Myaung Cave.

#### 181 4.2 Single-grain pIRIR

182 A representative single-grain pIRIR decay curve and DRC are shown for an accepted K-feldspar grain from 183 sample BDL2-OSL 2 in Fig. S1. We conducted single-grain dose recovery tests on 3-5 discs of one sample of 184 each site (BDL2-OSL 2 and GUMY-OSL 3) to validate the pIRIR experimental conditions used here for dating. 185 Grains were bleached for 4 hr under the solar simulator to empty them of their natural doses, and then given a 186 beta dose (as a surrogate natural dose) of ~236 Gy and ~30 Gy, respectively before being measured using the pIRIR procedure in Table S1. The weighted-mean dose recovery ratios (i.e., the ratio of measured to given 187 188 doses) are 1.06  $\pm$  0.04 and 0.97  $\pm$  0.07, with dose overdispersion (OD) values of between 27% and 31% (Fig. 189 S2). To examine the anomalous fading rate of the pIRIR signal, we estimated g-values for each sample using single (multi-grain) aliquots, following Auclair et al. (2003). The results obtained for storage periods of up to 2 190 191 weeks (Fig. S3) indicate that the g-values for the pIRIR signals are statistically consistent with zero (at  $1\sigma$ ) for 192 all samples, which suggests that the pIRIR signals in these samples do not fade significantly, at least not on 193 laboratory timescales. This finding is consistent with previous studies that have reported using an initial 194 infrared stimulation at 200°C to effectively remove the fading component (e.g., Li and Li, 2012; Fu, 2014; 195 Blegen et al., 2015; Yi et al., 2016).

196 The pIRIR signal has been shown to be relatively hard to bleach, with a residual dose of several Gy remaining 197 even after prolonged bleaching in sunlight (Li and Li, 2011; Buylaert et al., 2012b). To determine and correct 198 for any residual dose at the time of sediment deposition, we measured the dose values for 100-300 natural 199 grains of each sample after bleaching them for 4 hr in the solar simulator. The weighted-mean residual dose 200 for the Badahlin Cave samples is  $7 \pm 2$  Gy and  $0.01 \pm 6$  Gy for samples from Gu Myaung Cave. These residual 201 doses are small to negligible compared with the measured D<sub>e</sub> values of these samples, but we nonetheless 202 subtracted them to estimate the final  $D_e$  values for age determination. In summary, the factors discussed 203 above indicate that the pIRIR procedure is well-suited for our samples.

The  $D_e$  values were determined using 90–125 or 180–212  $\mu$ m K-feldspar grains. The smaller grain size was used for two samples from Gu Myaung, owing to the scarcity of larger grains, and both grain-size fractions were measured for sample BDL2-OSL 3, as a methodological cross-check. The number of grains (or pseudo-single-

207 grain measurements for the 90–125  $\mu$ m grains with ~5 grains per hole) that passed the rejection criteria 208 corresponds to between 2% and 27% of the total number of grains measured (Table 1). Most of the grains were rejected based on the first criterion (low intrinsic brightness). 209

210



Figure 3. Single-grain pIRIR  $D_e$ -distribution of all samples shown as radial plots. 214

Fig. 3 shows pIRIR D<sub>e</sub> distributions for all samples as radial plots. The datasets show some spread in D<sub>e</sub> values, 216 yielding OD values of 35-39% for the Badahlin samples and 61-70% for the Gu Myaung samples. A majority of 217 218 the dispersion for the Badahlin samples, and a large portion of that for the Gu Myaung samples, can be

219 explained by a high overdispersion that arises from intrinsic factors, as suggested by the high overdispersion 220 values obtained in the dose recovery tests (27-31%, Fig. S2). The greater OD values for the samples from Gu 221 Myaung Cave may be due to beta microdosimetry variations, incomplete bleaching during the last sediment 222 transport event, or post-depositional mixing, but it is also notable that the D<sub>e</sub> datasets for these two samples 223 are small, hence may result in inaccuracy in their OD estimation. The D<sub>e</sub> datasets for most of the samples from 224 the two sites generally exhibit a symmetrical distribution (Des randomly spread around a central value) and no 225 discrete D<sub>e</sub> populations or leading edges at lower doses can be clearly identified, giving no indications of the 226 post-depositional mixing or partial bleaching issues. The only one exception is the 90-125 µm fraction of BDL2-OSL 3 which exhibited some large outliers in its D<sub>e</sub> dataset, but the D<sub>e</sub> distribution of the 180-212 µm fraction 227 228 of the same sample doesn't seem to suggest any partial bleaching problem, and the weighted mean ages of 229 these two fractions are indistinguishable from each other (Table 1).

