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## Reply to comments on Clarkson et al. (2017) 'Human occupation of northern Australia by 65,000 years ago'

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We thank the authors for their comments in the previous issue of *Australian Archaeology*. The 2012–2015 research at Madjedbebe offers a new and comprehensive look at the early occupation of Sahul and adds substantially to our knowledge of the timing of that event and the behaviour of the first people to enter the region. Establishing occupation of northern Australia by  $65 \pm 6$  thousand years ago (ka, with the uncertainty expressed at 95.4% probability) pushes human presence in the Top End back beyond the earliest ages so far reported for other Australian sites by c. 5,000–15,000 years (Hamm et al. 2016; Roberts et al. 1994; Veth et al. 2017), thus raising interesting questions as to the latitudinal extent of continental occupation prior to 50 ka.

At Madjedbebe, a dense and diverse lithic assemblage, the oldest edge-ground tools in the world, the earliest seed grinding outside Africa and an abundance of ground ochre in the lowest dense artefact band (termed Phase 2), all point to an innovative and expressive culture that had developed many iconic aspects of Aboriginal technology and economy by  $65 \pm 6$  ka. The detailed documentation of dense pulses of artefacts (each containing different technologies and raw materials), intact site structures (such as hearths comprising diverse carbonised food remains), bands of refitting artefacts and no evidence of extensive bioturbation provides, in our view, the best evidence yet reported for multiple intact phases of occupation in Australia beginning c. 65 ka.

We take this opportunity to respond to queries raised in the previous issue of AA and attempt to clarify some key points to avoid misunderstandings. We respond below to four issues:

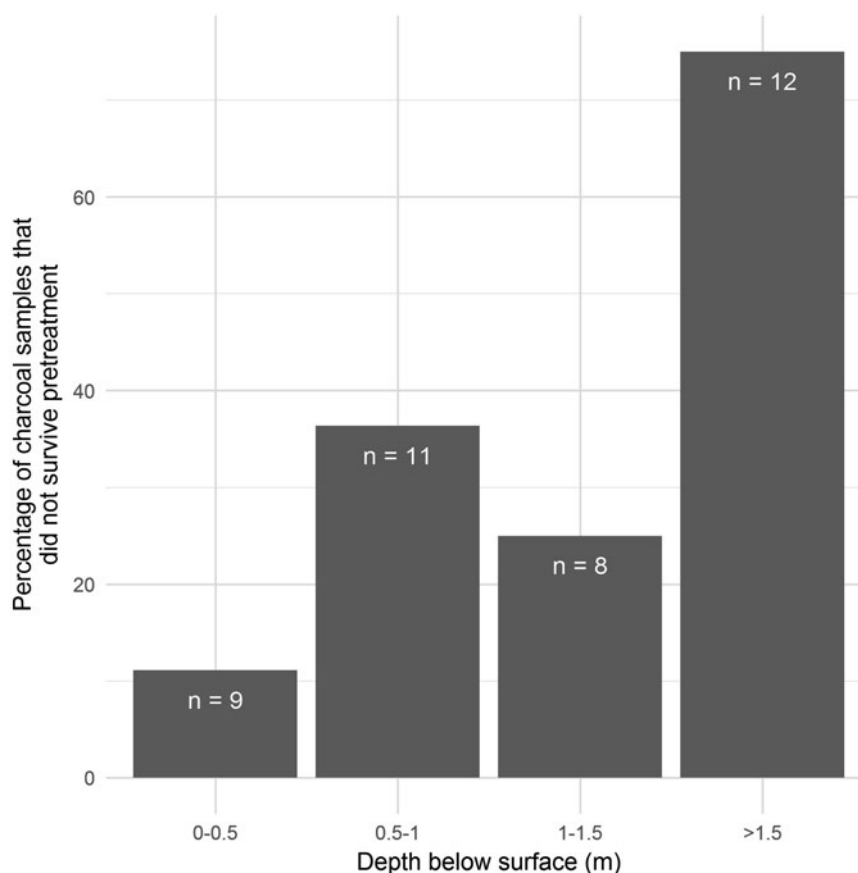
1. radiocarbon dating of the lowest hearth;
2. the distribution of artefacts in Phases 1 and 2;
3. the optically stimulated luminescence (OSL) chronology for Phase 2; and

4. the implications of our results for genetic analyses and archaeological signatures of human dispersal Out of Africa and into Sahul.

