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SUBLIMINAL WORDS ACTIVATE SEMANTIC CATEGORIES (NOT
AUTOMATED MOTOR RESPONSES)

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Abstract

Semantic priming by visually masked, unidentifiable ("subliminal") words occurs robustly when the words appearing as masked primes have been classified earlier in practice as visible targets. It has been argued (Damian, 2001) that practice enables robust subliminal priming by automatizing learned associations between words and the specific motor responses used to classify them. Two experiments demonstrated that, instead, the associations formed in practice that underlie subliminal priming are between words and semantic categories. Visible words classified as "pleasant" or "unpleasant" in practice with one set of response key assignments functioned later as subliminal primes with appropriate valence, even when associations of keys with valences were reversed before the test. This result shows that subliminal priming involves unconscious categorization of the prime, rather than just the automatic activation of a practiced stimulus-response mapping.

Subliminal Words Activate Semantic Categories (Not Automated Motor Responses)

It is well established that recent experience with a word facilitates its processing on next occurrence. This repetition priming effect has lately become germane in the interpretation of unconscious, or subliminal, semantic priming, in which classification of a visible target word is affected by the category (congruent or incongruent) of an immediately preceding, visually masked, unidentifiable ("subliminal") prime word. Evidence accumulated over the last several years indicates that the effectiveness of subliminal words as primes is highly dependent upon recent practice classifying those words in visible form. From this evidence, four conclusions are warranted:

First, in studies that used subliminal primes that participants had previously classified in visible form ('practiced words', hereafter), priming effects have been robust and easily replicable (e.g., Dehaene et al., 1998; Draine & Greenwald, 1998; Greenwald, Draine, & Abrams, 1996). The robustness of these effects contrasts markedly with findings from procedures that have used nonpracticed subliminal primes (i.e., words that never appeared in visible form in the experiment). Semantic priming effects produced by nonpracticed masked words are widely acknowledged to be small in magnitude and difficult to replicate (for reviews, see Draine & Greenwald, 1998; Forster, 1998).

Second, the just-described pattern of contrasting results from experiments using different procedures has been directly confirmed in recent experiments that compared subliminal priming by practiced and nonpracticed words (Abrams & Greenwald, 2000; Damian, 2001; Drury & Klinger, 2000). In these studies, practiced primes produced consistently large subliminal priming effects, whereas nonpracticed primes produced effects that were either nonsignificantly positive or statistically significant but small in magnitude.

Third, practice has been shown not only to enable robust subliminal priming as just described, but also to override effects expected on the basis of existing, well established semantic knowledge. For example, in a lexical decision experiment in which participants have practiced classifying doctor as a visible word, the subliminal word doctor primes both semantically related (nurse) and unrelated (truck) word targets (relative to a baseline condition in which primes are nonwords; Klinger, Burton, & Pitts, 2000).

Fourth, this practice effect depends on more than just perceptual encounter with the visible words at time of practice. Practice benefits subliminal priming only when it involves classification into the same categories that will later be used to classify target words in the priming task. When words are repeatedly named but not classified in practice, those words remain ineffective as subliminal primes in a semantic classification task (e.g., animate vs. inanimate object; Damian, 2001).¹

In sum, practice enables effective priming by words that, without practice, apparently receive little analysis. How might practice produce this benefit? Several theories of repetition effects potentially bear on this question (e.g., Morton, 1969;

Treisman, 1960). We focus here, however, on a recent suggestion that links subliminal priming by practiced words to the phenomenon of automaticity.

Specifically, it has been suggested that subliminal priming is driven by automation of motor responses (Damian, 2001). According to this view, the critical associations established in practice are between individual words and the responses that are made in categorizing them (word-response mappings). Repeated association of a word with its motor response makes the mapping automatic. When the same word later appears as a subliminal prime, it elicits automatically—outside of attention and awareness—the practiced motoric response.

The chief alternative view is that practice establishes word-to-category mappings, rather than word-to-response mappings (cf. Logan, 1990). Word-category mappings are the associations of words to the categories that are used to classify them in the experimental task. These categories may be long-established ones, such as pleasant versus unpleasant meaning, or they may be more ad hoc, such as the word-nonword categories that are used in lexical decision tasks. The word-category interpretation is not necessarily incompatible with automaticity, but it is in contrast with the view that automaticity develops principally for specific motor responses.