Based on these observations, in the current stage we calculated the  $D_e$  values for all samples using the central age model (CAM), which gives an estimate of the weighted geometric mean (Galbraith et al., 1999; Galbraith and Roberts, 2012). The final ages are shown in Table 1. The ages for the three samples from Badahlin Cave range from ~30 to ~66 ka and are in stratigraphic order, while the two samples from Gu Myaung Cave are both

terminal Pleistocene in age (~25–27 ka).

Table 1: D<sub>e</sub> values, dose rates and calculated pIRIR and IR-RF ages for all samples. CAM D<sub>e</sub> values were calculated for all pIRIR and IR-RF samples. The pIRIR
 D<sub>e</sub> values were corrected for a small residual dose (see text). For IR-RF D<sub>e</sub> estimation, only 2-5 single-aliquots per sample were measured (both protocols).

Sample	Depth [cm]	Grain size [μm]	n¹	Dose rate [Gy/ka]	Equivalent dose [Gy]			OD [%]		Age [ka]	
					pIRIR (CAM)	IR-RF (IRSAR)	IR-RF (RF <sub>70</sub> )		pIRIR (CAM)	IR-RF (IRSAR)	IR-RF (RF <sub>70</sub> )
BDL2-OSL	80	90–125	1200/326	2.38 ± 0.13	71 ± 2	105 ± 24	129 ± 8	38 ± 2	29.9 ± 1.9	44.2 ± 10.4	54.3 ± 4.6
3		180–212	300/47	2.60 ± 0.16	80 ± 3	-	-	35 ± 4	30.8 ± 2.3	-	-
BDL2-OSL 2	150	180–212	300/42	3.86 ± 0.20	203 ± 13	332 ± 13	343 ± 26	38 ± 5	52.5 ± 4.5	85.9 ± 5.9	88.8 ± 8.4
BDL2-OSL 1	240	180–212	300/80	3.85 ± 0.23	252 ± 12	346 ± 8	281 ± 13	39 ± 3	65.5 ± 5.1	89.9 ± 5.9	73.0 ± 5.6
GUMY-OSL 3	140	90–125	600/14	1.31 ± 0.10	33 ± 7	69 ± 4	93 ± 29	70 ± 15	25.4 ± 5.7	53.1 ± 4.9	59.9 ± 19.4
GUMY-OSL 2	202	90–125	600/37	1.31 ± 0.09	35 ± 4	71 ± 2	-	61 ± 8	26.8 ± 3.6	54.5 ± 4.1	-

237 <sup>1</sup> Grains (measured/ accepted)

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#### 238 4.3 Multi-grain IR-RF

239 Before determining D<sub>e</sub> values using the IRSAR IR-RF procedure, we conducted several tests to determine the 240 most appropriate measurement conditions. Previous studies have suggested that prolonged solar bleaching 241 (step 2 in Table S1) is required in the procedure to reset the IR-RF signal (e.g., 3 hr: Frouin et al., 2015, 2017). 242 For our samples, however, we found that solar simulator bleaches of >1 hr did not cause further increase in the IR-RF signal (i.e. the source traps of IR-RF have been fully emptied by 1 hr solar simulator bleach) (Fig. 4, 243 244 left panel), so we used a solar bleach time of 1 hr in this study. We also performed a pause duration test to 245 determine the minimum delay time needed to eliminate phosphorescence before measuring the regenerated 246 IR-RF signal (step 3 in Table S1). We found that, similar to Buylaert et al. (2012a), the phosphorescence signals 247 of our samples are very weak compared to the IR-RF signal (Fig. S4), and we could not distinguish between the regenerated IR-RF signals measured after pauses of 15 to 60 min (Fig. 4, right panel). We concluded, therefore, 248 that the effects of phosphorescence on the IR-RF signals of our samples are negligible after a pause time of 249 250 15 min, which we adopted to save machine time. We caution, however, that these settings are sample- and 251 instrument-specific and may not be appropriate for samples from other sites. To validate our revised 252 procedures, we conducted dose recovery tests on natural aliquots of samples BDL2-OSL 3 (Fig. S5) and GUMY-253 OSL 3 after bleaching them for 4 h in the solar simulator and giving them beta doses similar to their expected 254  $D_e$  values. The weighted-mean dose recovery ratios are 1.06 ± 0.03 and 1.31 ± 0.13, respectively, suggesting 255 the given dose can be recovered with sufficient accuracy using the experimental conditions in our IRSAR 256 procedure (Table S1) for samples from Badahlin cave, and will be slightly overestimated for samples from Gu 257 Myaung cave.