We also correct a presentation error in Supplementary Table 15 of Clarkson et al. (2017). The underlying research materials for this article, including data and R code to reproduce the table and figures, can be accessed on the Open Science Framework at <<http://doi.org/10.17605/OSF.IO/QYDC9>>.

**1. Radiocarbon (<sup>14</sup>C) dating.** Veth (2017) asks why charcoal from the lowest hearth (C1/43a) was not submitted for <sup>14</sup>C dating. In fact, a sample of charcoal from C1/43a was submitted for <sup>14</sup>C dating at ANSTO; however, it did not survive the chemical pretreatment – as was the case for most of the other samples collected from depths below 1.5 m – due to the generally poor preservation of organic remains in tropical sandy sediments. This is well illustrated by the fact that 16 of 40 charcoal samples submitted did not survive the chemical pretreatment, with the number of samples dissolved increasing with depth (Figure 1). This poor preservation highlights the difficulties of developing reliable <sup>14</sup>C chronologies older than 20 ka in environmental settings such as Madjedbebe, where organic remains are intensively weathered.

Detailed study of these 'old' charcoal pieces shows that their original morphological appearance (i.e. the physical structure) has been faithfully preserved. However, the original chemical composition has been altered and the elemental carbon required for <sup>14</sup>C dating has not been retained. The chemical alteration of charcoal, in a setting similar to Madjedbebe, has been reported previously in a <sup>14</sup>C dating study at the nearby site of Nauwalabila I (Bird et al. 2002). Extreme environmental conditions (e.g. high ambient temperature) can accelerate charcoal alteration and degradation (Braadbaart et al.



**Figure 1.** Percentage of charcoal samples that did not survive chemical (ABA or ABOX) pretreatment for  $^{14}\text{C}$  dating, plotted against sample depth. Note 'n' equals the number of samples submitted in each depth bracket.