The present experiments sought to distinguish between the word-to-response and word-to-category interpretations of the effect of classification practice on subliminal priming. The method was straightforward – we arranged conditions such that the response called for by the practiced word-to-category mapping was opposite from that called for by the practiced word-to-response mapping. Words practiced using one set of response assignments (for example, left-hand keypress for pleasant words, right-hand for unpleasant) appeared as subliminal primes in a later task in which classification instructions were reversed from the earlier practice (left hand for unpleasant, right hand for pleasant). If word-to-response mappings underlie subliminal priming, then words practiced with one hand should prime targets classified with the same hand (even though those targets belong to the opposite category). Alternatively, the word-to-category view predicts that practiced words should act with their appropriate valence even though the response assignments have been reversed.

Experiment 1

Experiments 1 and 2 were designed to test between the predictions of the word-response interpretation (that priming should be determined by practiced response assignment) and the word-category interpretation (that priming should be determined by practiced category). They were carried out in parallel, Experiment 1 at University of Alabama and Experiment 2 at University of Washington. Both used similar designs and procedures, the main difference being that Experiment 1 presented masked primes for a slightly longer duration (50 ms) than in Experiment 2 (33 ms).

Participants

Sixty-two University of Alabama undergraduates participated in exchange for credit toward a course requirement. All had normal or corrected-to-normal vision, were fluent in English, and were naive about the hypothesis of the experiment.

Materials

Two sets of words (A and B) were used as targets and primes, each consisting of 50 words with unambiguously polarized valence: half pleasant in meaning (e.g., happy, warm) and the other half unpleasant in meaning (scum, kill). All words were presented in upper-case Arial font, in black against a white background.

Procedure and Apparatus

Priming task. Participants first gained practice (four 50-trial blocks) at categorizing clearly visible words from one set (A or B) as pleasant or unpleasant in meaning. They responded by pressing either the “a” key with the left hand or the “5” key (on the numeric keypad) with the right hand on a standard computer keyboard. Key assignments in this initial practice phase were counterbalanced, with half the participants receiving a=unpleasant, 5=pleasant, and the remainder receiving the reverse assignment.

Participants then did three 50-trial masked priming blocks in which targets were the same words that had been classified in the initial practice phase (set A or B), and primes were from the same set of words used as targets (however, prime and target were never the same word on any trial). Key assignment was the same as in the initial practice phase.

In the following critical test phase of the experiment, participants did five 50-trial blocks of a priming task in which targets were words from the set, A or B, that had not been previously presented, and masked primes were from the earlier-practiced set. Key assignment for half the participants was the same as the assignment used earlier (same-keys group); for the other half, it was the reverse of the original assignment (switched-keys group). (Thus in these critical blocks, the words appearing as masked primes had been practiced earlier with either the same or the reverse of the current key assignment.) The first two of these five blocks were identified to participants as practice and their data are not included in the analysis.

The sequence of events on priming trials was as follows: fixation point for 300 ms, blank text box for 500 ms, forward mask for 150 ms, prime for 50 ms, backward mask for 17 ms, then target for 333 ms. Forward and backward masks were one of two similar thirteen-letter strings of consonants (for example, KQHYPDQFPBYL). Prime and target were selected at random on each trial to yield a similar number of trials in each of the four possible combinations of prime-target valence. Selection from prime and target sets occurred without replacement, such that each item in the appropriate 50-word set appeared exactly once as prime and/or once as target in each 50-trial block.

The target word was followed immediately by an exclamation mark, the 133-

ms duration of which defined the response window, an interval during which the participant was instructed to make the keypress response to classify the target as pleasant or unpleasant in meaning. (For a more detailed description of and rationale for the response window procedure, see Draine & Greenwald, 1998; Greenwald et al., 1996). To signal to participants that a response had been made outside the response window, the exclamation mark briefly turned red when the 133-ms window interval ended.