258



Figure 4. A test of solar bleaching time (left) and pause time (right) for IR-RF measurements of
sample BDL2-OSL 3. The IRSAR protocol was used as described in Table S1. In the first experiment
(left panel), one used disc of the sample was given a regenerative dose of ~305 Gy, bleached for a

- 263 duration of  $t_b$  using the solar simulator equipped in the Lexsyg reader, and paused for one hour 264 before the IR-RF signal being measured. This cycle was repeated four times with  $t_b$  varied from 30 265 mins to 3 hours. The left panel only shows an early portion (0–30 Gy) of the IR-RF signal; in the
- mins to 3 hours. The left panel only shows an early portion (0—30 Gy) of the IR-RF signal; in the
   second experiment (right panel), in each cycle the same disc was first bleached for one hour using the
- 267 solar simulator and pause for a duration of  $t_p$  before the IR-RF signal being measured. This cycle was
- repeated four times with  $t_p$  varied from 15 mins to 60 mins. More results are given in Fig. S4.
- 269
- We also tested the  $RF_{70}$  procedure (Frouin et al., 2017) on our samples. Preliminary tests showed that IR-RF measurements made at 70°C did not improve the decay curve shapes for our samples, in contrast to those of Huot et al. (2015) and Frouin et al. (2017). Nevertheless, the  $RF_{70}$  procedure yields slightly different results to those obtained using the IRSAR procedure: the IR-RF signal intensity is higher and the calculated  $D_e$  values differ by between ~10 and ~65 Gy (Fig. 5). The cause(s) of these differences are under investigation, including tests of the suitability of the  $RF_{70}$  measurement conditions for our samples.



279 Figure 5: IRSAR and RF<sub>70</sub> data for sample BDL2-OSL 3 from Badahlin Cave (top panels) and sample

280 GUMY-OSL 3 from Gu Myaung Cave (bottom panels). The  $D_e$  values are ~177 Gy (top left) and ~85 Gy

281 (bottom left) for IRSAR, and ~130 Gy (top right) and ~62 Gy (bottom right) for RF<sub>70</sub>.

282

We estimated the IR-RF ages by calculating weighted-mean D<sub>e</sub> values using the CAM (Table 1), although only 2-5 single-aliquots were measured for each sample. We did not subtract any residual doses from the measured D<sub>e</sub> values, and are currently in the process of assessing their likely size. The CAM ages range from ~44 to 90 ka (IRSAR) and ~54 to 89 ka (RF<sub>70</sub>) at Badahlin Cave, and both samples from Gu Myaung Cave yielding ages of ~54 ka (IRSAR) and ~60 ka (RF<sub>70</sub>, only GUMY-OSL 3) (Tab. 1). All of these ages are much older than the pIRIR and <sup>14</sup>C chronologies, which is inferred to due to insufficient bleaching of the IR-RF signal. Subtraction of appropriate residual doses would likely reduce these age discrepancies considerably.

#### 290 5 Discussion

291 In this preliminary study of K-feldspar grains from two archaeological sites in central Myanmar, we have shown 292 that the single-grain pIRIR procedure is suitable for dating, with acceptable results from dose recovery tests 293 and no evidence for fading of the pIRIR signal over laboratory timescales. There is potential, therefore, to 294 extend the applicability of pIRIR dating to other Palaeolithic sites in mainland Southeast Asia.