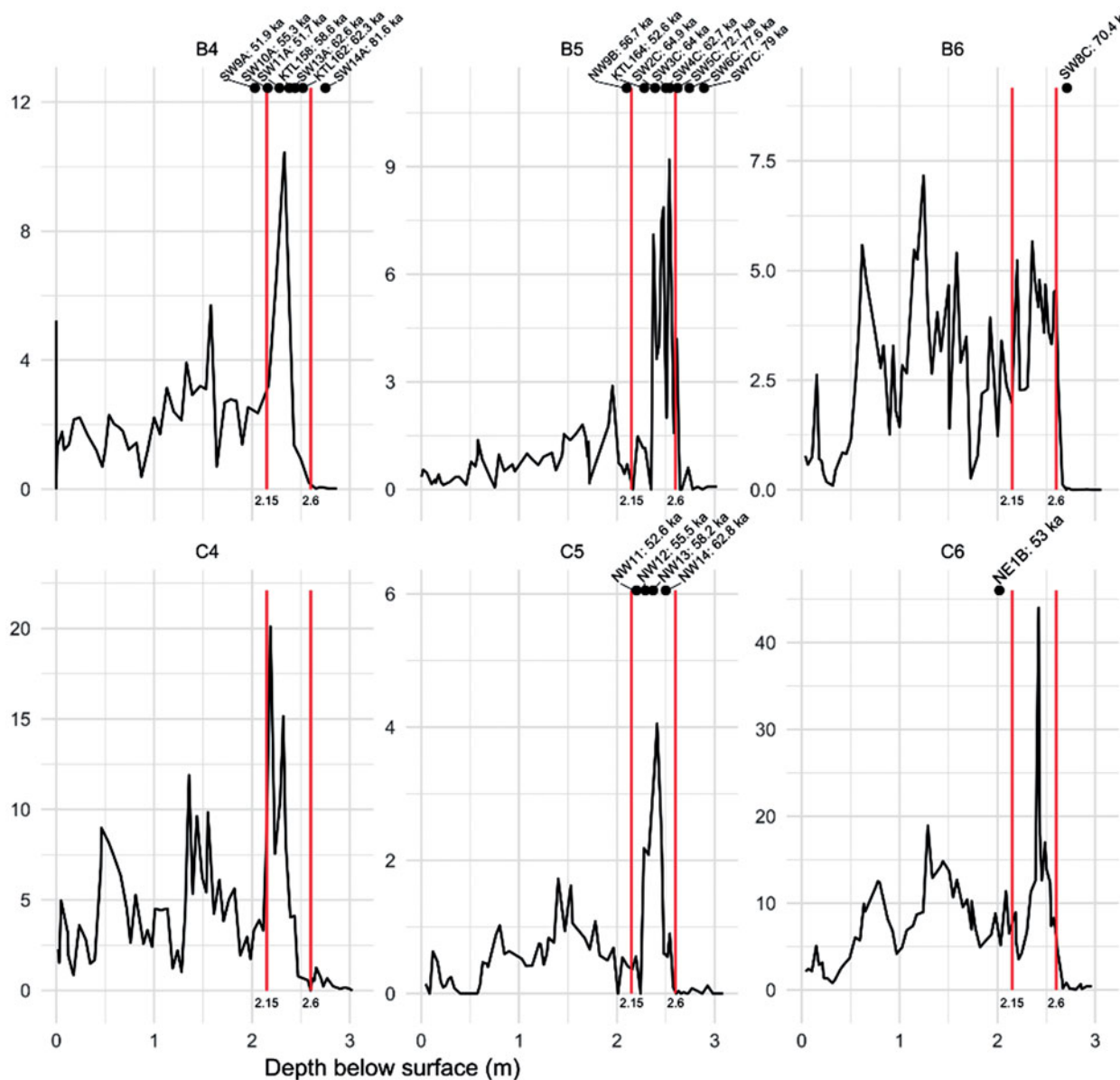
2009). Biotic processes may also alter charcoal chemistry in the environment via microbial mediation of degradation (Ascough et al. 2011; Bird et al. 2002; Cheng and Lehmann 2009). Similarly, charcoal that was produced at lower temperatures ( $<400\text{ }^{\circ}\text{C}$ ) or that has undergone incomplete thermal conversion is more susceptible to both chemical attack and post-depositional alteration (Ascough et al. 2011). Thus, a combination of factors probably contributed to poor pretreatment survival of charcoal found below 1.5 m depth. We reiterate, however, that although charcoal survival during chemical pretreatment was a pervasive problem at Madjedbebe, we were able to obtain a consistent series of  $^{14}\text{C}$  ages that is in close agreement with the OSL chronology (Clarkson et al. 2017: Extended Data Figure 8(g)).

**2. Distribution of artefacts in Phases 1 and 2.** Individual artefacts excavated in 1989 (Roberts et al. 1990) could not be shown by Clarkson et al. (2017) in Extended Data Figures 1(a) or 2(a), because they were not piece plotted using a total station, like those collected in 2012 and 2015. We show in Figure 2 below, the artefact densities in squares B4–B6 and C4–C6 and the corresponding OSL ages for Phase 2, which is bracketed by depths of 2.15 and 2.60 m. The OSL age estimates for Phase 2 are consistent between the different squares: ages for

square B4 range between c.52 and 63 ka (2.16–2.45 m), for B5 between c.63 and 65 ka (2.39–2.54 m) and C5 between c.53 and 63 ka (2.20–2.50 m). In each square, a distinct pulse in artefact deposition is evident in Phase 2.