Perceptibility task. After participants completed the critical priming blocks, they underwent a test of prime perceptibility (three 50-trial blocks) in which they attempted to categorize words masked under the same conditions as in the priming task. Trials in the perceptibility task were identical to priming trials except that participants were instructed to disregard the exclamation mark and to take as long as necessary to categorize the briefly flashed, masked word. The same key assignment, targets, and primes that had been used in the final, critical priming blocks were used in the perceptibility task. Participants were given three blocks of preliminary practice with enhanced visibility of primes: in the first, the 50-ms primes were displayed in red with no forward or backward masking; in the second, masks were added; and in the third, the display reverted to the normal black. Post-trial error feedback was given in the practice but not the test blocks.

Results

Data from the two tasks were analyzed by using the regression method (Greenwald, Klinger, & Schuh, 1995), in which priming-task performance is regressed onto performance in the perceptibility task. Our analysis focuses on the resulting intercept, which, as described in earlier work, provides a statistical test of the hypothesis that occurrence of priming is associated with zero perceptibility of primes in the perceptibility task (Draine & Greenwald, 1998; Greenwald & Draine, 1997).

In order to put the data from the two tasks in common terms, and to meet the regression method's requirement of a rational zero point for each measure, the data in each task were computed in terms of the signal detection sensitivity measure d' . Specifically, in both tasks hits were defined as pleasant-key responses on trials with pleasant-valence primes, and false alarms as pleasant-key responses on trials with unpleasant-valence primes. The following analysis collapsed across two counterbalanced variables: set (whether the words practiced in the initial phase were drawn from Set A or Set B), and order of key assignments (whether the original key assignment was a=unpleasant, 5=pleasant, or the opposite). Neither of these variables had any noticeable effects on priming magnitude, perceptibility d' , or regression intercept.

Regression analysis of data from the first priming phase—which preceded the response-mapping manipulation, so that both the same-keys and switched-keys groups used the same key assignment as in practice—showed, as expected, a significant intercept for both groups (for the same-keys group, intercept $d' = .75$,

$t[29] = 9.05, p < .001$; for the switch group, intercept $d' = .65, t[29] = 8.15, p < .001$). The difference between the size of these intercept effects was not statistically significant, as would be expected, $t[58] = .87, p = .19$. These data replicate the standard finding of robust subliminal priming from words practiced earlier as visible targets.

[FIGURE 1 ABOUT HERE]

Theory-relevant findings come from the second priming phase. Figure 1 shows priming data from this phase (the critical blocks) regressed onto data from the perceptibility task for the same-keys group (Figure 1a), and the switched-keys group (Figure 1b). As can be seen, both groups showed significant subliminal priming. (For the same-keys group, intercept $d' = .39, t[29] = 7.25, p < .001$; for the switched-keys group, intercept $d' = .33, t[29] = 6.07, p < .001$.) These two intercepts do not differ significantly in magnitude, $t(58) = .78, p = .22$. Thus switching key assignment between practice and test had little or no effect on priming. The practiced subliminal words in the switched-keys group acted with their appropriate valence, not with the (now inappropriate) valence that was associated with their earlier-practiced key assignment. (If the latter had occurred, the regression intercept in Figure 1b would have been negative.) This result is consistent with the view that priming was driven by word-to-category mapping, not word-to-response mapping.

Experiment 2

As noted earlier, Experiment 2 was conducted in parallel with Experiment 1. Differences in procedures represent only the usual types of variations between different laboratories investigating the same problem. In addition to providing a replication of Experiment 1, Experiment 2 included two features that extended Experiment 1. First, in Experiment 2 the primes were displayed for a shorter duration—33 rather than 50 ms. Second, the A and B word sets in Experiment 2 had no letters in common, eliminating the possibility that practice on the second set of targets might interfere at the subword level with processing of masked primes. (Earlier research has shown evidence for such possible interference; Abrams & Greenwald, 2000). Other more minor differences between the two experiments are described below.

Participants

Fifty University of Washington undergraduates participated in exchange for credit toward a course requirement. All had normal or corrected-to-normal vision, were fluent in English, and were naive about the hypothesis of the experiment.