A comparison with independent (<sup>14</sup>C) age control at Gu Myaung Cave has revealed, however, that the pIRIR 295 CAM ages (~25-27 ka) overestimate the <sup>14</sup>C ages (~10 and ~15 ka cal BP, Tab. S3) obtained for charcoal 296 297 fragments collected from stratigraphic layers between and below these two sediment samples (Fig. 2b). 298 Currently, there is no further evidence to validate either of these two chronologies. The residual dose test 299 suggested that the pIRIR signal for these K-feldspar grains can be (nearly) fully reset by mimic sunlight 300 bleaching in the laboratory. The D<sub>e</sub> distribution patterns of the two samples from the Gu Myaung Cave provide 301 no clear evidence for partial bleaching. Therefore, the causes of this discrepancy in age are still under 302 investigation. Further investigations on the residual doses of both sites will be carried out on very young 303 samples from the upper part of the stratigraphy. This will give us an implication about whether the pIRIR residual doses measured in the lab are representative for the natural sediment. The reliability of the 304 305 radiocarbon ages in these sites also warrant further test, by comparing with more chronologies derived from 306 other dating techniques (such as U-series dating).

307 The IR-RF ages obtained in this study overestimate their pIRIR counterparts by around 25 ka (Tab. 1), which we 308 attribute to the different bleachabilities of these two signals, together with the use of multiple grains for IR-RF 309 dating, which will exacerbate problems of De overestimation due to partial bleaching. We note a common 310 pattern to the pIRIR and IRSAR IR-RF ages: each set of ages increases with depth at Badahlin Cave, while the 311 two pIRIR ages for Gu Myaung Cave are statistically indistinguishable, as are the two IR-RF ages (IRSAR). This 312 suggests a systematic underlying cause for the age offset, which we are currently investigating. Other future 313 lines of enquiry include further testing of the RF<sub>70</sub> procedure of Frouin et al. (2017), including dose recovery 314 tests and other variations to readout temperature and processing younger samples from both sites to get a 315 better estimate of the residual dose.

Based on our dating results for Badahlin Cave, occupation started at least ~30 ka ago during Marine Isotope 316 Stage (MIS) 2 or possibly MIS 3 (Fig.2a). The underlying, culturally sterile deposits dated to ~53 and ~66 ka 317 318 might be interpreted as evidence that people had not arrived in this region by 53 ka, but we consider such 319 inferences as premature given the many chambers yet to be excavated. Sediments have been accumulating in 320 parts of the large cave complex for more than 50 millennia and further excavations may reveal earlier traces of 321 human habitation. Occupation of Gu Myaung Cave, based on our current ages, appears to have commenced 322 ~25 ka ago, close to the Late Pleistocene–Holocene transition (Fig. 2b). Again, however, a longer record of 323 human occupation cannot be ruled out on the basis of the limited excavations conducted thus far at this site.

#### 324 6 Conclusions

We have applied single-grain pIRIR and multi-grain IR-RF dating procedures to K-feldspar extracts from 325 326 archaeological deposits at Badahlin and Gu Myaung Caves in central Myanmar. Luminescence investigations of 327 the pIRIR and IR-RF signals and D<sub>e</sub> distributions, indicates that the pIRIR procedure, at least, may be suitable for 328 sediment dating. The IR-RF ages overestimate the pIRIR D<sub>e</sub> values and ages by a considerable margin, which may be related to the poorer bleachability and higher residual doses associated with the IR-IF signal. Future 329 investigations could involve IR-RF measurements of individual K-feldspar grains (e.g., Trautmann et al., 2000) 330 331 to avoid the shortcomings of using multi-grain aliquots to date partially bleached sediments. Our preliminary pIRIR chronologies indicate that human occupation of Badahlin and Gu Myaung Caves started at least ~30 and 332 333 ~25 ka ago, respectively, thereby adding to the sparse chronological record supporting Palaeolithic settlement 334 of the region during the Late Pleistocene (Aung et al., 2015).

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