We used an objective measure, artefact frequency per litre (artefact density) of excavated sediment, together with assemblage composition (pulses in exotic silcrete, fine quartzite and chert, and the occurrence of thinning flakes) to define the phase boundaries. The resulting lower boundary of Phase 2 (2.60 m depth in squares B4–B6 and C4–C6) is, therefore, a conservative estimate for the lowest in situ artefacts at Madjedbebe.

In response to Allen (2017), we note that the number of artefacts from square B6 attributed to the different phases are listed incorrectly in Supplementary Table 15 of Clarkson et al. (2017). The correct numbers are listed in Table 1 below. The chi-square test results for this corrected table are similar to those reported in Clarkson et al. (2017),  $\chi^2 = 1118.5$ ,  $df = 15$ ,  $p\text{-value} < 2.2\text{e-}16$ , so the interpretations and conclusions therein are unaffected. The raw materials and technological compositions of the Phase 1 and 2 assemblages are very similar, so we consider Phase 1 artefacts most likely represent the result of post-depositional



**Figure 2.** Artefact densities for squares B4–B6 and C4–C6. Phase 2 (2.15–2.60 m depth in these squares) is bracketed by the red lines and the mean OSL ages (in ka) are shown along the top of each panel, together with the sample codes.

**Table 1.** Summary of stone artefact counts by Phase in square B6, for the four dominant raw materials only.

Phase	Chert	Quartz	Quartzite	Silcrete
1	1	28	36	3
2	69	1,950	674	87
3	51	2,551	178	11
4	59	3,349	278	86
5	23	1,009	13	2
6–7	10	1,679	93	3

displacement from Phase 2 deposits, although the possibility remains that they reflect an earlier occupation pulse. Ongoing analysis of the Phase 1 and 2 lithics will help resolve this issue.

**3. OSL chronology for Phase 2.** Dortch and Malaspinas (2017) note that our ages for Madjedbebe are consistent with genetic approaches to dating the appearance of distinctively Australian

populations, but they adopt a conservative view of the age of Phase 2 artefacts. They opt for the modelled end age of  $53 \pm 4$  ka, rather than the modelled start age of  $65 \pm 6$  ka; these two modelled estimates represent the minimum and maximum ages, respectively, of the archaeological materials in Phase 2 and are based on a sequence of stratigraphically grouped ages that overlie, span and underlie Phase 2. There is, therefore, no sound statistical basis for rejecting the latter age as the most reliable estimate for the start of Phase 2; this places initial settlement at between c. 71 and 59 ka at the 95.4% confidence level.

Although the c. 65 ka start age for Phase 2 has attracted the most attention, it should be borne in mind that this lowest dense band incorporates artefacts that were also deposited over the next 12 thousand years or so (see Figure 2 above and

Extended Data Figure 8(c–f)). We note that the captions for Extended Data Figure 8(c,d) in Clarkson et al. (2017) should indicate squares B4 and B5 (not B5 and B6, respectively), and that the position of the SW-B and SW-C OSL sample sequence is laterally misplaced in Extended Data Figure 8(a) by 30 cm, but is correctly plotted in Extended Data Figure 1(a). Hence, Phase 2 does not constitute a single snapshot in time, c. 65 ka, but rather an assemblage composed of more than 11,000 artefacts that have accumulated over 12 millennia. While the antiquity of the earliest Phase 2 artefacts precedes other discoveries made thus far elsewhere in Australia, the later Phase 2 artefacts coincide with a growing body of evidence for human occupation in other parts of the continent before 50 ka (Hamm et al. 2016; Veth et al. 2017).