Materials

The two sets of primes and targets each consisted of 12 words, six pleasant and six unpleasant in meaning. All words were four letters long. The chief

difference from Experiment 1 was that Experiment 2's stimuli were constructed so that words in Set A had no overlap of letters with words in Set B. (Examples from Set A are the unpleasant words barf and damp, the pleasant words food and warm; from Set B, geek, ugly, and luck, nice.) Because subliminal analysis may operate largely at the subword level, words classified as targets may influence the analysis of subsequently appearing masked primes with which they share letters (for example, classifying best earlier as a positive target could interfere with the negative valence of the subliminal prime mess). By having targets and primes share no letters, we should have eliminated this potential interference.

Masks in Experiment 2 were composed not of whole letters, but of letter fragments (again, in order to avoid any influence on prime processing at the letter level). The two masks (forward and backward) on each trial were drawn without replacement from a set of eight, each of which had the same letter fragments distributed in different arrangements across a rectangular area slightly larger than the largest prime word.

Procedure and Apparatus

Procedure and apparatus were similar to those of Experiment 1. Participants gained initial practice classifying one set of words with one of the two key assignments (four blocks of trials; all blocks in Experiment 2 consisted of 48 trials). They then received two blocks of practice with the other set of words, with either the same or reversed key assignment. (Unlike Experiment 1, the primes in all practice blocks were the letter string, XXXX. Experiment 2 omitted Experiment 1's initial priming phase in which targets, primes, and key assignment were the same as in initial practice.) Participants then did six critical priming blocks with the targets and key assignment from the most recent two blocks of practice, and masked primes from the words classified in the initial practice phase.

In Experiment 2 the sequence of events on priming trials was as follows: Fixation point for 500 ms, forward mask for 300 ms, prime for 33 ms, backward mask for 33 ms, target for 333 ms. Stimuli were selected in the same way as in Experiment 1. The temporal center of the 133-ms response window interval was initially set at 400 ms following target onset, but, unlike Experiment 1, the program controlling the experiment advanced or delayed the window center by 33 ms after each block in order to maintain an error rate of approximately 35%.² The response-window exclamation mark turned red briefly when a response was successfully made within the window interval. Feedback on accuracy of responding, response latency, and response-window accuracy was given at the end of each block.

As in Experiment 1, the critical priming blocks were followed by a test of prime perceptibility (four blocks), which used the targets, primes, and key assignment from the critical priming blocks. Trials were identical to priming trials except that participants could disregard the earlier instruction to respond while the exclamation mark was present. Practice for the perceptibility task consisted of (a) a block in which the masked words were displayed for 83 ms (a duration that earlier

testing had established as adequate for correct classification on nearly all trials), and (b) a block in which duration of the masked words was reduced to 67 ms. Post-trial error feedback was provided in the practice, but not the test, blocks.

Results

[FIGURE 2 ABOUT HERE]

Figure 2 shows that, as in Experiment 1, practiced words acted as effective subliminal primes even when response mapping was reversed between practice and priming. In Figure 2 priming in the critical priming blocks is shown regressed onto perceptibility of primes in the perceptibility task. (As in Experiment 1, the effect of order of sets and order of key assignments was nonsignificant, and those counterbalanced manipulations were ignored in the present analysis.) The regression intercept was significantly positive for both groups (same-keys group, intercept $d' = .16$, $t[21] = 5.57$, $p < .001$; switched-keys group, intercept $d' = .12$, $t[25] = 3.81$, $p < .001$). Further, these intercepts did not differ significantly in magnitude, $t(48) = 1.13$, $p = .13$. These results corroborate those of Experiment 1, indicating that subliminal priming largely arises through unconscious categorization (word-to-category mappings), not automation of specific word-to-response mappings.³

Experiment 2's results also strengthen a secondary finding from Experiment 1 involving the persistence of the effects of practice in enabling subliminal priming. In both experiments the critical priming blocks followed initial practice after an interval of practice with different words lasting about four to five minutes. Because the critical priming blocks lasted about six to eight minutes, the mean interval between a word's last practice classification and its reappearance as a subliminal prime was about eight to ten minutes. This is considerably longer than the practice-to-priming interval in previous studies involving practiced primes (e.g., Draine & Greenwald, 1998; Greenwald et al., 1996; Klinger, Burton, & Pitts, 2000). In those studies, words classified repeatedly as visible targets reappeared within tens of seconds as subliminal primes (due to the fact that the same set of words was presented concurrently as targets and primes). The present results demonstrate that subliminal priming can be obtained when (a) the set of primes differs from the set concurrently appearing as targets, and (b) an interval of at least several minutes elapses between practice and priming.⁴

General Discussion

Experiments 1 and 2 followed up on recent findings that robust subliminal priming is obtained only when the words appearing as primes have been practiced (classified) earlier as visible words (Abrams & Greenwald, 2000; Damian, 2001; Drury & Klinger, 2000). Here we tested two contrasting hypotheses about the role of practice. According to one hypothesis, practice makes automatic the mappings

between words and the specific motor responses used to classify them; the same words appearing later as masked primes elicit those responses automatically (but do not activate semantic category; Damian, 2001). According to the alternative hypothesis, subliminal words activate semantic categories via word-category mappings established or strengthened in practice. The results of Experiments 1 and 2 clearly supported the latter hypothesis. The subliminal priming that was obtained in both experiments was unaffected by reversal of response assignments between practice and the priming task. If the word-response hypothesis had been correct, then, after reversal of assignments, primes should have activated inappropriate motor responses (e.g., words classified as pleasant-meaning in practice with a right-hand keypress should continue to activate a right-hand response, even though that response was now associated with unpleasant-meaning words). But primes functioned appropriately, despite reversal of assignments, as representatives of their practiced semantic category. Priming by subliminal words therefore appears to be driven predominantly by activation of practiced word-category mappings.

Validity of the regression method. The conclusion that the priming in the present experiments was subliminal rests in part on analyses using the regression method developed by Greenwald and colleagues (Greenwald & Draine, 1997; Greenwald, Klinger, & Schuh, 1995). The regression method has been successfully applied in a number of studies of subliminal priming (e.g., Abrams & Greenwald, 2000; Draine & Greenwald, 1998; Greenwald et al., 1996). Its validity has also been debated, in detail, in a series of articles in response to Draine and Greenwald (1998); we refer the reader to those papers for a full account of the points on which the regression method has been questioned (Doshier, 1998; Merikle & Reingold, 1998). In their responses to those points, Greenwald and Draine (1998) and Klauer, Greenwald, & Draine (1998) have provided answers to the major theoretical objections. We restrict the focus of the present discussion to features of data sets that we acknowledge as potentially problematic for the regression method.

Specifically, measurement error in the predictor variable (the perceptibility task) can lead to intercepts that are spuriously large when both the mean of the predictor is substantially greater than zero, and the regression slope is significantly positive. When data sets have these features, alternative analyses may be required (cf. Klauer et al, 1998; Klauer, Draine, & Greenwald, 1998). The present data sets do not have these features. Across the four conditions in the two experiments, only the data from Experiment 2's same-keys group reveals a significantly positive slope (slope = .31; $t[21] = 2.09$, $p = .049$). For this group the mean of the predictor was small and not significantly greater than zero ($\bar{d}' = .04$, $t[22] = .92$, $p = .37$). Further, in three of the four groups the perceptibility-task mean was not significantly greater than zero and in the fourth the significance test yielded $p = .051$.⁵ The present data thus show a pattern of significant effects in the indirect measure of prime processing (the priming task) in the absence of effects in the direct measure (the perceptibility task). Such a pattern is well established as a criterion for unconscious processing. It is a problematic criterion, however, in that, to be met, it requires acceptance of a

null hypothesis (the hypothesis of no prime processing in the direct measure). The regression method overcomes this problem by taking as the criterion of unconscious processing a rejection of the null hypothesis (i.e., a significantly positive intercept). The fact that the data conform to both criteria provides strong support for the conclusion that the primes in the present experiments operated nonconsciously.

Word-category mapping and unconscious analytic capability. An important question regarding unconscious or unattended processing is the level of complexity at which stimuli are analyzed (see for example Greenwald, 1992; Kihlstrom, 1987; Loftus & Klinger, 1992). For verbal stimuli, this question has usually taken the form: Does unconscious analysis of words operate effectively at the level of whole-word meaning? Numerous findings of subliminal semantic priming over the last two decades have supported the view that, at least in the case of individual words, unconscious analysis of meaning does occur (for reviews of the evidence generally against multiword analysis, see Draine, 1997; Greenwald, 1992).