**4. Archaeological signatures of human dispersal and genetic analyses.** The findings from Phase 2 at Madjedbebe have interesting implications for the Out of Africa story and the colonisation of Australia. The oldest assemblage suggests an innovative and highly expressive culture engaged in symbolic and technological activities, with long-distance (>80 km) maritime voyaging required to reach the shores of northwest Australia at 65 ka (Norman et al. 2018). The first occupants of Madjedbebe exploited the wide range of bush foods available in the region (including fruits, nuts, seeds and tubers) and had invested in technologies such as axes (for acquiring resources sequestered in trees) and grinding stones (for processing of labour-intensive foods and for extracting pigment powders). The presence of possible point technology (as seen from abundant thinning flakes and tips of retouched convergent flakes in Phase 2), as well as faceted discoidal cores, also harks beyond our region back to the Middle Stone Age (MSA) of Africa, the Levant, Arabia and India, where such technologies are the mainstay of modern humans living 50–100 ka (Clarkson 2014). It is tempting, therefore, to see the early Madjedbebe assemblage as a final stage in the chain of technological transmission, as early colonists blazed a trail eastward through Island Southeast Asia (ISEA) towards Australia. Unfortunately, none of the sites with modern human fossils dated  $\geq 65$  ka in ISEA and mainland Asia contain artefact assemblages with which to test this hypothesis of a MSA-like colonising toolkit (Liu et al. 2015; Westaway et al. 2017).

Finally, first occupation of Australia by c. 65 ka fits comfortably within the confidence intervals of 51–72 ka for the genetic split of Australians and Papua New Guineans from their Eurasian ancestors (Dortch and Malaspinas 2017; Malaspinas et al. 2016) and with genetic and fossil evidence for the dispersal of modern humans into Asia at least 62–75 ka (Bae et al. 2017; Groucutt et al. 2018;

HersHKovitz et al. 2018; Liu et al. 2015; Nielsen et al. 2017; Pagani et al. 2016; Rabett 2018; Rasmussen et al. 2011; Westaway et al. 2017). Several recent studies of the mitochondrial and nuclear DNA of recent and living Aboriginal Australians have yielded estimates of the time to the most recent common ancestor of Aboriginal Australians (Bergström et al. 2016; Malaspinas et al. 2016; Nagle et al. 2017; Tobler et al. 2017). The uncertainties of these genetic clocks associated with mutation rate and generation interval may be on the order of  $\pm 30\%$  at the 95.4% confidence interval (Fu et al. 2014; Mallick et al. 2016). If these uncertainties are taken into account, then all current genetic age estimates for the first Aboriginal Australians are consistent with an age of c. 65 ka for modern humans at Madjedbebe. The latter is also compatible with genetic estimates of the time of incorporation of Neanderthal genes into the modern human genome, and vice versa, which are constrained to no better than 37–86 ka (Bae et al. 2017; Sankararaman et al. 2012) and possibly much earlier (Prüfer et al. 2017).

The age of c. 65 ka for first occupation of Madjedbebe opens up several new lines of enquiry into the history of the human colonisation of Australia. The search is on for other sites that are similarly early, or even earlier, as well as sites that can close the c. 5,000–15,000 year gap between the oldest Phase 2 artefacts at Madjedbebe and the earliest artefacts reported elsewhere. Nauwalabila I (Roberts et al. 1994) remains a prospective candidate for further work, which is in progress. Likewise, we have begun an intensive program of exploration around Madjedbebe for sites with equivalent sequences and ages. These are important steps to assess the empirical reproducibility of our results from Madjedbebe. As Allen (2017) notes, reproducibility of results is a ‘fundamental cornerstone of the scientific method’, and one major commitment we have made to this is to openly share many of the raw data files and code from our analyses to enable others to inspect the details of our claims. Significant effort must now be invested in generating detailed and robust chronologies across northern Australia based on OSL and other techniques capable of extending beyond the  $^{14}\text{C}$  barrier, coupled with site formation and artefact provenance analyses. As Hiscock (2017) argues, only then will we be in a position to reflect on whether we have truly discovered the oldest sites in Australia.

#### Disclosure statement

No potential conflict of interest was reported by the authors.

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