Several recent findings, however, implicate less complex analytic mechanisms as the basis for subliminal semantic priming. These findings include those reviewed in the Introduction showing that robust priming effects require earlier practice with the words later appearing as masked primes. Without practice, subliminal words yield little or no priming, indicating weak or absent whole-word analysis (Abrams & Greenwald, 2000; Damian, 2001; Drury & Klinger, 2000). Furthermore, after practice classifying visible words, robust subliminal priming can be obtained from small parts (two or three letters) of those words. Priming is driven by the valence of earlier-practiced subword parts even when these parts are recombined to form whole words of opposite valence (for example, after classification of agree and pony as pleasant-meaning, agony functions as a pleasant-meaning subliminal prime; Abrams & Greenwald, 2000).

These recent findings have raised the possibility that subliminal priming is driven by subword parts (not meaning) that activate motor responses (not semantic categories) with which they have become associated in practice. Such a scenario represents a severely limited form of unconscious analysis in which semantic information apparently plays no role at all. The present experiments, however, restore some degree of complexity to unconscious analysis by showing that whatever information is effective in producing subliminal priming, that information activates semantic categories.

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Footnotes

¹ Several studies including Damian's (2001) have reported robust subliminal priming from words presented in one visual form (e.g., upper-case) after having been practiced in another (lower-case; Draine & Greenwald, 1998; Greenwald, Draine, & Abrams, 1996). Because upper- and lower-case letters share only some visual features, this is further evidence that practice does not simply facilitate processing at the level of raw perceptual information.

² The interval between target onset and window center was increased by 33 ms if in the just-finished block (a) the error rate in classifying targets was greater than or equal to 45% or (b) the error rate was greater than or equal to 35% and mean response latency was greater than the center value of the window in that block plus 100 ms. The interval was decreased by 33 ms if error rate was less than or equal to 20% and mean latency was less than or equal to the center value of the window in that block plus 100 ms.

³ The regression intercepts in Experiment 2 were considerably smaller than in Experiment 1. A likely explanation for this difference is the shorter duration of the primes in Experiment 2 (33 ms, versus 50 ms in Experiment 1). A similar difference in magnitude of subliminal priming as a function of prime duration was reported by Greenwald, Draine, and Abrams (1996).

⁴ Experiment 1's results suggest that while the effects of practice are sufficiently durable to produce priming after a several-minute interval, they also undergo some decay. The regression intercept for the first priming phase in Experiment 1 (in which primes were being concurrently practiced as targets) was approximately twice as large as for the critical priming phase (in which an interval of about six to eight minutes had elapsed since their last practice as visible words).

⁵ Experiment 1's same-keys group: $d' = .003$, $t(30) = .06$, $p = .95$; switched-keys group, $d' = .09$, $t(30) = 2.03$, $p = .051$. Experiment 2's same-keys group: $d' = .04$, $t[22] = .92$, $p = .37$; switched-keys group: $d' = .03$, $t(26) = .84$, $p = .41$.

Figure Captions

Figure 1. Regression analyses for participants in the (a) same-keys group (response assignments the same in practice and test), and (b) switched-keys group (response assignments reversed between practice and test) in Experiment 1. Data points represent individual participants. The extent to which masked words functioned with their appropriate valence (unpleasant or pleasant) in the priming task is plotted as a function of performance in classifying them in the perceptibility task. The regression function (with curves indicating its 95% confidence interval) thus shows the relationship between priming and the ability to consciously perceive primes. Priming is statistically significant and is interpreted as unconscious in operation when the curve for the lower 95% confidence interval passes above the origin.

Figure 2. Regression analyses for participants in Experiment 2's (a) same-keys and (b) switched-keys groups. As in Figure 1, data points represent individual participants; see that figure for an explanation of the regression analysis.

FIGURE 1

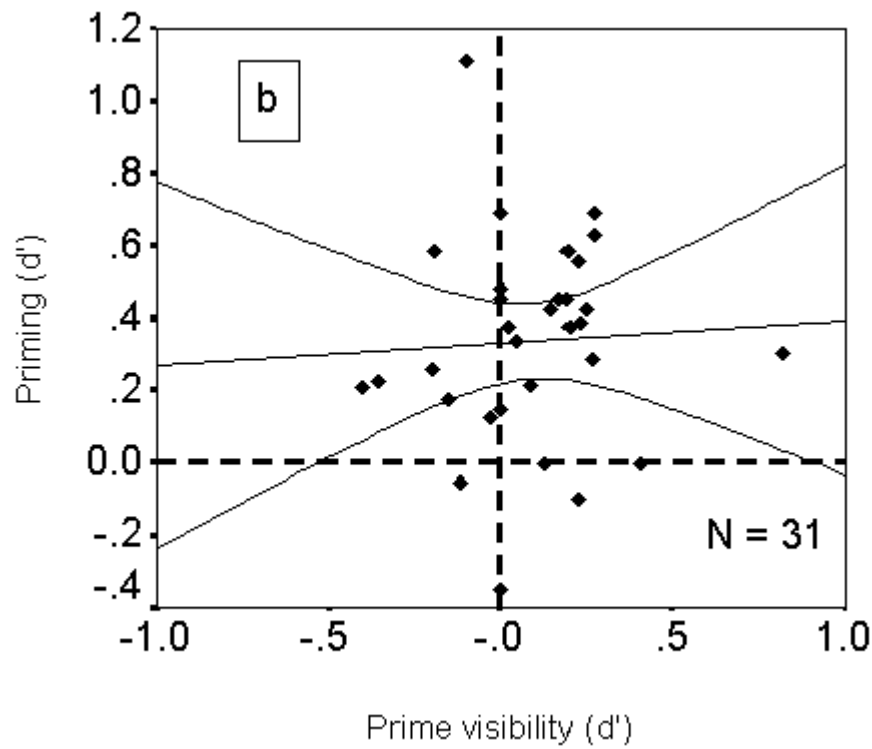
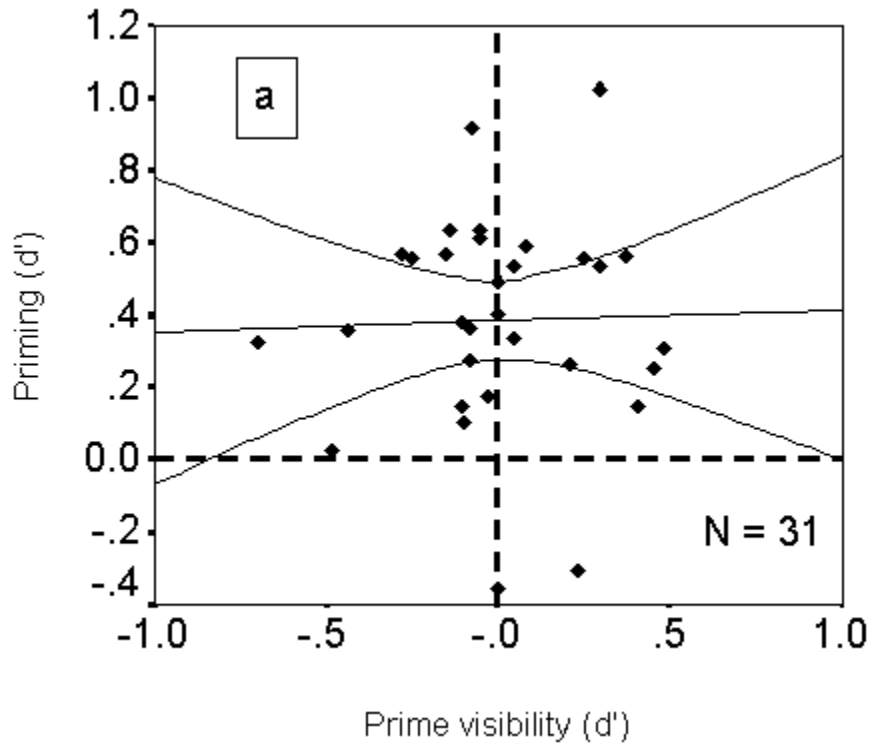


FIGURE 2

